

48431-04

**CONTRACT**

**BETWEEN THE**

**NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES  
DIVISION OF CHILDREN AND FAMILY SERVICES**

**AND**

**DR. SUSAN T. HOWARD**

**AMENDMENT ONE, JUNE 2011**

This contract is entered into by and between the Nebraska Department of Health and Human Services, **DIVISION OF CHILDREN AND FAMILY SERVICES** (hereinafter "DHHS"), and **DR. SUSAN T. HOWARD** (hereinafter "Contractor").

The Contract between the parties dated June 13, 2011 is hereby amended as follows:

Article II. Consideration is amended to read:

DHHS shall pay the Contractor a total amount not to exceed \$8,940.00 (eight thousand, nine hundred and forty) per month not to exceed \$107,280.00 (One hundred and seven thousand, two hundred and eighty dollars) for the services specified herein.

Article III. Scope of Services A. #3 is amended to read:

The Contractor will provide psychiatric services in-person at Geneva YRTC for a minimum of two (2) full days per month and half (1/2) of one day per month. The Contractor will work full days on the second (2<sup>nd</sup>) Monday and fourth (4<sup>th</sup>) Tuesday of each month and a half day (1/2) on the first (1<sup>st</sup>) Friday of each month. The Contractor will work eight (8) hours beginning at 9am on full days and four (4) hours beginning at 10am on half days, not including time for lunch. Work hours can be adjusted with the approval of the Facility Administrator.

Article III. Scope of Services A. #4 is amended to read:

The Contractor will provide psychiatric services in-person at Kearney YRTC for a minimum of five (5) full days per month. The Contractor will work every Wednesday and every 4<sup>th</sup> Friday except for calendar months that have five (5) Wednesdays, at which the Contractor will work all five (5) Wednesdays. The Contractor will start work at K-YRTC between the hours of 7am and 9am and will work eight (8) hours from the time of arrival at the facility not including time for lunch. Work hours can be adjusted with the approval of the Facility Administrator.

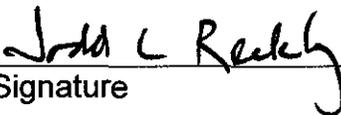
Article III. Scope of Services B. as amended to add:

3. Dr. Howard shall have direct access to Jana Peterson, Facility Administrator at Kearney YRTC and to Dan Scarborough, Facility Administrator at Geneva YRTC to report any concerns or issues regarding performance of this contract.

All other terms and conditions remain in full force and effect.

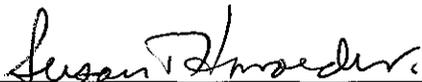
**IN WITNESS THEREOF**, the parties have duly executed this contract hereto, and each party acknowledges the receipt of a duly executed copy of this contract with original signatures.

FOR DHHS:

  
Signature

Todd L. Reckling, Director  
Department of Health and Human Services  
Division of Children and Family Services

FOR CONTRACTOR:

  
Signature

Dr. Susan T. Howard  
Howard Psychiatric Services  
Hastings, NE

DATE: 08-12-2011

DATE: 8/8/11

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**CONTRACT**

**BETWEEN THE**

**NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES  
DIVISION OF CHILDREN AND FAMILY SERVICES  
AND**

**DR. SUSAN T. HOWARD**

This contract is entered into by and between the Nebraska Department of Health and Human Services, **DIVISION OF CHILDREN AND FAMILY SERVICES** (hereinafter "DHHS"), and **DR. SUSAN T. HOWARD, PSYCHIATRIST** (hereinafter "Contractor").

PURPOSE. The purpose of this contract is for the psychiatric assessment and treatment for juvenile offenders committed to the Youth Treatment and Rehabilitation Center at Kearney Nebraska and the Youth Treatment and Rehabilitation Center in Geneva, Nebraska.

