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**FAMILY VIOLENCE PREVENTION, SHELTER, AND RELATED SERVICES  
SUBGRANT AWARD RENEWAL**

Bid Document No: 3312- Z1

**Subrecipient Name and Address:**

Healing Hearts and Families  
Suellen Koepke  
724 South D St  
Broken Bow, NE 68822

CFDA Title & #:	93.671	Award Amount:	\$944,615
Award Name:	<u>Family Violence Prevention &amp; Services State Grants</u>	Federal Agency:	<u>Administration for Children and Families</u>
Issue Date:	<u>5/4/12</u>	Federal Award Identifier #	<u>G-1201NEFVPS</u>
Award Date:	<u>10/1/11 to 9/30/13</u>	This award is not for research and does not include ARRA funds.	

This is an award renewal to the above Subrecipient for the following services:  
Family violence prevention, shelter, and related services for the amount of \$ 65,946 (sixty five thousand nine hundred forty six dollars and no cents) to provide services in Service Area Eight (8) in Nebraska for the period beginning July 1, 2012 and ending June 30th, 2013.

Family Violence Prevention and Services Act Funds: \$28,370  
State Funds: \$37,576

**Results Based Accountability.**

DHHS will be using this year (FY'13) to provide the foundation for Results Based Accountability. The goal is to use this FY to develop the points below so that when contracts are being prepared for FY'14, all the performance measures and reporting strategies are in place.

DHHS and the Subrecipient will:

1. Negotiate performance measures for outcomes that are aligned with our Child and Family Services Review Protocol;
2. Develop and adopt continuous improvement strategies for services performed and outlined in this award;
3. Simplify and make efficient the performance reporting requirements;
4. Develop schedule for desk audit/field audit over the award year; and
5. Develop a regular feedback loop for ideas to improve the system and discuss what the parties can do collaboratively to improve the overall system.

**All 5 Results Based Accountability expectations will be completed no later than 60 days prior to the FY'14 renewal process.**

The signature on the Request for Proposal for Contractual Services form is a commitment to DHHS to perform the specified services and adhere to all specified terms and conditions. The signatures below indicate a mutual agreement for the services.

The agreement consists of the following documents:

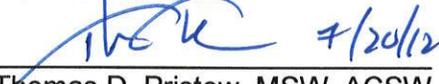
1. Subgrant Award Renewal
2. Service Contract Award Renewal Form;
3. Service Contract Award Form;
4. Any future signed contract amendment(s);
5. Request for Proposal for Contractual Services form;
6. Request for Proposal;
7. Request for Proposal addenda, attachments, and amendments including Questions and answers; and
8. Vendor or Contractor's Proposal.

For Healing Hearts and Families:

  
\_\_\_\_\_  
Suellen K. Koepke  
Executive Director

7/16/12  
\_\_\_\_\_  
Date:

FOR DHHS:

  
\_\_\_\_\_  
Thomas D. Pristow, MSW, ACSW  
Director  
Department of Health and Human Services  
Division of Children and Family Services

\_\_\_\_\_  
Date:

**NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES**  
*Division of Children and Family Services*  
**AUDIT REQUIREMENT CERTIFICATION**

*Subrecipients and certain contractors receiving funds from the Nebraska Department of Health and Human Services are required to complete this document. Reference to the Office of Management and Budget Circular A-133, Audits of States, Local Governments and Non-Profit Organizations, in this document is "Circular A-133".*

**Grant Name** Family Violence Prevention and Services Program **Grant** G-120INEFVPS  
**CFDA\* #** 93.671

\*(Catalog of Federal Domestic Assistance)

**Contractor's Name** Healing Hearts + Families

**Address:** 724 South D Street, PO Box 916

**City:** Broken Bow **State:** NE **Zip Code:** 68822

**Federal Tax Identification Number (FTIN)** 27-2988289

**Contractor's Fiscal Year** July 1, 2012 to June 30, 2013

All written communications from the Certified Public Accountant (CPA) engaged under #1 or #2 below, given to the contractor related to Statement of Auditing Standards (SAS) 112 *Communicating Internal Control related Matters Identified in an Audit* and SAS 114 *The Auditor's Communication with Those Charged With Governance* and any additional reports issued by the auditor as a result of this engagement must be provided to the DHHS immediately upon receipt, unless the Subrecipient or contractor has directed the CPA to provide the copy directly to the DHHS and has verified this has occurred.

Check either 1 or 2

1.  As the subrecipient or contractor named above, we expect to expend less than \$500,000 from all Federal Financial Assistance sources, not just the grant named above, and including commodities in our current fiscal year. Therefore, we are not subject to the audit requirements of Circular A-133.

We are, however, responsible for engaging a licensed Certified Public Accountant (CPA) to conduct an audit of our organization's financial statements if we have total federal expenditures over \$100,000. We acknowledge the audit must be completed no later than nine months after the end of our organization's current fiscal year. A copy of the report must be submitted to DHHS address as shown below within the earlier of 30 days after receipt of the auditor's report(s), or nine months after the end of the audit period.

