

AB#
1998317

24019-93

CHILD ABUSE PREVENTION SUBGRANT

BETWEEN

**THE NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION OF CHILDREN AND FAMILY SERVICES**

SIOUXLAND HUMAN INVESTMENT PARTNERSHIP

This subgrant is entered into by and between the Nebraska Department of Health and Human Services, **DIVISION OF CHILDREN AND FAMILY SERVICES** (hereinafter "DHHS"), and **SIOUXLAND HUMAN INVESTMENT PARTNERSHIP** (hereinafter "Subrecipient").

PURPOSE. The purpose of this subgrant is to provide administration and continued implementation of the work to enhance early childhood social-emotional development in the Dakota County Area as approved by the Nebraska Child Abuse Prevention Fund Board (NCAPF Board) Neb. Rev. Stat. §§ 43-1901 to 43-1906.

I. PERIOD OF PERFORMANCE AND TERMINATION

- A. TERM. This award is in effect from July 1, 2014 the effective date through June 30, 2015, the completion date.
- B. TERMINATION. This subgrant may be terminated at any time upon mutual written consent or by either party for any reason upon submission of written notice to the other party at least Thirty (30) days prior to the effective date of termination. DHHS may also terminate this subgrant in accord with the provisions designated "FUNDING AVAILABILITY" and "BREACH OF SUBGRANT." In the event either party terminates this subgrant, the Subrecipient shall provide to DHHS all work in progress, work completed, and materials provided by DHHS in connection with this subgrant immediately.

II. AMOUNT OF SUBGRANT

- A. TOTAL SUBGRANT. DHHS shall pay the Subrecipient a total amount, not to exceed \$22,500.00 (twenty-two thousand five hundred dollars) for the activities specified herein and in accordance with the attached budget (Attachment A).
- B. PAYMENT STRUCTURE. Payment shall be structured as follows:
 - 1. Quarterly payments will be made upon the submittal of a budget expenditure report and a reimbursement request for actual, allowable, and reasonable expenditures by the subrecipient. **The final quarterly budget expenditure report must be received by June 15, 2015.**
- C. BUDGET CHANGES. The Subrecipient is permitted to reassign funds from one line item to another line item within the approved budget. If funds are reassigned between line items, prior approval from DHHS is required for cumulative budget transfer requests for allowable costs, allocable to the subgrant exceeding five percent (5%) of the current total approved budget. Budget revision requests shall be submitted in writing to DHHS. DHHS will provide written notification of approval or disapproval of the request within thirty (30) days of its receipt.

III. STATEMENT OF WORK

A. The Subrecipient shall:

Continue the implementation of the early childhood social-emotional development work as outlined in the Scope of Work approved by the Nebraska Child Abuse Prevention Fund Board (Attachment B).

1. Continued implementation of the Parent Interacting with Infants (PIWI) model.
2. Continued implementation of the Parent Child Interaction (PCIT) model.

B. Administrative Standards:

The Subrecipient agrees to be held accountable for the services provided within this Subgrant and with provide data on the following measures and others as described in Attachment B:

1. # of staff and organizations participating in training of PIWI and PCIT.
2. # of staff and organizations participating in implementation of PIWI and PCIT.
3. # of families and children served by PIWI.
4. # of families and children served by PCIT.

C. Reporting Requirement:

1. The Subrecipient shall submit a budget expenditure report and a reimbursement request for actual, allowable, and reasonable expenditures in accordance with the agreement and approved budget on the following schedule:

<u>Report Name</u>	<u>Due On or Before</u>	<u>Covering the Period of</u>
1 st Quarter Budget Expenditure	Jan. 15, 2015	Jul. 1, 2014 -Sept. 30, 2014
2 nd Quarter Budget Expenditure	Jan. 15, 2015	Oct. 1, 2014 – Dec. 31, 2014
3 rd Quarter Budget Expenditure	Apr. 15, 2015	Jan. 1, 2015 - Mar. 31, 2015
4 th Quarter Budget Expenditure	Jun. 15, 2015	Apr. 1, 2014 – Jun. 30, 2015

2. The Subrecipient shall report on progress, activity, and results in accordance with the agreement on the following schedule:

<u>Report Name</u>		
Six Month Progress Report	Jan. 15, 2015	Jul. 1, 2014 – Dec. 31, 2014
Twelve Month Progress Report	Jul. 15, 2015	Jul. 1, 2014 – Jun. 30, 2015

3. All reporting materials are to be submitted to the Nebraska Children and Families Foundation office at 215 Centennial Mall South, Suite 200; Lincoln, NE 68508 to the attention of Kathy Stokes on or before deadline.

