SUBAWARD

BETWEEN

THE NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES

AND

COMPASS

This subaward is entered into by and between the Nebraska Department of Health and Human Services, **DIVISION OF CHILDREN AND FAMILY SERVICES** (hereinafter "DHHS"), and **COMPASS** (hereinafter "Subrecipient").

Catalog of Federal Domestic Assistance (CFDA) Title:	Title IV-E Foster Care	Federal Agency:	DHHS Administration for Children and Families
Catalog of Federal Domestic Assistance (CFDA) Number (From CFDA.gov):	93.658		
Award Name (from CFDA.gov):	Title IV-E Foster Care	Federal Award Identifier Number (FAIN)	0G-1701NEFOST
Issue Date:	10/1/2016		
Award Date:	10/1/2016 - 9/30/2017		

DHHS SUBAWARD MANAGER:

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PURPOSE. The purpose of this subaward is: To provide Agency Supported Foster Care (ASFC) services for children and families of the State of Nebraska.

I. PERIOD OF PERFORMANCE AND TERMINATION

A. TERM. This award is in effect from October 1, 2016 the effective date through September 30, 2017, the completion date.

B. TERMINATION. This subaward may be terminated at any time upon mutual written consent or by either party for any reason upon submission of written notice to the other party at least Thirty (30) days prior to the effective date of termination. DHHS may also terminate this subaward in accord with the provisions designated "FRAUD AND MALFEASANCE," "FUNDING AVAILABILITY," and "BREACH OF SUBAWARD." In the event either party terminates this subaward, the Subrecipient shall provide to DHHS all work in progress, work completed, and materials provided by DHHS in connection with this subaward immediately.

II. AMOUNT OF SUBAWARD

A. TOTAL SUBAWARD. DHHS shall pay the Subrecipient a total amount, not to exceed $1,234,259.00 (one million, two hundred thirty-four thousand, two hundred fifty-nine dollars and no cents) for the activities specified herein.

B. PAYMENT STRUCTURE. Payment shall be structured as follows:
   1. Payment will be made for service as authorized by DHHS through the NFOCUS System. No additional costs will be paid by DHHS, unless and except as specifically stated within the attachment(s). DHHS shall offset overpayments by withholding or reducing future payments.
   2. This subaward does not guarantee that DHHS will refer or authorize a minimum number of services.
   3. Payment shall be made in compliance with the Nebraska Prompt Payment Act. NEB. REV. STAT. 81-2401 through 81-2408. Unless otherwise provided herein, payment shall be made by electronic means. DHHS reserves the right to withhold payment until required reports are received.
   4. The Subrecipient is responsible for any and all costs associated with the production and delivery of reports.
   5. The Subrecipient shall not accept payment from the family under the terms of this subaward unless the court order requires payment.
   6. The Subrecipient shall receive an N-FOCUS generated electronic payment on or about the 3rd calendar day of the month following the month of placement. Any deficient claims returned to the Subrecipient must be corrected and resubmitted within thirty (30) calendar days of receipt of notification of a billing deficiency.
   7. The Subrecipient shall maintain 100% of all source documentation, for auditing purposes, in a format approved by DHHS that supports each payment made by DHHS to the Subrecipient.

III. STATEMENT OF WORK

A. The Subrecipient shall provide the following service(s) as described in the Service Attachment(s):
   a. Agency Supported Foster Care

B. The Subreceipient shall meet all licensing requirements in accordance with Nebraska Department of Health and Human Services 474 NAC 6-000 to provide services herein and shall maintain licensure as a child placing agency during the course of this agreement.

C. DHHS AUTHORITY The Subrecipient agrees that DHHS has the final authority in all decisions pertaining to child welfare services.

D. STAFF BACKGROUND CHECKS
   1. The Subrecipient shall conduct background checks on any employees, interns, volunteers, or Subrecipients, including any previous names used, who may have contact with a

child(ren) and/or family members of the child(ren) during the course of their employment. The background check will be conducted prior to any unsupervised contact with child(ren) or transportation of children, and every two years thereafter.
Background checks must include:

a. Nebraska Sex Offender Registry maintained by the Nebraska State Patrol;
b. Nebraska Child Abuse and Neglect Central Register;
c. Nebraska Adult Abuse and Neglect Central Registry;
d. Nebraska State Patrol Criminal Background Check; and
e. Nebraska Department of Motor Vehicles Check for License Point Status.

2. The Subrecipient agrees to perform out of state background check on all newly hired employees, interns, and volunteers who do not reside in Nebraska or have resided in Nebraska for less than two (2) years immediately preceding hire, if the individual may have contact with child(ren) and/or families during the course of employment. The Subrecipient shall complete the background checks before the individual has unsupervised contact with any child(ren) and/or families. If an individual's prior state of residence does not maintain a Sex Offender Registry, Child Abuse and Neglect Central Registry, an Adult Abuse and Neglect Registry or any such similar registry, the Subrecipient shall complete a criminal background check in the states of previous or current residence. The Subrecipient must document efforts made to obtain the background check information, and maintain the response received from the state(s) of previous residence.
3. If any background check results in a record being identified on a Sex Offender Registry, Child Abuse and Neglect Central Registry, an Adult Abuse and Neglect Registry or any such similar registry the Subrecipient shall not allow the individual to have contact with any child(ren) and/or families referred for services by DHHS.
4. The Subrecipient agrees to require employees, interns, volunteers, or subcontractors who may have contact with a child(ren) and/or family members of the child(ren) to immediately notify the Subrecipient if any of the above are arrested for or convicted of a crime during their time of employment with the Subrecipient.
5. If a Criminal History background check results in a record with convictions being identified, other than convictions of minor traffic violations, i.e. speed limit violations, traffic signal laws, and reckless driving statutes, the Subrecipient will notify the Contract Liaison of the employee's name, job function and description of the record of conviction. For purposes of this subaward, a record shall be defined as a Record of Arrest and Prosecution (RAP) sheet for individuals.
6. DHHS reserves the right to prohibit Subrecipient staff from having contact with child(ren) and/or families referred for services by DHHS. In such cases when the decision is to prohibit contact, the Contract Liaison Administrator will notify the Subrecipient of this decision within three (3) business days of receipt of the individual's criminal history record of convictions.
7. The Subrecipient shall be responsible for the completion of the above required background checks.

E. STAFF TRAINING

1. The Subrecipient shall recruit, train and supervise staff to perform the service(s) as described in the Service Attachment(s).
2. The Subrecipient shall provide core training that is critical to deliver the service(s) in accordance with Federal and State Regulations.
3. The Subrecipients shall collaborate with DHHS to identify additional trainings designed to strengthen the child serving workforce. Such training shall include trauma informed care, stages of child development, and cultural/linguistic competency.

4. The Subrecipient shall ensure that all individuals designated as the on-site officials authorized to apply the reasonable and prudent parent standard receive training to acquire the necessary skills and knowledge on how to use and apply the reasonable and prudent parent standard. The reasonable and prudent parent standard training must be similar to the training received by foster parents.
5. The Subrecipient shall ensure that all staff complete training, including training to acquire the necessary skills and knowledge for applying the applicable provisions of the Preventing Sex Trafficking & Strengthening Families Act of 2014 (P.L. 113-183/HR 4980). The Subrecipient shall provide documentation that staff have a clear understanding of the reporting requirements when a youth is referred by DHHS is missing or runs away from a foster care home or caretaker.
6. The Subrecipient shall submit to DHHS upon request, copies of the core training curriculum and the additional training curriculum, including training dates, trainer credentials, and employee training attendance records.

F. INTERPRETER SERVICES The Subrecipient shall provide, arrange and pay for interpreters to meet the language barrier needs of the child(ren) and family during the delivery of the service(s). Interpreter services may be provided in person or by phone. Prior written consent from DHHS to subcontract with interpreters is not required. For duties performed under this Subaward, all interpreters must maintain the minimum Automobile Liability Insurance as required by state statute. Also, for duties performed under this Subaward, all interpreters must maintain the minimum Workers Compensation Insurance as required by state statute. Interpreters must meet the Background Checks requirements of this Subaward (III. Statement of Work (C)).

G. TRANSPORTATION.
1. The Subrecipient shall be responsible for transporting children and families as outlined in the Service Attachments, and shall ensure that children and family members are transported safely and in accordance with Nebraska state statutes, and will:
   a. Ensure each employee who has the responsibility to transport children has successfully completed a defensive driving course as sanctioned by the Nebraska Safety Council or similar agency within thirty (30) business days of his or her first day of employment with the Subrecipient.
   b. Adhere to 474 NAC 5-018.06D1 Driver Standards.
   c. Provide transportation as outlined in the Provider Service Referral or Visitation Plan.
   d. Provide and use child safety restraints in accordance with Nebraska State Statutes.
2. In situations when the Subrecipient utilizes a non-employee for transporting children and families as outlined in the Service Attachments, the Subrecipient shall ensure that the non-employee adheres to 474 NAC 5-018.06D1 Driver Standards.
3. The Subrecipient shall ensure that anyone providing transportation for children and families as outlined in the Service Attachments shall meet the Background Checks requirements of this Subaward (III. Statement of Work (C)).

