



## **Community-Based Services Registry of Unmet Needs/Waiting List**

The Division of Developmental Disabilities is required to maintain a registry of unmet needs. When an individual is found eligible for DD services, they are either prioritized for funding (i.e. in emergent need of protection from abuse/neglect, shelter, food or medical attention) or their request for services is added to the registry. Although the Developmental Disabilities Services Act requires individuals to utilize available educational services and most youth are cared for in their family homes, the Division encourages early determination of eligibility for DD services. This provides individuals and their families with information for long-term planning during their educational years, and it also provides for expedited access to services if emergent needs arise. Individuals are added to the registry of unmet needs with a designated “date of need,” generally the date they are anticipated to leave educational services at the conclusion of the school year in which they turn age 21. For this reason, there are many people on the registry of unmet needs whose date of need is a future date. Individuals who are past their date of need and are waiting for dollars to be appropriated so that they may access services are considered to be on the waiting list.

In July of 2009, the Legislature appropriated additional funds to provide services to individuals on the waiting list. In the summer of 2009, the Division began offering services to individuals on the waiting list in rounds, so that providers and service coordinators could handle the influx of people into services. Service coordinators already had an average caseload of 27 individuals, thus it was important to manage the waiting list offerings over an extended time period to allow for redistribution of caseloads and to ensure that all people receiving services continued to be adequately supported by service coordination during this process.

In July 2011, the Division initiated the fourth round of offers. Throughout all four rounds of offers, a significant number of individuals have declined their offer and readjusted their date of need. There were others that failed to respond to the offers or indicated they were no longer interested in DD services. Then there were others who requested extensions of time to begin services or are still in the process of selecting providers and implementing services.



The following are a few statistics related to the waiting list as of September 30, 2011:

Waiting List – January 1, 2009	2,900
Activity - January 1, 2009 to present:	
Individuals offered services	1,728
Individuals accepting services	948
Individuals declining services or failing to respond to offer	763
Individuals still in process of considering their offer	17
Waiting List – September 30, 2011	1,772

As of September 30, 2011, there were 4,052 service requests pending on behalf of 2,667 individuals on the registry of unmet needs (with 1,195 of those requests being for services subsequent to July 1, 2013). Some individuals have a request for more than one service, for instance day and residential services. Additionally, 435 individuals who declined offered services from July 1, 2009 to present, chose to move their date of need, so they are reflected in the 2,667 individuals who have requests. Further detailed statistics from the waiting list offerings are included herein.

From July 1, 2009 to September 30, 2011, \$28,635,370.72 state and federal dollars were expended on services to individuals taken off the waiting list. \$12,330,669.01 of that total was state general funds, and \$16,304,671.71 was federal matching funds. Approximately 58% of these funds were spent on intermittent (i.e. supported) services either provided by a certified provider agency or by a non-specialized support. The annual cost of services to individuals being served, that were removed from the waiting list since July 1, 2009, is currently estimated to be approximately \$11.1 million.

The Committee asked for information regarding the cost of funding the waiting list. The current estimated annual cost of funding services for individuals on the registry of unmet needs is as follows:

	State Funds	Federal Funds	Total Estimated Cost
Individuals whose date of need is on or before July 1, 2013	\$ 30,906,366	\$ 43,459,288	\$ 74,365,654
Individuals whose date of need is after July 1, 2013	\$ 9,826,422	\$ 13,817,520	\$ 23,643,942
Total	\$ 40,732,788	\$ 57,276,808	\$ 98,009,596



This estimate does not include individuals in the fourth round who are in the process of accepting the offer, those who have requested an extension to initiate services, or those who are in the process of implementing their services. It is calculated by applying the average costs of services for individuals in service from July 1, 2010 to June 30, 2011, to the service registry requests.

Additionally, in order to meet the terms and assurances to the Centers for Medicare and Medicaid and to properly meet the needs of these additional individuals in services, the Division would need funding for the following staff: 72 service coordinators, 6 service coordination supervisors, 3 developmental disability service specialists, 3 developmental disability surveyors, 1 program specialist/technical assistance specialists, and 4 support staff. The estimated cost for these additional staff members is approximately \$6.25 million.

