

CONTINUOUS QUALITY IMPROVEMENT (CQI)



Adult Protective Services

Our Vision: To prevent and reduce harm to vulnerable adults from abuse, neglect, and exploitation utilizing the least restrictive methods.

Our Commitments:

1. Adults have the right to be safe
2. Adults retain all their civil and constitutional rights unless a court adjudicates otherwise
3. Adults have the right to accept or refuse services
4. Adults have the right to make decisions that do not conform with societal norms as long as these decisions do not harm others

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CHAPTER 1: Prevention and Early Intervention

- **OUTCOME STATEMENT: COMMUNITIES WILL HAVE AN UNDERSTANDING AND OWNERSHIP OF PREVENTING AND INTERVENING IN THE PROTECTION OF VULNERABLE ADULTS FROM ABUSE, NEGLECT, OR EXPLOITATION.**
- **Goal Statement: Increase the public's awareness of the role of Adult Protective Services.**

Adult Protective Services Intakes – Past 3 Years

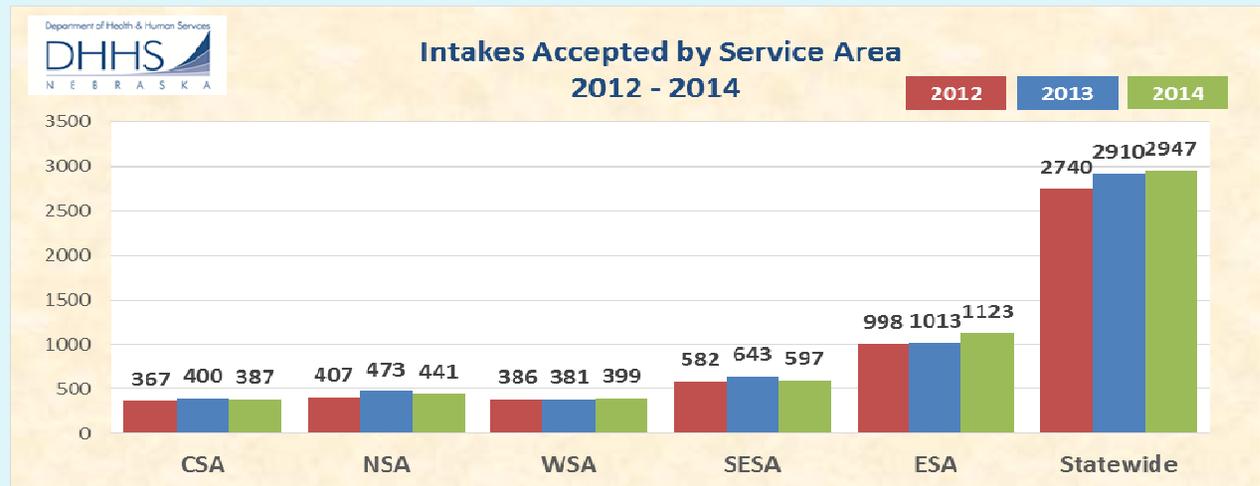
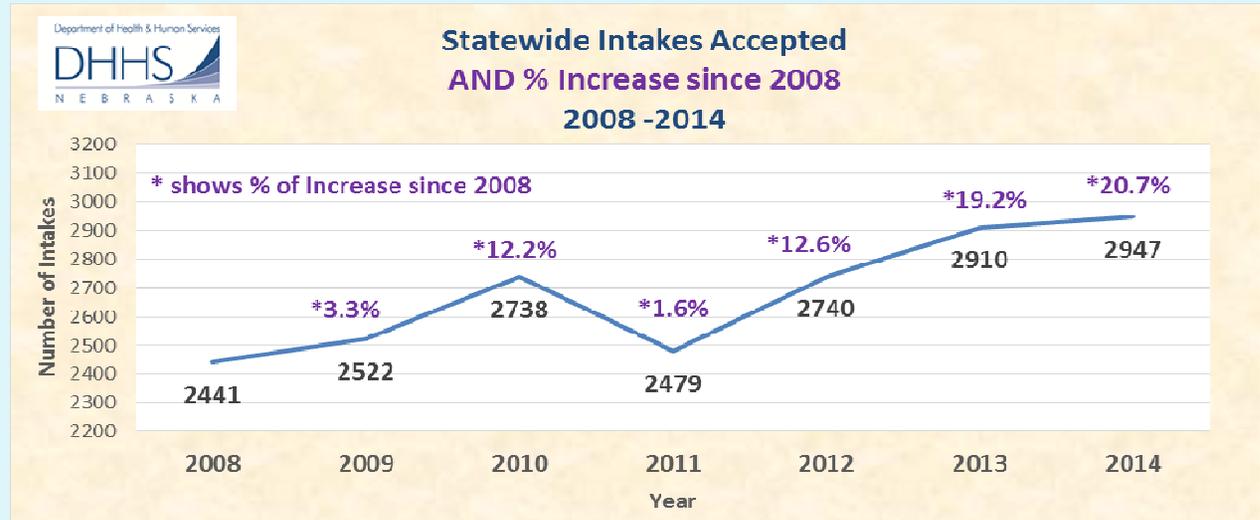
Strengths/Opportunities:

The number of accepted APS intakes has increased each year since 2008. 2014: Overall, there were 37 more intakes accepted than in 2013. Several service areas had a lower number of intakes received in 2014 than in 2013. (CSA, NSA, and SESA).

Barriers:

Action Items:

What are the overall trends of accepted APS intakes over the past 3 years?



Data Review Frequency: Monthly

Adult Protective Services Intakes – Past 3 Months

Strengths/Opportunities:

November 2015: SESA intake acceptance rate increased from 24.4% to 37.1% based on the number of intakes received. Other service areas saw no significant increase or decrease.

