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FAMILY PRESERVATION AND FAMILY SUPPORT SUBGRANT

BETWEEN

THE NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION OF CHILDREN AND FAMILY SERVICES

AND

NEBRASKA CHILDREN AND FAMILIES FOUNDATION

This subgrant is entered into by and between the Nebraska Department of Health and Human Services, **DIVISION OF CHILDREN AND FAMILY SERVICES** (hereinafter "DHHS"), and **NEBRASKA CHILDREN AND FAMILIES FOUNDATION** (hereinafter "Subrecipient").

CFDA Title & #: Promoting Safe and Stable Families #93.556 Federal Agency: Administration for Children and Families

Award Name: Promoting Safe and Stable Families Federal Award Identifier # G-1401NEFPSS

Issue Date: 12/13/13
Award Date: 10/1/13-9/30/15 This award is not for research and does not include ARRA funds.

CFDA Title & #: Child Abuse Prevention and Treatment Act #93.669 Federal Agency: Administration for Children and Families

Award Name: Child Abuse Prevention and Treatment Act Federal Award Identifier #: G-1101NECA01

Issue Date: 6/10/11
Award Date: 10/1/10-09/30/15 This award is not for research and does not include ARRA funds.

PURPOSE. The purpose of this subgrant is for the provision of "Family Preservation Services," "Family Support Services" and "Time-Limited Reunification Services" in conformance with Promoting Safe and Stable Families: Title IV-B, *Subpart 2*, of the Social Security Act.

In addition the Nebraska Children and Families Foundation shall work with community service providers, families, and stakeholders in select areas to develop and enhance the capacity of community-based programs to integrate shared leadership strategies between parents and professionals to prevent and treat child abuse and neglect at the neighborhood level as outlined in the Child Abuse Prevention and Treatment Act (42 U.S.C. 5106a).

I. PERIOD OF PERFORMANCE AND TERMINATION

- A. **TERM.** This award is in effect from October 1, 2014 the effective date through September 30, 2015, the completion date.
- B. **TERMINATION.** This subgrant may be terminated at any time upon mutual written consent or by either party for any reason upon submission of written notice to the other party at least Thirty (30) days prior to the effective date of termination. DHHS may also terminate this subgrant in accord with the provisions designated "FUNDING AVAILABILITY" and "BREACH OF SUBGRANT." In the event either party terminates this subgrant, the Subrecipient shall provide to DHHS all work in progress, work completed, and materials provided by DHHS in connection with this subgrant immediately.

II. AMOUNT OF SUBGRANT

- A. TOTAL SUBGRANT. DHHS shall pay the Subrecipient a total amount, not to exceed \$668,301 (Six hundred sixty eight thousand three hundred and one dollars) for the activities specified herein and in accordance with the attached budget (Attachment 3).
- B. PAYMENT STRUCTURE. Payment shall be structured as follows:
1. DHHS agrees to pay the Nebraska Children and Families Foundation up to \$668,301 for services provided from October 1, 2014 through September 30, 2015 upon the submittal of a payment request for reimbursement of actual, allowable, and reasonable expenditures by the Subrecipient. The request must include a narrative detailing expenditures and a description of services provided in conformance with Promoting Safe and Stable Families: Title IV-B, Subpart 2, of the Social Security Act and the Child Abuse Prevention and Treatment Act (42 U.S.C. 5106a).
- C. BUDGET CHANGES. The Subrecipient is permitted to reassign funds from one line item to another line item within the approved budget. If funds are reassigned between line items, prior approval from DHHS is required for cumulative budget transfer requests for allowable costs, allocable to the subgrant exceeding ten percent (10%) of the current total approved budget. Budget revision requests shall be submitted in writing to DHHS. DHHS will provide written notification of approval or disapproval of the request within thirty (30) days of its receipt.

III. STATEMENT OF WORK

- A. The Subrecipient shall:
1. Ensuring that appropriate "Family Preservation Services", "Family Support Services", and "Time-Limited Reunification Services" are available and accessible to families in their neighborhoods and communities through the grant making process. These services shall be provided in conformance with CFR 45-Section 1357.10 and the Promoting Safe and Stable Families: Title IV-B, Subpart 2, of the Social Security Act as described below:
 - a. "Family Preservation Services" means services for children and families designed to help families (including adoptive and extended families) at risk or in crisis, including:
 - i. service programs designed to help children where safe and appropriate, return to families from which they have been removed; or be placed for adoption, with a legal guardian, or, if adoption or legal guardianship is determined not to be safe and appropriate for a child, in some other planned, permanent living arrangement;
 - ii. preplacement preventive services programs, such as intensive family preservation programs, designed to help children at risk of foster care placement remain safely with their families;
 - iii. service programs designed to provide follow-up care to families to whom a child has been returned after a foster care placement;
 - iv. respite care of children to provide temporary relief for parents and other caregivers (including foster parents);
 - v. services designed to improve parenting skills (by reinforcing parents' confidence in their strengths, and helping them to identify where

improvement is needed and to obtain assistance in improving those skills) with respect to matters such as child development, family budgeting, coping with stress, health, and nutrition; and

- vi. infant safe haven programs to provide a way for a parent to safely relinquish a newborn infant at a safe haven designated pursuant to State law.

b. "Family Support Services" means community-based services designed to carry out the following purposes:

- i. to promote the safety and well-being of children and families;
- ii. to increase the strength and stability of families (including adoptive, foster, and extended families);
- iii. to increase parents' confidence and competence in their parenting abilities;
- iv. to afford children a safe, stable, and supportive family environment;
- v. to strengthen parental relationships and promote healthy marriages;
- vi. peer-to-peer mentoring and support groups for parents and primary caregivers;
- vii. services and activities designed to facilitate access to and visitation of children by parents and siblings; and
- viii. to enhance child development, including through mentoring.

c. "Time-Limited Family Reunification Services" means the services and activities described below that are provided to a child that is removed from the child's home and placed in a foster family home or child care institution and to the parents or primary caregiver of such child, in order to facilitate the reunification of the child safely and appropriately within a timely fashion, but only during the 15-month period that begins on the date the child is considered to have entered foster care:

- i. Individual, group, and family counseling;
- ii. Inpatient, residential, or outpatient substance abuse treatment services;
- iii. Mental health services;
- iv. Assistance to address domestic violence;
- v. Services designed to provide temporary child care and therapeutic services for families, including crisis nurseries;
- vi. Peer-to-peer mentoring and support groups for parents and primary caregivers;
- vii. Services and activities designed to facilitate access to and visitation of children by parents and siblings;
- viii. Transportation to or from any of the services and activities described in this

subparagraph.

2. Develop and enhance the capacity of community-based programs to integrate shared leadership strategies between parents and professionals to prevent and treat child abuse and neglect at the neighborhood level as outlined in the Child Abuse Prevention and Treatment Act (42 U.S.C. 5106a). The approach shall be community-based and culturally competent, therefore it is expected that the Subrecipient consult with and subgrant to local stakeholder(s) for the express purpose of achieving the deliverables specified below.
3. Provide technical assistance for capacity building in community development, and provide evidence based practices/principles/process in planning, assessment, implementation and evaluation.
4. Provide a rigorous evaluation plan, tools and technical assistance.
5. Submit copies of all new subgrants for approval prior to execution. Provide copies of any current subgrants to DHHS for approval and potential amendment if required.