Although the 2009 appropriations for the waiting list have been utilized, funds may become available in the DD Aid budget through attrition (people leaving services due to relocation or death). Additionally, we are anticipating that utilization may decrease further as many individuals move towards non-specialized or intermittent services. Thus, the Division will continue to monitor the DD Aid expenditures to determine if additional people on the waiting list may be served.

The positive impact the waiting list appropriation has made in the lives of individuals needing supports is significant. The Division appreciates Legislature's continued commitment to funding the waiting list and providing quality services to individuals with developmental disabilities.



Department of Health and Human Services  
 Division of Developmental Disabilities  
 INDIVIDUALS OFFERED SERVICES  
 SUMMARY OF ALL OFFERS TO DATE

Individuals with 'Date Svc Wanted' Summary					
Area	Total	Memo Accepted	Memo Declined	No response - terminated	In Process
Round 1	301	162	113	26	0
Round 2	809	433	287	89	0
Round 3	419	198	165	56	0
Round 4	102	58	26	1	17
Priority	97	97	0	0	0
<b>Total</b>	<b>1728</b>	<b>948</b>	<b>591</b>	<b>172</b>	<b>17</b>

Individuals authorized for services offered		Individuals currently approved for extension of 90 day start date	Remaining to be Authorized	Suspended due to Recipient's Request	Accepted, no extension, not yet auth
Area	Total Authorized				
Round 1	159	3	3	0	0
Round 2	413	16	20	0	4
Round 3	187	10	11	0	1
Round 4	3	0	55	0	55
Priority	97	n/a	n/a	n/a	n/a
<b>Total</b>	<b>859</b>	<b>29</b>	<b>89</b>	<b>0</b>	<b>60</b>

Reasons Declined	Reason Declined separated by Area				Total
	Round 1	Round 2	Round 3	Round 4	
Don't want service offered at this time	92	236	141	22	491
No longer interested in the service requested	18	57	41	0	116
No longer interested in receiving any svcs from DDS or no response	29	83	39	5	156
<b>Total</b>	<b>139</b>	<b>376</b>	<b>221</b>	<b>27</b>	<b>763</b>



Department of Health and Human Services  
 Division of Developmental Disabilities  
 INDIVIDUALS OFFERED SERVICES  
 Round 1

Individuals with 'Date Svc Wanted' through 5/23/04				
Area	Total	Memo Accepted	Memo Declined	No response - terminated
Western	19	12	6	1
Central	40	19	20	1
Southeast	117	66	44	7
Northeast	125	65	43	17
<b>Total</b>	<b>301</b>	<b>162</b>	<b>113</b>	<b>26</b>

Individuals authorized for services offered		Individuals currently approved for extension of 90 day start date	Remaining to be Authorized	Suspended due to Recipient's Request	Accepted, no extension, not yet auth
Area	Total Authorized				
Western	12	0	0	0	0
Central	19	0	0	0	0
Southeast	66	0	0	0	0
Northeast	62	3	3	0	0
<b>Total</b>	<b>159</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>0</b>

Reasons Declined	Reason Declined separated by Area				Total
	Western	Central	Southeast	Northeast	
Don't want service offered at this time	4	14	40	34	92
No longer interested in the service requested	2	0	4	12	18
No longer interested in receiving any svcs from DDS or no response	1	7	7	14	29
<b>Total</b>	<b>7</b>	<b>21</b>	<b>51</b>	<b>60</b>	<b>139</b>



Department of Health and Human Services  
 Division of Developmental Disabilities  
 INDIVIDUALS OFFERED SERVICES  
 Round 2

Individuals with 'Date Svc Wanted' through 12/31/06				
Area	Total	Memo Accepted	Memo Declined	No response - terminated
Western	71	40	28	3
Central	144	68	66	10
Southeast	183	113	59	11
Northeast	411	212	134	65
<b>Total</b>	<b>809</b>	<b>433</b>	<b>287</b>	<b>89</b>