H. REQUIRED REPORTS.
1. The Subrecipient must submit a written summary report to the Contract Liaison Administrator no later than the 14th business day of the month following the provision of services.
2. The Subrecipient agrees to develop and submit within 30 calendar days following the execution of this subaward, a written disaster plan detailing the procedures the provider will follow to ensure that children and families referred by DHHS receive continued care

and supervision in the event of an emergency or disaster, such as tornados, fire, flood, earthquake, pandemic flu, or energy failure.

3. The Subrecipient agrees to provide additional reports as required in the Minimum Reporting Requirements section of the Service Attachments. The Subrecipient also agrees to provide additional reports not specified in the Service Attachments upon request by DHHS.
4. Required reports can be sent electronically by secure email. Signed originals must be available if requested by DHHS.
5. Caregiver Notification: The Subrecipient shall verbally report to the youth's caregivers if the results of the delivered service are likely to have a negative impact on the youth's subsequent mood, behavior, or safety upon return to the caregiver.
6. The Subrecipient shall notify through a live conversation with the CFS worker, or supervisor or hotline staff within two (2) hours of any incident where the caregiver or an individual identified in the safety plan has failed to comply with the conditions of the safety plan.
7. The Subrecipient shall notify the CFS worker or supervisor by the end of the next business day when a caregiver or youth misses an appointment.
8. The Subrecipient will immediately report by phone to a live DHHS CFS worker, or supervisor or hotline staff of any critical incident involving children. Critical incidents include: death, near fatality, suicide, attempted suicide, legal allegations or an arrest made against a youth served, any high profile event involving a youth or a youth's family. The Subrecipient will forward a written copy of the Subrecipient's Critical Incident Report to the DHHS Service Area Administrator upon completion of the Subrecipient's Critical Incident Report.

I. <u>CONTRACTOR'S INSURANCE REQUIREMENTS</u>

1. *General Requirement.* The Contractor shall not commence work under this contract until all the insurance required hereunder has been obtained. The Contractor shall maintain all required insurance for the life of this contract and shall ensure that DHHS has the most current certificate of insurance throughout the life of this contract.
    a. If by the terms of any insurance a mandatory deductible is required, or if the Contractor elects to increase the mandatory deductible amount, the Contractor shall be responsible for payment of the amount of the deductible in the event of a paid claim.
    b. Insurance coverages shall function independent of all other clauses in the contract, and in no instance shall the limits of recovery from the insurance be reduced below the limits required by this paragraph.
2. *Workers Compensation Insurance*. The Contractor shall take out and maintain during the life of this contract the statutory Workers' Compensation and Employer's Liability Insurance for all of the contactors' employees to be engaged in work on the project under this contract and, in case any such work is sublet, the Contractor shall require the Subcontractor similarly to provide Worker's Compensation and Employer's Liability Insurance for all of the Subcontractor's employees to be engaged in such work. This policy shall be written to meet the statutory requirements for the state in which the work is to be performed, including Occupational Disease. This policy shall include a waiver of subrogation in favor of DHHS. The amounts of such insurance shall not be less than the limits stated hereinafter.
3. *Commercial General Liability and Commercial Automobile Liability*. The Contractor shall take out and maintain during the life of this contract such Commercial General Liability Insurance and Commercial Automobile Liability Insurance as shall protect Contractor and any Subcontractor performing work covered by this contract from claims for damages for

bodily injury, including death, as well as from claims for property damage, which may arise from operations under this contract, whether such operation be by the Contractor or by any Subcontractor or by anyone directly or indirectly employed by either of them, and the amounts of such insurance shall not be less than limits stated hereinafter. The Commercial General Liability Insurance shall be written on an occurrence basis, and provide Premises/Operations, Products/Completed Operations, Independent Contractors, Personal Injury and Contractual Liability coverage. The policy shall include DHHS. This policy shall be primary, and any insurance or self-insurance carried by DHHS shall be considered excess and non-contributory. The Commercial Automobile Liability Insurance shall be written to cover all Owned, Non-owned and Hired vehicles.

4. *Amounts Required*. Contractor shall maintain insurance at the following amounts:

COMMERCIAL GENERAL LIABILITY	
General Aggregate	$2,000,000
Products/Completed Operations Aggregate	$2,000,000
Personal/Advertising Injury	$1,000,000 per occurrence
Bodily Injury/Property Damage	$1,000,000 per occurrence
Fire Damage	$50,000 any one fire
Medical Payments	$10,000 any one person
Independent Contractors	Included
Abuse & Molestation	Included
Contractual Liability	Included
If higher limits are required, the Umbrella/Excess Liability limits are allowed to satisfy the higher limit.	
WORKER'S COMPENSATION	
Employers Liability Limits	$500K/$500K/$500K
Statutory Limits- All States	Statutory - State of Nebraska
USL&H Endorsement	Statutory
Voluntary Compensation	Statutory
COMMERCIAL AUTOMOBILE LIABILITY	
Bodily Injury/Property Damage	$1,000,000 combined single limit
Include All Owned, Hired & Non-Owned Automobile liability	Included
Motor Carrier Act Endorsement	Where Applicable
UMBRELLA/EXCESS LIABILITY	
Over Primary Insurance	$1,000,000
SUBROGATION WAIVER	
"Workers' Compensation policy shall include a waiver of subrogation in favor of the State of Nebraska."	
LIABILITY WAIVER	
"Commercial General Liability & Commercial Automobile Liability policies shall be primary and any insurance or self-insurance carried by the State shall be considered excess and non-contributory."	

J. PROVIDER PERFORMANCE IMPROVEMENT. The Subrecipient shall collect and report Provider Performance Improvement data, as applicable, in accordance with the requirements of the designated service. The data shall be reported in a manner and format approved by DHHS.

K. DHHS shall:

1. Provide the Subrecipient with electronic and/or hard copy of all relevant and necessary information allowed by law to conduct the service(s).
2. Schedule meetings, at the discretion of DHHS, to discuss provisions of the Subaward with the Subrecipient.
3. Authorize services referred to and accepted by the Subrecipient.

IV. GENERAL TERMS AND ASSURANCES

A. ACCESS TO RECORDS AND AUDIT RESPONSIBILITIES.

1. All Subrecipient books, records, and documents regardless of physical form, including data maintained in computer files or on magnetic, optical or other media, relating to work performed or monies received under this subaward shall be subject to audit at any reasonable time upon the provision of reasonable notice by DHHS. Subrecipient shall maintain all records for three (3) years from the date of final payment, except records that fall under the provisions of the Health Insurance Portability and Accountability Act (HIPAA) shall be maintained for six (6) full years from the date of final payment. In addition to the foregoing retention periods, all records shall be maintained until all issues related to an audit, litigation or other action are resolved to the satisfaction of DHHS. The Subrecipient shall maintain its accounting records in accordance with generally accepted accounting principles. DHHS reserves and hereby exercises the right to require the Subrecipient to submit required financial reports on the accrual basis of accounting. If the Subrecipient's records are not normally kept on the accrual basis, the Subrecipient is not required to convert its accounting system but shall develop and submit in a timely manner such accrual information through an analysis of the documentation on hand (such as accounts payable).

2. The Subrecipient shall provide DHHS any and all written communications received by the Subrecipient from an auditor related to Subrecipient's internal control over financial reporting requirements and communication with those charged with governance including those in compliance with or related to Statement of Auditing Standards (SAS) 122. The Subrecipient agrees to provide DHHS with a copy of all such written communications immediately upon receipt or instruct any auditor it employs to deliver copies of such written communications to DHHS at the same time copies are delivered to the Subrecipient, in which case the Subrecipient agrees to verify that DHHS has received a copy.

3. The subrecipient shall immediately commence follow-up action on findings arising from audits or other forms of review. Follow-up action includes responding to those conducting such examinations with clear, complete views concerning the accuracy and appropriateness of the findings. If the finding is accepted, corrective action, such as repaying disallowed costs, making financial adjustments, or taking other actions should proceed and be completed as rapidly as possible. If the subrecipient disagrees, it should provide an explanation and specific reasons that demonstrate that the finding is not valid.

4. In addition to, and in no way in limitation of any obligation in this subaward, the Subrecipient shall be liable for audit exceptions, and shall return to DHHS all payments made under this subaward for which an exception has been taken or which has been disallowed because of such an exception, upon demand from DHHS.

B. AMENDMENT. This subaward may be modified only by written amendment executed by both parties. No alteration or variation of the terms and conditions of this subaward shall be valid unless made in writing and signed by the parties.