B. Administrative Standards:

The Subrecipient agrees to be held accountable for services within this subgrant and shall provide the following data for each program grant awarded:

1. Community Response

- a. description of program and alignment with Section III. A-1
- b. the numbers of families and of children served
- c. the population served
- d. the geographic areas served
- e. the actual expenditures of the funds provided

2. Child Well Being Communities for Protective Factors

- a. description of program and alignment with Section III. A-1
- b. the numbers of families and of children served
- c. the population served
- d. the geographic areas served
- e. the actual expenditures of the funds provided
- f. the number of staff members and organizations trained in Parents Interacting with Infants (PIWI)
- g. the number of therapists providing Parent-Child Interaction Therapy (PCIT)
- h. the number of family contact hours for the Families and Schools Together (FAST) program

3. Permanency Quest (3-5-7 model)

- a. description of program and alignment with Section III. A-1
- b. the numbers of families and of children served
- c. the population served
- d. the geographic areas served
- e. the actual expenditures of the funds provided

4. School-Community Partnerships for Trauma Informed Practices

- a. description of program and alignment with Section III. A-1
- b. the numbers of families and of children served
- c. the population served
- d. the geographic areas served

- e. the actual expenditures of the funds provided
- f. the number of adult contact hours

5. Camp Catch-Up

- a. description of program and alignment with Section III. A-1
- b. the numbers of families and of children served
- c. the population served
- d. the geographic areas served
- e. the actual expenditures of the funds provided
- f. pre and post-camp survey results

C. Reporting Requirements:

1. The Subrecipient agrees to submit a 6 month expenditure and progress report to DHHS on April 15, 2015 and a year-end report due October 15, 2015. Quarterly invoices will also be submitted.
2. The expenditure report must outline how the contract activities comply with the Promoting Safe and Stable Families: Title IV-B, Subpart 2, of the Social Security Act and the Child Abuse Prevention and Treatment Act (42 U.S.C. 5106a).
3. The evaluation must include the following information: a description of services provided; outcomes achieved; methods used to measure progress towards accomplishment of the outcomes; the numbers of families and of children served; the population served; the geographic areas served; how Subrecipient identifies which populations are at the greatest risk of maltreatment and how services are targeted to the at-risk populations.

D. DHHS shall do the following:

1. Monitor programmatic activities and budget reports
2. Review all reports received from Subrecipient.
3. Subgrant monitoring and regular discussions to review data and identify strategies to improve the overall delivery of services to families in at risk communities.
4. Ensure compliance with all applicable Federal regulations and policies.

E. Results Based Accountability

The Subrecipient agrees to cooperate with DHHS in the development of any desired outcomes, performance measures, and reporting requirement necessary for implementation of the Division of Children and Families' Results Based Accountability initiative.

IV. GENERAL TERMS AND ASSURANCES

A. ACCESS TO RECORDS AND AUDIT RESPONSIBILITIES.

1. All Subrecipient books, records, and documents regardless of physical form, including data maintained in computer files or on magnetic, optical or other media, relating to work performed or monies received under this subgrant shall be subject to audit at any reasonable time upon the provision of reasonable notice by DHHS. Subrecipient shall maintain all records for three (3) years from the date of final payment, except records that fall under the provisions of the Health Insurance Portability and Accountability Act (HIPAA) shall be maintained for six (6) full years from the date of final payment. In addition to the foregoing retention periods, all records shall be maintained until all issues related to an audit, litigation or other action are resolved to the satisfaction of DHHS. The Subrecipient shall maintain its accounting records in accordance with generally accepted accounting principles. DHHS reserves and hereby exercises the right to require the Subrecipient to submit required financial reports on the accrual basis of accounting. If the Subrecipient's records are not normally kept on the accrual basis, the Subrecipient is not required to

convert its accounting system but shall develop and submit in a timely manner such accrual information through an analysis of the documentation on hand (such as accounts payable).

2. The Subrecipient shall provide DHHS any and all written communications received by the Subrecipient from an auditor related to Subrecipient's internal control over financial reporting requirements and communication with those charged with governance including those in compliance with or related to Statement of Auditing Standards (SAS) 112 *Communicating Internal Control related Matters Identified in an Audit* and SAS 114 *The Auditor's Communication with Those Charged With Governance*. The Subrecipient agrees to provide DHHS with a copy of all such written communications immediately upon receipt or instruct any auditor it employs to deliver copies of such written communications to DHHS at the same time copies are delivered to the Subrecipient, in which case the Subrecipient agrees to verify that DHHS has received a copy.
 3. The subrecipient shall immediately commence follow-up action on findings arising from audits or other forms of review. Follow-up action includes responding to those conducting such examinations with clear, complete views concerning the accuracy and appropriateness of the findings. If the finding is accepted, corrective action, such as repaying disallowed costs, making financial adjustments, or taking other actions should proceed and be completed as rapidly as possible. If the subrecipient disagrees, it should provide an explanation and specific reasons that demonstrate that the finding is not valid.
 4. In addition to, and in no way in limitation of any obligation in this subgrant, the Subrecipient shall be liable for audit exceptions, and shall return to DHHS all payments made under this subgrant for which an exception has been taken or which has been disallowed because of such an exception, upon demand from DHHS.
- B. AMENDMENT. This subgrant may be modified only by written amendment executed by both parties. No alteration or variation of the terms and conditions of this subgrant shall be valid unless made in writing and signed by the parties.
- C. ANTI-DISCRIMINATION. The Subrecipient shall comply with all applicable local, state and federal statutes and regulations regarding civil rights and equal opportunity employment, including Title VI of the Civil Rights Act of 1964; the Rehabilitation Act of 1973, Public Law 93-112; the Americans with Disabilities Act of 1990, Public Law 101-336; and the Nebraska Fair Employment Practice Act, NEB. REV. STAT. §§ 48-1101 to 48-1125. Violation of said statutes and regulations will constitute a material breach of this subgrant. The Subrecipient shall insert this provision into all subgrants and subcontracts.
- D. ASSIGNMENT. The Subrecipient shall not assign or transfer any interest, rights, or duties under this subgrant to any person, firm, or corporation without prior written consent of DHHS. In the absence of such written consent, any assignment or attempt to assign shall constitute a breach of this subgrant.
- E. ASSURANCE. If DHHS, in good faith, has reason to believe that the Subrecipient does not intend to, is unable to, has refused to, or discontinues performing material obligations under this subgrant, DHHS may demand in writing that the Subrecipient give a written assurance of intent to perform. Failure by the Subrecipient to provide written assurance within the number of days specified in the demand may, at DHHS's option, be the basis for terminating this subgrant.

