



# CMS Informal Waiver Review Questions Person Centered Planning

## Appendix D-1 b. Service Plan Development Safeguards

Questions asked of:

- Comprehensive Waiver Only
  - 59. Clarify how health and welfare are addressed in the assessment process.

## Appendix D-1-d-f Monitor service plan

Questions asked of:

- Adult Day Waiver Only
  - 39. Please specify the required frequency of monitoring a service coordinator must perform. "As opportunity arises" does not meet the requirement an individual's service plan and needs are monitored based on the individual's needs.

## Appendix D-2-a-b Monitoring and follow-up methods

### Questions asked of:

- Both Adult Day and Comprehensive Waivers
  - 40 & 60 Please specify whether service coordinators monitor to ensure an individual resides and/or receives services in a setting that meets the home and community-based regulations and requirements.

## Appendix D-2-a-c Frequency with which monitoring is performed

Questions asked of:

- Adult Day Waiver
  - 41. Please specify the required frequency of monitoring a service coordinator must perform and how it support the needs of the individual.

## Appendix D-2-a.-e. Monitoring and Follow-up Methods

Questions asked of:

- Comprehensive Waiver Only
  - 61. How does the SC assess the effectiveness of the back-up plans including natural supports?

## Appendix D-1-e Risk Assessment and Mitigation

Questions asked of:

- Adult Day Waiver Only
  - 42. Please specify how emergency risks and back-up plans are address, identified and developed, e.g. emergency responsiveness and/or evacuation due to natural disasters.

## Appendix E-1-n Goals for Participant Direction

### Questions asked of:

- Adult Day Waiver Only

- 43. For the waiver ending, 625 individuals were expected to self-direct their services. For the new waiver under review, year 1 starts with 400 participants. Please explain the variance in number of participants. Did the state intend to reduce the number of participants who elect to direct their waiver services?
- 44. How many individuals are currently self-directing their services?

- Comprehensive Waiver Only

- 62. Please explain the factors that lead the state to expect growth of more than 500 new participants utilizing self-direction in year 1 of the waiver renewal.

## QIS – D: Service Plan

### Questions asked of:

- Adult Day Waiver Only
  - 82. Sub-assurance a – None of the performance measures address whether service plans address all participants' personal goals.
  - 83. Sub-assurance c –The second performance measure does not adequately address the sub-assurance. It should be revised to address the number/percent of service plans that needed to be revised and were revised based on a change in need.
- Comprehensive Waiver Only
  - 109. Regarding sub-assurance (a): None of the PMs measure whether service plans address all participants' personal goals. We request that the state either revise the current PM or add an additional PM to measure that all participants' personal goals are addressed in the service plan.
  - 110. Regarding sub-assurance (c): The second proposed PM does not adequately address the sub-assurance. This PM only provides the number/percent of total service plans that were revised due to a change in a person's needs, not the percent of service plans that needed to be revised and were revised. Please revise this PM to appropriately measure the sub-assurance.
  - 111. Regarding sub-assurance (d): How will the state determine whether participants have received the appropriate type, scope, amount, duration, and frequency of services specified in the IPP?