

FINAL REPORT

6th Mini Children and Family Services Review

Northern Service Area

(April 4th-6th, 2011)

Executive Summary

Children and Family Services Review

(Northern Service Area)

A mini CFSR was held in Norfolk on April 4th-6th, 2011. 14 cases were reviewed. The period under review was April 1st, 2010 through April 1st, 2011. 8 cases were foster care cases and 6 were in home cases. Seven cases were abuse/neglect and seven were juvenile offender cases. The offices where the cases were reviewed from were Columbus, Dakota City, Fremont, Pierce and Norfolk. There were 5 Health and Human Service workers that conducted this review. Second level review was completed by Quality Assurance worker's Leslie Schlecht and Kayl Dahlke.

Background Information

The mini CFSR is modeled after the Federal CFSR reviews and assesses the service area's performance on 23 items relevant to seven outcomes.

With regards to outcomes, an overall rating of Strength or Area Needing Improvement (ANI) is assigned to each of the 23 items incorporated in the seven outcomes depending on the percentage of cases that receive a Strength rating in the onsite case review. An item is assigned an overall rating of Strength if 90 percent of the applicable cases reviewed are rated as Strength. Performance ratings for each of the seven outcomes are based on item ratings for each case. A service area may be rated as having "substantially achieved," "partially achieved," or "not achieved" the outcome. The determination of whether a service area is in substantial conformity with a particular outcome is based on the percentage of cases that were determined to have substantially achieved that outcome. In order for a service area to be in substantial conformity with a particular outcome, 95 percent of the cases reviewed must be rated as having substantially achieved the outcome. The standard for substantial conformity is based on the standard set for Federal CFSR. The standards are based on the belief that because child welfare agencies work with our country's most vulnerable children and families, only the highest standards of performance should be acceptable. The focus of the CFSR process is on continuous quality improvement; standards are set high to ensure ongoing attention to the goal of achieving positive outcomes for children and families with regard to safety, permanency, and well-being.

A service area that is not in substantial conformity with a particular outcome must work with their local CQI team to develop and implement a Program Improvement Plan (PIP) to address the areas of concern associated with that outcome.

Key CFSR Findings Regarding Outcomes

The service area did not achieve substantial conformity on any of the outcomes. The 6th Mini CFSR identified four areas of high performance in Northern Service Area with regard to achieving desired outcomes for children. The service area did achieve overall ratings of strength for the individual indicators pertaining to foster care re-entry (item 5), adoption (item 9), proximity of foster care placement (item 11) and placement with siblings (item 12).

The mini CFSR review also identified key areas of concern with regard to achieving outcomes for children and families. Concerns were identified with regard to Permanency Outcome 2 (continuity of family relationships and connections being preserved for children), which was substantially achieved in only 13 percent of the cases reviewed. The lowest rating within this

outcome was for item 16 (relationship of child in care with parents), which was rated as a strength in 17 percent of the cases reviewed.

Concerns were also identified with regards to Well Being Outcome 1 (families have enhanced capacity to provide for their children's needs), which was substantially achieved in only 29% of the cases reviewed. The lowest rating was item 20 (caseworker visits with parents) rated as a strength in 27% of the cases reviewed. Item 17 (needs and services of child, parents, and foster parents) also had a lower rating of a strength in only 29% of the cases reviewed.

There was one outcome that had six cases rated as substantially achieved, two cases rated as partially achieved and zero cases rated as not achieved. The outcome was P1 (Children have permanency and stability in their living situations).

KEY FINDINGS RELATED TO OUTCOMES

I. SAFETY

Outcome S1: Children are, first and foremost, protected from abuse and neglect.

Status of Safety Outcome S1

	Total Number	Total Percentage
Substantially Achieved:	3	42.85%
Partially Achieved:	1	14.28%
Not Achieved or Addressed:	3	42.85%
Not Applicable:	7	50.00%

Item 1. Timeliness of initiating investigations of reports of child maltreatment

In assessing item 1, reviewers were to determine whether the response to a maltreatment report occurring during the period under review had been initiated in accordance with child welfare agency policy. A new intake tool was implemented in 2003 which is based upon a priority response model with Priority 1 calling for a response by the worker within 24 hours of the time that the report is received by DHHS. Priority 2 designated reports are to have face to face contact with the alleged victim by Protection and Safety within 0 to 5 days from the time the intake is received and Priority 3 has a response time of 0-10 days. Data is generated monthly to ensure compliance with the response times.

Review Findings:

*Seven of the 14 cases reviewed were applicable to this item.

*4 (57%) cases were rated as strengths

*3 (43%) cases were rated as area needing improvement

*7 cases were not applicable

*Two cases that were rated as strengths were out of home cases and the other two were in home cases. Two cases that were rated as needing improvement were out of home cases and the other case was an in home.

Strengths: Two cases had two intakes during the period under review and the timeframes were met. The priority on three of those intakes was 2 and the other intake was a priority 3. In one case, an intake (priority 2) was received, investigated within the timeframes and a non-court involved case was opened. In one case that was rated as a strength, there were no intakes that were received during the period under review.