Barriers:

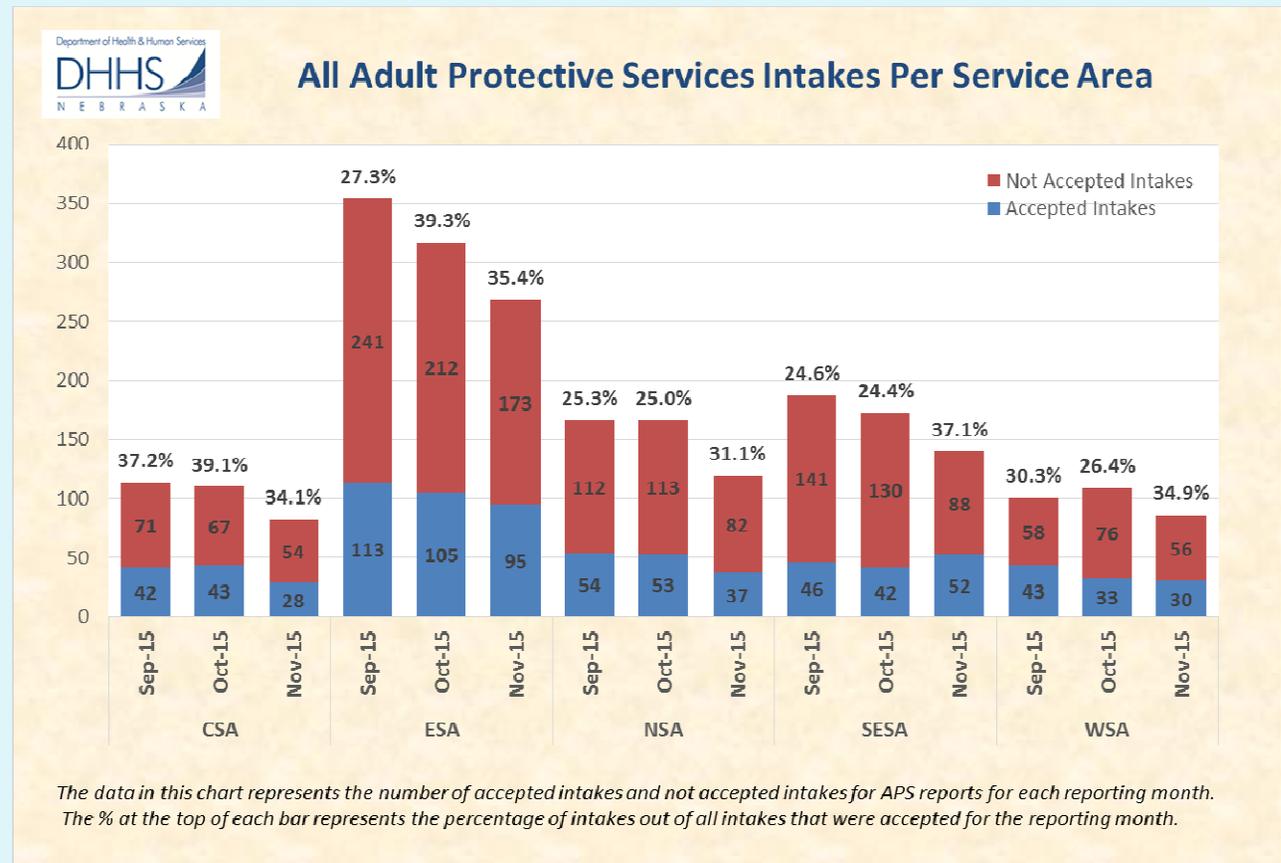
Action Items:

Total accepted intakes for the current year (Jan – Nov 2015):

CSA: 377
 ESA: 1042
 NSA: 463
 SESA: 574
 WSA: 339

Data Review Frequency: Monthly

How many APS intakes are accepted vs. not accepted for each Service Area over the past 3 months?



This data reflects all the APS Intakes during the reporting month including accepted intakes and intakes not accepted. This data also reflects any multiple reporter intake.

Source: 2015-11 Intake QA Report

**APS Recurrence Details –
(10/1/13 – 9/30/14)**

Strengths/Opportunities:

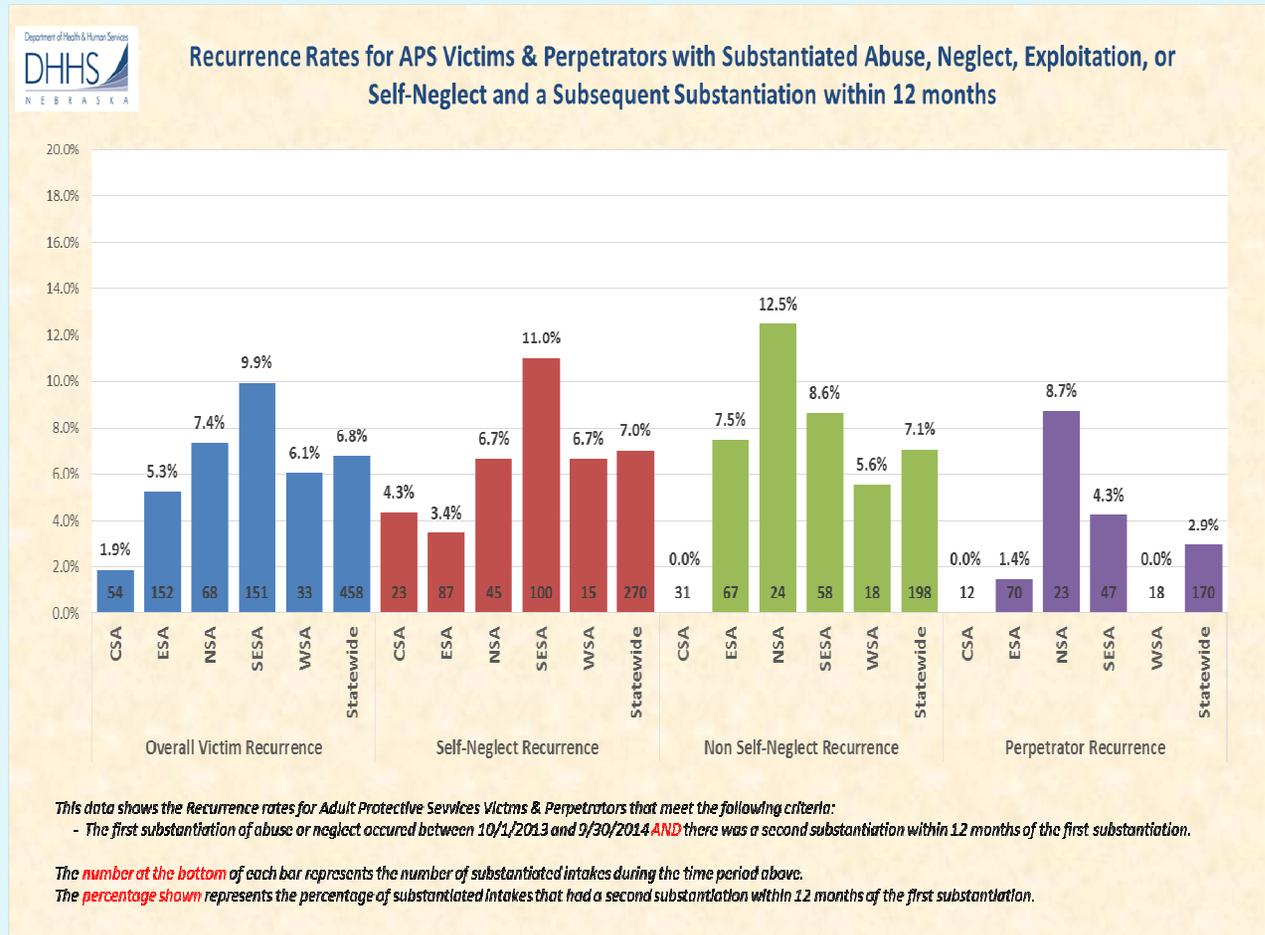
August 2015: Data chart added this month to show the recurrence rates for different types of APS victims and perpetrators.