**I. TERM AND TERMINATION**

- A. TERM. This contract is in effect from July 1, 2011 until June 30, 2012.
- B. TERMINATION. This contract may be terminated at any time upon mutual written consent or by either party for any reason upon submission of written notice to the other party at least Thirty (30) days prior to the effective date of termination. DHHS may also terminate this contract in accord with the provisions designated "FUNDING AVAILABILITY" and "BREACH OF CONTRACT." In the event either party terminates this contract, the Contractor shall provide to DHHS all work in progress, work completed, and materials provided to it by DHHS in connection with this contract immediately.

**II. CONSIDERATION**

- A. TOTAL PAYMENT. DHHS shall pay the Contractor a total amount not to exceed **\$7,050.00 (seven thousand and fifty dollars) per month not to exceed \$84,600.00 (eighty four thousand six hundred dollars)** for the services specified herein.
- B. PAYMENT STRUCTURE. Payment shall be structured as follows: The Contractor will submit a monthly billing to DHHS-OJS Central Office. The billing shall identify the date services were rendered and at which YRTC. The Contractor will attach supporting documentation outlining the youth seen, date of visit and what the youth were seen for, such as: New Visit, Follow-Up Visit, Medical Chart Review, Medication Review, and Next Visit.

### III. SCOPE OF SERVICES

A. The Contractor shall do the following:

1. The Contractor shall comply with all regulatory requirements to meet the standards required in the field of Psychiatric assessment and treatment, which is made a portion of this contract. All psychiatric care delivered to residents of Geneva-YRTC and Kearney-YRTC will be delivered according to standard psychiatric practice, and will be under the direction of a duly licensed practitioner.
2. All applications for residential mental health and/or substance abuse services will be reviewed and approved by the Contractor as medically indicated services prior to being submitted to the Medicaid Managed Care Vendor for a medical necessity determination and referrals will be made by the Medicaid Managed Care Vendor to all agencies eligible to provide that service.
3. On the second and fourth Wednesday of every month the Contractor will provide psychiatric services in-person at Geneva YRTC for a minimum of two (2) full days starting at 9am and will work eight (8) hours not including time for lunch. On the second Friday of every month the Contractor will provide psychiatric services in person at Geneva YRTC for a half-day starting at 10am and will work four (4) hours not including time for lunch. Work hours can be adjusted with the approval of the Facility Administrator.
4. On the first and third Wednesday and third Friday of every month the Contractor will provide psychiatric services in-person at Kearney YRTC for a minimum of three (3) full days per month. The Contractor will start work at K-YRTC between the hours of 7am and 9am and will work eight (8) hours from the time of arrival at the facility not including time for lunch. Work hours can be adjusted with the approval of the Facility Administrator.
5. On-call services for both YRTC-Geneva and YRTC-Kearney for the purpose of consultations.
6. Provide emergency phone consultation within 2 hours of the emergency notice. If appearance on YRTC campus is necessary a visit will take place within 12 hours of the emergency notice.
7. If the Contractor cannot attend one of the monthly in-person services, the Contractor will give a substitute date. If two consecutive assigned in-person dates are missed by the Contractor at either facility, the DHHS can elect to terminate this contract with seven days written notice with payment for only the services rendered during the active month.
8. Contractor shall set aside time during regularly scheduled visits to the YRTC to

answer questions of parents of YRTC youth who Contractor has prescribed psychotropic medications.

9. Contractor shall maintain and provide proof of medical malpractice insurance coverage in the amounts of \$500,000.00 per occurrence and \$1,000,000.00 in aggregate, and be qualified under the Nebraska Hospital-Medical Liability Act.

B. DHHS shall do the following:

1. Ensure the Contractor has full access to each YRTC and an area or setting within the YRTC in which the Contractor can provide assessment and treatment services.
2. Ensure that Parental Notifications of Psychotropic Medication are sent to parents by designated YRTC staff and that parents are instructed to contact the YRTC at specific times during contractor visits at the facility with medication questions.