Areas needing improvement: In all three cases needing improvement, it was noted that there were no intakes/initial assessments located in the case file. Therefore, the reviewer was unable to determine when contact was made with the family or if it was done within the designated time frame.

Item 2. Repeat maltreatment

In assessing this item, reviewers were to determine whether there had been at least one substantiated/inconclusive/petition to be filed maltreatment report during the period under review, and if so, whether another substantiated/inconclusive/petition to be filed report occurred within a 6 month period before or after the report identified. Cases were considered not applicable for assessment if the child or family had never had a maltreatment report.

Review Findings:

*Six of the 14 cases reviewed were applicable to this item

*2 (33%) cases were rated as strengths

*4 (67%) cases were rated as area needing improvement

*8 cases were not applicable

*One case rated as a strength on this item was an in home case and the other one was an out of home case. Two cases rated as area needing improvement were in home cases and the other two were out of home cases.

Strengths: In these cases, there was only 1 intake that was received on the family during the period under review and during the life of the case.

Areas needing improvement: In three cases, there was no intakes/initial assessments located in the case file. In the other case, there were two removals that occurred during the period under review.

S1. Outcome Reviewer Comments: On the cases that were found to be substantially achieved for this outcome, the intakes received by the Department were investigated within the set time frames and face to face contact with the alleged victim was made within the timeframes. In the cases found to not be achieved, it was noted that there were no intakes or assessments found in the case file. Therefore, the reviewer was unable to determine what the outcome of the intake was.

Outcome S2: Children are safely maintained in their homes whenever possible and appropriate.

Status of Safety Outcome S2

	Total Number	Total Percentage
Substantially Achieved:	8	57.14%
Partially Achieved:	4	28.57%
Not Achieved or Addressed:	2	14.28%
Not Applicable:	0	0%

Item 3. Services to family to protect child (ren) in home and prevent removal

For this item, reviewers were to assess whether in responding to a substantiated/inconclusive/petition to be filed maltreatment report or risk of harm, the agency made diligent efforts to provide services to families to prevent removal of children from their homes while at the same time ensuring their safety.

Review Findings:

***Nine of the 14 cases reviewed were applicable to this item.**

***8 (89%) cases were rated as strengths**

***1 (11%) case was rated as area needing improvement**

***5 cases were not applicable**

***Three of the cases rated as strengths for this item were out of home cases and the other five were in home cases. The one case rated as area needing improvement was an out of home case.**

Strengths: In five cases, it was noted that there were numerous services in place to prevent removal/re-entry into foster care. Those services ranged from intensive outpatient treatment to family support in the home. Other services were tracker, family therapy, transportation assistance, and intensive family preservation. In three cases, the child had to be removed from the home due to immediate safety concerns.

Areas needing improvement: In this case, based on the lack of intakes and assessments the reviewer did not find in the case file, it was difficult to determine if the department provided services to prevent the removal of the child from the home.

Item 4. Risk of harm to child

The assessment of Item 4 required reviewers to determine whether DHHS had made, or was making, diligent efforts to reduce the risk of harm to the children involved in each case. Reviewers rated this item as a Strength if the agency terminated the child's parent's rights as a means of decreasing risk of harm for the child (for example, a termination of parental rights would prevent a child from being returned to a home in which the child would be at risk) and has taken action to minimize other risks to the child (for example, preventing contact with individuals who pose a risk to the child's safety). If a case is/was open for services for a reason other than a court substantiated, inconclusive, petition to be filed or unfounded report of abuse or neglect, or apparent risk of harm to the child(ren) (for example, a juvenile justice case), reviewers were to document this information and rate the item as not applicable. Note, however, that for a child (ren) noted as a "child in need of supervision" or "delinquent", reviewers were to explore and determine whether there was a risk of harm to the child, in addition to the other reasons the case may have been opened, prior to rating it as not applicable. Cases were not applicable for assessment of this item if there was no current or prior risk of harm to the children in the family.

Review Findings:

***All of the 14 cases reviewed were applicable to this item.**

***8 (57%) cases were rated as strengths**

***6 (43%) cases were rated as area needing improvement**

***0 cases were not applicable**

***Four cases rated as strengths for this item were out of home cases; the other four were in home. Four cases rated as area needing improvement for this item were out of home cases, the other two were in home.**

Strengths: In the eight cases substantially achieved, there were either no safety concerns found on the target child or services were implemented to address safety concerns found through initial/ongoing assessments. In these cases, informal assessments were occurring on an ongoing basis through family team meetings.

Areas needing improvement: In five cases found to be needing improvement on this item it was noted that while the case file contained an intake, there were no ongoing assessments found in the case file to assess risk of the child or other children in the home . In one case it was found that ongoing assessments stopped due to lack of participation by the family.