D. DHHS shall do the following:

1. Review all reports received from Subrecipient.

E. Results Based Accountability:

The Subrecipient agrees to cooperate with DHHS in the development of any desired outcomes, performance measures, and reporting requirement necessary for implementation of the Division of Children and Families' Results Based Accountability initiative.

IV. GENERAL TERMS AND ASSURANCES

A. ACCESS TO RECORDS AND AUDIT RESPONSIBILITIES.

1. All Subrecipient books, records, and documents regardless of physical form, including data maintained in computer files or on magnetic, optical or other media, relating to work performed or monies received under this subgrant shall be subject to audit at any reasonable time upon the provision of reasonable notice by DHHS. Subrecipient shall maintain all records for three (3) years from the date of final payment, except records that fall under the provisions of the Health Insurance Portability and Accountability Act (HIPAA) shall be maintained for six (6) full years from the date of final payment. In addition to the foregoing retention periods, all records shall be maintained until all issues related to an audit, litigation or other action are resolved to the satisfaction of DHHS. The Subrecipient shall maintain its accounting records in accordance with generally accepted accounting principles. DHHS reserves and hereby exercises the right to require the Subrecipient to submit required financial reports on the accrual basis of accounting. If the Subrecipient's records are not normally kept on the accrual basis, the Subrecipient is not required to convert its accounting system but shall develop and submit in a timely manner such accrual information through an analysis of the documentation on hand (such as accounts payable).
2. The Subrecipient shall provide DHHS any and all written communications received by the Subrecipient from an auditor related to Subrecipient's internal control over financial reporting requirements and communication with those charged with governance including those in compliance with or related to Statement of Auditing Standards (SAS) 112 *Communicating Internal Control related Matters Identified in an Audit* and SAS 114 *The Auditor's Communication with Those Charged With Governance*. The Subrecipient agrees to provide DHHS with a copy of all such written communications immediately upon receipt or instruct any auditor it employs to deliver copies of such written communications to DHHS at the same time copies are delivered to the Subrecipient, in which case the Subrecipient agrees to verify that DHHS has received a copy.
3. The subrecipient shall immediately commence follow-up action on findings arising from audits or other forms of review. Follow-up action includes responding to those conducting such examinations with clear, complete views concerning the accuracy and appropriateness of the findings. If the finding is accepted, corrective action, such as repaying disallowed costs, making financial adjustments, or taking other actions should proceed and be completed as rapidly as possible. If the subrecipient disagrees, it should provide an explanation and specific reasons that demonstrate that the finding is not valid.
4. In addition to, and in no way in limitation of any obligation in this subgrant, the Subrecipient shall be liable for audit exceptions, and shall return to DHHS all payments made under this subgrant for which an exception has been taken or which has been disallowed because of such an exception, upon demand from DHHS.

B. AMENDMENT. This subgrant may be modified only by written amendment executed by both parties. No alteration or variation of the terms and conditions of this subgrant shall be valid unless made in writing and signed by the parties.

C. ANTI-DISCRIMINATION. The Subrecipient shall comply with all applicable local, state and federal statutes and regulations regarding civil rights and equal opportunity employment, including Title VI of the Civil Rights Act of 1964; the Rehabilitation Act of 1973, Public Law 93-112; the Americans with Disabilities Act of 1990, Public Law 101-336; and the Nebraska Fair Employment Practice Act, NEB. REV. STAT. §§ 48-1101 to 48-1125. Violation of said statutes

and regulations will constitute a material breach of this subgrant. The Subrecipient shall insert this provision into all subgrants and subcontracts.