- F. **BREACH OF SUBGRANT.** DHHS may immediately terminate this subgrant and agreement, in whole or in part, if the Subrecipient fails to perform its obligations under the subgrant in a timely and proper manner. DHHS may withhold payments and provide a written notice of default to the Subrecipient, allow the Subrecipient to correct a failure or breach of subgrant within a period of thirty (30) days or longer at DHHS's discretion considering the gravity and nature of the default. Said notice shall be delivered by Certified Mail, Return Receipt Requested or in person with proof of delivery. Allowing the Subrecipient time to correct a failure or breach of this subgrant does not waive DHHS's right to immediately terminate the subgrant for the same or different subgrant breach which may occur at a different time. DHHS may, at its discretion, obtain any services required to complete this subgrant and hold the Subrecipient liable for any excess cost caused by Subrecipient's default. This provision shall not preclude the pursuit of other remedies for breach of subgrant as allowed by law.
- G. **CONFIDENTIALITY.** Any and all confidential or proprietary information gathered in the performance of this subgrant, either independently or through DHHS, shall be held in the strictest confidence and shall be released to no one other than DHHS without the prior written authorization of DHHS, provided that contrary subgrant provisions set forth herein shall be deemed to be authorized exceptions to this general confidentiality provision. As required by United States Department of Health and Human Services (hereinafter "HHS") appropriations acts, all HHS recipients and DHHS Subrecipients must acknowledge Federal and DHHS funding when issuing statements, press releases, requests for proposals, bid invitations, and other documents describing projects or programs funded in whole or in part with Federal and DHHS funds. Recipients are required to state: (1) the percentage and dollar amounts of the total program or project costs financed with Federal and DHHS funds; and (2) the percentage and dollar amount of the total costs financed by nongovernmental sources. This provision shall survive termination of this subgrant.
- H. **CONFLICTS OF INTEREST.** In the performance of this subgrant, the Subrecipient shall avoid all conflicts of interest and all appearances of conflicts of interest. The Subrecipient shall immediately notify DHHS of any such instances encountered, so that other arrangements can be made to complete the work.
- I. **COST PRINCIPLES AND AUDIT REQUIREMENTS.** The Subrecipient shall follow the applicable cost principles set forth in OMB Circular A-87 for State, Local and Indian Tribe Governments; A-21 for Colleges and Universities; or A-122 for Non-Profit Organizations. Federal audit requirements are dependent on the total amount of federal funds expended by the Subrecipient, set in the table below and Attachment 1, Audit Requirement Certification. Audits must be prepared and issued by an independent certified public accountant licensed to practice. A copy of the annual audit is to be made electronically available or sent to: Nebraska Department of Health and Human Services, Financial Services, P.O. Box 95026, Lincoln, NE 68509-5026.

Amount of annual federal expenditure	Audit Type
<i>\$100,000 to \$499,999</i>	<i>Financial Statement Audit</i>
<i>500,000 or more in federal expenditure</i>	<i>A-133 audit</i>

- J. **DATA OWNERSHIP AND COPYRIGHT.** Except as otherwise provided in the Federal Notice of Award, DHHS shall own the rights in data resulting from this project or program. The Subrecipient may copyright any of the copyrightable material and may patent any of the patentable products produced in conjunction with the performance required under this subgrant without written consent from DHHS. DHHS and any federal granting authority hereby reserve a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or

otherwise use, and to authorize others to use the copyrightable material for federal or state government purposes. This provision shall survive termination of this subgrant.

- K. DEBARMENT, SUSPENSION OR DECLARED INELIGIBLE. The Subrecipient certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
- L. DOCUMENTS INCORPORATED BY REFERENCE. All references in this subgrant to laws, rules, regulations, guidelines, directives, and attachments which set forth standards and procedures to be followed by the Subrecipient in discharging its obligations under this subgrant shall be deemed incorporated by reference and made a part of this subgrant with the same force and effect as if set forth in full text, herein.
- M. DRUG-FREE WORKPLACE. Subrecipient agrees, in accordance with 41 USC §701 et al., to maintain a drug-free workplace by: (1) publishing a drug-free workplace statement; (2) establishing a drug-free awareness program; (3) taking actions concerning employees who are convicted of violating drug statutes in the workplace; and (4) in accordance with 2 CFR §182.230, identify all workplaces under its federal awards.
- N. FEDERAL FINANCIAL ASSISTANCE. The Subrecipient shall comply with all applicable provisions of 45 C.F.R. §§ 87.1-87.2. The Subrecipient certifies that it shall not use direct federal financial assistance to engage in inherently religious activities, such as worship, religious instruction, and/or proselytization.
- O. FEDERAL FUNDING ACCOUNTABILITY AND TRANSPARENCY ACT REPORTING. The Subrecipient shall complete the Subrecipient Reporting Worksheet, Attachment 2, sections B and C. The Subrecipient certifies the information is complete, true and accurate.
- P. FORCE MAJEURE. Neither party shall be liable for any costs or damages resulting from its inability to perform any of its obligations under this subgrant due to a natural disaster, or other similar event outside the control and not the fault of the affected party ("Force Majeure Event"). A Force Majeure Event shall not constitute a breach of this subgrant. The party so affected shall immediately give notice to the other party of the Force Majeure Event. Upon such notice, all obligations of the affected party under this subgrant which are reasonably related to the Force Majeure Event shall be suspended, and the affected party shall do everything reasonably necessary to resume performance as soon as possible. Labor disputes with the impacted party's own employees will not be considered a Force Majeure Event and will not suspend performance requirements under this subgrant.
- Q. FUNDING AVAILABILITY. DHHS may terminate the subgrant, in whole or in part, in the event funding is no longer available. Should funds not be appropriated, DHHS may terminate the award with respect to those payments for the fiscal years for which such funds are not appropriated. DHHS shall give the Subrecipient written notice thirty (30) days prior to the effective date of any termination. The Subrecipient shall be entitled to receive just and equitable compensation for any authorized work which has been satisfactorily completed as of the termination date. In no event, shall the Subrecipient be paid for a loss of anticipated profit.
- R. GRANT CLOSE-OUT. Upon completion or notice of termination of this grant, the following procedures shall apply for close-out of the subgrant:

1. The Subrecipient will not incur new obligations after the termination or completion of the subgrant, and shall cancel as many outstanding obligations as possible. DHHS shall give full credit to Subrecipient for the federal share of non-cancelable obligations properly incurred by Subrecipient prior to termination, and costs incurred on, or prior to, the termination or completion date.
 2. Subrecipient shall immediately return to DHHS any unobligated balance of cash advanced or shall manage such balance in accordance with DHHS instructions.
 3. Within a maximum of 90 days following the date of expiration or completion, Subrecipient shall submit all financial, performance, and related reports required by the Subrecipient Reporting Requirements. DHHS reserves the right to extend the due date for any report and may waive, in writing, any report it considers to be unnecessary.
 4. DHHS shall make any necessary adjustments upward or downward in the federal share of costs.
 5. The Subrecipient shall assist and cooperate in the orderly transition and transfer of subgrant activities and operations with the objective of preventing disruption of services.
 6. Close-out of this subgrant shall not affect the retention period for, or state or federal rights of access to, Subrecipient records, or Subrecipient's responsibilities regarding property or with respect to any program income for which Subrecipient is still accountable under this subgrant. If no final audit is conducted prior to close-out, DHHS reserves the right to disallow and recover an appropriate amount after fully considering any recommended disallowances resulting from an audit which may be conducted at a later time.
- S. GOVERNING LAW. The award shall be governed in all respects by the laws and statutes of the State of Nebraska. Any legal proceedings against DHHS or the State of Nebraska regarding this award shall be brought in Nebraska administrative or judicial forums as defined by Nebraska State law. The Subrecipient shall comply with all Nebraska statutory and regulatory law.
- T. HOLD HARMLESS.
1. The Subrecipient shall defend, indemnify, hold, and save harmless the State of Nebraska and its employees, volunteers, agents, and its elected and appointed officials ("the indemnified parties") from and against any and all claims, liens, demands, damages, liability, actions, causes of action, losses, judgments, costs, and expenses of every nature, including investigation costs and expenses, settlement costs, and attorney fees and expenses ("the claims"), sustained or asserted against the State of Nebraska, arising out of, resulting from, or attributable to the willful misconduct, negligence, error, or omission of the Subrecipient, its employees, consultants, representatives, and agents, except to the extent such Subrecipient's liability is attenuated by any action of the State of Nebraska which directly and proximately contributed to the claims.
 2. DHHS's liability is limited to the extent provided by the Nebraska Tort Claims Act, the Nebraska Contract Claims Act, the Nebraska Miscellaneous Claims Act, and any other applicable provisions of law. DHHS does not assume liability for the action of its Subrecipients.
- U. INDEPENDENT ENTITY. The Subrecipient is an Independent Entity and neither it nor any of its employees shall, for any purpose, be deemed employees of DHHS. The Subrecipient shall employ and direct such personnel, as it requires, to perform its obligations under this subgrant,

exercise full authority over its personnel, and comply with all workers' compensation, employer's liability and other federal, state, county, and municipal laws, ordinances, rules and regulations required of an employer providing services as contemplated by this subgrant.