#### IV. GENERAL PROVISIONS

A. ACCESS TO RECORDS AND AUDIT RESPONSIBILITIES.

1. All Contractor books, records, and documents regardless of physical form, including data maintained in computer files or on magnetic, optical or other media, relating to work performed or monies received under this contract shall be subject to audit at any reasonable time upon the provision of reasonable notice by DHHS. Contractor shall maintain all records for five (5) years from the date of final payment, except that records that fall under the provisions of the Health Insurance Portability and Accountability Act (HIPAA) shall be maintained for six (6) full years from the date of final payment. In addition to the foregoing retention periods, all records shall be maintained until all issues related to an audit, litigation or other action are resolved to the satisfaction of DHHS. All records shall be maintained in accordance with generally accepted business practices.
2. The Contractor shall provide DHHS any and all written communications received by the Contractor from an auditor related to Contractor's internal control over financial reporting requirements and communication with those charged with governance including those in compliance with or related to Statement of Auditing Standards (SAS) 112 *Communicating Internal Control related Matters Identified in an Audit* and SAS 114 *The Auditor's Communication with Those Charged With Governance*. The Contractor agrees to provide DHHS with a copy of all such written communications immediately upon receipt or instruct any auditor it employs to deliver copies of such written communications to DHHS at the same time copies are delivered to the Contractor, in which case the Contractor agrees to verify that DHHS has received a copy.

3. The Contractor shall immediately correct any material weakness or condition reported to DHHS in the course of an audit and notify DHHS that the corrections have been made.
  4. In addition to, and in no way in limitation of any obligation in this contract, the Contractor shall be liable for audit exceptions, and shall return to DHHS all payments made under this contract for which an exception has been taken or which has been disallowed because of such an exception, upon demand from DHHS.
  5. The above provisions shall survive termination of the contract.
- B. AMENDMENT. This contract may be modified only by written amendment, executed by both parties. No alteration or variation of the terms and conditions of this contract shall be valid unless made in writing and signed by the parties.
- C. ANTI-DISCRIMINATION. The Contractor shall comply with all applicable local, state and federal statutes and regulations regarding civil rights and equal opportunity employment, including Title VI of the Civil Rights Act of 1964; the Rehabilitation Act of 1973, Public Law 93-112; the Americans With Disabilities Act of 1990, Public Law 101-336; and the Nebraska Fair Employment Practice Act, NEB. REV. STAT. §§ 48-1101 to 48-1125. Violation of said statutes and regulations will constitute a material breach of contract. The Contractor shall insert this provision in all subcontracts.
- D. ASSIGNMENT. The Contractor shall not assign or transfer any interest, rights, or duties under this contract to any person, firm, or corporation without prior written consent of DHHS. In the absence of such written consent, any assignment or attempt to assign shall constitute a breach of this contract.
- E. ASSURANCE. If DHHS, in good faith, has reason to believe that the Contractor does not intend to, is unable to, or has refused to perform or continue to perform all material obligations under this contract, DHHS may demand in writing that the Contractor give a written assurance of intent to perform. Failure by the Contractor to provide written assurance within the number of days specified in the demand may, at DHHS's option, be the basis for terminating this contract.
- F. BREACH OF CONTRACT. DHHS may terminate the contract, in whole or in part, if the Contractor fails to perform its obligations under the contract in a timely and proper manner. DHHS may, by providing a written notice of default to the Contractor, allow the Contractor to cure a failure or breach of contract within a period of thirty (30) days or longer at DHHS's discretion considering the gravity and nature of the default. Said notice shall be delivered by Certified Mail, Return Receipt Requested or in person with proof of delivery. Allowing the Contractor time to cure a failure or breach of contract does not waive DHHS's right to immediately terminate the contract for the same or different contract breach which may occur at a different time. DHHS may, at its discretion, contract for any services required to complete this contract and hold the Contractor liable for any excess cost caused by Contractor's default. This provision

shall not preclude the pursuit of other remedies for breach of contract as allowed by law.