2.  As the subrecipient or contractor named above, we expect to expend \$500,000 or more from all Federal Financial Assistance sources, not just the grant named above, and including commodities in our current fiscal year. Therefore, we are subject to the single audit requirements of Circular A-133.

We will engage a licensed Certified Public Accountant to conduct and prepare the audit of our organization's financial statements and components of the single audit pertaining to those financial statements. We acknowledge the audit must be completed no later than nine months after the end of our current fiscal year.

We further acknowledge, that a single audit performed in accordance with Circular A-133 must be submitted to the Federal Audit Clearinghouse. The reporting package, as evidence the audit was completed must contain:

- financial statements,
- a schedule of Expenditure of Federal Awards,
- a Summary Schedule of Prior Audit Findings (if applicable),
- a corrective action plan (if applicable) and
- the auditor's report(s) which includes an opinion upon financial statements and Schedule of Expenditures of Federal Awards, a report of internal control, a report of compliance and a Schedule of Findings and Questioned Costs.

We further acknowledge the auditor and this contractor or subrecipient must complete and submit with the reporting package a *Data Collection Form for Reporting on Audits of States, Local Governments and Non-Profit Organizations* (SF-SAC).

We further acknowledge a copy of the contractor's financial statements, auditor's report and SF-SAC must be submitted, at the time these documents are submitted to the Federal Audit Clearinghouse, to:

Nebraska Department of Health and Human Services  
Financial Services  
Grants and Cost Management  
P.O. Box 95026  
Lincoln, NE 68509-5026

The foregoing submissions must be made within the earlier of 30 days after receipt of the auditor's report(s), or nine months after the end of the audit period.

### Subrecipient Reporting Worksheet

#### Section A – Federal Award Information

Federal Award Identifier Number (FAIN) 1201NEFVPS

Federal Awarding Agency Name Administration of Children and Families

Award Date 5-4-2012

CFDA Program Number 93.671

Total Federal Funding Amount \$944,615

Subgrant Amount From This

Award: \$28,370

*\*See instructions if the subgrant is funded from more than one funding source*

#### Section B – Subrecipient Information

Subrecipient DUNS 962492240

Subrecipient Name Healing Hearts and Families.

Subrecipient Address: Street 724 South D Street, PO Box 96

City Broken Bow State NE

Country USA Zip Code + 4 68822-0096

Congressional District 3

Amount of Subgrant \$ 28,370 Subgrant Date 7/1/12 to 6/30/13

Subrecipient Principal City Broken Bow State NE

Place of Performance: Country USA Zip Code + 4 68822-0096

Congressional District 3

Subgrant Number \_\_\_\_\_ (Will be completed by Support Services)

Subgrant Project Description Family Violence and Services funds are used to provide emergency shelter and related assistance such as support groups, counseling, advocacy, and crisis hotline access to victims and their dependents. The funds are also used to provide public awareness and community education to prevent family violence.

**Section C – Officer Compensation**

1. In your business or organization's previous fiscal year, did your business organization (including parent organization, all branches, and all affiliates worldwide) receive 80% or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements AND \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?

Yes – answer Question 2

No – not required to provide officer compensation

2. Does the public have access to information about the compensation of the senior executives in your business or organization (including parent organization, all branches, and all affiliates worldwide) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?

Yes – not required to provide officer compensation

No – provide the names and total compensation of the five most highly compensated officers of the entity below

1.	_____	\$ _____
	Name	Compensation
2.	_____	\$ _____
	Name	Compensation
3.	_____	\$ _____
	Name	Compensation
4.	_____	\$ _____
	Name	Compensation
5.	_____	\$ _____
	Name	Compensation

**Section A – Federal Award Information (Continuation)**

*Use this page only if the subgrant is being funded by multiple sources (multiple federal grants or a combination of federal and state funds)*

Federal Award Identifier Number (FAIN) _____	
Federal Awarding Agency Name _____	Award Date _____
CFDA Program Number _____	Subgrant Amount From This Award: \$ _____
Total Federal Funding Amount \$ _____	

Federal Award Identifier Number (FAIN) _____	
Federal Awarding Agency Name _____	Award Date _____
CFDA Program Number _____	Subgrant Amount From This Award: \$ _____
Total Federal Funding Amount \$ _____	

Federal Award Identifier Number (FAIN) _____	
Federal Awarding Agency Name _____	Award Date _____
CFDA Program Number _____	Subgrant Amount From This Award: \$ _____
Total Federal Funding Amount \$ _____	

Amount funded from Federal Grants	<u>\$28,370</u>	total of grants in Section A
Amount funded from State General Funds	<u>\$37,576</u>	
Amount funded from State Cash Funds	\$ _____	
Amount funded from Federal Cash Funds	\$ _____	fed sources other than grants
Total amount funded from all sources	<u>\$65,946</u>	should equal total of subgrant