S2. Outcome Reviewer Comments: On the cases that were rated as being substantially achieved reviewers had a variety of comments. They ranged from the child’s placements being appropriate to meet the child’s needs, ongoing assessments being completed through family team meetings, and there being no safety concerns or issues in the facility or home. Reviewer’s comments on the cases found to be partially achieved was that the case files did not contain ongoing assessments. On one case found to not be achieved the reviewer stated that there was no intake or initial assessment found in the case file. This made it unclear to the reviewer on what the outcome was regarding that report. On the other case found to not be achieved the reviewer stated that it was because the other children in the home were not assessed for safety.

II. PERMANENCY

Outcome P1: Children have permanency and stability in their living situations.

Status of Permanency Outcome P1

	Total Number	Total Percentage
Substantially Achieved:	6	75.00%
Partially Achieved:	2	25.00%
Not Achieved or Addressed:	0	0%
Not Applicable:	6	42.85%

Item 5. Foster care re-entries

Reviewers rated this assessment Strength if during the period under review a child did not have an entry into care within a 12-month period from being discharged from another entry into foster care. Reviewers also rated this item as a Strength if a re-entry was an isolated incident during which the agency did what was reasonable to manage the risk following reunification but the child re-entered care for another reason (for example, the death of a parent). Reviewers rated this item as an Area Needing Improvement if r-entries occurring within a 12-month period were due to the same general reasons or same perpetrators. Reviewers rated this item as Not Applicable if : (1) the child entered foster

care before, and remained in foster care during, the period under review; or (2) the child entered foster care before, and exited foster care during, the period under review and there was not another entry into foster care during the period under review.

Review Findings:

- *Four of the 14 cases reviewed were applicable to this item
- *4 (100%) cases were rated as strengths
- *0 cases were rated as area needing improvement
- *10 cases were not applicable

Strengths: In all the cases, the child entered and remained in the same foster home throughout the period under review.

There were no files rated as needing improvement for this item.

Item 6. Stability of foster care placement

In assessing this item, reviewers were to determine whether the child experienced multiple placement changes during the period under review, and if so, whether the changes in placement settings were necessary to achieve the child's permanency goal or meet the child's service needs.

Review Findings:

- *Eight of the 14 cases reviewed were applicable to this item
- *7 (88%) cases were rated as strengths
- *1 (12%) case was rated as area needing improvement
- *6 cases were not applicable

Strengths: In five cases, the target child had only one placement during the period under review. In two cases, the children had two placement changes based upon behavioral and mental health issues.

Area Needing Improvement: In the only case rated as needing improvement for this item, the child was initially placed in detention during the OJS evaluation. The youth was then moved to a relative placement but then ran away and was placed in a shelter where he/she continued to reside at the time of this review. This is not a stable placement.

Item 7. Permanency goal for child

In assessing this item, reviewers were to determine whether DHHS had established an appropriate permanency goal for the child in a timely manner, including filing for termination of parental rights when relevant. Reviewers examined the appropriateness of a goal that ultimately rules out adoption, guardianship, or return to family. Reviewers assessed whether the child's best interests were thoroughly considered by DHHS in setting a goal of other planned living arrangement, and that such a decision is /was continually reviewed for ongoing appropriateness. Cases were assigned a rating of Strength for this item when reviewers determined that DHHS had established an appropriate permanency goal in a timely manner. Cases were assigned a rating of Area Needing Improvement when goals of reunification were not changed in a timely manner when it was apparent that reunification was unlikely to happen, termination of parental rights was not filed when the child had been foster care for 15 of the past 22 months and no compelling reasons were

noted in the file, or the goal established for the child was not appropriate. Cases were identified as Not Applicable if the child was not in foster care.

Review Findings:

- *Eight of the 14 cases reviewed were applicable to this item**
- *7 (88%) cases were rated as strengths**
- *1 (12%) case was rated as area needing improvement**
- *6 cases were not applicable**

Strengths: In all seven cases reviewed that were rated as strengths for this item, the permanency goals were established and achieved in a timely manner.

Areas needing improvement: In the one case needing improvement on this item, it was noted that while the permanency goal established was appropriate, it was not established in a timely manner. The youth had moved from YRTC placement to independent living before the goal of independent living was actually set.

Item 8. Reunification, Guardianship or Permanent Placement with Relatives

In assessing these cases reviewers determined whether DHHS had achieved children's goals of reunification, guardianship or placement with relatives in a timely manner. If the goals had not been achieved in a timely manner reviewers determined whether DHHS had made diligent efforts to achieve the goals.

Review Findings:

- *Seven of the 14 cases reviewed were applicable to this item**
- *6 (86%) cases were rated as strengths**
- *1 (14%) case was rated as area needing improvement**
- *7 cases were not applicable**

Strengths: In four cases rated as a strength for this item, it was noted that active and concerted efforts were being made to achieve the permanency goal of reunification. The case file documentation within the case plan and court report reflects these efforts. In one case the permanency goal of guardianship was achieved in a timely manner upon the termination/relinquishment of the parental rights. In the other case, guardianship was being pursued while waiting for the relinquishment of parental rights to become legal in court.

Areas needing improvement: In this case the child is residing in a group home setting while having the goal of guardianship. There was nothing found in the case file to indicate that guardianship was actively being pursued by the caseworker.