- G. CONFIDENTIALITY. Any and all information gathered in the performance of this contract, either independently or through DHHS, shall be held in the strictest confidence and shall be released to no one other than DHHS without the prior written authorization of DHHS, provided, that contrary contract provisions set forth herein shall be deemed to be authorized exceptions to this general confidentiality provision. This provision shall survive termination of this contract.
- H. CONFLICTS OF INTEREST. In the performance of this contract, the Contractor shall avoid all conflicts of interest and all appearances of conflicts of interest. The Contractor shall immediately notify DHHS of any such instances encountered so that other arrangements can be made to complete the work.
- I. DATA OWNERSHIP AND COPYRIGHT. All data collected as a result of this project shall be the property of DHHS. The Contractor shall not copyright any of the copyrightable material produced in conjunction with the performance required under this contract without written consent from DHHS. DHHS hereby reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use, and to authorize others to use the copyrightable material for state government purposes. This provision shall survive termination of this contract.
- J. DEBARMENT, SUSPENSION OR DECLARED INELIGIBLE. The Contractor certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
- K. DOCUMENTS INCORPORATED BY REFERENCE. All references in this contract to laws, rules, regulations, guidelines, directives, and attachments which set forth standards and procedures to be followed by the Contractor in discharging its obligations under this contract shall be deemed incorporated by reference and made a part of this contract with the same force and effect as if set forth in full text, herein.
- L. DRUG-FREE WORKPLACE. Contractor certifies that it maintains a drug-free workplace environment to ensure worker safety and workplace integrity. Contractor shall provide a copy of its drug-free workplace policy at any time upon request by DHHS.
- M. FORCE MAJEURE. Neither party shall be liable for any costs or damages resulting from its inability to perform any of its obligations under this contract due to a natural disaster, or other similar event outside the control and not the fault of the affected party ("Force Majeure Event"). A Force Majeure Event shall not constitute a breach of this contract. The party so affected shall immediately give notice to the other party of the Force Majeure Event. Upon such notice, all obligations of the affected party under this contract which are reasonably related to the Force Majeure Event shall be suspended,

and the affected party shall do everything reasonably necessary to resume performance as soon as possible. Labor disputes with the impacted party's own employees will not be considered a Force Majeure Event and will not suspend performance requirements under this contract.

N. FUNDING AVAILABILITY. DHHS may terminate the contract, in whole or in part, in the event funding is no longer available. Should funds not be appropriated, DHHS may terminate the contract with respect to those payments for the fiscal years for which such funds are not appropriated. DHHS shall give the Contractor written notice thirty (30) days prior to the effective date of any termination. The Contractor shall be entitled to receive just and equitable compensation for any authorized work which has been satisfactorily completed as of the termination date. In no event shall the Contractor be paid for a loss of anticipated profit.

O. GOVERNING LAW. The contract shall be governed in all respects by the laws and statutes of the State of Nebraska. Any legal proceedings against DHHS or the State of Nebraska regarding this contract shall be brought in Nebraska administrative or judicial forums as defined by Nebraska State law. The Contractor shall comply with all Nebraska statutory and regulatory law.

P. HOLD HARMLESS.

1. The Contractor shall defend, indemnify, hold, and save harmless the State of Nebraska and its employees, volunteers, agents, and its elected and appointed officials ("the indemnified parties") from and against any and all claims, liens, demands, damages, liability, actions, causes of action, losses, judgments, costs, and expenses of every nature, including investigation costs and expenses, settlement costs, and attorney fees and expenses ("the claims"), sustained or asserted against the State of Nebraska, arising out of, resulting from, or attributable to the willful misconduct, negligence, error, or omission of the Contractor, its employees, subcontractors, consultants, representatives, and agents, except to the extent such Contractor liability is attenuated by any action of the State of Nebraska which directly and proximately contributed to the claims.