C. ANTI-DISCRIMINATION. The Subrecipient shall comply with all applicable local, state and federal statutes and regulations regarding civil rights and equal opportunity employment, including Title VI of the Civil Rights Act of 1964, 42 U.S.C. §§ 2000d et seq.; the Rehabilitation Act of 1973, 29 U.S.C. §§ 794 et seq.; the Americans with Disabilities Act of 1990, 42 U.S.C. §§ 12101 et seq.; and the Nebraska Fair Employment Practice Act, Neb. Rev. Stat. §§ 48-1101 to 48-1125. Violation of said statutes and regulations will constitute a material breach of this subaward. The Subrecipient shall insert a similar provision into all subawards and subcontracts.

D. ASSIGNMENT. The Subrecipient shall not assign or transfer any interest, rights, or duties under this subaward to any person, firm, or corporation without prior written consent of DHHS. In the absence of such written consent, any assignment or attempt to assign shall constitute a breach of this subaward.

E. ASSURANCE. If DHHS, in good faith, has reason to believe that the Subrecipient does not intend to, is unable to, has refused to, or discontinues performing material obligations under this subaward, DHHS may demand in writing that the Subrecipient give a written assurance of intent to perform. Failure by the Subrecipient to provide written assurance within the number of days specified in the demand may, at DHHS's option, be the basis for terminating this subaward.

F. BREACH OF SUBAWARD. DHHS may immediately terminate this subaward and agreement, in whole or in part, if the Subrecipient fails to perform its obligations under the subaward in a timely and proper manner. DHHS may withhold payments and provide a written notice of default to the Subrecipient, allow the Subrecipient to correct a failure or breach of subaward within a period of thirty (30) days or longer at DHHS's discretion considering the gravity and nature of the default. Said notice shall be delivered by Certified Mail, Return Receipt Requested or in person with proof of delivery. Allowing the Subrecipient time to correct a failure or breach of this subaward does not waive DHHS's right to immediately terminate the subaward for the same or different subaward breach which may occur at a different time. DHHS may, at its discretion, obtain any services required to complete this subaward and hold the Subrecipient liable for any excess cost caused by Subrecipient's default. This provision shall not preclude the pursuit of other remedies for breach of subaward as allowed by law.

G. CLEAN AIR ACT. If the value of this subaward exceeds $150,000, Subrecipient shall comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. §§ 7401 et seq.) and the Federal Water Pollution Control Act as amended (33 U.S.C. §§ 1251 et seq.).

H. CONFIDENTIALITY. Any and all confidential or proprietary information gathered in the performance of this subaward, either independently or through DHHS, shall be held in the strictest confidence and shall be released to no one other than DHHS without the prior written authorization of DHHS, provided that contrary subaward provisions set forth herein shall be deemed to be authorized exceptions to this general confidentiality provision. As required by United States Department of Health and Human Services (hereinafter "HHS") appropriations acts, all HHS recipients and DHHS Subrecipients must acknowledge Federal and DHHS funding when issuing statements, press releases, requests for proposals, bid invitations, and

other documents describing projects or programs funded in whole or in part with Federal and DHHS funds. Recipients are required to state: (1) the percentage and dollar amounts of the total program or project costs financed with Federal and DHHS funds; and (2) the percentage and dollar amount of the total costs financed by nongovernmental sources. This provision shall survive termination of this subaward.

I. CONFLICTS OF INTEREST. In the performance of this subaward, the Subrecipient shall avoid all conflicts of interest and all appearances of conflicts of interest. The subrecipient shall not acquire an interest either directly or indirectly which will conflict in any manner or degree with performance and shall immediately notify DHHS in writing of any such instances encountered.

J. COST PRINCIPLES AND AUDIT REQUIREMENTS. The Subrecipient shall follow 2 CFR 200 and all the applicable cost principles contained therein. Subpart F, Federal audit requirements, are dependent on the total amount of federal funds expended by the Subrecipient, set in the table below and Attachment 1, Audit Requirement Certification. Audits must be prepared and issued by an independent certified public accountant licensed to practice. A copy of the annual or audit is to be made electronically available or sent to: Nebraska Department of Health and Human Services, Financial Services, P.O. Box 95026, Lincoln, NE 68509-5026.

Amount of annual federal expenditure	**Audit Type**
$100,000 to $749,999	*Financial Statement Audit*
$750,000 or more in federal expenditure	*Single Audit*

K. DATA OWNERSHIP AND COPYRIGHT. Except as otherwise provided in the Federal Notice of Award, DHHS shall own the rights in data resulting from this project or program. The Subrecipient may copyright any of the copyrightable material and may patent any of the patentable products produced in conjunction with the performance required under this subaward without written consent from DHHS. DHHS and any federal granting authority hereby reserve a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use, and to authorize others to use the copyrightable material for federal or state government purposes. This provision shall survive termination of this subaward.

L. DEBARMENT, SUSPENSION OR DECLARED INELIGIBLE. The Subrecipient certifies that neither it nor its principals are debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency. The subrecipient certifies that it is registered with the System of Award Management (SAM) (https://www.sam.gov), in good standing, and that the entity will maintain annual certification in accordance with Federal Acqusition Regulations. Failure to comply with this section, including maintaining an active registration and/or good standing with SAM, may result in withholding of payments or immediate termination of the subaward.

M. DOCUMENTS INCORPORATED BY REFERENCE. All references in this subaward to laws, rules, regulations, guidelines, directives, and attachments which set forth standards and procedures to be followed by the Subrecipient in discharging its obligations under this subaward shall be deemed incorporated by reference and made a part of this subaward with the same force and effect as if set forth in full text, herein.

N. DRUG-FREE WORKPLACE. Subrecipient agrees, in accordance with 41 USC §701 et al., to maintain a drug-free workplace by: (1) publishing a drug-free workplace statement; (2) establishing a drug-free awareness program; (3) taking actions concerning employees who are convicted of violating drug statutes in the workplace; and (4) in accordance with 2 CFR §182.230, identify all workplaces under its federal awards.

O. FEDERAL FINANCIAL ASSISTANCE. The Subrecipient shall comply with all applicable provisions of 45 C.F.R. §§ 87.1-87.2. The Subrecipient certifies that it shall not use direct federal financial assistance to engage in inherently religious activities, such as worship, religious instruction, and/or proselytization.

P. FEDERAL FUNDING ACCOUNTABILITY AND TRANSPARENCY ACT REPORTING. The Subrecipient shall complete and endorse the Subrecipient Information & Audit Requirement Certification, Attachment 1. The Subrecipient certifies the information provided is complete, true, and accurate.

Q. FORCE MAJEURE. Neither party shall be liable for any costs or damages resulting from its inability to perform any of its obligations under this subaward due to a natural disaster, or other similar event outside the control and not the fault of the affected party ("Force Majeure Event"). A Force Majeure Event shall not constitute a breach of this subaward. The party so affected shall immediately give notice to the other party of the Force Majeure Event. Upon such notice, all obligations of the affected party under this subaward which are reasonably related to the Force Majeure Event shall be suspended, and the affected party shall do everything reasonably necessary to resume performance as soon as possible. Labor disputes with the impacted party's own employees will not be considered a Force Majeure Event and will not suspend performance requirements under this subaward.

R. FRAUD OR MALFEASANCE. DHHS may immediately terminate this subaward for fraud, misappropriation, embezzlement, malfeasance, misfeasance, or illegal conduct pertaining to performance under the subaward by Subrecipient, its employees, officers, directors, volunteers, shareholders, or subcontractors.

S. FUNDING AVAILABILITY. DHHS may terminate the subaward, in whole or in part, in the event funding is no longer available. Should funds not be appropriated, DHHS may terminate the award with respect to those payments for the fiscal years for which such funds are not appropriated. DHHS shall give the Subrecipient written notice thirty (30) days prior to the effective date of any termination. The Subrecipient shall be entitled to receive just and equitable compensation for any authorized work which has been satisfactorily completed as of the termination date. In no event, shall the Subrecipient be paid for a loss of anticipated profit.

T. GRANT CLOSE-OUT. Upon completion or notice of termination of this grant, the following procedures shall apply for close-out of the subaward:

1. The Subrecipient will not incur new obligations after the termination or completion of the subaward, and shall cancel as many outstanding obligations as possible. DHHS shall give full credit to Subrecipient for the federal share of non-cancelable obligations properly incurred by Subrecipient prior to termination, and costs incurred on, or prior to, the termination or completion date.

2. Subrecipient shall immediately return to DHHS any unobligated balance of cash advanced or shall manage such balance in accordance with DHHS instructions.

3. Within a maximum of 90 days following the date of expiration or completion, Subrecipient shall submit all financial, performance, and related reports required by the Subrecipient Reporting Requirements. DHHS reserves the right to extend the due date for any report and may waive, in writing, any report it considers to be unnecessary.

4. DHHS shall make any necessary adjustments upward or downward in the federal share of costs.

5. The Subrecipient shall assist and cooperate in the orderly transition and transfer of subaward activities and operations with the objective of preventing disruption of services.