Item 9. Adoption

In assessing this item, reviewers were to determine whether appropriate and timely efforts (within 24 months of the most recent entry into foster care) had been or were being made to achieve finalized adoption.

Review Findings:

- *One of the 14 cases reviewed were applicable to this item**
- *1 (100%) case was rated as a strength**
- *0 cases were rated as area needing improvement**
- *13 cases were not applicable**

Strengths: In the one case rated as a strength for this item it was noted in the case file that the TPR (termination of parental rights) was in progress and had begun within 15/22 month timeframe.

There were no files rated as needing improvement for this item.

Item 10. Permanency goal of other planned permanent living arrangement

Reviewers determined whether the agency had made or was making diligent efforts to assist children in attaining their goals related to other planned permanent living arrangements (Independent Living, Self-Sufficiency or Family Preservation).

Review Findings:

*Two of the 14 cases reviewed were applicable to this item

*1 (50%) case was rated as a strength

*1 (50%) case was rated as area needing improvement

*12 cases were not applicable

Strengths: Independent living was the concurrent goal for the youth and an independent living outcome was found in the case plan/court report. There was also a report from a provider found to substantiate that the goal was being worked on by the youth.

Areas needing improvement: Insufficient documentation found in the file to support that an independent living goal was being worked on by the youth.

P1. Outcome Reviewer Comments: Reviewers comments on the cases found to be substantially achieved were that permanency goals were established within the set time frames and services helped to support and maintain the stability of the placements in these cases. In one case rated as partially achieved, it was noted that the permanency goal was not established within the 60 day requirement. In the other case rated as partially achieved, it was noted that there was no information found in the case file to support that paternal relatives were sought as a possible placement.

Status of Permanency Outcome P2

	Total Number	Total Percentage
Substantially Achieved:	1	12.5%
Partially Achieved:	6	75.00%
Not Achieved or Addressed:	1	12.5%
Not Applicable:	6	42.85%

Item 11. Proximity of foster care placement

Reviewers were to determine whether the child's foster care setting was in close proximity to the child's parents or close relatives. Cases determined to be not applicable were those in which termination of parental rights had been completed prior to the period under review, or in which contact with parents was not considered to be in the child's best interest.

Review Findings:

- *Six of the 14 cases reviewed were applicable to this item
- *6 (100%) cases were rated as strengths
- *0 cases were rated as area needing improvement
- *8 cases were not applicable

Strengths: In four of the cases, the family lives close enough to the child to facilitate visits at least a few times per month as well as attending the child's family team meetings that are being held on a monthly basis. In two cases, the child was placed outside the community only due to the child's extenuating mental/behavioral needs.

There were no files rated as needing improvement for this item.

Item 12. Placement with siblings

Reviewers were to determine whether siblings were or had been placed together and if not, was separation necessary to meet the needs (service or safety needs) of one or more of the children.

Review Findings:

- *Three of the 14 cases reviewed were applicable to this item
- *3 (100%) cases were rated as strengths
- *0 cases were rated as area needing improvement
- *11 cases were not applicable

Strengths: In one case, the identified youth was placed at the YRTC but regular visitation still occurred between siblings. In two cases, the siblings were placed in separate foster homes due to both children's high behavioral and mental health needs. Regular visitation still occurred between the siblings.

There were no files rated as needing improvement for this item.

Item 13. Visiting with parents and siblings in foster care

In assessing this item reviewers determined whether DHHS had or was making diligent efforts to facilitate visitations between children in foster care and their parents and siblings. Reviewers also determined whether these visits typically occurred with sufficient frequency to meet the needs of the children and families. Non applicable cases were those where the child had no siblings in foster care, if the parents could not be located, and/or if visitation with the parents was considered not in the best interests of the child. Reviewers rated this item for the period under review based on the individual needs of the child and family, rather than on the DHHS policy regarding visitation. The DHHS visitation guidebook recommends a minimum of one visit every two weeks between child and parent unless it would not be in the child's best interest because the parent is the perpetrator of severe physical abuse or sexual abuse. DHHS Policy requires that siblings placed

separately must have a minimum of one visit per month. Other forms of communication including phone calls and letters are strongly encouraged.

Review Findings:

- *Seven of the 14 cases reviewed were applicable to this item
- *2 (29%) cases were rated as strengths
- *5 (71%) cases were rated as area needing improvement
- *7 cases were not applicable

Strengths: In one case, the mother was offered visitation 2 times per week in her home. Diligent efforts were made to ensure this happened, but the mother did not cooperate. The father in this case lived out of state but did maintain contact by phone and via mail. In the other case, parental rights were terminated but visits still occurred on a monthly basis between the youth and siblings.

Area needing improvement: In two cases rated as needing improvement on this item, there was no contact occurring between the youth and the father. There was no evidence found in the case file that attempts were made by the worker to locate and/or involve the father in visitation. In three cases, there were no contacts between the youth and either parent (mother and father). The case files in these also lacked a visitation plan.