2. DHHS's liability is limited to the extent provided by the Nebraska Tort Claims Act, the Nebraska Contract Claims Act, the Nebraska Miscellaneous Claims Act, and any other applicable provisions of law. DHHS does not assume liability for the action of its Contractors.

3. The above provisions shall survive termination of the contract.

Q. INDEPENDENT CONTRACTOR. The Contractor is an Independent Contractor and neither it nor any of its employees shall for any purpose be deemed employees of DHHS. The Contractor shall employ and direct such personnel as it requires to perform its obligations under this contract, exercise full authority over its personnel, and comply with all workers' compensation, employer's liability and other federal, state, county, and

municipal laws, ordinances, rules and regulations required of an employer providing services as contemplated by this contract.

- R. INVOICES. Invoices for payments submitted by the Contractor shall contain sufficient detail to support payment. Any terms and conditions included in the Contractor's invoice shall be deemed to be solely for the convenience of the parties.
- S. INTEGRATION. This written contract represents the entire agreement between the parties, and any prior or contemporaneous representations, promises, or statements by the parties, that are not incorporated herein, shall not serve to vary or contradict the terms set forth in this contract.
- T. NEBRASKA NONRESIDENT INCOME TAX WITHHOLDING. Contractor acknowledges that Nebraska law requires DHHS to withhold Nebraska income tax if payments for personal services are made in excess of six hundred dollars (\$600) to any contractor who is not domiciled in Nebraska or has not maintained a permanent place of business or residence in Nebraska for a period of at least six months. This provision applies to individuals, to a corporation if 80% or more of the voting stock of the corporation is held by the shareholders who are performing personal services, and to a partnership or limited liability company if 80% or more of the capital interest or profits interest of the partnership or limited liability company is held by the partners or members who are performing personal services.

The parties agree, when applicable, to properly complete the Nebraska Department of Revenue Nebraska Withholding Certificate for Nonresident Individuals Form W-4NA or its successor. The form is available at:

[http://www.revenue.ne.gov/tax/current/f\\_w-4na.pdf](http://www.revenue.ne.gov/tax/current/f_w-4na.pdf) or  
[http://www.revenue.ne.gov/tax/current/fill-in/f\\_w-4na.pdf](http://www.revenue.ne.gov/tax/current/fill-in/f_w-4na.pdf)

- U. NEBRASKA TECHNOLOGY ACCESS STANDARDS. The Contractor shall review the Nebraska Technology Access Standards, found at <http://www.nitc.state.ne.us/standards/accessibility/tacfinal.html> and ensure that products and/or services provided under the Contract comply with the applicable standards. In the event such standards change during the Contractor's performance, the State may create an amendment to the Contract to request that Contract comply with the changed standard at a cost mutually acceptable to the parties.
- V. NEW EMPLOYEE WORK ELIGIBILITY STATUS. The Contractor shall use a federal immigration verification system to determine the work eligibility status of new employees physically performing services within the State of Nebraska. A federal immigration verification system means the electronic verification of the work authorization program authorized by the Illegal Immigration Reform and Immigrant Responsibility Act of 1996, 8 U.S.C. § 1324a, known as the E-Verify Program, or an equivalent federal program designated by the United States Department of Homeland Security or other federal agency authorized to verify the work eligibility status of a newly hired employee.

If the Contractor is an individual or sole proprietorship, the following applies:

1. The Contractor must complete the United States Citizenship Attestation Form, available on the Department of Administrative Services website at [www.das.state.ne.us](http://www.das.state.ne.us).
2. If the Contractor indicates on such attestation form that he or she is a qualified alien, the Contractor agrees to provide the U.S. Citizenship and Immigration Services documentation required to verify the Contractor's lawful presence in the United States using the Systematic Alien Verification for Entitlements (SAVE) Program.
3. The Contractor understands and agrees that lawful presence in the United States is required and the Contractor may be disqualified or the contract terminated if such lawful presence cannot be verified as required by NEB. REV. STAT. § 4-108.