- D. ASSIGNMENT. The Subrecipient shall not assign or transfer any interest, rights, or duties under this subgrant to any person, firm, or corporation without prior written consent of DHHS. In the absence of such written consent, any assignment or attempt to assign shall constitute a breach of this subgrant.
- E. ASSURANCE. If DHHS, in good faith, has reason to believe that the Subrecipient does not intend to, is unable to, has refused to, or discontinues performing material obligations under this subgrant, DHHS may demand in writing that the Subrecipient give a written assurance of intent to perform. Failure by the Subrecipient to provide written assurance within the number of days specified in the demand may, at DHHS's option, be the basis for terminating this subgrant.
- F. BREACH OF SUBGRANT. DHHS may immediately terminate this subgrant and agreement, in whole or in part, if the Subrecipient fails to perform its obligations under the subgrant in a timely and proper manner. DHHS may withhold payments and provide a written notice of default to the Subrecipient, allow the Subrecipient to correct a failure or breach of subgrant within a period of thirty (30) days or longer at DHHS's discretion considering the gravity and nature of the default. Said notice shall be delivered by Certified Mail, Return Receipt Requested or in person with proof of delivery. Allowing the Subrecipient time to correct a failure or breach of this subgrant does not waive DHHS's right to immediately terminate the subgrant for the same or different subgrant breach which may occur at a different time. DHHS may, at its discretion, obtain any services required to complete this subgrant and hold the Subrecipient liable for any excess cost caused by Subrecipient's default. This provision shall not preclude the pursuit of other remedies for breach of subgrant as allowed by law.
- G. CONFIDENTIALITY. Any and all confidential or proprietary information gathered in the performance of this subgrant, either independently or through DHHS, shall be held in the strictest confidence and shall be released to no one other than DHHS without the prior written authorization of DHHS, provided that contrary subgrant provisions set forth herein shall be deemed to be authorized exceptions to this general confidentiality provision. As required by United States Department of Health and Human Services (hereinafter "HHS") appropriations acts, all HHS recipients and DHHS Subrecipients must acknowledge Federal and DHHS funding when issuing statements, press releases, requests for proposals, bid invitations, and other documents describing projects or programs funded in whole or in part with Federal and DHHS funds. Recipients are required to state: (1) the percentage and dollar amounts of the total program or project costs financed with Federal and DHHS funds; and (2) the percentage and dollar amount of the total costs financed by nongovernmental sources. This provision shall survive termination of this subgrant.
- H. CONFLICTS OF INTEREST. In the performance of this subgrant, the Subrecipient shall avoid all conflicts of interest and all appearances of conflicts of interest. The Subrecipient shall immediately notify DHHS of any such instances encountered, so that other arrangements can be made to complete the work.
- I. DATA OWNERSHIP AND COPYRIGHT. Except as otherwise provided in the Federal Notice of Award, DHHS shall own the rights in data resulting from this project or program. The Subrecipient may copyright any of the copyrightable material and may patent any of the patentable products produced in conjunction with the performance required under this subgrant without written consent from DHHS. DHHS and any federal granting authority hereby reserve a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or

otherwise use, and to authorize others to use the copyrightable material for federal or state government purposes. This provision shall survive termination of this subgrant.

- J. DEBARMENT, SUSPENSION OR DECLARED INELIGIBLE. The Subrecipient certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
- K. DOCUMENTS INCORPORATED BY REFERENCE. All references in this subgrant to laws, rules, regulations, guidelines, directives, and attachments which set forth standards and procedures to be followed by the Subrecipient in discharging its obligations under this subgrant shall be deemed incorporated by reference and made a part of this subgrant with the same force and effect as if set forth in full text, herein.
- L. DRUG-FREE WORKPLACE. Subrecipient agrees, in accordance with 41 USC §701 et al., to maintain a drug-free workplace by: (1) publishing a drug-free workplace statement; (2) establishing a drug-free awareness program; (3) taking actions concerning employees who are convicted of violating drug statutes in the workplace; and (4) in accordance with 2 CFR §180.230, identify all workplaces under its federal awards.
- M. FEDERAL FINANCIAL ASSISTANCE. The Subrecipient shall comply with all applicable provisions of 45 C.F.R. §§ 87.1-87.2. The Subrecipient certifies that it shall not use direct federal financial assistance to engage in inherently religious activities, such as worship, religious instruction, and/or proselytization.
- N. FEDERAL FUNDING ACCOUNTABILITY AND TRANSPARENCY ACT REPORTING. The Subrecipient shall complete the Subrecipient Reporting Worksheet, Attachment 2, sections B and C. The Subrecipient certifies the information is complete, true and accurate.
- O. FORCE MAJEURE. Neither party shall be liable for any costs or damages resulting from its inability to perform any of its obligations under this subgrant due to a natural disaster, or other similar event outside the control and not the fault of the affected party ("Force Majeure Event"). A Force Majeure Event shall not constitute a breach of this subgrant. The party so affected shall immediately give notice to the other party of the Force Majeure Event. Upon such notice, all obligations of the affected party under this subgrant which are reasonably related to the Force Majeure Event shall be suspended, and the affected party shall do everything reasonably necessary to resume performance as soon as possible. Labor disputes with the impacted party's own employees will not be considered a Force Majeure Event and will not suspend performance requirements under this subgrant.
- P. FUNDING AVAILABILITY. DHHS may terminate the subgrant, in whole or in part, in the event funding is no longer available. Should funds not be appropriated, DHHS may terminate the award with respect to those payments for the fiscal years for which such funds are not appropriated. DHHS shall give the Subrecipient written notice thirty (30) days prior to the effective date of any termination. The Subrecipient shall be entitled to receive just and equitable compensation for any authorized work which has been satisfactorily completed as of the termination date. In no event, shall the Subrecipient be paid for a loss of anticipated profit.
- Q. GRANT CLOSE-OUT. Upon completion or notice of termination of this grant, the following procedures shall apply for close-out of the subgrant:

1. The Subrecipient will not incur new obligations after the termination or completion of the subgrant, and shall cancel as many outstanding obligations as possible. DHHS shall give full credit to Subrecipient for the federal share of non-cancelable obligations properly incurred by Subrecipient prior to termination, and costs incurred on, or prior to, the termination or completion date.
 2. Subrecipient shall immediately return to DHHS any unobligated balance of cash advanced or shall manage such balance in accordance with DHHS instructions.
 3. Within a maximum of 90 days following the date of expiration or completion, Subrecipient shall submit all financial, performance, and related reports required by the Subrecipient Reporting Requirements. DHHS reserves the right to extend the due date for any report and may waive, in writing, any report it considers to be unnecessary.
 4. DHHS shall make any necessary adjustments upward or downward in the federal share of costs.
 5. The Subrecipient shall assist and cooperate in the orderly transition and transfer of subgrant activities and operations with the objective of preventing disruption of services.
 6. Close-out of this subgrant shall not affect the retention period for, or state or federal rights of access to, Subrecipient records, or Subrecipient's responsibilities regarding property or with respect to any program income for which Subrecipient is still accountable under this subgrant. If no final audit is conducted prior to close-out, DHHS reserves the right to disallow and recover an appropriate amount after fully considering any recommended disallowances resulting from an audit which may be conducted at a later time.
- R. GOVERNING LAW. The award shall be governed in all respects by the laws and statutes of the State of Nebraska. Any legal proceedings against DHHS or the State of Nebraska regarding this award shall be brought in Nebraska administrative or judicial forums as defined by Nebraska State law. The Subrecipient shall comply with all Nebraska statutory and regulatory law.
- S. HOLD HARMLESS.
1. The Subrecipient shall defend, indemnify, hold, and save harmless the State of Nebraska and its employees, volunteers, agents, and its elected and appointed officials ("the indemnified parties") from and against any and all claims, liens, demands, damages, liability, actions, causes of action, losses, judgments, costs, and expenses of every nature, including investigation costs and expenses, settlement costs, and attorney fees and expenses ("the claims"), sustained or asserted against the State of Nebraska, arising out of, resulting from, or attributable to the willful misconduct, negligence, error, or omission of the Subrecipient, its employees, consultants, representatives, and agents, except to the extent such Subrecipient's liability is attenuated by any action of the State of Nebraska which directly and proximately contributed to the claims.
 2. DHHS's liability is limited to the extent provided by the Nebraska Tort Claims Act, the Nebraska Contract Claims Act, the Nebraska Miscellaneous Claims Act, and any other applicable provisions of law. DHHS does not assume liability for the action of its Subrecipients.
- T. INDEPENDENT ENTITY. The Subrecipient is an Independent Entity and neither it nor any of its employees shall, for any purpose, be deemed employees of DHHS. The Subrecipient shall employ and direct such personnel, as it requires, to perform its obligations under this subgrant,

exercise full authority over its personnel, and comply with all workers' compensation, employer's liability and other federal, state, county, and municipal laws, ordinances, rules and regulations required of an employer providing services as contemplated by this subgrant.

U. REIMBURSEMENT REQUEST. Requests for payments submitted by the Subrecipient shall contain sufficient detail to support payment. Any terms and conditions included in the Subrecipient's request shall be deemed to be solely for the convenience of the parties.

V. INTEGRATION. This written subgrant represents the entire agreement between the parties, and any prior or contemporaneous representations, promises, or statements by the parties, that are not incorporated herein, shall not serve to vary or contradict the terms set forth in this subgrant.

W. LOBBYING.

1. Subrecipient certifies that no Federal appropriated funds shall be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this award for: (a) the awarding of any Federal agreement; (b) the making of any Federal grant; (c) the entering into of any cooperative agreement; and (d) the extension, continuation, renewal, amendment, or modification of any Federal agreement, grant, loan, or cooperative agreement.

2. If any funds, other than Federal appropriated funds, have been paid or will be paid to any person for influencing or attempting to influence: an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this subgrant, the Subrecipient shall complete and submit Federal Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

X. NEBRASKA NONRESIDENT INCOME TAX WITHHOLDING. Subrecipient acknowledges that Nebraska law requires DHHS to withhold Nebraska income tax if payments for personal services are made in excess of six hundred dollars (\$600) to any Subrecipient who is not domiciled in Nebraska or has not maintained a permanent place of business or residence in Nebraska for a period of at least six months. This provision applies to: individuals; to a corporation, if 80% or more of the voting stock of the corporation is held by the shareholders who are performing personal services, and to a partnership or limited liability company, if 80% or more of the capital interest or profits interest of the partnership or limited liability company is held by the partners or members who are performing personal services.