V. REIMBURSEMENT REQUEST. Requests for payments submitted by the Subrecipient shall contain sufficient detail to support payment. Any terms and conditions included in the Subrecipient's request shall be deemed to be solely for the convenience of the parties.

W. INTEGRATION. This written subgrant represents the entire agreement between the parties, and any prior or contemporaneous representations, promises, or statements by the parties, that are not incorporated herein, shall not serve to vary or contradict the terms set forth in this subgrant.

X. LOBBYING.

1. Subrecipient certifies that no Federal appropriated funds shall be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this award for: (a) the awarding of any Federal agreement; (b) the making of any Federal grant; (c) the entering into of any cooperative agreement; and (d) the extension, continuation, renewal, amendment, or modification of any Federal agreement, grant, loan, or cooperative agreement.

2. If any funds, other than Federal appropriated funds, have been paid or will be paid to any person for influencing or attempting to influence: an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this subgrant, the Subrecipient shall complete and submit Federal Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

Y. NEBRASKA NONRESIDENT INCOME TAX WITHHOLDING. Subrecipient acknowledges that Nebraska law requires DHHS to withhold Nebraska income tax if payments for personal services are made in excess of six hundred dollars (\$600) to any Subrecipient who is not domiciled in Nebraska or has not maintained a permanent place of business or residence in Nebraska for a period of at least six months. This provision applies to: individuals; to a corporation, if 80% or more of the voting stock of the corporation is held by the shareholders who are performing personal services, and to a partnership or limited liability company, if 80% or more of the capital interest or profits interest of the partnership or limited liability company is held by the partners or members who are performing personal services.

The parties agree, when applicable, to properly complete the Nebraska Department of Revenue Nebraska Withholding Certificate for Nonresident Individuals Form W-4NA or its successor. The form is available at:

http://www.revenue.ne.gov/tax/current/f_w-4na.pdf or

http://www.revenue.ne.gov/tax/current/fill-in/f_w-4na.pdf

Z. NEBRASKA TECHNOLOGY ACCESS STANDARDS. The Subrecipient shall review the Nebraska Technology Access Standards, found at <http://www.nitc.nebraska.gov/standards/> and ensure that products and/or services provided under the subgrant comply with the applicable standards. In the event such standards change during the Subrecipient's performance, the State may create an amendment to the subgrant to request that Subrecipient comply with the changed standard at a cost mutually acceptable to the parties.

AA. NEW EMPLOYEE WORK ELIGIBILITY STATUS. The Subrecipient shall use a federal immigration verification system to determine the work eligibility status of new employees physically performing services within the State of Nebraska. A federal immigration verification system means the electronic verification of the work authorization program authorized by the Illegal Immigration Reform and Immigrant Responsibility Act of 1996, 8 U.S.C. § 1324a, known as the E-Verify Program, or an equivalent federal program designated by the United States Department of Homeland Security or other federal agency authorized to verify the work eligibility status of a newly hired employee.

If the Subrecipient is an individual or sole proprietorship, the following applies:

1. The Subrecipient must complete the United States Citizenship Attestation Form, available on the Department of Administrative Services website at www.das.state.ne.us.
2. If the Subrecipient indicates on such attestation form that he or she is a qualified alien, the Subrecipient agrees to provide the U.S. Citizenship and Immigration Services documentation required to verify the Subrecipient's lawful presence in the United States using the Systematic Alien Verification for Entitlements (SAVE) Program.
3. The Subrecipient understands and agrees that lawful presence in the United States is required and the Subrecipient may be disqualified or the subgrant terminated if such lawful presence cannot be verified as required by NEB. REV. STAT. § 4-108.

BB. PUBLICATIONS. Subrecipient agrees that all publications that result from work under this subgrant will acknowledge that the project was supported by "Grant No. XXXX" under a subgrant from "Federal Agency" and DHHS.

CC. PROGRAMMATIC CHANGES. The Subrecipient shall request in writing to DHHS for approval of programmatic changes. DHHS shall approve or disapprove in whole or in part in writing within thirty (30) days of receipt of such request.

DD. PROMPT PAYMENT. Payment shall be made in conjunction with the State of Nebraska Prompt Payment Act, NEB. REV. STAT. §§ 81-2401 through 81-2408. Unless otherwise provided herein, payment shall be made by electronic means.

Automated Clearing House (ACH) Enrollment Form Requirements for Payment.

The Subrecipient shall complete and sign the State of Nebraska ACH Enrollment Form and obtain the necessary information and signatures from their financial institution. The completed form must be submitted before payments to the Subrecipient can be made. Download ACH Form:

http://www.das.state.ne.us/accounting/nis/address_book_info.htm

EE. PUBLIC COUNSEL. In the event Subrecipient provides health and human services to individuals on behalf of DHHS under the terms of this award, Subrecipient shall submit to the jurisdiction of the Public Counsel under NEB. REV. STAT. §§ 81-8,240 through 81-8,254 with respect to the provision of services under this subgrant. This clause shall not apply to subgrants between DHHS and long-term care facilities subject to the jurisdiction of the state long-term care ombudsman pursuant to the Long-Term Care Ombudsman Act.

FF. RESEARCH. The Subrecipient shall not engage in research utilizing the information obtained through the performance of this subgrant without the express written consent of DHHS. The term "research" shall mean the investigation, analysis, or review of information, other than aggregate statistical information, which is used for purposes unconnected with this subgrant.

GG. SEVERABILITY. If any term or condition of this subgrant is declared by a court of competent jurisdiction to be illegal or in conflict with any law, the validity of the remaining terms and conditions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if this subgrant did not contain the particular provision held to be invalid.

HH. SMOKE FREE. Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such federal funds. The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable Federal funds in Medicare or Medicaid; or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 for each violation and/or the imposition of an administrative compliance order on the responsible entity. By signing, the Subrecipient certifies that the Subrecipient will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

II. SUBRECIPIENTS OR SUBCONTRACTORS. The Subrecipient shall not subgrant or subcontract any portion of this award without prior written consent of DHHS. The Subrecipient shall ensure that all subcontractors and subrecipients comply with all requirements of this subgrant and applicable federal, state, county and municipal laws, ordinances, rules and regulations.

JJ. TIME IS OF THE ESSENCE. Time is of the essence in this subgrant. The acceptance of late performance with or without objection or reservation by DHHS shall not waive any rights of DHHS nor constitute a waiver of the requirement of timely performance of any obligations on the part of the Subrecipient remaining.