October 2015: Statewide overall victim recurrence increased from 6.3% to 6.8%. Perpetrator recurrence increased from 2.4% to 2.9% during the new period under review.

Barriers:

Action Items:

What is the recurrence rate for Adult Protective Services victims & perpetrators on different types of intakes over time?



Source: 10.1.2013 to 9.30.2014 APS Recurrence Details

Data Review Frequency: Monthly

CHAPTER 2: Safety

- **OUTCOME STATEMENT: VULNERABLE ADULTS IN THE ADULT PROTECTION SYSTEM ARE SAFE.**
- **Goal Statement #1: Adult protection and safety staff are committed to integrating Structured Decision Making into all aspects of work**
- **Goal Statement #2: Vulnerable adults will receive a timely response from Adult Protective Services**
- **Goal Statement #3: Adult Protective Services ensure the safety and dignity of vulnerable adults using the least restrictive interventions**

**Intake Calls / Responses –
All Calls & APS Breakout**

Strengths/Opportunities:

November 2015: Significantly lower number of APS calls throughout November 2015: 992 in October 2015 and 812 in November 2015.

APS Investigation calls went from 922 (14.0%) of all calls in October 2015 to 812 (14.1%) of all calls in November 2015.

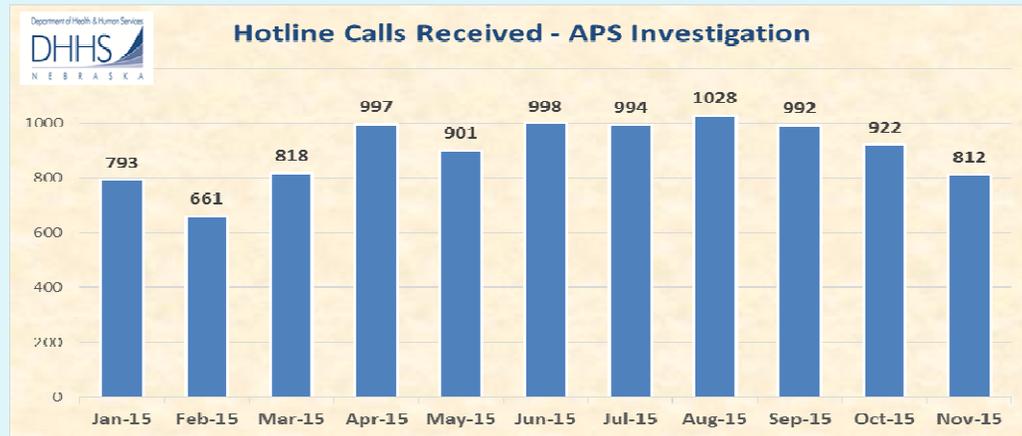
Barriers:

Action Items:

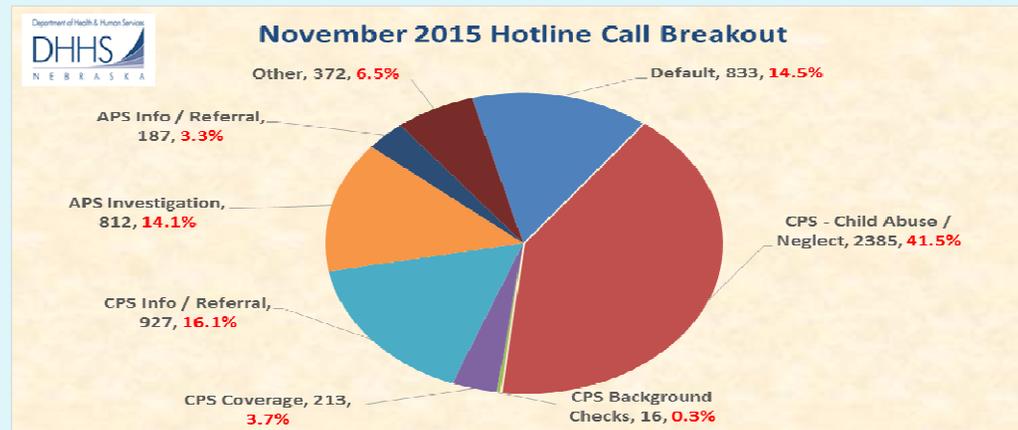
Definitions for each type of call are below the chart.

Data Review Frequency: Monthly

How many hotline calls received each month are screened as APS Investigations?



What percentage of hotline calls answered each month were APS Abuse and Neglect calls or APS Info/Referral calls? (Current Report Month)



APS Info / Referral: Caller wants information or needs a referral to a community resource

APS Investigation: Caller intends to make an APS report

CPS Info / Referral: Caller wants information or needs a referral to a community resource for children

CPS Coverage: Caller needs information on an open case

CPS Background Checks: Requests for background checks to be completed for placement

CPS Child Abuse/Neglect: Caller intends to make a CPS report

Default: Calls not coded into the Line of Business system

Other: Calls that are general questions that do not fit into any of the other categories and do not generate a report, NFOCUS documentation, or notification to another HHS employee

**Intake Quality Measures –
APS Only (3 Month Period)**

Strengths/Opportunities:

September 2015: Data indicates that APS intakes are received with enough detail to determine if the report met the screening criteria and if the victim may or may not be a vulnerable adult.