The parties agree, when applicable, to properly complete the Nebraska Department of Revenue Nebraska Withholding Certificate for Nonresident Individuals Form W-4NA or its successor. The form is available at:

http://www.revenue.ne.gov/tax/current/f_w-4na.pdf or
http://www.revenue.ne.gov/tax/current/fill-in/f_w-4na.pdf

Y. NEBRASKA TECHNOLOGY ACCESS STANDARDS. The Subrecipient shall review the Nebraska Technology Access Standards, found at <http://www.nitc.nebraska.gov/standards/> and ensure that products and/or services provided under the subgrant comply with the applicable standards. In the event such standards change during the Subrecipient's performance, the State may create an amendment to the subgrant to request that Subrecipient comply with the changed standard at a cost mutually acceptable to the parties.

- Z. NEW EMPLOYEE WORK ELIGIBILITY STATUS. The Subrecipient shall use a federal immigration verification system to determine the work eligibility status of new employees physically performing services within the State of Nebraska. A federal immigration verification system means the electronic verification of the work authorization program authorized by the Illegal Immigration Reform and Immigrant Responsibility Act of 1996, 8 U.S.C. § 1324a, known as the E-Verify Program, or an equivalent federal program designated by the United States Department of Homeland Security or other federal agency authorized to verify the work eligibility status of a newly hired employee.

If the Subrecipient is an individual or sole proprietorship, the following applies:

1. The Subrecipient must complete the United States Citizenship Attestation Form, available on the Department of Administrative Services website at www.das.state.ne.us.
 2. If the Subrecipient indicates on such attestation form that he or she is a qualified alien, the Subrecipient agrees to provide the U.S. Citizenship and Immigration Services documentation required to verify the Subrecipient's lawful presence in the United States using the Systematic Alien Verification for Entitlements (SAVE) Program.
 3. The Subrecipient understands and agrees that lawful presence in the United States is required and the Subrecipient may be disqualified or the subgrant terminated if such lawful presence cannot be verified as required by NEB. REV. STAT. § 4-108.
- AA. PUBLICATIONS. Subrecipient agrees that all publications that result from work under this subgrant will acknowledge that the project was supported by "Grant No. XXXX" under a subgrant from "Federal Agency" and DHHS.
- BB. PROGRAMMATIC CHANGES. The Subrecipient shall request in writing to DHHS for approval of programmatic changes. DHHS shall approve or disapprove in whole or in part in writing within thirty (30) days of receipt of such request.
- CC. PROMPT PAYMENT. Payment shall be made in conjunction with the State of Nebraska Prompt Payment Act, NEB. REV. STAT. §§ 81-2401 through 81-2408. Unless otherwise provided herein, payment shall be made by electronic means.

Automated Clearing House (ACH) Enrollment Form Requirements for Payment.

The Subrecipient shall complete and sign the State of Nebraska ACH Enrollment Form and obtain the necessary information and signatures from their financial institution. The completed form must be submitted before payments to the Subrecipient can be made. Download ACH Form:

http://www.das.state.ne.us/accounting/nis/address_book_info.htm

- DD. PUBLIC COUNSEL. In the event Subrecipient provides health and human services to individuals on behalf of DHHS under the terms of this award, Subrecipient shall submit to the jurisdiction of the Public Counsel under NEB. REV. STAT. §§ 81-8,240 through 81-8,254 with respect to the provision of services under this subgrant. This clause shall not apply to subgrants between DHHS and long-term care facilities subject to the jurisdiction of the state long-term care ombudsman pursuant to the Long-Term Care Ombudsman Act.
- EE. RESEARCH. The Subrecipient shall not engage in research utilizing the information obtained through the performance of this subgrant without the express written consent of DHHS. The term "research" shall mean the investigation, analysis, or review of information, other than aggregate statistical information, which is used for purposes unconnected with this subgrant.

- FF. SEVERABILITY. If any term or condition of this subgrant is declared by a court of competent jurisdiction to be illegal or in conflict with any law, the validity of the remaining terms and conditions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if this subgrant did not contain the particular provision held to be invalid.
- GG. SMOKE FREE. Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such federal funds. The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable Federal funds in Medicare or Medicaid; or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 for each violation and/or the imposition of an administrative compliance order on the responsible entity. By signing, the Subrecipient certifies that the Subrecipient will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.
- HH. SUBRECIPIENTS OR SUBCONTRACTORS. The Subrecipient shall not subgrant or subcontract any portion of this award without prior written consent of DHHS. The Subrecipient shall ensure that all subcontractors and subrecipients comply with all requirements of this subgrant and applicable federal, state, county and municipal laws, ordinances, rules and regulations.
- II. TIME IS OF THE ESSENCE. Time is of the essence in this subgrant. The acceptance of late performance with or without objection or reservation by DHHS shall not waive any rights of DHHS nor constitute a waiver of the requirement of timely performance of any obligations on the part of the Subrecipient remaining.