6. Close-out of this subaward shall not affect the retention period for, or state or federal rights of access to, Subrecipient records, or Subrecipient's responsibilities regarding property or with respect to any program income for which Subrecipient is still accountable under this subaward. If no final audit is conducted prior to close-out, DHHS reserves the right to disallow and recover an appropriate amount after fully considering any recommended disallowances resulting from an audit which may be conducted at a later time.

U. GOVERNING LAW. The award shall be governed in all respects by the laws and statutes of the United States and the State of Nebraska. Any legal proceedings against DHHS or the State of Nebraska regarding this award shall be brought in Nebraska administrative or judicial forums as defined by Nebraska State law. The Subrecipient shall comply with all Nebraska statutory and regulatory law.

V. HOLD HARMLESS.

1. The Subrecipient shall defend, indemnify, hold, and save harmless the State of Nebraska and its employees, volunteers, agents, and its elected and appointed officials ("the indemnified parties") from and against any and all claims, liens, demands, damages, liability, actions, causes of action, losses, judgments, costs, and expenses of every nature, including investigation costs and expenses, settlement costs, and attorney fees and expenses ("the claims"), sustained or asserted against the State of Nebraska, arising out of, resulting from, or attributable to the willful misconduct, negligence, error, or omission of the Subrecipient, its employees, consultants, representatives, and agents, except to the extent such Subrecipient's liability is attenuated by any action of the State of Nebraska which directly and proximately contributed to the claims.

2. DHHS's liability is limited to the extent provided by the Nebraska Tort Claims Act, the Nebraska Contract Claims Act, the Nebraska Miscellaneous Claims Act, and any other applicable provisions of law. DHHS does not assume liability for the action of its Subrecipients.

W. HUMAN TRAFFICKING PROVISIONS. The subrecipient shall comply and be subject to the requirements of Section 106(g) of the "Trafficking Victims Protection Act of 2000" (22 USC 7104). The full text of this requirement is found at: http://www.acf.hhs.gov/grants/award-term-and-condition-for-trafficking-in-persons

X. INDEPENDENT ENTITY. The Subrecipient is an Independent Entity and neither it nor any of its employees shall, for any purpose, be deemed employees of DHHS. The Subrecipient shall

employ and direct such personnel, as it requires, to perform its obligations under this subaward, exercise full authority over its personnel, and comply with all workers' compensation, employer's liability and other federal, state, county, and municipal laws, ordinances, rules and regulations required of an employer providing services as contemplated by this subaward.

Y. REIMBURSEMENT REQUEST. Requests for payments submitted by the Subrecipient shall contain sufficient detail to support payment. Any terms and conditions included in the Subrecipient's request shall be deemed to be solely for the convenience of the parties.

Z. INTEGRATION. This written subaward represents the entire agreement between the parties, and any prior or contemporaneous representations, promises, or statements by the parties, that are not incorporated herein, shall not serve to vary or contradict the terms set forth in this subaward.

AA. LOBBYING.

1. Subrecipient certifies that no Federal appropriated funds shall be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this award for: (a) the awarding of any Federal agreement; (b) the making of any Federal grant; (c) the entering into of any cooperative agreement; and (d) the extension, continuation, renewal, amendment, or modification of any Federal agreement, grant, loan, or cooperative agreement.

2. If any funds, other than Federal appropriated funds, have been paid or will be paid to any person for influencing or attempting to influence: an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this subaward, the Subrecipient shall complete and submit Federal Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

BB. MANDATORY DISCLOSURES. The subrecipient must disclose to the State, in a timely manner and in writing, all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting this subaward in accordance with 2 CFR §200.113. Failure to make required disclosures can result in any of the remedies described in §200.338 Remedies for noncompliance, including suspension or debarment. (See also 2 CFR part 180 and 31 U.S.C. 3321).

CC. NEBRASKA NONRESIDENT INCOME TAX WITHHOLDING. Subrecipient acknowledges that Nebraska law requires DHHS to withhold Nebraska income tax if payments for personal services are made in excess of six hundred dollars ($600) to any Subrecipient who is not domiciled in Nebraska or has not maintained a permanent place of business or residence in Nebraska for a period of at least six months. This provision applies to: individuals; to a corporation, if 80% or more of the voting stock of the corporation is held by the shareholders who are performing personal services, and to a partnership or limited liability company, if 80% or more of the capital interest or profits interest of the partnership or limited liability company is held by the partners or members who are performing personal services.

The parties agree, when applicable, to properly complete the Nebraska Department of Revenue Nebraska Withholding Certificate for Nonresident Individuals Form W-4NA or its successor. The form is available at:

http://www.revenue.ne.gov/tax/current/f_w-4na.pdf or
http://www.revenue.ne.gov/tax/current/fill-in/f_w-4na.pdf

DD. NEBRASKA TECHNOLOGY ACCESS STANDARDS.
The Subrecipient shall review the Nebraska Technology Access Standards, found at http://www.nitc.nebraska.gov/standards/2-201.html and ensure that products and/or services provided under the subaward comply with the applicable standards. In the event such standards change during the Subrecipient's performance, the State may create an amendment to the subaward to request that Subrecipient comply with the changed standard at a cost mutually acceptable to the parties.

EE. NEW EMPLOYEE WORK ELIGIBILITY STATUS. The Subrecipient shall use a federal immigration verification system to determine the work eligibility status of new employees physically performing services within the State of Nebraska. A federal immigration verification system means the electronic verification of the work authorization program authorized by the Illegal Immigration Reform and Immigrant Responsibility Act of 1996, 8 U.S.C. § 1324a, known as the E-Verify Program, or an equivalent federal program designated by the United States Department of Homeland Security or other federal agency authorized to verify the work eligibility status of a newly hired employee.

If the Subrecipient is an individual or sole proprietorship, the following applies:

1. The Subrecipient must complete the United States Citizenship Attestation Form, available on the Department of Administrative Services website at www.das.state.ne.us.

2. If the Subrecipient indicates on such attestation form that he or she is a qualified alien, the Subrecipient agrees to provide the U.S. Citizenship and Immigration Services documentation required to verify the Subrecipient's lawful presence in the United States using the Systematic Alien Verification for Entitlements (SAVE) Program.

3. The Subrecipient understands and agrees that lawful presence in the United States is required and the Subrecipient may be disqualified or the subaward terminated if such lawful presence cannot be verified as required by NEB. REV. STAT. § 4-108.

FF. PUBLICATIONS. Subrecipient shall acknowledge the project was supported by the Code of Federal Award Number, name of award, federal agency and DHHS in all publications that result from work under this subaward.

GG. PROGRAMMATIC CHANGES. The Subrecipient shall request in writing to DHHS for approval of programmatic changes. DHHS shall approve or disapprove in whole or in part in writing within thirty (30) days of receipt of such request.

HH. PROMPT PAYMENT. Payment shall be made in conjunction with the State of Nebraska Prompt Payment Act, NEB. REV. STAT. §§ 81-2401 through 81-2408. Unless otherwise provided herein, payment shall be made by electronic means.

Automated Clearing House (ACH) Enrollment Form Requirements for Payment.
The Subrecipient shall complete and sign the State of Nebraska ACH Enrollment Form and obtain the necessary information and signatures from their financial institution. The completed form must be submitted before payments to the Subrecipient can be made. Download ACH Form:
http://www.das.state.ne.us/accounting/nis/address_book_info.htm

children's services that are provided in indoor facilities that are constructed, operated, or maintained with such federal funds. The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable Federal funds in Medicare or Medicaid; or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to $1000 for each violation and/or the imposition of an administrative compliance order on the responsible entity. By signing, the Subrecipient certifies that the Subrecipient will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

MM. SUBRECIPIENTS OR SUBCONTRACTORS. The Subrecipient shall not subaward or subcontract any portion of this award without prior written consent of DHHS. The Subrecipient shall ensure that all subcontractors and subrecipients comply with all requirements of this subaward and applicable federal, state, county and municipal laws, ordinances, rules and regulations.

NN. TIME IS OF THE ESSENCE. Time is of the essence in this subaward. The acceptance of late performance with or without objection or reservation by DHHS shall not waive any rights of DHHS nor constitute a waiver of the requirement of timely performance of any obligations on the part of the Subrecipient remaining.

OO. WHISTLEBLOWER PROTECTIONS. The Subrecipient shall comply with the provisions of 41 U.S.C. 4712, which states an employee of a contractor, subcontractor, grantee, or subrecipient may not be discharged, demoted or otherwise discriminated against as a reprisal for "whistleblowing." In addition, whistleblower protections cannot be waived by any agreement, policy, form, or condition of employment.

II. PUBLIC COUNSEL. In the event Subrecipient provides health and human services to individuals on behalf of DHHS under the terms of this award, Subrecipient shall submit to the jurisdiction of the Public Counsel under NEB. REV. STAT. §§ 81-8,240 through 81-8,254 with respect to the provision of services under this subaward. This clause shall not apply to subawards between DHHS and long-term care facilities subject to the jurisdiction of the state long-term care ombudsman pursuant to the Long-Term Care Ombudsman Act.