NOTICES. Notices shall be in writing and shall be effective upon receipt. Written notices, including all reports and other written communications required by this subgrant shall be sent to the following addresses:

FOR DHHS:

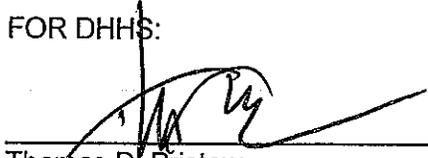
Emily Kløver
NE Department of Health & Human Services
PO Box 95026
Lincoln, NE 68509-5026
402-471-8424

FOR SUBRECIPIENT:

Mary Jo Pankoke
NE Children and Families Foundation
215 Centennial Mall South, Suite 200
Lincoln, NE 68509
402-476-9401

IN WITNESS THEREOF, the parties have duly executed this subgrant hereto, and each party acknowledges the receipt of a duly executed copy of this subgrant with original signatures.

FOR DHHS:



Thomas D. Pristow
Director
Division of Children & Family Services
Department of Health and Human Services

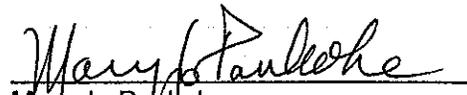
DATE: 10/28/14



Kerry T. Winterer
Chief Executive Officer
Department of Health and Human Services

DATE: 10/30/2014

FOR SUBRECIPIENT:



Mary Jo Pankoke
President
NE Children and Families Foundation

DATE: October 24, 2014

**NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES
AUDIT REQUIREMENT CERTIFICATION**

Subrecipients receiving funds from the Nebraska Department of Health and Human Services (DHHS) are required to complete this certification. Reference to the Office of Management and Budget Circular A-133, Audits of States, Local Governments and Non-Profit Organizations, in this document is referred to as "Circular A-133".

Subrecipient's Name: Nebraska Children and Families Foundation

Address: 215 Centennial Mall South, Suite 200

City: Lincoln State: NE Zip Code: 68508

Subrecipient's Fiscal Year 10/1, 2014 to 9/30, 2015

All written communications from the Certified Public Accountant (CPA) engaged under #2 or #3 below, given to the subrecipient related to Statement of Auditing Standards (SAS) 112 *Communicating Internal Control related Matters Identified in an Audit* and SAS 114 *The Auditor's Communication with Those Charged With Governance* and any additional reports issued by the auditor as a result of this engagement must be provided to the DHHS immediately upon receipt, unless the Subrecipient has directed the CPA to provide the copy directly to the DHHS and has verified this has occurred.

Check either 1, 2, or 3

1. As the subrecipient named above, we expect to expend less than \$500,000 from all Federal Financial Assistance sources and do not expect to receive \$100,000 or more in subgrants from the DHHS, including commodities, during our fiscal year. Therefore, we are not subject to the audit requirements of Circular A-133 and do not need to submit our audited financial statements to the DHHS.
2. As the subrecipient named above, we expect to expend less than \$500,000 from all Federal Financial Assistance sources and expect to receive \$100,000 or more in subgrants from the DHHS, including commodities, during our fiscal year. Therefore, we are not subject to the audit requirements of Circular A-133.

We are, however, responsible for engaging a licensed Certified Public Accountant (CPA) to conduct an audit of our organization's financial statements. We acknowledge that the audited financial statements should be presented in accordance with generally accepted accounting principles (accrual basis). If another basis of accounting is more appropriate or if the accrual basis of accounting is overly burdensome, we will notify the DHHS of this issue and request a waiver of this requirement prior to the end of our fiscal year. We further acknowledge the audit must be completed no later than nine months after the end of our organization's current fiscal year. A copy of the report must be submitted to the DHHS within the earlier of 30 days after receipt of the auditor's report(s), or nine months after the end of the audit period.

3. As the subrecipient named above, we expect to expend \$500,000 or more from all Federal Financial Assistance sources, including commodities in our current fiscal year. Therefore, we are subject to the single audit requirements of Circular A-133.

We will engage a licensed Certified Public Accountant to conduct and prepare the audit of our organization's financial statements and components of the single audit pertaining to those financial statements. We acknowledge that the audited financial statements should be presented in accordance with generally accepted accounting principles (accrual basis). If another basis of accounting is more appropriate or if the accrual basis of accounting is overly burdensome, we will notify the DHHS of this issue and request a waiver of this requirement prior to the end of our fiscal year. We further acknowledge the audit must be completed no later than nine months after the end of our current fiscal year.

We further acknowledge, that a single audit performed in accordance with Circular A-133 must be submitted to the Federal Audit Clearinghouse. The reporting package, as evidence the audit was completed must contain:

- financial statements,
- a schedule of Expenditure of Federal Awards,
- a Summary Schedule of Prior Audit Findings (if applicable),
- a corrective action plan (if applicable) and
- the auditor's report(s) which includes an opinion upon financial statements and Schedule of Expenditures of Federal Awards, a report of internal control, a report of compliance and a Schedule of Findings and Questioned Costs.

We further acknowledge the auditor and this subrecipient must complete and submit with the reporting package a *Data Collection Form for Reporting on Audits of States, Local Governments and Non-Profit Organizations* (SF-SAC).

We further acknowledge a copy of the subrecipient's financial statements, auditor's report and SF-SAC must be submitted to the DHHS within the earlier of 30 days after receipt of the auditor's report(s), or nine months after the end of the audit period. .

For items #2 and #3 above the required information must be submitted to:

Nebraska Department of Health and Human Services
Internal Audit Section
P.O. Box 95026
Lincoln, NE 68509-5026

Signature Mary Jo Pankoke Date 10/24/14
Name Mary Jo Pankoke
Title President

Subrecipient Reporting Worksheet

Section A – Federal Award Information

Federal Award Identifier Number (FAIN) G-1401NEFPSS

Federal Awarding Agency Name Administration for Children and Families

Award Date 10/1/13 to 9/30/15

CFDA Program Number 93.556

Subgrant Amount From This
Award: \$636,457

**See instructions if the subgrant is funded from more than one funding source*

Section B – Subrecipient Information

Subrecipient DUNS 054564435

Subrecipient Name Nebraska Children and Families Foundation

Subrecipient Address: Street 215 Centennial Mall South, Suite 200

City Lincoln State NE

Country USA Zip Code + 4 65808-1813

Congressional District 1

Amount of Subgrant \$ 668,301 Subgrant Date 10/1/14 to 9/30/15

Subrecipient Principal City _____ State _____

Place of Performance: Country _____ Zip Code + 4 _____

Congressional District _____

Subgrant Number _____ (Will be completed by Support Services)

Subgrant Project Description The purpose of this subgrant is for the provision of "Family Preservation Services," "Family Support Services," and "Time Limited Reunification Services" in conformance with Promoting Safe and Stable Families: Title IV-B, Subpart 2, of the Social Security Act

Section C – Officer Compensation

1. In your business or organization's previous fiscal year, did your business organization (including parent organization, all branches, and all affiliates worldwide) receive 80% or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements AND \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?

Yes – answer Question 2

No – not required to provide officer compensation

2. Does the public have access to information about the compensation of the senior executives in your business or organization (including parent organization, all branches, and all affiliates worldwide) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?