NOTICES. Notices shall be in writing and shall be effective upon receipt. Written notices, including all reports and other written communications required by this subgrant shall be sent to the following addresses:

FOR DHHS:

Emily Kluver
 NE Department of Health & Human Services
 Division of Children and Family Services
 PO Box 95026
 Lincoln, NE 68509-5026
 402-471-8424

FOR SUBRECIPIENT:

Matt Ohman
 Executive Director
 Siouxland Human Investment
 Partnership
 1520 Morningside Avenue
 Sioux City, IA 51106

IN WITNESS THEREOF, the parties have duly executed this subgrant hereto, and each party acknowledges the receipt of a duly executed copy of this subgrant with original signatures.

FOR DHHS:

Vicki Maca

Vicki Maca
Deputy Director
Division of Children & Family Services
Department of Health and Human Services

DATE: 12/18/14

FOR SUBRECIPIENT:

Matt Ohman

Matt Ohman
Executive Director
Siouxland Human Investment
Partnership

DATE: 12/9/2014

FOR THE BOARD:

Brandon Verzal

Brandon Verzal
Chair
Nebraska Child Abuse Prevention Fund Board

DATE: 12/17/14

**Nebraska Child Abuse Prevention Fund Board
GRANT BUDGET FORM**

Organization:	Siouxland Human Investment Partnership (SHIP) - Dakota			
Project Title:	Dakota County Connections - Social-Emotional Grant			
Total Project Budget:	\$22,500	Budget Period:	7/1/2014	through 6/30/2015
			<i>Date</i>	<i>Date</i>

BUDGET ITEM	TOTAL PROGRAM BUDGET	NCAPF GRANT REQUEST	OTHER FUNDS	SOURCES
Personnel:				
Contract Coordinator (.1 FTE)	\$ 7,200.00	\$ 7,200.00	\$ -	
			\$ -	
	\$ -	\$ -	\$ -	
	\$ -	\$ -	\$ -	
TOTAL Personnel	\$ 7,200.00	\$ 7,200.00	\$ -	
Fringe Benefits:				
Contract Coordinator (.1 FTE)	\$ 1,441.00	\$ 1,441.00		
			\$ -	
	\$ -	\$ -	\$ -	
TOTAL Fringe Benefits	\$ 1,441.00	\$ 1,441.00	\$ -	
Office Operations Expenses:				
Office Supplies	\$ 500.00	\$ 500.00	\$ -	
TOTAL Operations Expense	\$ 500.00	\$ 500.00	\$ -	
Travel:				
Contract Coordinator Travel	\$ 500.00	\$ 500.00		
	\$ -	\$ -		
TOTAL Travel	\$ 500.00	\$ 500.00	\$ -	
Equipment:				
	\$ -	\$ -	\$ -	
TOTAL Equipment	\$ -	\$ -	\$ -	
Outreach:				
Marketing of PCIT and PIWI	\$ 2,000.00	\$ 2,000.00		
TOTAL Outreach	\$ 2,000.00	\$ 2,000.00	\$ -	
Contract / Consulting:				
		\$ -		
TOTAL Contract / Consulting	\$ -	\$ -	\$ -	
Other Expenses:				
SHIP Fiscal Agent Fee	\$ 1,125.00	\$ 1,125.00	\$ -	
PCIT Direct Services to Families	\$ 5,000.00	\$ 5,000.00		
PCIT Replacement toys or small equipment	\$ 1,000.00	\$ 1,000.00		
PCIT - ECBI Sheets	\$ 500.00	\$ 500.00		
PIWI session supplies & toys	\$ 1,034.00	\$ 1,034.00		
PIWI snacks or meals	\$ 1,000.00	\$ 1,000.00		
PIWI facilitation stipends	\$ 1,200.00	\$ 1,200.00		
TOTAL Other Expenses	\$ 10,859.00	\$ 10,859.00	\$ -	
TOTAL BUDGET	\$ 22,500.00	\$ 22,500.00		

**Nebraska Child Abuse Prevention Fund Board
Dakota County Connections – Social-Emotional Grant Year 4
BUDGET NARRATIVE**

Personnel - \$7,200 from the grant, .10 FTE of project coordinator Matt Ohman's annual salary.

Fringe Benefits - \$1,441 from the grant, .10 FTE of project coordinator Matt Ohman's annual benefits.