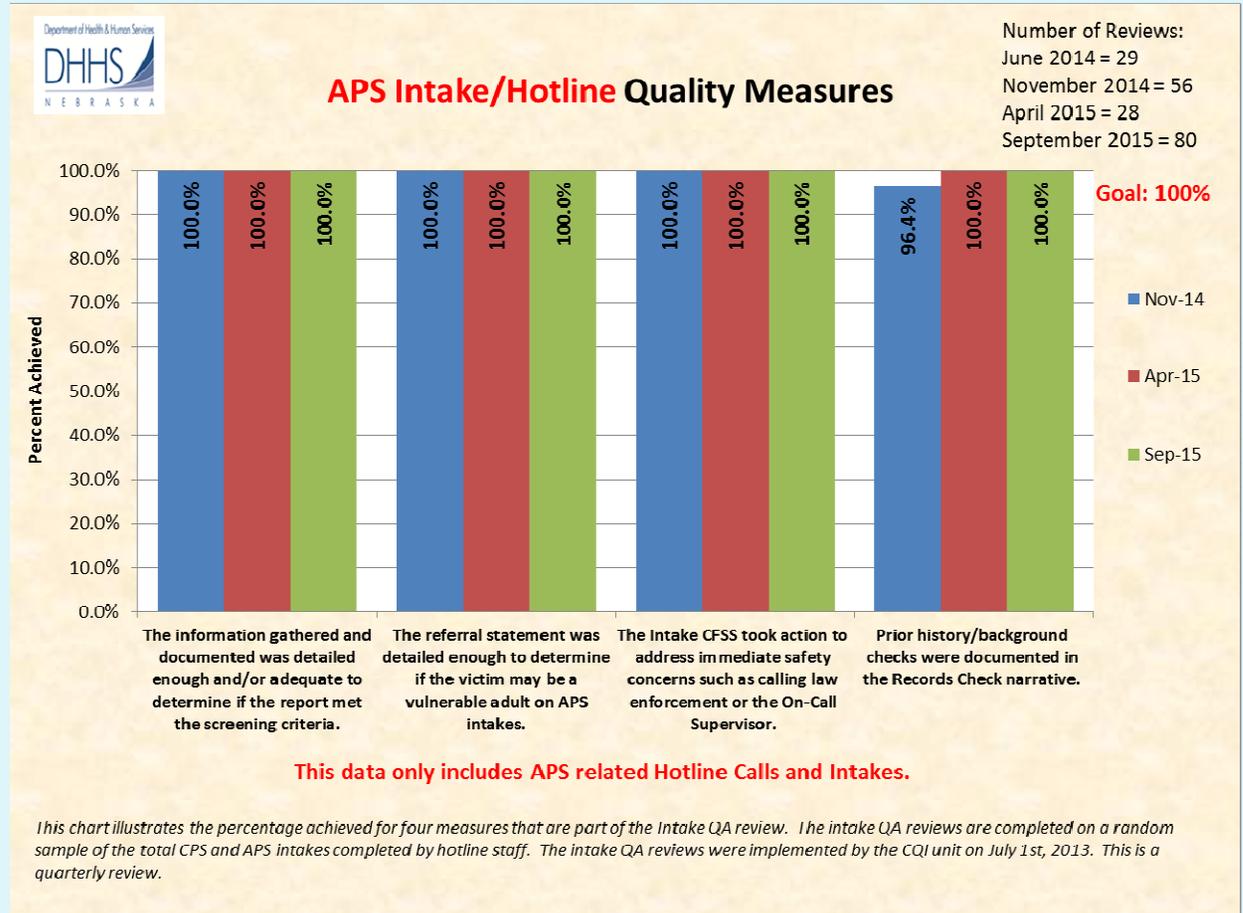
Barriers:

Action Items:

Note: This is a quarterly review.

Data Review Frequency: Quarterly

How well does the hotline adhere to expectations established for quality intake decisions?



Adult Protective Services Intakes vs. Vulnerable Adults (6 Month Period)

Strengths/Opportunities:

November 2015: Data now reflects all accepted intakes for the reporting month. Data is shown for previous months to allow all intake findings to be entered.

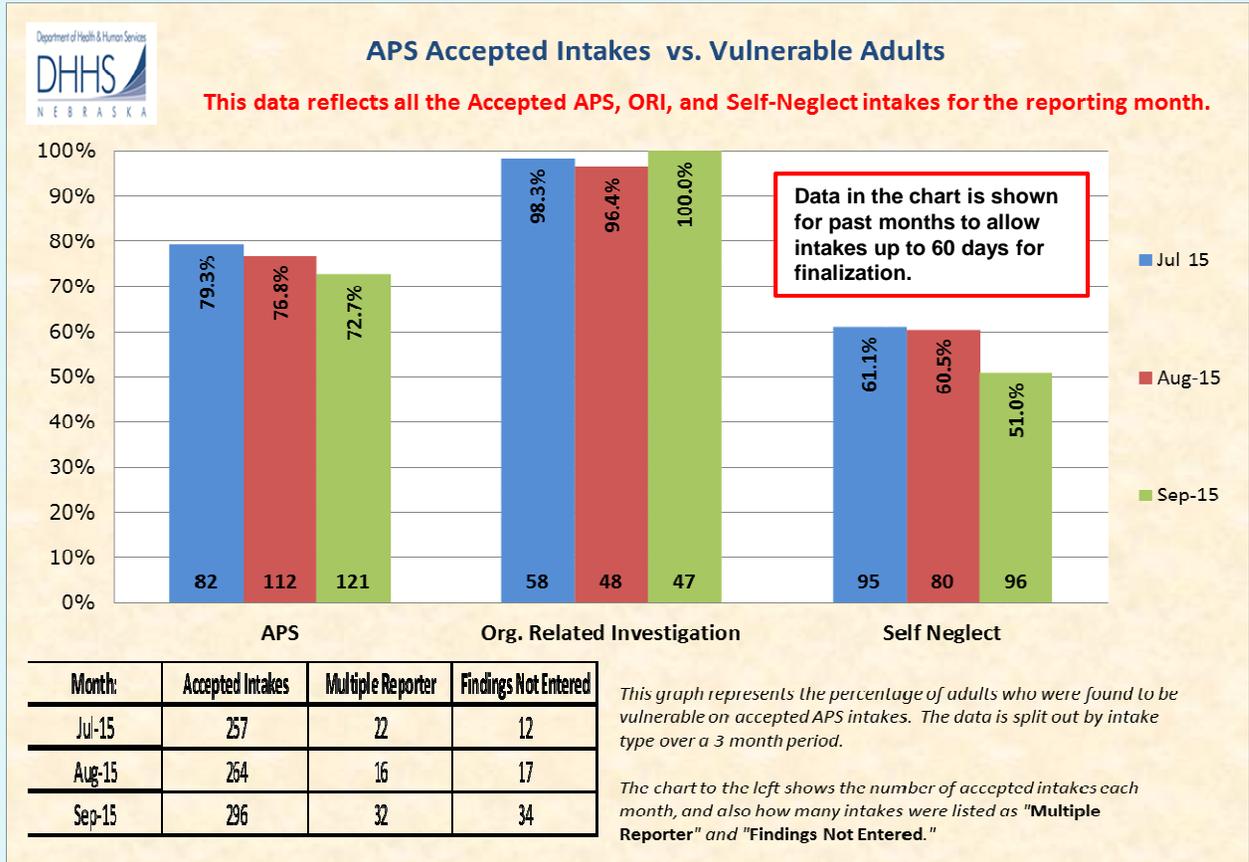
Barriers:

Action Items:

The data in the charts reflects the months in which the intake was received. The months on the chart are shown to allow for the 60 day timeframe for intakes to be finalized.

Data Review Frequency: Monthly

How often are alleged victims found to be “vulnerable adults” on accepted perpetrated, Org. Related, and Self-Neglect intakes?



Definitions will be added for the different types of intakes (Perpetrated, Org. Related, and Self-Neglect).

Vulnerable Adult: NRS (28-371): Vulnerable adult shall mean any person eighteen years of age or older who has a substantial mental or functional impairment or for whom a guardian has been appointed under the Nebraska Probate Code.

APS Face to Face Contact Timeframes (3 Month Period)

Strengths/Opportunities:

September 2015: Slight decrease in face to face timeframes for P2 and P3 intakes.

October 2015: Slight decrease in P1 timeframes with increase in both P2 and P3 timeframes.

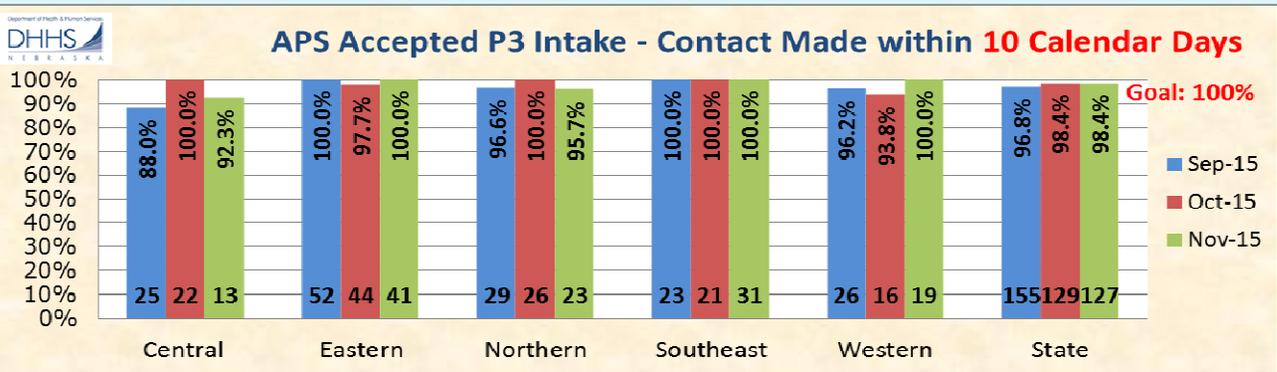
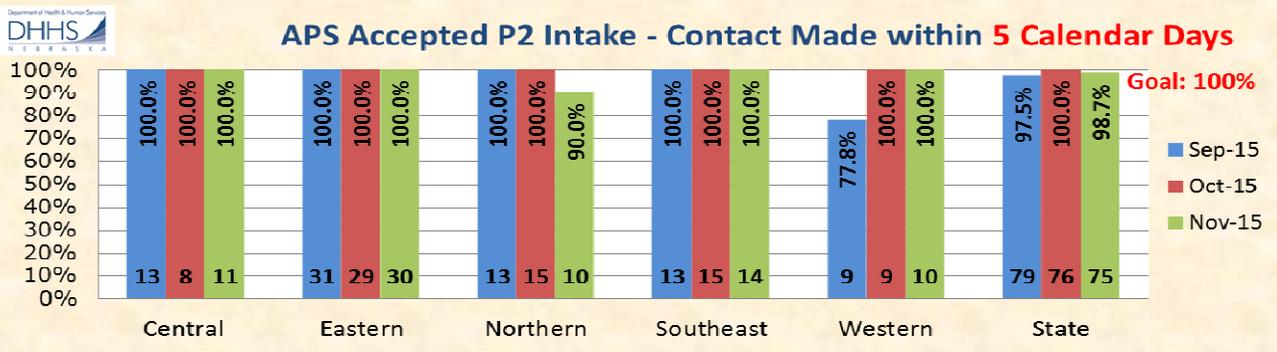
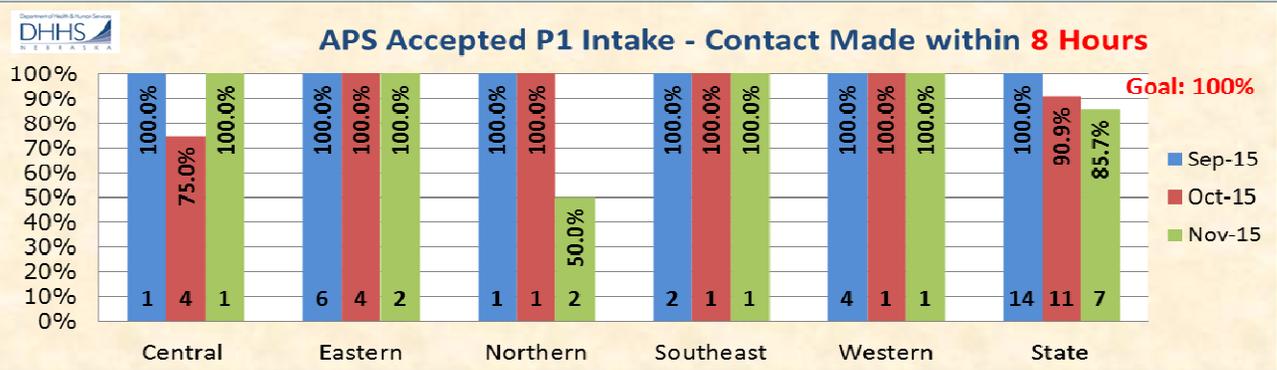
November 2015: Slight decrease in P1 and P2 timeframes while P3 timeframes remained the same.