Item 14. Preserving connections

Reviewers determined whether DHHS had or was making diligent efforts to preserve the child's primary connection and characteristics while in foster care. Reviewers had to make a professional judgment about the child's primary connections and then explore whether those connections have been preserved through case planning and service delivery.

Review Findings:

- *Eight of the 14 cases reviewed were applicable to this item
- *4 (50%) cases were rated as strengths
- *4 (50%) cases were rated as area needing improvement
- *6 cases were not applicable

Strengths: In all four of the cases, connections were maintained through extended family visits and/or holiday celebrations. ICWA was addressed in all of the cases.

Areas needing improvement: In all four cases, there was no documentation found in the case file to indicate that the child's connection to their community were maintained.

Item 15. Relative placement

Reviewers had to focus on the title IV-E provision that requires States to consider giving preference to placing the child with relatives, and determine whether the State considered such a placement and how (for example, seeking out and evaluating the child's relatives). Relatives include non-custodial parents, such as fathers not in the home, if applicable to the case. Reviewers had to determine the extent to which the agency identified relatives who had some reasonable degree of relationship with the child and with whom the child might reside. There did not need to be in the case record a formal evaluation of relatives with whom the child might reside, but for reviewers to have answered "yes" evidence must exist, through either the case documentation or the case interviews, that relatives were evaluated and considered. Reviewers rated this item as a Strength if

- (1) the agency assessed the child's needs and determined that he/she required special services and
- (2) the agency assessed potential relative placements and determined that the relative placements

did not have the capacity to meet the child's needs. Reviewers rated this item as a strength unless no efforts were made to locate or identify relatives for placement, or placement with a family known to the child. Reviewers rated this item as not applicable if (1) the agency determined upon the child's initial entry into care that his/her needs required residential treatment services and a relative placement would be inappropriate, or (2) if relatives were unable to be identified despite the agency's diligent efforts to do so, or in situations such as abandonment in which the identity of the parents and relatives remains unknown despite efforts to identify them. Reviewers were to check not applicable if the child was placed with relatives.

Review Findings:

- *Seven of the 14 cases reviewed were applicable to this item**
- *4 (57%) cases were rated as a strength**
- *3 (43%) of the cases were rated as area needing improvement**
- *7 cases were not applicable**

Strengths: *In one case, documentation did indicate relatives were sought for placement but were not appropriate. That child was then placed with an adult known to the family. In three cases, while relatives were sought and located, the youth was unable to be placed with them due to behavioral/mental health needs.*

Areas needing improvement: *In two cases, there was no documentation found to indicate that the father or paternal placement was sought by the caseworker. In the other case, there was no documentation found to indicate placement was sought by the caseworker for either the maternal or paternal side of the family.*

Item 16. Relationship of child in care with parents

In assessing this item, reviewers determined if there was evidence of a strong, emotionally supportive relationship between the child in foster care and the child's parents during the period under review. Reviewers assigned a rating of Strength for this item when there was evidence of regular visitation between parent and child. Reviewers assigned a rating of Area Needing Improvement when they determined the agency had not made diligent efforts to support the child's relationship with the father or mother. A case was considered not applicable if a relationship with the child's parents was contrary to the child's safety or best interest during the period under review.

Review Findings:

- *Six of the 14 cases reviewed were applicable to this item**
- *1 (17%) case was rated as a strength**
- *5 (83%) cases were rated as area needing improvement**
- *8 cases were not applicable**

Strengths: *In the one case that was rated as a strength for this item, it was noted that the mother was given information regarding school activities and medical appointments for the child. The father was kept informed of all issues regarding the child, despite him living out of the state of Nebraska.*

Areas needing improvement: *In three cases, it was noted that there was no documentation found in the case file to show that concerted efforts were made to locate, promote and otherwise maintain a positive and nurturing relationship between the child in foster care and his/her father. In two cases, it was noted that there was no documentation found in the case file to show that concerted efforts were made to locate, promote and otherwise maintain a*

positive and nurturing relationship between the child in foster care and his/her mother AND father.

P2. Outcome Reviewer Comments: In the one case where this outcome was determined to be substantially achieved, reviewers commented that visitation was occurring on a regular basis (at least monthly). It was also noted that ICWA was addressed in this case. In the cases where this outcome was only partially achieved the reviewers commented that there were no documented efforts found to show that the DHHS worker made concerted efforts to locate the fathers in one of those cases. On the other two cases rated as partially achieved it was found that there was little documentation to support that the child’s connections to their community and extended family members were maintained.

III. WELL-BEING

Outcome WB1: Families have enhanced capacity to provide for their children’s needs.

Status of Well-Being Outcome WB1

	Total Number	Total Percentage
Substantially Achieved:	4	28.57%
Partially Achieved:	7	50.00%
Not Achieved or Addressed:	3	21.42%
Not Applicable:	0	0%

Item 17. Needs and services of child, parents, foster parents

In assessing item 17, reviewers were to determine whether DHHS adequately assessed the needs of children, parents and foster parents AND provided the services to meet those needs. Reviewers rated item 17 as a strength if (1) a needs assessment was conducted for the child(ren), parents, and foster parents, and (2) appropriate services were provided in relation to the identified needs of the target child in foster care cases, or for all children in in-home cases. Education and physical or mental health services to the target child were not rated for this item (these are rated in items 21, 22, and 23). Reviewers had to document whether these services were provided to parents.