Office Operations Expenses - \$500 for office supplies, including paper, postage, copying, etc.

Travel - \$500 for travel to meetings, both in the community and out-of-state (as needed). SHIP's mileage reimbursement rate is .39 per mile. This will be used for the project coordinator's travel, as well as travel needs by PCIT therapists or PIWI coordinators (if applicable).

Equipment – No equipment needed for Year 4 of this grant.

Outreach – \$2,000 for marketing of both PCIT and PIWI – brochures and possible print ads, television and other media as needed (might be supplemented with Child Well-Being funding if needed)

Contract/Consulting – No contracting or consulting needed for Year 4 of this grant.

Other Expenses – Fiscal Agent Fee - \$1,125 or 5% for SHIP's administration fee.

PCIT – Direct services to families - \$5,000 is requested to help families who may not qualify for Magellan, but may not be able to pay for needed services. Dakota County PCIT therapists use a sliding fee scale and have families fill out applications to determine eligibility. These funds will help keep access to PCIT open to all families. Funds from Child Well Being may be utilized as well to supplement, if needed.

PCIT – Replacement toys and / or small equipment - \$1,000 will be used to replace or refresh the toys and audio equipment in the PCIT room.

PCIT – ECBI sheets - \$500 will be set aside to keep ECBI sheets in the PCIT rooms.

PIWI session supplies & toys - \$1,034 is requested to buy toys and supplies for PIWI sessions offered by community partners. These funds will also be utilized to purchase incentives such as books for families to attend.

PIWI snacks or meals - \$1,000 is requested to provide a meal and snacks for each of the 9 PIWI sessions.

PIWI facilitation stipends - \$1,200 is requested to provide stipends to PIWI facilitators. Some facilitators cannot accept stipends, as this work fits into their agency job description (Angela Abts for UNL Extension, for example). Our stipend rate will be \$50 per session (up to 24 sessions offered by paid facilitators).

ROLES AND RESPONSIBILITIES OF KEY PERSONNEL

Matt Ohman – SHIP – Project coordinator. Matt will coordinate meetings with PCIT therapists and PCIT Committee members, PIWI participants and PIWI committee members, as well as Dakota County Connections meetings. Matt will coordinate travel and training for PCIT therapists and PIWI participants as needed, and will coordinate with local and state evaluators to ensure timeliness of reporting and data collection.

Angela Abts – UNL Extension in Dakota County – extension educator – youth development, PIWI facilitator

Nick Brown – Jackson Recovery Centers. Licensed, bilingual therapist involved in this project, trained in PCIT, and implementing PCIT with families.

JoAnn Geiselman – Boys & Girls Home. Coordinator of services at the Siouxland Family Center, and committee member for both PIWI and PCIT and PIWI facilitator.

Odessa Meyer – Youth Librarian, South Sioux City Public Library – PIWI facilitator

Janie Snieder – A Better Way Therapy. Licensed therapist involved in this project, trained in PCIT, as well as the Team Leader for the PCIT collaborative.

Val Wanner – Boys & Girls Home & Family Services. Licensed therapist involved in this project, trained in PCIT.

Robyn Watchorn-Newbrey – Prairie Psychological Services – Licensed therapist involved in this project, trained in PCIT.

Darlakae Mahon – The Lodge Counseling Services. Licensed therapists involved in this project, trained in PCIT, as well as a member of the PCIT collaborative.

Elly Keller – A Better Way Therapy. Licensed therapist involved in this project, trained in PCIT, as well as a member of the PCIT collaborative.

DAKOTA COUNTY CONNECTIONS

**Nebraska Child Abuse Prevention Fund Board
Early Childhood Social Emotional Development Subgrant
Guide to Fourth Year Scope of Work and Outcomes**