Exceptions granted for intakes accepted in November 2015:

Exception Type	CSA	ESA	NSA	SESA	WSA
Unable to Locate	0	8	0	5	0
Refusal	0	0	0	1	0
Unable to Identify	0	0	0	1	0
Admin. Intake	0	1	0	0	0
Death of Victim	0	0	1	1	0
Law Enforce. Hold	0	0	0	0	0
TOTAL EXCEPTIONS	0	9	1	8	0

This data is measured for intakes accepted in November 2015.

Is face to face contact with an alleged victim occurring within established priority time frames?



Data Review Frequency: Monthly

Note: Measures are from the APS Performance Accountability report on Infolio. Source: 2015 – 11 APS Performance Accountability

APS Investigation Timeframes – In Ready for Review Status (3 Month period)

Strengths/Opportunities:

September 2015: Decrease in P1 and P2 timeframes, with a slight increase in P3 timeframes for ready for review status.

October 2015: Decrease in P2 and P3 timeframes with an increase in P1 timeframes into ready for review status.

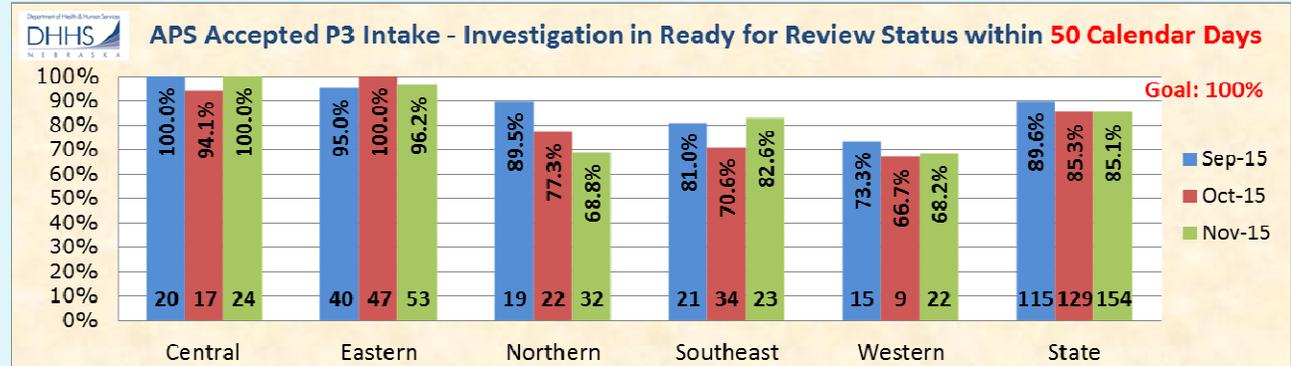
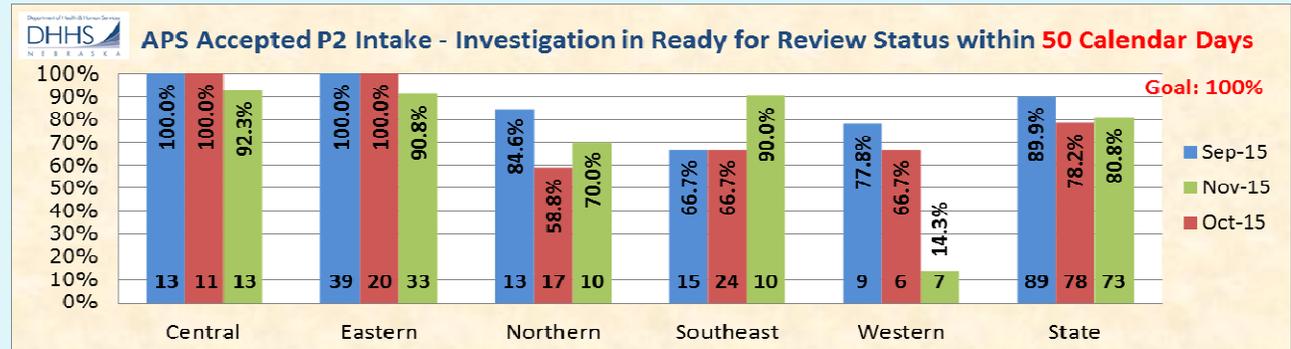
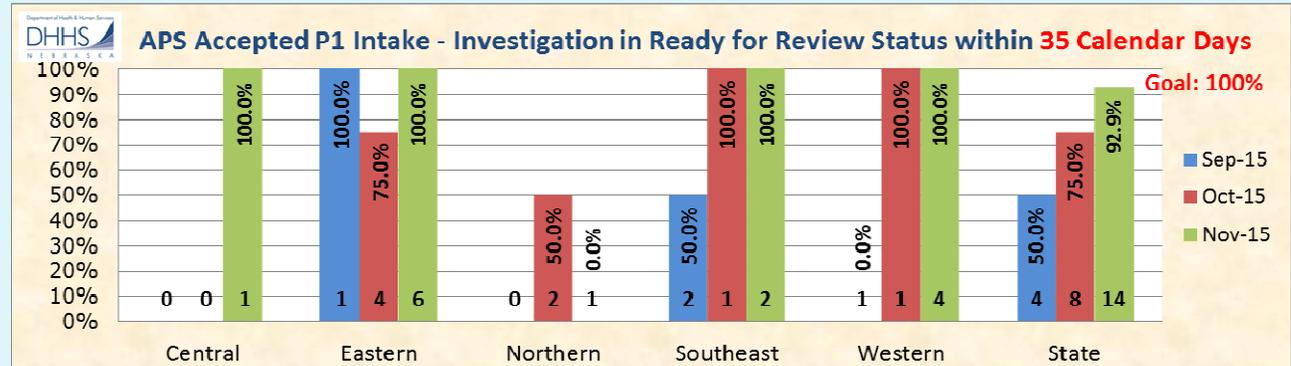
November 2015: Significant increase in P1 timeframes while P2 and P3 timeframes remain steady.