Yes – not required to provide officer compensation

No – provide the names and total compensation of the five most highly compensated officers of the entity below

1.	_____	\$ _____
	Name	Compensation
2.	_____	\$ _____
	Name	Compensation
3.	_____	\$ _____
	Name	Compensation
4.	_____	\$ _____
	Name	Compensation
5.	_____	\$ _____
	Name	Compensation

Section A – Federal Award Information (Continuation)

Use this page only if the subgrant is being funded by multiple sources (multiple federal grants or a combination of federal and state funds)

Federal Award Identifier Number (FAIN) <u>G-1101NECA01</u>	
Federal Awarding Agency Name <u>Administration for Children and Families</u>	Award Date <u>10/1/10 - 9/30/15</u>
CFDA Program Number <u>93.669</u>	Subgrant Amount From This Award: <u>\$31,844</u>

Federal Award Identifier Number (FAIN) _____	
Federal Awarding Agency Name _____	Award Date _____
CFDA Program Number _____	Subgrant Amount From This Award: \$ _____

Federal Award Identifier Number (FAIN) _____	
Federal Awarding Agency Name _____	Award Date _____
CFDA Program Number _____	Subgrant Amount From This Award: \$ _____

Amount funded from Federal Grants	<u>\$668,301</u>	total of grants in Section A
Amount funded from State General Funds	\$ _____	
Amount funded from State Cash Funds	\$ _____	
Amount funded from Federal Cash Funds	\$ _____	fed sources other than grants
Total amount funded from all sources	<u>\$668,301.</u>	should equal total of subgrant

Fiscal Agencies	Counties	Strategies	Total Budget Allocation	BUDGET			Allocated CAPTA
				Allocated by PSSF Category			
			Budget - \$668,201	Family Support Services- \$300,611	Family Preservation Services- \$300,611	Time Limited Reunification- \$35,235	CAPTA-\$31,844
Child Well-Being							
Columbus Area United Way	Platte and Colfax Counties	FAST, PIWI, PCIT, Pyramid	\$ 58,000.00	\$ 58,000.00			
West Central Health District	Lincoln County	CR, PIWI, PCIT	\$ 53,000.00	\$ 28,000.00	\$ 25,000.00		
East Central Health District	Hall County	SANKOFA, CR, FAST	\$ 66,000.00	\$ 28,000.00	\$ 38,000.00		
Panhandle Partnership	11 Counties	FAST, TEAMS, COSP, CR	\$ 96,000.00	\$ 57,950.00	\$ 38,050.00		
Siouxland Human Investment Partnership	Dakota County	PIWI, PCIT, Pyramid, ECMH	\$ 58,000.00	\$ 58,000.00			
Sub-Total CWB Grants			\$ 331,000.00	\$ 229,950.00	\$ 101,050.00		
Developing CWB Communities							
Omaha TBD (after planning is finished by end of year)	Douglas and Sarpy Counties	CR	\$ 35,201.00		\$ 35,201.00		
Fremont Area United Way	Dodge County	CR	\$ 73,000.00		\$ 73,000.00		
CASA of South Central NE	Adams, Clay, Nuckolls and Webster counties	Permanency Quest	\$ 22,500.00			\$ 22,500.00	
Lincoln CLC - CLC and CR	Lancaster County	CLC and CR	\$ 88,000.00	\$ 50,000.00	\$ 38,000.00		
Sub-Total Community Grants			\$ 218,701.00	\$ 50,000.00	\$ 146,201.00	\$ 22,500.00	
Total Camp Catch Up			\$ 12,500.00			\$ 12,500.00	
Total CWB, Community Grants, CCU			\$ 562,201.00	\$ 279,950.00	\$ 247,251.00	\$ 35,000.00	
NCFE			\$ 106,100.00	\$ 20,661.00	\$ 53,360.00	\$ 235.00	\$ 31,844.00
Total Contract from DHHS to NCFE			\$ 668,301.00	\$ 300,611.00	\$ 300,611.00	\$ 35,235.00	\$ 31,844.00

NCFE			
Direct and Adm	Rent, Lease, etc	Consultants	Total
\$33,984	\$14,692	\$ 49,350.00	
\$ 8,074.00			
\$42,058	\$ 14,692.00	\$ 49,350.00	\$106,100

Attachment 3

**Promoting Safe and Stable Families (PSSF) October 1, 2014 – September 30, 2015
Budget Justification**

TOTAL REVENUE: \$ 668,301

I. Direct Operating Expenses:

**A. Program Grant Awards: \$562,201 (includes \$12,500 Camp Catchup)
see budget list for targeted communities from Oct. 1, 2014-Sept. 30, 2015.**

1) Columbus Area United Way (Platte and Colfax Counties) CWB for protective factors a) PIWI, b) PCIT, c) FAST, d) COS	\$58,000	Family Support Services
2) West Central Health District (Lincoln County) CWB for protective factors: a) PIWI, b) PCIT and c) CR	\$53,000	Family Support and Preservation
3) East Central Health District (Hall County) CWB for protective factors: a) FAST, b) SANKOFA, c) CR school response	\$66,000	Family Support and Preservation
4) Panhandle Partnership (11 Counties) CWB for protective factors: a) FAST, TEAMS, COSP, CR	\$96,000	Family Preservation and Support
5) Siouxland Human Investment Partnership CWB for protective factors: a) PIWI, b) PCIT, c) Pyramid, and d) ECMH	\$58,000	Family Preservation
6) Nebraska Families Collaborative – Community Response (CR)	\$35,201	Family Preservation
7) CASA of South Central NE – Permanency Quest	\$22,500	Time Limited Reunification
8) Lincoln Community Foundation – CLC and CR	\$88,000	Family Support and Preservation
9) Fremont Area United Way – CR	\$73,000	Family Preservation
10) Camp Catch Up (see budget below)	\$12,500	Time Limited Reunification
<i>SUB-TOTAL GRANTS</i>	\$562,201	

Federal dollars will be leveraged with private resources to identify and address the needs of families in all communities via three levels of prevention strategies. Specifically, dollars will be leveraged to address the basic needs of non-court involved families via a community response and to enhance early childhood social emotional well-being.

Private funding commitment is contingent upon the receipt of public funds in order to have a private public partnership that will allow for flexibility and innovation when implementing evidence informed strategies while providing evaluation and identifying the policy and practice barriers to be changed.

Grant Investments and continued involvement in Nebraska Communities are based on:

1. Highest area of need based on data/Community Profiles of Child Well-Being Indicators compiled by Research and Data Personnel

2. Community Investment mapping of NCFE investments conducted by NCFE staff
3. Resource Availability and other Assets – NCFE partnerships
4. Community readiness –capacity and context to achieve results – NCFE staff assessments
5. Evaluation reports to understand the impact of the current investments – NCFE and Consultants

The Grant making Process involves:

- The Community Impact Work Group (NCFE Board Members) and NCFE program Staff determine targeted communities/opportunities based on criteria stated above. Full Board Approval needed.
- NCFE program staff makes an initial offer of grant to targeted community to get verbal consent to continue in process.
- NCFE Grant Manager will work with Program Staff/VP of Community Impact to develop a Letter of Offer which outlines the purpose of the grant and outcomes to be achieved.
- In order to move forward with the grant making process, Community Grantee must submit the following:
 - A proposal outlining a scope of work and work plan, including community partners, and strategies for reaching intended outcomes; and
 - Detailed budget and budget narrative for your program/community.
- Once NCFE receives the above information and it is approved by Grants Manager and VP of Community Impact, a Letter of Agreement will be completed to outline the agreed upon Scope of Services, Terms and Consideration information.
- Upon receipt of a signed Letter of Agreement, 1st payment on the award will be made.
- NCFE staff, Board members and consultants provide training and technical assistance for capacity building in community development, and provide evidence based practices/principles/process in planning, assessment, implementation and evaluation.
- According to outcomes identified by Grantee, NCFE staff and consultants provide a rigorous evaluation plan, tools and technical assistance.
- NCFE provides second payment once evaluation report and outcomes accountability is provided by Grantee.
- Each year, a final report is provided by Grantees based on site visit form information and evaluation tool, and progress made on work plan.
- If all Grantee reporting and outcome accountability on work plan is met based on NCFE Board review and approval, NCFE provides a new contract and the process starts over.

