

Risk Endorsement for DD Agency Providers

The purpose of a Risk Endorsement is to ensure the safe and effective delivery of services to participants of developmental disabilities (DD) waivers, whose behavioral needs require a higher level of support and oversight. A Risk Endorsed provider is one who has demonstrated commitment, capability, and willingness to collaborate in implementing supports and services that meet or exceed the needs of these participants.

Risk Endorsement Requirements

The following requirements must be met by the DD agency provider to be considered for or maintain a Risk Endorsement.

- A. There must be an agency-employed clinician: Licensed Independent Mental Health Practitioner (LIMHP), Board Certified Behavior Analyst (BCBA), or Licensed Psychologist.
 1. The provider will notify the DD Clinical Team of any clinician changes within five business days.
 2. When the loss of clinician occurs, agency Risk referrals will be placed on hold until another clinician is established.
 3. When another clinician is not employed within 90 days, the agency provider's Risk Endorsement will be removed.
- B. Two consecutive years operating as a certified agency provider of a Medicaid Home and Community-Based Services (HCBS) DD waiver for people with developmental disabilities.
- C. In good standing with DHHS:
 1. Provider currently holds a 2-year Public Health (PH) certification; and
 2. Is free of any suspensions from PH or DDD.
- D. A minimum of one year providing services to at least two participants at the Advanced Tier level of support.
- E. Initial training with the DD Clinical Team:
 1. Provider training for agency administration and the clinician; and
 2. Clinician training for the clinician.
- F. Emergency Safety Intervention (ESI) training for all staff or personnel working with Risk Tier approved participants.
 1. ESI training is in the Mandt system.
 2. Training includes a semiannual review of, at least, physical skills.
- G. A new Risk Endorsement begins with a 12-month provisional endorsement, which includes:
 1. Servicing a maximum of two risk participants; and
 2. DD clinical support, guidance, and review:
 - a. Beginning on a monthly basis and
 - b. Graduated over time.
- H. Successful completion of the provisional endorsement increases risk participant capacity.
- I. Semi-annual risk reviews to review individual services and support.

Training with the DD Clinical Team

Training with DD Clinical Team, including initial provider and clinical training, is subject to adjustment and may require additional, ongoing, or updated training. For example, clinician training requirements and expectations may be revised periodically, necessitating retraining or refresher sessions.