Individuals authorized for services offered		Individuals currently approved for extension of 90 day start date	Remaining to be Authorized	Suspended due to Recipient's Request	Accepted, no extension, not yet auth
Area	Total Authorized				
Western	40	0	0	0	0
Central	68	0	0	0	0
Southeast	111	1	2	0	1
Northeast	194	15	18	0	3
<b>Total</b>	<b>413</b>	<b>16</b>	<b>20</b>	<b>0</b>	<b>4</b>

Reasons Declined	Reason Declined separated by Area			Total
	Western	Central	Southeast	
Don't want service offered at this time	23	49	53	236
No longer interested in the service requested	1	10	4	57
No longer interested in receiving any svcs from DDS or no response	7	17	13	83
<b>Total</b>	<b>31</b>	<b>76</b>	<b>70</b>	<b>376</b>



Department of Health and Human Services  
 Division of Developmental Disabilities  
**INDIVIDUALS OFFERED SERVICES**  
 Round 3

Individuals with 'Date Svc Wanted' through 12/31/07				
Area	Total	Memo Accepted	Memo Declined	No response - terminated
Western	21	18	3	0
Central	85	32	44	9
Southeast	98	39	48	11
Northeast	215	109	70	36
<b>Total</b>	<b>419</b>	<b>198</b>	<b>165</b>	<b>56</b>

Individuals authorized for services offered		Services/Waiver Authorization	Individuals currently approved for extension of 90 day start date	Remaining to be Authorized	Suspended due to Recipient's Request	Accepted, no extension, not yet auth
Total Authorized	Area					
18	Western	18	0	0	0	0
31	Central	28	1	1	0	0
39	Southeast	36	0	0	0	0
99	Northeast	89	9	10	0	1
<b>187</b>	<b>Total</b>	<b>171</b>	<b>10</b>	<b>11</b>	<b>0</b>	<b>1</b>

Reasons Declined	Reason Declined separated by Area			Total
	Western	Central	Southeast	
Don't want service offered at this time	3	38	41	141
No longer interested in the service requested	0	5	10	41
No longer interested in receiving any svcs from DDS or no response	0	10	8	39
<b>Total</b>	<b>3</b>	<b>53</b>	<b>59</b>	<b>221</b>



Department of Health and Human Services  
 Division of Developmental Disabilities  
 INDIVIDUALS OFFERED SERVICES  
 Round 4

Individuals with 'Date Svc Wanted' through April 15, 2008					
Area	Total	Memo Accepted	Memo Declined	No response - terminated	In Process
Western	9	4	5	0	0
Central	15	8	5	1	1
Southeast	26	11	8	0	7
Northeast	52	35	8	0	9
<b>Total</b>	<b>102</b>	<b>58</b>	<b>26</b>	<b>1</b>	<b>17</b>

Individuals authorized for services offered				Individuals currently approved for extension of 90	Remaining to be Authorized	Suspended due to Recipient's Request	Accepted, no extension, not yet auth
Area	Total Authorized	Services/Waiver Authorization					
Western	0	0	0	4	0	4	
Central	2	2	0	6	0	6	
Southeast	0	0	0	11	0	11	
Northeast	1	1	0	34	0	34	
<b>Total</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>55</b>	<b>0</b>	<b>55</b>	

Reasons Declined	Reason Declined separated by Area			Total
	Western	Central	Northeast	
Don't want service offered at	3	5	6	22
No longer interested in the service requested	0	0	0	0
No longer interested in receiving any svcs from DDS or no response	2	1	2	5
<b>Total</b>	<b>5</b>	<b>6</b>	<b>8</b>	<b>27</b>



Department of Health and Human Services  
 Division of Developmental Disabilities  
**INDIVIDUALS OFFERED SERVICES**  
 Priority Status Offers