Review Findings:

***All of the 14 cases reviewed were applicable to this item**

***4 (29%) cases were rated as strengths**

***10 (71%) cases were rated as area needing improvement**

***Two of the cases rated as strengths were in home cases and two were out of home cases. Four of the cases rated as area needing improvement were in home cases and six were out of home cases.**

Strengths: Because 17 is broke down into parts A, B, and C. This item will be broken out into three pieces.

CHILDREN

17a. Strengths: Thirteen out of fourteen cases were rated as a strength on this item. The child's needs and services were both informally and formally assessed and addressed through initial assessments, ongoing assessments, case management and family team meetings. Some of the services provided to address the identified needs were behavioral assessments, Developmental Disabilities assessments, Electronic Monitoring, Family Support, individual and family therapy and tracker services.

17a. Areas needing improvement: In the one case that was rated as needing improvement, it was noted that the other children remaining in the home did not have their needs assessed or addressed.

PARENTS

17b. Strengths: It was noted that the worker did a good job assessing both parents' needs by conducting informal/formal assessments. Informal assessments were completed through face to face contact and family team meetings. Comprehensive Family Assessments were also completed. Some of the services offered to address the identified needs were transportation assistance, drug/alcohol treatment, family support services, parenting classes and therapy.

17b. Areas needing improvement: In seven cases, it was noted that while the mother's needs had been assessed and addressed, the father's needs had not been assessed. In two cases, it was noted that there was no information found to indicate that either parents needs had been assessed on an ongoing basis. In one case it was noted that there was no information found to indicate that the mother's needs were assessed.

FOSTER PARENTS

17c. Strengths: In the cases reviewed rated as strengths, it was noted that the foster parent's needs were assessed by the worker discussing with the foster parent's their concerns/needs. Needs were also assessed through monthly family team meetings. Services provided were foster care payments, respite care and parenting assistance in dealing with children that have behavioral issues.

17c. Areas needing improvement: There were no cases that were rated as needing improvement on this item.

Item 18. Child and family involvement in case planning

In assessing this item reviewers were to determine whether the agency actively involved the parent(s), guardian, child(ren) and other people identified by the family in the case planning activities relevant to the current case plan. A determination of involvement in case planning required that a parent (guardian) and the child (older than 8 and not incapacitated) had actively participated in identifying the services and goals for the case plan.

Review Findings:

***13 of the 14 cases reviewed were applicable to this item**

***5 (38%) cases were rated as strengths**

***8 (62%) cases were rated as area needing improvement**

***1 case was not applicable**

***In the five cases rated as strengths two were in home cases and three were out of home cases. In the eight cases that were rated as area needing improvement three were in home cases and the other five were out of home cases.**

Strengths: In the five cases that were rated as strengths for this item, it was specifically noted that both parents and child (when old enough) participated in the case planning process through monthly family team meetings as well as through monthly face to face contact with the case worker.

Areas needing improvement: In seven cases rated as needing improvement for this item, it was noted that there was no documentation found in the case file to indicate that the father was involved in the case planning. In the other case, the other children residing in the home were not involved in the case planning process.

Item 19. Worker visits with child

Reviewers were to determine the typical pattern of visits between the worker and child and if these visits were sufficient to ensure adequate monitoring of the child's safety and well being. Reviewers were also to determine whether visits focused on issues pertinent to case planning, service delivery, and achievement of the goals.

Review Findings:

***All of the 14 cases reviewed were applicable to this item**

***10 (71%) cases were rated as strengths**

***4 (29%) cases were rated as area needing improvement**

Four of the cases rated as a strength for this item were in home cases and six were out of home. Two of the cases rated as area needing improvement were in home cases and the other two were out of home cases.

Strengths: There was found to be at least monthly contacts between the worker and the child occurring. The quality of those narratives addressed permanency, safety and well being issues.

Areas needing improvement: In one case, the other children residing in the home were not seen by the caseworker. In two cases, it was noted that the narratives did not reflect that visits were private between the caseworker and child. In one case, it was noted that the child was not seen on a monthly basis according to the case file documentation.

Item 20. Worker visits with parents

Reviewers were to assess whether the caseworker had sufficient face to face contact with parents to encourage attainment of their children's permanency goal while ensuring safety and well being. Cases that were considered not applicable if there is no plan for further involvement between the parents and the agency or the parents and the child, and the child is not in a permanent home.

Review Findings:

***11 of the 14 cases reviewed were applicable to this item**

***3 (27%) cases were rated as strengths**

***8 (73%) cases were rated as area needing improvement**

***3 cases were not applicable**

***Two of the cases rated as strengths for this item were in home cases and one was an out of home case. Three of the cases rated as area needing improvement for this item were in home cases and five were out of home cases.**

Strengths: Visits between worker and parents (mother and father) were occurring at least monthly. The quality of the contact narratives was noted to be very good and addressed the permanency, safety, and well being of the child.