JJ. RESEARCH. The Subrecipient shall not engage in research utilizing the information obtained through the performance of this subaward without the express written consent of DHHS. The term "research" shall mean the investigation, analysis, or review of information, other than aggregate statistical information, which is used for purposes unconnected with this subaward.

KK. SEVERABILITY. If any term or condition of this subaward is declared by a court of competent jurisdiction to be illegal or in conflict with any law, the validity of the remaining terms and conditions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if this subaward did not contain the particular provision held to be invalid.

1. The Subrecipient's employees are encouraged to report fraud, waste, and abuse. The Subrecipient shall inform their employees in writing they are subject to federal whistleblower rights and remedies. This notification must be in the predominant native language of the workforce.
2. The Subrecipient shall include this requirement in any agreement made with a subcontractor or subrecipient.

PP. NOTICES. Notices shall be in writing and shall be effective upon mailing. Written notices required by this subaward shall be sent to the DHHS Subaward Manager identified on page 1, and to the following addresses:

FOR DHHS:

Nebraska Department of Health and
Human Services - Legal Services
Attn: Contracts Attorney
301 Centennial Mall South
Lincoln, NE 68509-5026

FOR SUBRECIPIENT:

Ryan Stanton, Executive Director
Compass
P.O. Box 996
Kearney, NE 68848

DHHS may change the DHHS Subaward Manager to be notified under this section via letter to the Subrecipient sent by U.S. Mail, postage prepaid, or via email.

V. BUSINESS ASSOCIATE PROVISIONS

A. Business Associate. "Business Associate" shall generally have the same meaning as the term "business associate" at 45 CFR 160.103, and in reference to the party to this contract, shall mean Contractor.

B. Covered Entity. "Covered Entity" shall generally have the same meaning as the term "covered entity" at 45 CFR 160.103, and in reference to the party to this contract, shall mean DHHS.

C. HIPAA Rules. "HIPAA Rules" shall mean the Privacy, Security, Breach Notification, and Enforcement Rules at 45 CFR Part 160 and Part 164.

D. Other Terms. The following terms shall have the same meaning as those terms in the HIPAA Rules: Breach, Data Aggregation, Designated Record Set, Disclosure, Health Care Operations, Individual, Minimum Necessary, Notice of Privacy Practices, Protected Health Information, Required By Law, Secretary, Security Incident, Subcontractor, Unsecured Protected Health Information, and Use.

E. The Contractor shall do the following:

1. Not use or disclose protected health information other than as permitted or required by this Contract, consistent with DHHS' minimum necessary policies and procedures, or as required by law.

2. Implement and maintain appropriate administrative, physical, and technical safeguards, and comply with Subpart C of 45 CFR Part 164 with respect to electronic protected health information, to prevent access to, use, or disclosure of protected health information other than as provided for by the Contract and assess potential risks and vulnerabilities to the individual health data in its care and custody and develop, implement, and maintain reasonable security measures.

3. Report to DHHS, within fifteen (15) days, any use or disclosure of protected health information not provided for by this Contract of which it becomes aware, including breaches of unsecured protected health information as required by 45 CFR 164.410, and any security incident of which it becomes aware. Contractor shall, as instructed by DHHS, take immediate steps to mitigate any harmful effect of such unauthorized disclosure of protected health information pursuant to the conditions of this Contract through the preparation and completion of a written Corrective Action Plan subject to the review and approval by the DHHS. The Contractor shall report any breach to the individuals affected and to the HHS Office of Civil Rights, and if warranted the media, on behalf of the covered entity, as required by the HIPAA regulations.

4. In accordance with 45 CFR 164.502(e)(1)(ii) and 164.308(b)(2), if applicable, ensure that any subcontractors that create, receive, maintain, or transmit protected health information on behalf of the Contractor agree to the same restrictions, conditions, and requirements that apply to the Contractor with respect to such information;

5. Within fifteen (15) days:

    a. make available protected health information in a designated record set to DHHS as necessary to satisfy DHHS' obligations under 45 CFR 164.524;

b. Make any amendment(s) to protected health information in a designated record set as directed or agreed to by DHHS pursuant to 45 CFR 164.526, or take other measures as necessary to satisfy DHHS' obligations under 45 CFR 164.526;

c. Maintain and make available the information required to provide an accounting of disclosures to DHHS as necessary to satisfy DHHS' obligations under 45 CFR 164.528;

6. To the extent the Contractor is to carry out one or more of DHHS' obligation(s) under Subpart E of 45 CFR Part 164, comply with the requirements of Subpart E that apply to DHHS in the performance of such obligation(s); and

7. Make its internal practices, books, and records available to the Secretary for purposes of determining compliance with the HIPAA Rules.

F. The Contractor is permitted to use and disclose protected health information:

1. As necessary to perform the services set forth in this Contract;

2. As required by law; and

3. Consistent with DHHS' minimum necessary policies and procedures.

G. The Contractor may not use or disclose protected health information in a manner that would violate Subpart E of 45 CFR Part 164 if done by DHHS.

IN WITNESS THEREOF, the parties have duly executed this subaward hereto, and each party acknowledges the receipt of a duly executed copy of this subaward with original signatures, and that the individual signing below has authority to legally bind the party to this subaward.

FOR DHHS:

Douglas J. Weinberg
Director
Division of Children and Family Services
Department of Health and Human Services

DATE: 9/25/16

FOR SUBRECIPIENT:

Ryan Stanton
Executive Director
Compass

DATE: 9/20/16

Agency Supported Foster Care
Service Attachment

Effective October 1, 2016

Definition:
Agency Supported Foster Care (ASFC) Service is defined as out of home care in a licensed foster or kinship home or in the home of a relative that is supported by a licensed child placing agency (CPA) and designed to meet the complex needs of children who have experienced trauma, abuse, neglect and other serious issues which require out of home placement.

The Subrecipient shall recruit, train, license and support foster parents who accept placement of and will meet the needs of children referred by DHHS.

Recruitment of agency supported foster families is defined as active and ongoing efforts to solicit families who are invested in meeting the unique and special needs of the children and youth served by DHHS. Recruitment includes undertaking targeted and diligent efforts to locate foster families for specific children upon request by DHHS. Recruitment efforts will include engaging communities across the State through outreach and education activities to increase awareness of the need for foster parents who reflect the ethnic and racial diversity of the children served by DHHS. Recruitment activities may include but not be limited to: organizing special events, speaking engagements, advertising, and networking.

Agency Supported Foster Care Subrecipients shall work collaboratively with DHHS local staff to develop a Foster Care Recruitment and Retention Plan that is reflective of the types of foster parents needed to meet the unique and special needs of children referred by DHHS; and, who are reflective of the ethnic and racial diversity of children served in the Service Area. The Subrecipient shall report data as requested or established through the Recruitment and Retention Plan. The Foster Care Recruitment and Retention Plan must identify specific strategies designed to support and improve the retention of foster care families. The Foster Care Recruitment and Retention Plan must also include timelines for strategy implementation, and specific measurable goals for increasing the Subrecipient's number of newly licensed foster families.

Retention is defined as keeping both prospective and current foster, adoptive, and kinship families interested and invested in accepting placement of foster children by treating people well, meeting their needs, and providing encouragement and individualized support beginning with pre-service training and continuing through post placement services.

Training is defined as the following: (1) providing or ensuring that foster parents complete the necessary pre-service educational curriculum required for foster care licensure; (2) providing or ensuring that foster parents receive ongoing training to maintain licensure and to improve their knowledge, skills, and abilities to provide a stable home environment for children referred by DHHS placed in their care; and (3) providing or ensuring that ongoing training is completed, and that the training is relevant and enhances the foster care family's ability and capacity to meet the unique needs of children age 0 to 5 years old, and all other youth for whom they are providing care, including training to acquire the necessary skills and knowledge for applying the Reasonable and Prudent Parent Standard [Preventing Sex Trafficking & Strengthening Families Act of 2014 (P.L. 113-183/HR 4980)] . The Subrecipient shall train all affiliated foster homes of the Reasonable and Prudent Parent Standard by December 31, 2016, and provide ongoing training for the Reasonable and Prudent Parent Standard.

Initial pre-service training curricula required for licensure of foster parents shall be Trauma Informed Partnering for Safety - Model Approach to Partnerships in Parenting (TIPS-MAPP) or TIPS-MAPP Deciding Together. Ongoing training must be offered through a combination of face-to-face training, classroom training, web-based training, and reading materials that meets the above criteria.