<b>Priority Status Approvals July 1, 2009 through present</b>	
Area	Total Approved
July through August 2009	2
September 2009 through February 2010	16
March 2010 through June 2011	65
July 2011 through Present	14
<b>Total</b>	<b>97</b>

<b>Individuals authorized for services offered</b>		
Area	Total Authorized	Services/Waiver Authorization
July through August 2009	2	2
September 2009 through February 2010	16	11
March 2010 through June 2011	65	47
July 2011 through Present	14	7
<b>Total</b>	<b>97</b>	<b>67</b>



	<u>Federal Amount</u>	<u>State Amount</u>	<u>Total Amount</u>	<u>Number Clients</u>
Community Supported	\$1,008,156.39	\$881,112.78	\$1,889,269.17	161
<b>Community Supports Total</b>	<b>\$1,008,156.39</b>	<b>\$881,112.78</b>	<b>\$1,889,269.17</b>	<b>161</b>
Day Assisted	\$407,491.90	\$326,985.72	\$734,477.62	50
Day Supported	\$136,708.70	\$159,282.55	\$295,991.25	40
<b>Day Total</b>	<b>\$544,200.60</b>	<b>\$486,268.27</b>	<b>\$1,030,468.87</b>	<b>80</b>
Residential Assisted	\$7,574,313.98	\$5,473,147.77	\$13,047,461.75	222
Residential Supported	\$7,140,296.33	\$5,411,566.99	\$12,551,863.32	549
<b>Residential Total</b>	<b>\$14,714,610.31</b>	<b>\$10,884,714.76</b>	<b>\$25,599,325.07</b>	<b>698</b>
Respite	\$37,704.42	\$78,603.19	\$116,307.61	46
<b>Respite Total</b>	<b>\$37,704.42</b>	<b>\$78,603.19</b>	<b>\$116,307.61</b>	<b>46</b>
<b>Report Totals</b>	<b>\$16,304,671.71</b>	<b>\$12,330,699.01</b>	<b>\$28,635,370.72</b>	<b>858</b>

\* Percentage of State Amount compared to Total Amount: 43.06%  
(this value is to be used in the Estimated Annual Cost of Waiting List Authorizations report)

This information is being provided by N-FOCUS with the following limitations:

1. The information represents our understanding of the request,
2. Data source is current as of the Sunday before the Run Date,
3. It has been validated to the extent allowed by processing time available, and
4. It is dependent upon the accuracy of the data in N-FOCUS.



Program Type	Assistance Level	State % of State Dollars Federal % of Federal Dollars Assistance Dollars % of Program Dollars
Community Supports	Community Supported	\$881,112.78 7.15% \$1,008,156.39 6.18% \$1,889,269.17 100.00%
	Total	\$881,112.78 7.15% \$1,008,156.39 6.18% \$1,889,269.17 100.00%
Day	Day Assisted	\$326,985.72 2.65% \$407,491.90 2.50% \$734,477.62 71.28%
	Day Supported	\$159,282.55 1.29% \$136,708.70 0.84% \$295,991.25 28.72%
	Total	\$486,268.27 3.94% \$544,200.60 3.34% \$1,030,468.87 100.00%
Residential	Residential Assisted	\$5,473,147.77 44.39% \$7,574,313.98 46.45% \$13,047,461.75 50.97%
	Residential Supported	\$5,411,566.99 43.89% \$7,140,296.33 43.79% \$12,551,863.32 49.03%
	Total	\$10,884,714.76 88.27% \$14,714,610.31 90.25% \$25,599,325.07 100.00%



		State % of State Dollars Federal % of Federal Dollars Assistance Dollars % of Program Dollars
Respite	Respite	\$78,603.19 0.64% \$37,704.42 0.23% \$116,307.61 100.00%
	<b>Total</b>	\$78,603.19 0.64% \$37,704.42 0.23% \$116,307.61 100.00%
<b>Total State Dollars</b>		<b>\$12,330,699.01</b>
<b>Total Federal Dollars</b>		<b>\$16,304,671.71</b>
<b>Total Dollars</b>		<b>\$28,635,370.72</b>