B. In order to conduct the grant making process stated above, provide administrative oversight, staffing and consults to ensure fiscal accountability and cost compliance. The subtotals described below include Direct Operation (\$42,058) and Consultant (\$49,350) expenses for this function at \$93,734 and Indirect Costs of \$14,692 which total \$106,100. Costs will be attributed to the allocated funds at 19% (\$20,661) from Family Support Services, 51% (\$53,360) from Family Preservation Services, <0% (\$235) from Time Limited Reunification, and 30% (\$31,844) from CAPTA. This breakdown more clearly reflects the breakdown of direct time and consultation required among the allocation sources, i.e. Community Response initiatives are in earlier states of collaboration and development.

1. Salary and Administrative Wages: (\$33,984)

- a. Vice President of Community Impact (.095 FTE) Jennifer Skala works with staff, consultant and local partners to provide resources, technical assistance and evaluation to achieve well-being outcomes.
- b. Administrative Assistant (.30 FTE) Wendi Schulz assists in the coordination of grantee meetings, regular email communication and assembling grant files and paperwork for community grant making process.

- c. CFO, NCFE – (.05 FTE) Jack Round ensures financial accountability and provides grant contract and payment oversight.
- d. Accounting Manager (.05 FTE) Katy Cantrell is responsible for all payroll activities and fiscal accounting with community grantees.

2. Payroll Taxes at \$2,310 and Employee Benefits of \$5,764= Total \$ 8,074 Benefits include vacation, sick leave, life insurance and retirement plan. Payroll Taxes are included in benefits. Payroll taxes include Social Security/Medicare and Nebraska State Unemployment taxes.

3. Contract Services: \$49,350

- a. **Contract Grants Manager, NCFE – (\$11,350-@\$37 per hour).** The Grants Manager, Jamie Anthony of OA Blueprints is a consultant and is responsible for the grant making process and tracking. The Grants Manager works with the NCFE staff and Grantees to document and track grant contracts, payments, and reports.
- b. **Consulting Fees (\$28,000)** – a team of consultants (5 professionals) paid by NCFE at \$50 per hour provide 360 hrs. of training and technical assistance to communities to help address needs and meet outcomes.
- c. **Contracted Services: (\$10,000)** for Evaluation– NCFE provides Evaluation tools, an on-line system and professionals for training and technical assistance from UNMC Munroe Meyer.

4. Indirect Overhead Expenses: \$14,692

- Rent =\$11,426;
- Telecommunications = \$2,500; and
- Lease and maintenance contracts=\$766

TOTAL EXPENDITURES: \$668,301

TEAMS

The TEAMS Program (Together Everyone Achieves More Success) is designed to improve middle school and high school students' likelihoods of staying in school, graduating, and attending college. It is a partnership between UNL Extension, Western Nebraska Community College, and the Minatare and Scottsbluff School Districts.

- Students in the program acquire skills needed to succeed in school and life. They learn how to set and achieve goals. The mentoring program provides them with good role models.
- Families attend a monthly meeting where they learn how to create an environment that promotes learning, how to navigate the educational system, and how to become involved in their children's education.
- Students are encouraged to finish high school and to further their education. Parents learn the importance of their child attaining a career through further education.

Community Response:

The Community Response Project (CR), a family preservation services (see Family Preservation Service NCFE and DHHS Contract sections A. 1 ii. and v) is a pilot project that was initiated in 2012.

Community Response is a system of supports and services for children and families to prevent the unnecessary entry into the child welfare system and/or other high end systems of care. Participating communities develop and coordinate an array of local resources to determine eligibility criteria, identify families, administer and share screening and assessments, and provide short term support to qualified families. Typically, communities develop a Community Response Team with designated point persons that may be called Connectors or Navigators. Team

members are trained in family centered practice, cultural responsiveness, Protective Factors and other core elements. Team resources target families with multiple crises (such as housing, basic life skills, parenting) that cannot be resolved by one or two specific services or organization alone and which, unresolved, would likely result in Child Protective Services involvement and out-of-home placements. The team helps families who are willing to work to resolve crises, set goals and access assistance to increase their safety and well-being and remain intact. Community Response is relatively short term, lasting for approximately one to six months.

Early outcomes indicate that Community Response is efficient and effective in keeping families from entering the child welfare system. Communities are tracking follow-up results to determine long term efficacy.

Community Response is being implemented in five Nebraska communities (Fremont, Grand Island, North Platte, Lincoln, and Panhandle).

Parents Interaction with Infants

Parents Interacting with Infants (PIWI) model (Yates & McCollum, 2012) is a Family Support service (see NCFE and DHHS contract for Family Support services section A. 1 b. i, ii, iii, iv, and vi, and viii) based on a facilitated group structure that supports parents with young children from birth through age 2. Parent participants often don't have the information or experience to know how to provide responsive, respectful interaction with their young children at this stage. PIWI increases parent confidence, competence and mutually enjoyable relationships. PIWI is primarily conducted through facilitated groups but may be implemented as part of home visiting or other services. When delivered through groups, it also helps parents build informal peer support networks. PIWI is part of the national Center on Social and Emotional Foundations for Early Learning (CSEFEL), which promotes social emotional development and school readiness for young children and is funded by the Office of Head Start and Child Care Bureau. Dakota County, Platte-Colfax County, North Platte and Fremont communities implement PIWI.

Parent Child Interaction Therapy Implementation

PCIT is a family support service (see NCFE and DHHS contract for Family Support services section A. 1 b. i, ii, iii, iv, and viii). It is an empirically-supported treatment for children ages 2 to 7 that places emphasis on improving the quality of the parent-child relationship and changing parent-child interaction patterns. One primary use is to treat clinically significant disruptive behaviors. In PCIT, parents are taught specific skills to establish a nurturing and secure relationship with their child while increasing their child's prosocial behavior and decreasing negative behavior. PCIT outcome research has demonstrated statistically and clinically significant improvements in the conduct-disordered behavior of preschool age children. Parents reporting significant changes in psychopathology, personal distress, and parenting control.

Approximately twenty therapists have been trained to parent child interaction therapy outside of Omaha and Lincoln.

PCIT is being implemented in four Nebraska Child Well-Being communities (Dakota County, Platte-Colfax County, North Platte, and Fremont).