Barriers:

Financial exploitation cases are considered a barrier to achieving this measure due to the time requirements involved in that type of investigation.

Note: Exceptions to finalization timeframes are not reflected in the charts.

Is the APS worker submitting their draft APS Investigation to their supervisor for review within the expected timeframes?



Data Review Frequency: Monthly

Note: Measures are from the APS Performance Accountability report on Infoview. Source: 2015 – 11 APS Performance Accountability

Data in the charts is shown for September 2015 to allow intakes up to 60 days for finalization.

APS Investigation Timeframes – In Final Status from Ready for Review (3 Month Period)

Strengths/Opportunities:

September 2015: Increase in P2 timeframes with a slight decrease P3 timeframes.

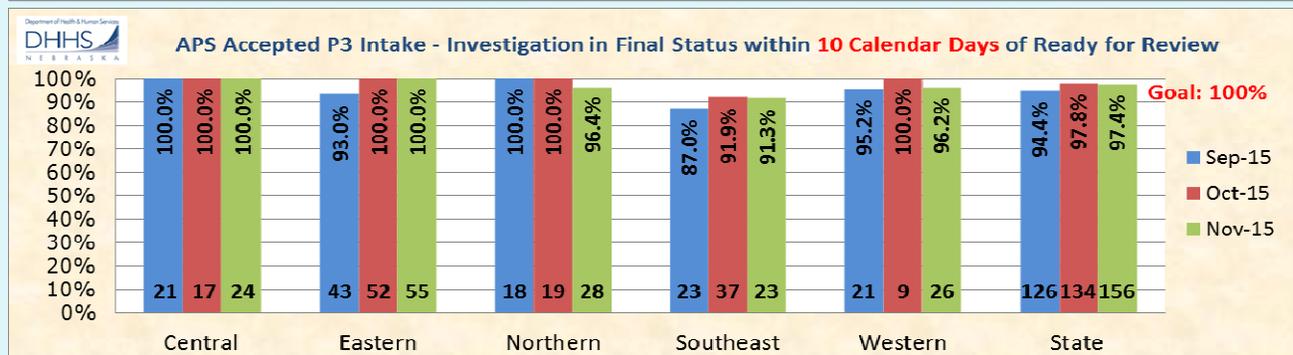
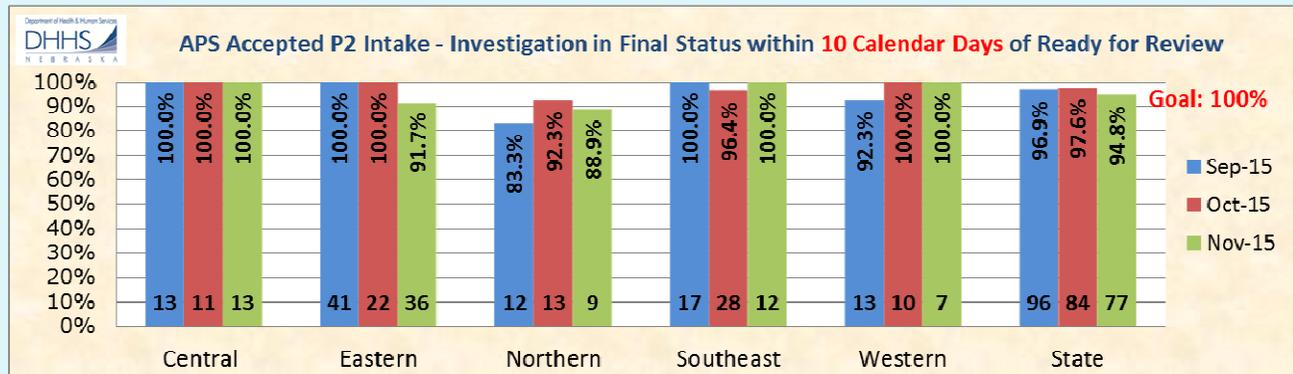
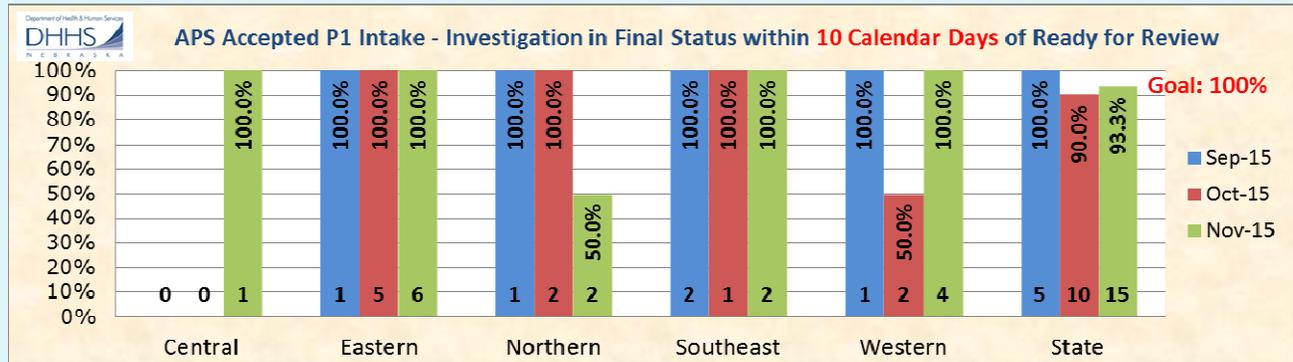
October 2015: Increase in P2 and P3 timeframes with a slight decrease in P1 timeframes.

November 2015: Timeframes remain consistent across all priority levels for November 2015.

Barriers:

Action Items:

Is the APS investigation finalized by the APS Supervisor within 10 calendar days after it is submitted by the worker?



Data Review Frequency: Monthly

Note: Measures are from the APS Performance Accountability report on Infolio. Source: 2015 – 11 APS Performance Accountability

Data in the charts is shown for September 2015 to allow intakes up to 60 days for finalization.