Licensing of a foster parent is defined as providing or ensuring that the foster parent(s) complete all activities required by DHHS in accordance with Nebraska Department of Health and Human Services 395 NAC 3-001 for the awarding of a foster care home license. The Subrecipient shall make every effort to ensure that relative and kinship foster parents referred by DHHS complete licensing activities in order to maximize IV-E Federal funding. The Subrecipient shall complete all foster care home studies in accordance with DHHS policy, utilizing the home study template provided by DHHS. The Subrecipient shall update all home studies for licensed homes every two years, and for all homes when there is a change in circumstance in the foster care home. The Subrecipient shall provide documents necessary to renew the license for a Foster Care Home to DHHS at least 30 days prior to the expiration of the license.

Support is defined as being readily accessible and responsive to foster parents in meeting their needs and intervening as necessary to stabilize crisis episodes and prevent placement disruptions. Support includes providing face-to-face visits in the foster parent's home a minimum of one time per month, and more frequently as needed based on the needs of the foster parent and or the child as determined by the Family Strength and Needs Assessment (FSNA) Tool. More frequent phone calls may be necessary to maintain communication and develop ongoing rapport. During face-to-face visits, Subrecipients shall:

- review and discuss the foster parent's ability to meet the needs of the youth placed in their home, and identify stressors the foster parent(s) may be experiencing;
- review and offer concrete supports, such as transportation, more frequent face-to-face visits, and other resources to ameliorate the stressors;
- identify and reinforce the strengths demonstrated by the foster parent(s),
- assess the current suitability of the child(ren) placed with the foster parent(s),
- encourage foster parents and youth to complete the "caregiver information form" and the "youth questionnaire form" and submit completed forms to the court prior to the youth's review hearing and permanency hearing;
- encourage and facilitate the use of respite care and educate foster parents on the importance of accepting foster children back into their care after respite care in order to avoid the additional trauma to children from sudden and unplanned placement changes;
- identify appropriate respite care options, conduct necessary background checks on prospective respite care providers, and facilitate a smooth transition for the child(ren) to and from the respite care home;
- provide or arrange for foster parents to receive ongoing training and one-on-one instruction and guidance, as needed, to help promote "normalcy" for youth in their care through the use of reasonable and prudent parenting standards that provide opportunities for youth to grow emotionally, socially, and developmentally by having the most family-like experience possible;
- tailor foster parent support to the individual and unique circumstances experienced by relative and kinship foster parents; and,
- review the status of the foster parent(s) license and work diligently to renew the foster parent(s) license timely and prevent the lapse, or need for an extension of the foster parent(s) license.

Support of foster parents will include ongoing communication that will be delivered by phone, email, or text message and will include being available to foster parents 24 hours a day, 7 days per week including holidays and weekends.

Support of foster parents shall also include transporting foster children when the foster parents are unable to do so, or ensuring that foster children are transported, to their home school, to activities, and to services that are located within a 25-mile radius from the foster parent home, as needed. The Subrecipient shall transport foster children within a 25-mile radius of the foster parent home at no additional cost to DHHS.

Activities and services include, but are not limited to, behavioral health appointments, medical appointments, and extra-curricular activities. DHHS encourages foster families to transport their foster care children to and from scheduled visits with the children's parents, siblings, and family members whenever possible and practicable.

The Subrecipient shall develop a Placement Support Plan in a standard format provided by DHHS that is specific and individualized for each child placed in a licensed, kinship, or relative foster care or adoptive home, affiliated with the Subrecipient. The Placement Support Plan shall address how the Subrecipient will prevent the placement from disrupting in order to minimize trauma to the child(ren) and identify interventions to be used during a time of crisis in order to stabilize the placement. The initial Placement Support Plan shall be submitted to the designated DHHS staff within seven (7) business days of physical placement of the youth. Should the initial Placement Support Plan require revisions requested by DHHS, such revisions shall be completed and resubmitted to the designated DHHS staff within five (5) business days of the request for revisions. Should the Subrecipient make any changes or revisions to the Placement Support Plan, such revisions shall be completed and resubmitted to the designated DHHS staff within five (5) business days of the revisions.

As part of supporting the foster parent, the Subrecipient shall communicate all known information about the child to the foster parent(s). The Subrecipient shall also provide input to planning processes for youth in placement i.e. Family Team Meetings, Independent Living Plans and preparation of Case Plans and Court Reports, as well as attend Family Team Meetings, when requested by DHHS or by the child's family.

The Subrecipient shall notify DHHS of placement options within five (5) calendar days of receipt of a placement request by DHHS. When placement requests are marked urgent, the Subrecipient shall respond to DHHS within one hour of receipt of the placement request to notify DHHS of any progress toward securing a foster home placement.

The Subrecipient shall make foster care placement recommendations that enable youth to remain in the youth's home school/school of origin. When this is not possible, the Subrecipient must immediately notify the DHHS Case Manager in writing.

The Subrecipient shall provide any information requested by DHHS necessary to complete reports required by any applicable Federal or State law and regulation.

All Subrecipients shall be in compliance and remain in compliance with regulation and licensure as a Child Placing Agency for the delivery of foster care services <u>and</u> adoption services as established by the Division of Public Health (Nebraska Department of Health and Human Services 474 NAC 6-005).

All Subrecipients shall be in compliance, and remain in compliance, with all DHHS policy and

regulation.

Subrecipients who are accredited by a nationally recognized accreditation organization shall ensure that they are fully authorized to deliver adoption services as described below, and shall fully agree to complete adoption home studies and provide adoption services for those youth who are in a home supported by the Subrecipient.

Adoption services shall include, but not be limited to, (1) completing and utilizing the adoptive home study as a comprehensive assessment tool to help determine the prospective adoptive family's ability to make a lifelong commitment to providing a nurturing home for the child(ren); (2) preparing the child(ren) for adoption and assessing the level of integration and adjustment of the adoptive child(ren) to the prospective adoptive home; (3) providing post-placement supervision to prepare the prospective adoptive family with the creation of a new family unit; (4) assisting the prospective adoptive family with planning for post-adoptive services across the lifespan; and (5) review with adoptive families the seven Core Issues in Adoption to ensure adoptive families are familiar with the concepts of Loss, Rejection, Guilt & Shame, Grief, Identity, Intimacy, and Mastery & Control. [Jerve, J. (Ed.). (2005, February 10). Adoption 101]

Subrecipients who are licensed to provide adoption services but who are not accredited by a nationally recognized accreditation organization must provide a detailed description of how the Subrecipient intends to deliver adoption services described above. The detailed description must be submitted in writing to the DHHS Contract Liaison Administrator or designee, in a format approved by DHHS. This detailed description shall be submitted within thirty (30) calendar days following the execution of this Subaward. DHHS shall provide written approval or denial of the adoption services description within ten (10) business days of receipt of the description.

Until the detailed description of how the Subrecipient intends to deliver adoption services is approved in writing by DHHS, Subrecipients who are licensed to provide adoption services but who are not accredited by a nationally recognized accreditation organization must subcontract with a Child Placing Agency for adoption home studies and adoption services for those youth who are in a home supported by the Subrecipient. The Child Placing Agency must be licensed to provide adoption services as described above and established by the Division of Public Health, and be either fully accredited by a nationally recognized accreditation organization, or be separately approved by DHHS Children and Family Services before delivering adoption services.

For purposes of this Subaward, the requirements for adoption services shall be limited to those necessary to conduct domestic adoptions.

The Subrecipient will collaborate with DHHS to proactively plan for the discharge of youth from Agency Supported Foster Care. The Subrecipient will use a trauma informed approach to prepare youth for transitions and will collaborate with DHHS to ensure that the most appropriate post discharge placement is available for the youth prior to discharge. When the youth's discharge is not planned, the Subrecipient will give DHHS a fourteen (14) calendar day notice in writing. During the fourteen (14) day period of time, the Subrecipient will use a trauma informed approach to prepare the youth for the impending discharge and will work collaboratively with DHHS to determine the most appropriate post discharge placement for the youth. A fourteen (14) calendar day written notice is not required when the Subrecipient and DHHS mutually agree that it is in the best interests of the child to move sooner.

The Subrecipient, when alerted to a safety concern about a foster home by DHHS, shall

investigate and provide, to DHHS, written documentation on a format approved by DHHS, the cause of the concerns and efforts to resolve the concerns within 10 business days of notice of concern.

Target Population:
Agency Supported Foster Care shall accept and serve children ages 0 through 18 years old in need of foster care as referred by DHHS.

Length of Service:
The length of Agency Supported Foster Care service is based on the unique needs of each child.

Staff Credentials:
All new Subrecipient staff hired to provide direct services to the Agency Supported Foster Care families and to foster children and their families, shall have a minimum of a Bachelor's Degree in a human services related field; or, a Bachelor's Degree in a related field with experience delivering foster care related services.

Upon the Subrecipient's request, the DHHS Contract Liaison Administrator may consider a potential employee's High School Diploma or GED and at least 2 years of job related or lived experience to be the equivalent of a Bachelor's Degree for the performance of Agency Supported Foster Care duties.