Families and School Together (Kids FAST)

FAST is a Family Support service (see NCFE and DHHS contract for Family Support services section A. 1 b. i, ii, iii, iv, and viii). is a set of multifamily group interventions designed to build relationships between families, schools, and

communities to increase child well-being. Family activities are led by the parents, with support to be authoritative and warm. Participants work together to enhance protective factors for children, including parent-child bonds, parent involvement in schools, parent networks, family functioning, parental authority and warmth, and social capital, with the aim of reducing the children's anxiety and aggression and increasing their social skills and attention spans. Kids FAST is for all families of children 4-5 years old in communities with high risk factors. FAST experimental studies have shown statistically significant results at home and at school in child behavior, reduced aggression, reduced anxiety and depression, along with reduced family conflict at home and increased parent involvement in school.

FAST is implemented in Grand Island, Panhandle and Platte-Colfax.

Community Learning Centers

The Lincoln Community Learning Centers (CLCs) is a Family Support service (see NCFE and DHHS contract for Family Support services section A. 1 b. i, ii, iii, iv, and viii). The CLCs are designed to develop partnerships which bring concentrated resources to high need schools in the community of Lincoln. The initiative currently utilizes a community school model to provide the most economically feasible way to prepare students to learn, expand learning opportunities beyond the school day and strengthen families and neighborhoods. The CLCs are a strategy that supports 25 schools in the Lincoln Public Schools district. These 25 schools include 1 high school, 6 middle schools and 18 elementary schools. The CLC schools serve some of Lincoln's highest need neighborhoods as identified by the recently released Lincoln Vital Signs report. PSSF funding is used to provide diverse and comprehensive family engagement and behavioral health modalities to children, families and adults who are experiencing difficulty in coping with social, psychological, and interpersonal problems that may lead to difficulties in school, work and life. Modalities used by LMHP therapists include individual, family and group therapy. Clinical techniques are based on evidence based theories that include, but are not limited to solution-focused, cognitive, trauma focused, narrative and attachment based therapy. All practice is family centered and recognizes the systems theory of change.

CLC is implemented in Lincoln Schools through community partnering organizations.

The Permanency Quest

The 3-5-7 (The Permanency Quest) is a Time Limited Reunification Service (see NCFE and DHHS Contract Section 1. c. i, iii vi, and vii) project within *Adams, Clay, Nuckolls and Webster Counties* targeted children and youth, varying in age from 5 to 17, that were involved in the court system. A core group of community partners (e.g., county attorney, local GALs, public defender, CASA staff, and DHHS supervisors) agreed to help youth and families begin address issues that may impede permanency as soon as a child was removed from the home. 3-5-7 includes a variety of resources such as support groups and therapeutic activities to help children and youth facilitate healing and recovery. This includes address of trauma, development of skills for healthy functioning and creation of social supports. The overall goals of 3-5-7 are to 1) decrease the amount of time in the system, 2) decrease the trauma for biological parents, foster parents and children and 3) find permanency for the children (either through reunification, adoption or independent living).

Circle of Security Parenting

Circle of Security Parenting is a family support service (see NCFE and DHHS contract for Family Support services section A. 1 b. i, ii, iii, iv, and viii).

Circle of Security is a relationship based intervention designed to change young children's (0-5) behavior through changes in parent's behavior and enhanced attachment between parents and children. Decades of university-based research have confirmed that secure children exhibit increased empathy, greater self-esteem, better relationships with parents and peers, enhanced school readiness, and an increased capacity to handle emotions more effectively when compared with children who are not secure. Parent-education groups with home visits are a primary means of delivery. COS has been provided to parents with higher risks of abuse or neglect as it addresses insensitive or unresponsive caregiving or frightening parental behavior.

The Panhandle Partnership sponsored training for the Circle of Security with fifteen different organizations.

The Teaching Pyramid

The Teaching Pyramid is a model for early education staff and parents to promote social-emotional competence and school readiness in infants and young children and to prevent and address challenging behavior. It is a Family Support Service (section A. 1 b. i, ii, iii, iv, and viii).

The Pyramid model includes a hierarchy of four main levels: positive relationships, classroom preventive practices, social-emotional teaching strategies and, as needed, intensive individualized intervention. Research has shown that when the first three levels are in place, only about four percent of children in a classroom or program will require more intensive support.

SANKOFA

SANKOFA Youth Violence Prevention Program is a strengths-based, culturally tailored preventive intervention. The goal of the school-based intervention is to equip youth (8 – 16) with the knowledge, attitudes, skills, confidence, and motivation to minimize their risk for involvement in violence, victimization as a result of violence, and other negative behaviors, such as alcohol and other drug use. The intervention promotes resilience and survival in difficult and even life-threatening situations. SANKOFA is a Family Support Service (Section A 1.b.i, ii, iii, iv, and viii). The SANKOFA is used as a prevention program and diversion program operating at four levels: (1) grade school level program for prevention of gang recruitment, (2) middle school level program for prevention of gang recruitment, (3) police intervention and diversion for intervention in gang activity, and (4) court diversion and sentencing for intervention and treatment of violence and gang activity. Success is measured by graduation from the program, increased personal assets, improved school attendance, improved grades and grade level advancement, and staying in school and avoiding a police record.

Camp Catch-Up (\$12,500) for lodging and activities for two camps

1. Location: Camp Moses Merrill, Linwood NE

Dates: TBD

Costs based on 50 campers:

- a. Lodging: \$2320
- b. Meals: \$3100

2. Location: Eastern Nebraska 4-H Center, Gretna, Nebraska

Dates: TBD

Cost: 60 campers X \$118 per camper= **\$7,080**

This includes camper lodging, meals, and activity costs during camp. See 4-H Center for more details.

Outcome: To maintain and enhance relationships between siblings who have been separated by foster care or adoption in a fun, supportive, non-clinical environment that allows for normal developmentally appropriate experiences within sibling groups.

Indicators:

- Improved relationships with siblings as reported by campers and resource families.
- Campers gain leadership skills.
- Resource families experience respite.

Strategies:

- Trained staff support positive interactions among siblings through modeling behavior, redirection, and facilitated conversations in a non-clinical environment.
- Campers engage in team-building activities that encourage relationship building among siblings, allow older siblings natural opportunities to teach skills to younger siblings, and build self-confidence through successful new experiences.
- Prior to Camp, relationships are built between the Camp Director and resource families to build trust. Through camp, a safe, fun, well-supervised environment is provided that gives resource families the opportunity to ensure youth in their care are well-cared for while providing much-needed respite.

Measures:

- Pre-camp surveys will measure (camper &/or family will report, as appropriate) :
 - o Frequency of sibling visits
 - o Venue/environment sibling visits occur (clinical vs. non-clinical, supervised/non-supervised.
 - o Quality of relationship between siblings (subjective scale rating) The youth/family would rate the quality of the relationship. The National Resource Center says that sibling relationship quality should be measured by:
 - Warmth & affection / hostility between siblings
 - Interdependence (how often do the siblings reach out to each other for advice, help or friendship?)
 - Relative power/status in the relationship
 - How much time the siblings have spent together (have never lived together, have lived together at some point in their lives, have always lived together)
- Post-camp surveys will measure (within 30 days after camp, youth or parent complete as appropriate)
 - o Overall satisfaction with CCU experience
 - o Subjective impact statement of impact on sibling relationship (do you think CCU made an impact on your relationship with your siblings)
 - Quality of relationship between siblings (subjective scale rating) –
 - Warmth & affection / hostility between siblings
 - Interdependence (how often do the siblings reach out to each other for advice, help or friendship?)
 - Relative power/status in the relationship
 - How much time the siblings have spent together (have never lived together, have lived together at some point in their lives, have always lived together)