July 1, 2014 – June 30, 2015

1. Dakota County Connections will continue to enhance the tremendous work being done by 6 area therapists that have been trained in Parent-Child Interactive Therapy (PCIT) , and 3 volunteer facilitators implementing Parents Interacting With Infants (PIWI) through this grant opportunity. The Collaborative meets at least quarterly (but usually monthly) and also oversees 3 other grants from the Nebraska Children & Families Foundation. Siouxland Human Investment Partnership administers the grants, and acts as the project's Fiscal Agent.
2. Dakota County Connections will continue implementation of **Parents Interacting with Infants (PIWI)** , including:
 - a. Continued infusion of PIWI into other programs by facilitators trained in PIWI. Facilitators will strongly recommend that programs do at least 9 sessions to fidelity, but will not make this an absolute requirement. All other aspects of PIWI will remain the same: countable sessions, use of PIWI Developmental Observation Topics, and the use of Protective Factors Survey to measure family outcomes
 - b. We will provide local training for additional community partners, or may send community partners to a statewide training if one is offered. We are currently low on PIWI facilitators and would like to have more to facilitate infusion of PIWI into other programs.
 - c. DCC will continue to work with other partners to plan and promote PIWI groups. Our community has been fortunate to receive a Rooted In Relationships grant and has decided to focus on parent support/education. We anticipate that this will give us the opportunity to embed PIWI into other efforts.
3. Dakota County Connections agrees to document the number of families participating in PIWI and to track their progress through measures described in the evaluation requirements. At minimum, these include the PIWI Checklist, PIWI Observation, Attendance Roster, and Protective Factors Survey.
4. Dakota County Connections will continue to implement **Parent Child Interaction Therapy (PCIT)** including:
 - a. Providing support to 6 Masters-level therapists fully trained in PCIT: Robyn Watchorn-Newbrey (Prairie Psychological Services), Janie Snieder (A Better Way Therapy), Elly Keller (A

Better Way Therapy), Nick Brown (Jackson Recovery Centers), Darlakae Mahon (The Lodge Counseling Services) and Val Wanner (Boys and Girls Home)

- b. If desired, plans to train new therapists and/or to provide complementary training and support for existing therapists (e.g., CPP, Circle of Security, etc.)
 - c. Dakota County Connections will continue to target populations for PCIT by marketing and therapists meeting with physicians, teachers and other audiences as needed
 - d. PCIT sessions will continue to be held at the Siouxland Family Center in Dakota City, at no charge. Additionally, sessions may be held at the South Sioux City School's E.N. Swett Elementary building at no charge. Nick Brown will continue to offer PCIT to Dakota County residents at Jackson Recovery Center's River Hills location in Sioux City, at no charge. Additional partnerships will be explored with Building Blocks, a provider with a PCIT room in South Sioux City.
 - e. Dakota County Connections will document the number of families participating PCIT and will track their progress with the Protective Factors Survey and Family Satisfaction Survey.
 - f. Dakota County Connections will submit the Eyberg Child Behavior Inventory scores to Dr. Barb Jackson.
5. Dakota County Connections will support successful implementation of both PIWI and PCIT through the following:
- a. Communication and marketing efforts for PIWI and PCIT.
 - b. Maintenance or development of partnerships or resources for PIWI, PCIT and early childhood social-emotional development.
 - c. Use of screening/assessment tool(s), including the Ages & Stages Social-Emotional Assessment, to determine parent and/or child needs for social-emotional supports.
6. Dakota County Connections has developed the capacity to sustain PCIT: PCIT has become a big success story for our community. Perhaps the biggest barriers in implementing PCIT was the high cost of training therapists to implement PCIT, the high costs of building PCIT rooms when previously there were none (there are now 4, 2 of which have been built thanks to NCFE funding!), and the inability of families to pay for the services. Thanks to this grant and two others from NCFE, the two former hurdles have been overcome, and with Medicaid now covering PCIT, some of the accessibility issues have also been solved.

Two additional PCIT therapists were added to the community in 2013 due to a team from Dakota County being accepted into the PCIT National Learning Collaborative. This effort paid for the costs of training two additional therapists – our community only had to pay for travel expenses. There are now 6 therapists practicing PCIT in and for Dakota County residents, and these therapists are dedicated to continue to offer these services for years to come.

Agencies are also committed to PCIT, therefore taking on such costs as toys and audio equipment replacement will become costs of doing business. We anticipate that organizations will continue to offer the therapists the use of facilities at no charge.

The accessibility barrier remains for families that are not covered by Medicaid and cannot afford the service. PCIT has become so ingrained in our community, though, that we should be able to secure funding in our own community to provide for these services.

Dakota County Connections will continue to strive to provide sustainability for PIWI once the grant ends. Much of the sustainability will come from existing programs, including those at the library, that work with young families and children.

Outcomes

1. Workforce development and/or support for early childhood social emotional development through PIWI and PCIT

- number of staff and organizations participating in training and implementation: 6 therapists from 5 organizations; 2-3 additional organizations offering support (facilities and staff time)

2. Increase access to services for early childhood social emotional development through implementation of PIWI and PCIT

- number of families and children served by PIWI: 8 (estimate)
- number of families and children served by PCIT: 35 (estimate)

3. Improved early childhood social emotional development through measures of families participating in PIWI and PCIT

- measures of progress as detailed in the evaluation guidelines and requirements

4. Improved community systems for early childhood social emotional development through documentation of two or more of the following:

- establishment of referral and/or cross-referral system
- communication or marketing for PIWI and PCIT
- maintenance of existing community resources and/or development of new resources (leverage)