Area needing improvement: In five cases, it was noted that there was no contact made with the father. In two cases, it was noted that there was no documentation found to indicate the worker visited with either parent. In one case, it was noted that there was no documentation found to indicate that the worker visited with the mother on a monthly basis.

WB1. Outcome Reviewer Comments: Reviewers commented on the cases that were rated as being substantially achieved that extensive efforts were made by the Department to ensure the families had the capacity to provide for their children's needs and were assessed formally and informally through ongoing assessments and mental health assessments. Families participated in therapy, family support, utilization of respite care when needed, communication during visits with caseworkers, and involvement in the case planning process. Families got involved in the case planning process through monthly contacts with the DHHS worker and through family team meetings. In the cases where this outcome was found to be partially achieved reviewers commented that in four cases the father's needs were not assessed. In one case the other children in the home did not have their needs assessed and were not involved in case planning. In two of the cases that were rated as not achieved the reviewer commented that the father was not involved in case planning, worker monthly contacts or assessment of needs. In one case rated as not achieved it was noted that there was very little documentation found in the case file that indicated the mother or fathers needs being assessed or involved in case planning.

Status of Well-Being Outcome WB2

	Total Number	Total Percentage
Substantially Achieved:	8	80.00%
Partially Achieved:	0	0%
Not Achieved or Addressed:	2	20.00%
Not Applicable:	4	28.57%

Item 21. Educational needs of the child

When addressing educational issues for families receiving in-home services, reviewers considered whether the educational needs are/were relevant to the reason why the agency is/was involved with the family, and whether the need to address educational issues is/was a reasonable expectation given the circumstances of the agency's involvement with the family. (If not, reviewers rated item 21 as not applicable.) Reviewers rated this item as a Strength if (1) the agency made extensive efforts to address the child's educational needs and the school system was unresponsive, especially if the problems are with a local school or jurisdiction; (2) if the child(ren)'s educational needs were assessed and addressed, including cases where the educational records were missing and the reasons why; or (3) if the agency conducted an assessment of educational issues and determined that there were no problems in that area, nor any need for educational services.

Review Findings:

***Ten of the 14 cases reviewed were applicable to this item**

***8 (80%) cases were rated as strengths**

***2 (20%) cases were rated as area needing improvement**

***4 cases were not applicable**

*** One of the cases rated as strengths for this item was an in home case and seven were out of home cases. One case rated as area needing improvement for this item was an in home case, the other was an out of home case.**

Strengths: Educational needs of the child were assessed and addressed by the case worker by providing educational testing and monitoring at the schools they attended. Services provided to address the needs ranged from IEP's, an ESU referral, and assisting with getting a GED. Grade/report cards were found in the case file.

Areas needing improvement: In one case, the other children in the home were not assessed/addressed regarding educational needs. In the other case, it was noted that there was no updated information found in the case file in regards to the child's educational needs.

WB2. Outcome Reviewer Comments: All but one of the cases reviewed received a substantially achieved rating for this outcome. Reviewers comments on the outcomes found to be substantially achieved were that the children received appropriate services to meet their educational needs. When needs were identified an IEP or GED services were implemented to address this. Grade reports were also found in the case files. In the one case rated as not achieved, it was noted that there was no educational information, including grade reports, found in the case file.

Outcome WB3: Children receive adequate services to meet their physical and mental health needs.

Status of Well-Being Outcome WB3:

	Total Number	Total Percentage
Substantially Achieved:	10	76.92%
Partially Achieved:	1	07.69%
Not Achieved or Addressed:	2	15.38%
Not Applicable:	1	07.69%

Item 22. Physical health of the child

When addressing health issues for families receiving in-home services, reviewers considered whether the physical health needs are/were relevant to the reason why the agency is/was involved with the family and whether the need to address physical health issues is/was a reasonable expectation given the circumstances of the agency's involvement with the family. (If not, reviewers rated this item as not applicable.) For example, if a child became known to the agency and was determined to be in need of in-home services at least partly as a result of physical abuse or sexual abuse, then it is reasonable to expect the agency to provide services to ensure that the child receives the appropriate physical health services. Reviewers rated this item as a strength if the agency conducted an assessment of physical health and determined that there were no problems in that area, nor any need for physical health services.

Review Findings:

***Ten of the 14 cases reviewed were applicable to this item**

***7 (70%) cases were rated as strengths**

***3 (30%) cases were rated as area needing improvement**

***4 cases were not applicable**

*** In the seven cases rated as strength for this item one was an in home case and six were out of home cases. In the three cases rated as area needing improvement for this item two were in home cases and the other one was an out of home case.**

Strengths: Medical/dental needs were assessed, services were provided when needed and it was documented in the case file.

Areas needing improvement: One case lacked both updated physical and dental exam information on the identified child. In the other two cases, the youth did not have dental needs assessed.