W. **PROMPT PAYMENT.** Payment shall be made in compliance with the Nebraska Prompt Payment Act, NEB. REV. STAT. §§ 81-2401 through 81-2408. Unless otherwise provided herein, payment shall be made by electronic means.

Automated Clearing House (ACH) Enrollment Form Requirements for Payment.

The vendor shall complete and sign the State of Nebraska ACH Enrollment Form and obtain the necessary information and signatures from their financial institution. The completed form must be submitted before payments to the vendor can be made.

Download ACH Form:

[http://www.das.state.ne.us/accounting/nis/address\\_book\\_info.htm](http://www.das.state.ne.us/accounting/nis/address_book_info.htm)

- X. **PUBLIC COUNSEL.** In the event Contractor provides health and human services to individuals on behalf of DHHS under the terms of this contract, Contractor shall submit to the jurisdiction of the Public Counsel under NEB. REV. STAT. §§ 81-8,240 through 81-8,254 with respect to the provision of services under this contract. This provision shall not apply to contracts between DHHS and long-term care facilities subject to the jurisdiction of the state long-term care ombudsman pursuant to the Long-Term Care Ombudsman Act. This provision shall survive termination of the contract.
- Y. **RESEARCH.** The Contractor shall not engage in research utilizing the information obtained through the performance of this contract without the express written consent of DHHS. The term "research" shall mean the investigation, analysis, or review of information, other than aggregate statistical information, which is used for purposes unconnected with this contract. This provision shall survive termination of the contract.
- Z. **SEVERABILITY.** If any term or condition of this contract is declared by a court of competent jurisdiction to be illegal or in conflict with any law, the validity of the remaining terms and conditions shall not be affected, and the rights and obligations of

the parties shall be construed and enforced as if this contract did not contain the particular provision held to be invalid.

AA. SUBCONTRACTORS. The Contractor shall not subcontract any portion of this contract without prior written consent of DHHS. The Contractor shall ensure that all subcontractors comply with all requirements of this contract and applicable federal, state, county and municipal laws, ordinances, rules and regulations.

BB. TIME IS OF THE ESSENCE. Time is of the essence in this contract. The acceptance of late performance with or without objection or reservation by DHHS shall not waive any rights of DHHS nor constitute a waiver of the requirement of timely performance of any obligations on the part of the Contractor remaining to be performed.

NOTICES. Notices shall be in writing and shall be effective upon receipt. Written notices, including all reports and other written communications required by this contract shall be sent to the following addresses:

FOR DHHS:

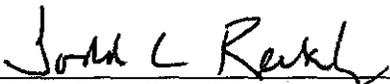
Terri Nutzman  
Department of Health and Human Services  
PO Box 95026  
Lincoln, NE 68509-5026  
(402) 471-8403

FOR CONTRACTOR:

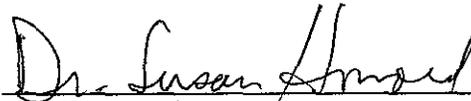
Dr. Susan Howard  
Howard Psychiatric Services  
420 West 5<sup>th</sup>, Suite 201  
Hastings, Nebraska 68901  
(402) 462-9400

**IN WITNESS THEREOF**, the parties have duly executed this contract hereto, and each party acknowledges the receipt of a duly executed copy of this contract with original signatures.

FOR DHHS:

  
\_\_\_\_\_  
Todd L. Reckling, Director  
Department of Health and Human Services  
Division of Children and Family Services

FOR CONTRACTOR:

  
\_\_\_\_\_  
Dr. Susan Howard  
Howard Psychiatric Services  
Hastings, NE

DATE: 06/13/2011

DATE: 6/1/11