Minimum Required Reports:

(1) Foster Care Recruitment and Retention Plan -- The Subrecipient shall submit a copy of the initial Foster Care Recruitment and Retention Plan to the Central Office Contract Liaison Administrator or designee within 30 calendar days after the execution of this contract; and, The Subrecipient shall submit progress reports of the plan, in a format approved by DHHS, to the Contract Liaison Administrator or designee on or around the following dates: January 10th, April 10th and July 10th.

(2) Written Description of Adoption Services -- The Subrecipient, if applicable, shall submit a copy of the written description of adoption services to the Central Office Contract Liaison Administrator or designee, within thirty (30) calendar days following the execution of this Subaward, and in a format approved by DHHS;

(3) Written Summary Reports -- The Subrecipient shall provide a written monthly report to the referring DHHS Representative within fourteen (14) calendar days following the month of service provision, which summarizes the following:

    a. The supportive services the Subrecipient provided to the foster parent(s), as well as the child(ren)'s progress toward achieving permanency. Supportive services outlined in the monthly report shall include concrete supports, resources, training, one-on-one instruction, and guidance provided to the foster parent(s) to ameliorate any stressors the foster parent(s) are experiencing, to manage the child's needs and behaviors, and to maintain the placement.

    b. The medical, vision, and dental check-ups of children placed in the foster home that occurred during the reporting month.

(4) Upon the request of DHHS, the Subrecipient will complete and submit electronically to the DHHS Fiscal Officer, or designee, a monthly cost expense report related to the administrative payments made by DHHS for the Essential, Enhanced, and Intensive levels of support provided by the Subrecipient in a format developed by DHHS, by no later than thirty (30) calendar days following the request.

(5) The Subrecipient, its subcontractors and vendors will separate direct foster care maintenance payments from other service delivery expenses and keep records that are

readily reviewable and traceable to source documentation, in a format developed by DHHS, including, but not limited to, payments to foster parents by check, electronic funds transfers, or other payment methods.

(6) The Subrecipient shall provide source documentation for each maintenance payment made directly to foster parents on behalf of each child served. Source documentation shall include copies of cancelled checks and or copies of direct deposit transactions. The source documentation shall be submitted to the DHHS Fiscal Officer or designee electronically by no later than 30 calendar days after DHHS provides claims level detail.

(7) The Subrecipient shall provide, monthly, information for the National Youth in Transition Database (NYTD) in a format approved by DHHS for youth in care between the ages of 14- years-old and 19-years-old. This information shall be provided to DHHS within 14 calendar days following the month of service provision.

Established Rate:

1-A. For those youth **age 0 through 5 years old,** DHHS shall pay the Subrecipient:

- $20.00 per day per youth for Out-of-Home Maintenance when the Nebraska Caregiver Responsibilities Score is at the **Essential Parenting Level of Care**; and,
- $21.76 per day per youth for the provision of Agency Supported Foster Care services at the Essential Level of Support.

1-B. For those youth **age 0 through 5 years old**, DHHS shall pay the Subrecipient:

- $27.50 per day per youth for Out-of-Home Maintenance when the Nebraska Caregiver Responsibilities Score is at the **Enhanced Parenting Level of Care**; and,
- $28.17 per day per youth for the provision of Agency Supported Foster Care services at the Enhanced Level of Support.

1-C. For those youth age **0 through 5 years old**, DHHS shall pay the Subrecipient:

- $35.00 per day per youth for Out-of-Home Maintenance when the Nebraska Caregiver Responsibilities Score is at the **Intensive Parenting Level of Care**; and,
- $38.76 per day per youth for the provision of Agency Supported Foster Care services at the Intensive Level of Support.

2-A. For those youth **age 6 through 11 years old**, DHHS shall pay the Subrecipient:

- $23.00 per day per youth for Out-of-Home Maintenance when the Nebraska Caregiver Responsibilities Score is at the **Essential Parenting Level of Care**; and,
- $21.76 per day per youth for the provision of Agency Supported Foster Care services at the Essential Level of Support.

2-B. For those youth **age 6 through 11 years old**, DHHS shall pay the Subrecipient:

- $30.50 per day per youth for Out-of-Home Maintenance when the Nebraska Caregiver Responsibilities Score is at the **Enhanced Parenting Level of Care**; and,
- $28.17 per day per youth for the provision of Agency Supported Foster Care services at the Enhanced Level of Support.

2-C. For those youth **age 6 through 11 years old**, DHHS shall pay the Subrecipient:

- $38.00 per day per youth for Out-of-Home Maintenance when the Nebraska Caregiver Responsibilities Score is at the **Intensive Parenting Level of Care**; and,
- $38.76 per day per youth for the provision of Agency Supported Foster Care services at the Intensive Level of Support.

3-A. For those youth **age 12 through 18 years old**, DHHS shall pay the Subrecipient:

- $25.00 per day per youth for Out-of-Home Maintenance when the Nebraska Caregiver Responsibilities Score is at the **Essential Parenting Level of Care**; and,
- $21.76 per day per youth for the provision of Agency Supported Foster Care services at the Essential Level of Support.

3-B. For those youth **age 12 through 18 years old**, DHHS shall pay the Subrecipient:
- $32.50 per day per youth for Out-of-Home Maintenance when the Nebraska Caregiver Responsibilities Score is at the **Enhanced Parenting Level of Care**; and,
- $28.17 per day per youth for the provision of Agency Supported Foster Care services at the Enhanced Level of Support.

3-C. For those youth **age 12 through 18 years old**, DHHS shall pay the Subrecipient:
- $40.00 per day per youth for Out-of-Home Maintenance when the Nebraska Caregiver Responsibilities Score is at the **Intensive Parenting Level of Care**; and,
- $38.76 per day per youth for the provision of Agency Supported Foster Care services at the Intensive Level of Support.

NOTE: Whenever a child turns age six (6) and age twelve (12), the Out of Home Maintenance rate will be changed to reflect the correct age of the child. The new rate will be effective the date of the child's 6th or 12th birthday.

4. DHHS shall pay the Subrecipient a bed hold fee equal to the absent youth's per diem rates for Out-of-Home Maintenance and Agency Supported Foster Care services for a maximum of five (5) days per occurrence when the bed hold has been approved in writing by the DHHS Case Manager. Bed holds for over five (5) days in duration must be approved in writing by the Service Area Administrator or their designee.

5. Prior to the completion of the Nebraska Caregiver Responsibilities assessment, DHHS shall pay the Subrecipient for Out-of-Home Maintenance at the Essential Parenting Level of Care rate based on the age of the youth; and, DHHS shall pay the Subrecipient $21.76 per day per youth at the Essential Level of Support for the provision of Agency Supported Foster Care services. Payment will remain at the pre-assessment rate from the date of the youth's placement in the agency supported foster care home until the date the Nebraska Caregivers Responsibilities tool is completed and signed by the DHHS Supervisor.

6. DHHS shall pay the Subrecipient for travel time and distance for the delivery of Agency Supported Foster Care support services as defined above in paragraph 9 of this attachment, when the round trip distance exceeds fifty (50) miles. The Subrecipient shall submit for payment, travel time and distance on a Travel Log developed and provided by DHHS. The completed Travel Logs shall be submitted at the end of each month for services provided during the previous month. The Subrecipient must clearly specify when travel time and distance was for transporting a child to and from his or her home school, or to and from extracurricular school activities

   When the round trip distance exceeds fifty (50) miles, DHHS shall pay the Subrecipient $18.00 per hour for the total time travelled round trip. When the total round trip travel time exceeds one hour, the travel time shall be rounded up to the nearest quarter of an hour, and DHHS shall pay the Subrecipient for partial hours as follows:

   1 to 15 minutes = $4.50
   16 to 30 minutes = $9.00
   31 to 45 minutes = $13.50
   46 to 60 minutes = $18.00

The travel time shall be consistent with the length of time required to travel to deliver Agency Supported Foster Care Services. Consistent shall be defined as being within fifteen (15) minutes of the time recorded by MapQuest. If the length of time is more than fifteen minutes (15) over what is recorded on MapQuest, the Subrecipient shall note the reasons why on the travel log.

When the round trip distance exceeds fifty (50) miles, DHHS shall pay the Subrecipient a per-mile rate for the total distance travelled round trip. The per-mile rate utilized shall be the same per-mile rate established in the State of Nebraska's travel expense policies that are in effect at the time the expense is incurred. Travel expense policies are found in the State Accounting Manual at the following website address: http://das.nebraska.gov/accounting/nis/amcon.htm.

The round trip distance travelled shall be consistent with the number of miles required to travel to deliver Agency Supported Foster Care Services. Consistent shall be defined as being within five (5) miles of the distance recorded by MapQuest. If the number of miles is more than five (5) miles over what is recorded on MapQuest, the Subrecipient shall note the reasons why on the travel log.