Item 23. Mental health of the child

Reviewers were to determine whether during the period under review, the agency addressed the mental/behavioral health needs of the child (ren). Reviewers rated this item as a strength if the agency conducted an assessment of the child's mental health and determined that there were no problems in that area, nor any need for mental health services. If there was a need for services then they were offered.

Review Findings:

***Ten of the 14 cases reviewed were applicable to this item**

***9 (90%) cases were rated as strengths**

***1 (10%) case was rated as area needing improvement**

***4 cases were not applicable**

*** In the nine cases rated as a strength for this item two were in home cases and seven were out of home cases. The one case rated as area needing improvement for this item was an in home case.**

Strengths: Initial and ongoing formal/informal assessments were being conducted on the child ranging from the Youth Level of Service evaluation to the Comprehensive Family Assessment. Informal assessments were completed by the DHHS worker through monthly family team meetings and face to face contacts with the youth.

Areas Needing Improvement: In the one case rated as needing improvement on this item it was noted that there was no information found in the case file to indicate that the other children in the home were assessed for mental health needs.

WB3. Outcome Reviewer Comments: Reviewers commented on the outcomes found to be substantially achieved that mental health needs were assessed and if there were needs identified that they were also addressed. There was also medical/dental/mental health documentation found in the case file. On the case found to be partially achieved, reviewers commented that dental needs were not assessed. In one case found to not be achieved, the reviewer commented that it was because there was no evidence found in the file that the other children in the home were assessed for mental health. In the other case found to not be achieved, the reviewer stated it was because there were no updates found in the case file to reflect that a physical had been conducted and no information found that dental health was ever assessed.

NSA Results

Case Sample: *Mini CFSR Review – April 2011*

Type of Review: 6th *Mini CFSR*

Report Type: *Northern Service Area*

Number of Reviews: 14

Review Period: *April 1st, 2010-April 1st, 2011*

Performance Item Results

Report Results

S = Strength

ANI = Area Needing Improvement

N/A = Not Applicable

Performance Item	S	ANI	N/A	S (%)	ANI (%)	N/A (%)	Total
Number of Submitted Review:							14
Item 1: Timeliness of initiating investigations of reports of child maltreatment	4	3	7	57	43	50	14
Item 2: Repeat maltreatment	2	4	8	33	67	57	14
Item 3: Services to family to protect child(ren) in the home and prevent removal or re-entry into foster care	8	1	5	89	11	36	14
Item 4: Risk assessment and safety management	8	6		57	43		14
Item 5: Foster care re-entries	4	0	10	100	0	71	14
Item 6: Stability of foster care placement	7	1	6	88	13	43	14
Item 7: Permanency goal for child	7	1	6	88	13	43	14
Item 8: Reunification, guardianship, or permanent placement with relatives	6	1	7	86	14	50	14
Item 9: Adoption	1	0	13	100	0	93	14
Item 10: Other planned permanent living arrangement	1	1	12	50	50	86	14
Item 11: Proximity of foster care placement	6	0	8	100	0	57	14
Item 12: Placement with siblings	3	0	11	100	0	79	14
Item 13: Visiting with parents and siblings in foster care	2	5	7	29	71	50	14
Item 14: Preserving connections	4	4	6	50	50	43	14
Item 15: Relative placement	4	3	7	57	43	50	14
Item 16: Relationship of child in care with parents	1	5	8	17	83	57	14

Item 17: Needs and services of child, parents, and foster parents	4	10		29	71		14
Item 18: Child and family involvement in case planning	5	8	1	38	62	7	14
Item 19: Caseworker visits with child	10	4		71	29		14
Item 20: Caseworker visits with parent(s)	3	8	3	27	73	21	14
Item 21: Educational needs of the child	8	2	4	80	20	29	14
Item 22: Physical health of child	7	3	4	70	30	29	14
Item 23: Mental/behavioral health of the child	9	1	4	90	10	29	14

SA = Substantially Achieved (%)

PA = Partially Achieved (%)

NACH = Not Achieved (%)

N/A = Not Applicable

Performance Outcome	SA	PA	NACH	N/A	SA (%)	PA (%)	NACH (%)	N/A (%)	Total
Outcome S1: Children are, first and foremost, protected from abuse and neglect.	3	1	3	7	43	14	43	50	14
Outcome S2: Children are safely maintained in their homes whenever possible and appropriate.	8	4	2	0	57	29	14	0	14
Outcome P1: Children have permanency and stability in their living situations.	6	2	0	6	75	25	0	43	14
Outcome P2: The continuity of family relationships and connections is preserved for children.	1	6	1	6	13	75	13	43	14
Outcome WB1: Families have enhanced capacity to provide for their children's needs.	4	7	3	0	29	50	21	0	14
Outcome WB2: Children receive appropriate services to meet their educational needs.	8	0	2	4	80	0	20	29	14
Outcome WB3: Children receive adequate services to meet their physical and mental health needs.	10	1	2	1	77	8	15	7	14