7. The Subrecipient will access the Medicaid Transportation Broker to arrange transportation for medical transportation. If a state ward youth is not Medicaid eligible (not legally in the US), the Subrecipient is responsible for medical transportation within the 25-mile radius and DHHS agrees to pay the Subrecipient for medical transportation services provided for youth to medical services beyond a 25-mile radius.

   DHHS reserves the right to change the foster care rates in accordance with the range of rates identified by Nebraska State Statute.

Attachment 1

SUBRECIPIENT INFORMATION & AUDIT REQUIREMENT CERTIFICATION

Subrecipients receiving funds from the Nebraska Department of Health and Human Services are required to complete this certification.

A. SUBRECIPIENT INFORMATION

Legal Name : **Compass**

DBA: _____

Address : P.O. Box 996

City : Kearney State : NE Zip Code +4 : 68448-0996

Subrecipient's Fiscal Year: January 1 20 16 to December 31 20 17

B. FEDERAL ACCOUNTABILITY TRANSPARENCY DATA

DUNS Number: **796790892** Parent DUNS: _____

Principal Place of Performance: CITY Kearney STATE **NE**

Country: USA Zip Code + 4 **68848-0996**

Congressional District: ☐ 1st ☐ 2nd ☒ 3rd

C. AUDIT REQUIREMENT CERTIFICATION

All written communications from the Certified Public Accountant (CPA) engaged under #2 or #3 below, given to the Subrecipient related to Statement of Auditing Standards (SAS) 122 *Communicating Internal Control related Matters Identified in an Audit*, and *The Auditor's Communication with Those Charged With Governance*, and any additional reports issued by the auditor as a result of this engagement must be provided to the DHHS immediately upon receipt, unless the Subrecipient has directed the CPA to provide the copy directly to the DHHS and has verified this has occurred.

Check either 1, 2, or 3

1. ☒ As the Subrecipient named above, we expect to expend less than $750,000 from all Federal Financial Assistance sources and do not expect to receive $100,000 or more in sub-awards from DHHS, including commodities, during our fiscal year Therefore, we are not subject to the audit requirements of 2 CFR 200 and do not need to submit our audited financial statements to DHHS.

2. ☐ As the Subrecipient named above, we expect to expend less than $750,000 from all Federal Financial Assistance sources and expect to receive $100,000 or more in sub-awards from DHHS, including commodities, during our fiscal year Therefore, we are not subject to the audit requirements of 2 CFR 200.

   We are, however, responsible for engaging a licensed Certified Public Accountant (CPA) to conduct an audit of our organization's financial statements. We acknowledge that the audited financial statements should be presented in accordance with generally accepted accounting principles (accrual basis). If another basis of accounting is more appropriate or if the accrual basis of accounting is overly burdensome, we will notify the DHHS of this issue and request a waiver of this requirement prior to the end of our fiscal year. We further acknowledge the audit must be completed no later than nine months after the end of our organization's current fiscal year. A copy of the report must be submitted to DHHS within the earlier of 30 days after receipt of the auditor's report(s), or nine months after the end of the audit period.

3. ☐ As the Subrecipient named above, we expect to expend $750,000 or more from all Federal Financial Assistance sources, including commodities in our current fiscal year. Therefore, we are subject to the single audit requirements of 2 CFR 200.

We will engage a licensed Certified Public Accountant to conduct and prepare the audit of our organization's financial statements and components of the single audit pertaining to those financial statements. We acknowledge that the audited financial statements should be presented in accordance with generally accepted accounting principles (accrual basis). If another basis of accounting is more appropriate or if the accrual basis of accounting is overly burdensome, we will notify the DHHS of this issue and request a waiver of this requirement prior to the end of our fiscal year. We further acknowledge the audit must be completed no later than nine months after the end of our current fiscal year. We further acknowledge, that a single audit performed in accordance with 2 CFR 200 must be submitted to the Federal audit Clearinghouse. The reporting package, as evidence the audit was completed must contain:

- financial statements,
- a schedule of Expenditure of Federal Awards,
- a Summary Schedule of Prior Audit Findings (if applicable),
- a corrective action plan (if applicable) and
- the auditor's report(s) which includes an opinion upon financial statements and Schedule of Expenditures of Federal Awards, a report of internal control, a report of compliance and a Schedule of Findings and Questioned Costs.

We further acknowledge the auditor and this Subrecipient must complete and submit with the reporting package a *Data Collection Form for Reporting on Audits of States, Local Governments and Non-Profit Organizations* (SF-SAC).

We further acknowledge, that a single audit performed in accordance with 2 CFR 200 must be submitted to the Federal Audit Clearinghouse. The reporting package, as evidence the audit was completed must contain:

- financial statements,
- a schedule of Expenditure of Federal Awards,
- a Summary Schedule of Prior Audit Findings (if applicable),
- a corrective action plan (if applicable) and
- the auditor's report(s) which includes an opinion upon financial statements and Schedule of Expenditures of Federal Awards, a report of internal control, a report of compliance and a Schedule of Findings and Questioned Costs.

We further acknowledge the auditor and this Subrecipient must complete and submit with the reporting package a *Data Collection Form for Reporting on Audits of States, Local Governments and Non-Profit Organizations* (SF-SAC).

We further acknowledge a copy of the sub-recipient's financial statements, auditor's report and SF-SAC must be submitted to the DHHS within the earlier of 30 days after receipt of the auditor's report(s), or nine months after the end of the audit period.

<u>For items #2 and #3 above the required information must be submitted to:</u>
Nebraska Department of Health and Human Services
Internal Audit Section
P.O. Box 95026
Lincoln, NE 68509-5026

D. OFFICER COMPENSATION DISCLOSURE

1. In your business organization's previous fiscal year, did your business organization (including parent organization, all branches, and all affiliates worldwide) receive 80% or more of your annual gross revenues in U.S. Federal contracts, subcontracts, loans, grants, sub-awards, and/or cooperative agreements **AND** $25,000,000.00 (twenty-five million dollars) or more in annual gross revenues from U.S Federal contracts, subcontracts, loans, grants, sub-awards, and/or cooperative agreements?

   ☐ Yes – answer Question 2

   ☒ No –not required to provide officer compensation

2. Does the public have access to information about the compensation of the senior executive in your business or organization (including parent organization, all branches, and affiliates worldwide) through periodic reports filed under §13(a) or 15(b) of the Securities Exchange Act of 1934(15 U.S.C.78m (a), 78o (d)) or §6104 of the Internal Revenue Code of 1986?

   ☐ Yes

   ☐ No – provide the names and total compensation of the five most highly compensated officers of the entity below

	NAME	TITLE	COMPENSATION
1.			$
2.			$
3.			$
4.			$
5.			$

E. ENDORSEMENT

Printed Name: Ryan B. Stanton

Title: President & CEO

Signature: Ry B Stt

Date: 9/20/16

FFATA DATA REPORTING WORKSHEET

Attachment 2

Instructions available on intranet.

SUBAWARDNUMBER: _____ **DHHS SIGNATURE DATE:** _____

SUBRECIPIENT NAME: Compass **SUB-RECIPIENT DUNS:** 796790892

SECTION A – SUMMARY OF FUNDING

Number of Federal Funding Sources:		1
Amount funded from Federal Grants:	$	To be determined based on IV-E eligibility
Amount funded from State General Funds:	$	
Amount funded from State Cash Funds:	$	
Amount funded from Federal Cash Funds:	$	
Total Subaward	$	1,234,259.00

SECTION B –SUBAWARD PROJECT DESCRIPTION

The purpose of this subaward is: To provide Agency Supported Foster Care (ASFC) services for children and families of the State of Nebraska.

SECTION C -FEDERAL AWARD INFORMATION

Federal Award Identifier Number :	0G-1701NEFOST	CFDA Program Number:	93.658
Amount From This Award:	TBD Based on IV-E eligibility	Date added to subaward:	

Federal Award Identifier Number :		CFDA Program Number:	
Amount From This Award:		Date added to subaward:	

Federal Award Identifier Number :		CFDA Program Number:	
Amount From This Award:		Date added to subaward:	

FFATA DATA REPORTING WORKSHEET

Attachment 2

Instructions available on intranet.

Federal Award Identifier Number : _____ CFDA Program Number: _____

Amount From This Award: _____ Date added to subaward: _____

Federal Award Identifier Number : _____ CFDA Program Number: _____

Amount From This Award: _____ Date added to subaward: _____

Federal Award Identifier Number : _____ CFDA Program Number: _____

Amount From This Award: _____ Date added to subaward: _____

Federal Award Identifier Number : _____ CFDA Program Number: _____

Amount From This Award: _____ Date added to subaward: _____

Federal Award Identifier Number: _____ CFDA Program Number: _____

Amount From This Award: _____ Date added to subaward: _____

Federal Award Identifier Number : _____ CFDA Program Number: _____

Amount From This Award: _____ Date added to subaward: _____

Federal Award Identifier Number : _____ CFDA Program Number: _____

Amount From This Award: _____ Date added to subaward: _____

DESCRIPTION (cont.)