APS Investigation Timeframes – In Final Status from Intake (3 Month Period)

Strengths/Opportunities:

September 2015: Increase for P1 finalization timeframes with a slight decrease in both P2 and P3 intakes.

October 2015: P1 remains at 100%, with slight decreases to P2 and P3 timeframes statewide.

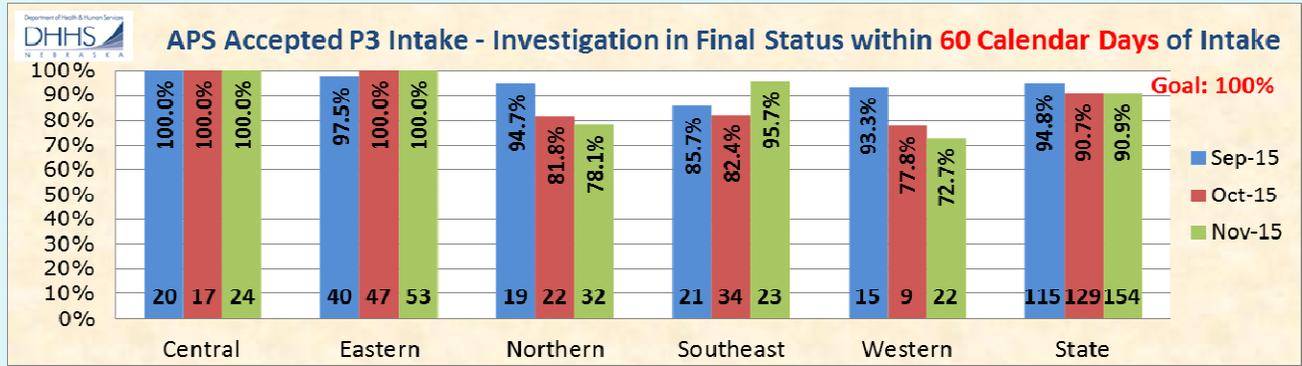
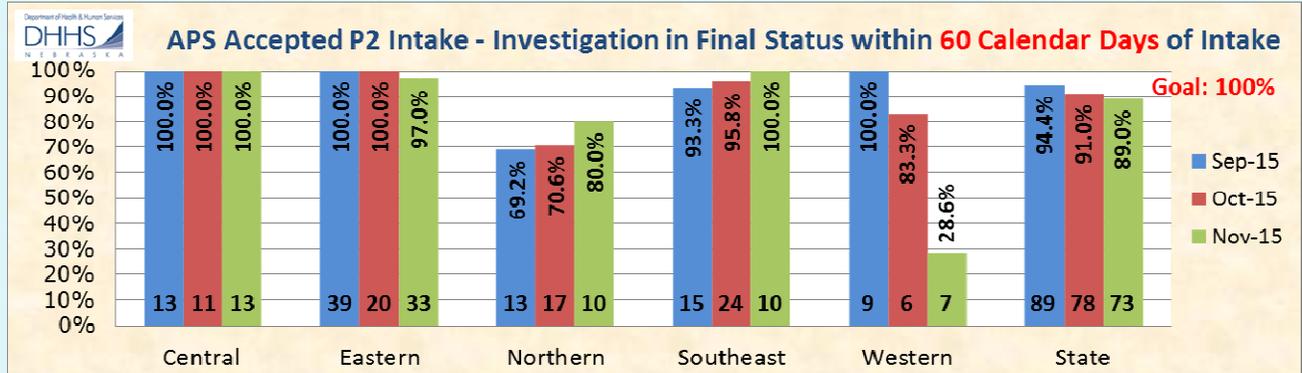
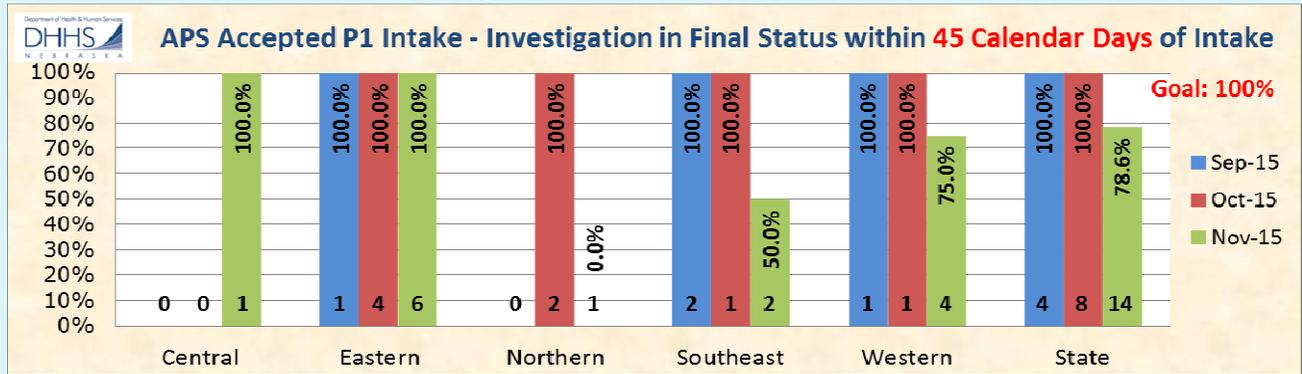
November 2015: Significant decrease in P1 timeframes with P2 and P3 timeframes remaining consistent.

Extensions granted for intakes accepted in September 2015:

Extension Type	CSA	ESA	NSA	SESA	WSA
Invest. Timeframe	1	4	5	4	8
Admin. Intake	0	3	0	1	1
Law Enforce. Hold	0	0	1	0	0
TOTAL EXTENSIONS	1	7	6	5	9

This data is measured for intakes accepted in September 2015.

Are APS investigations finalized within priority timeframes after the intake was accepted?



Data Review Frequency: Monthly

Note: Measures are from the APS Performance Accountability report on Infoview. Source: 2015 - 11 APS Performance Accountability

Data in the charts is shown for September 2015 to allow intakes up to 60 days for finalization.

Monthly Contact – Open APS Cases (Current Report Month)

Strengths/Opportunities:

November 2015: The monthly contact expectations for the state decreased to 7 open program cases. New charts will be available in 2016 to monitor face to face contacts in ongoing APS cases.

Barriers:

Action Items:

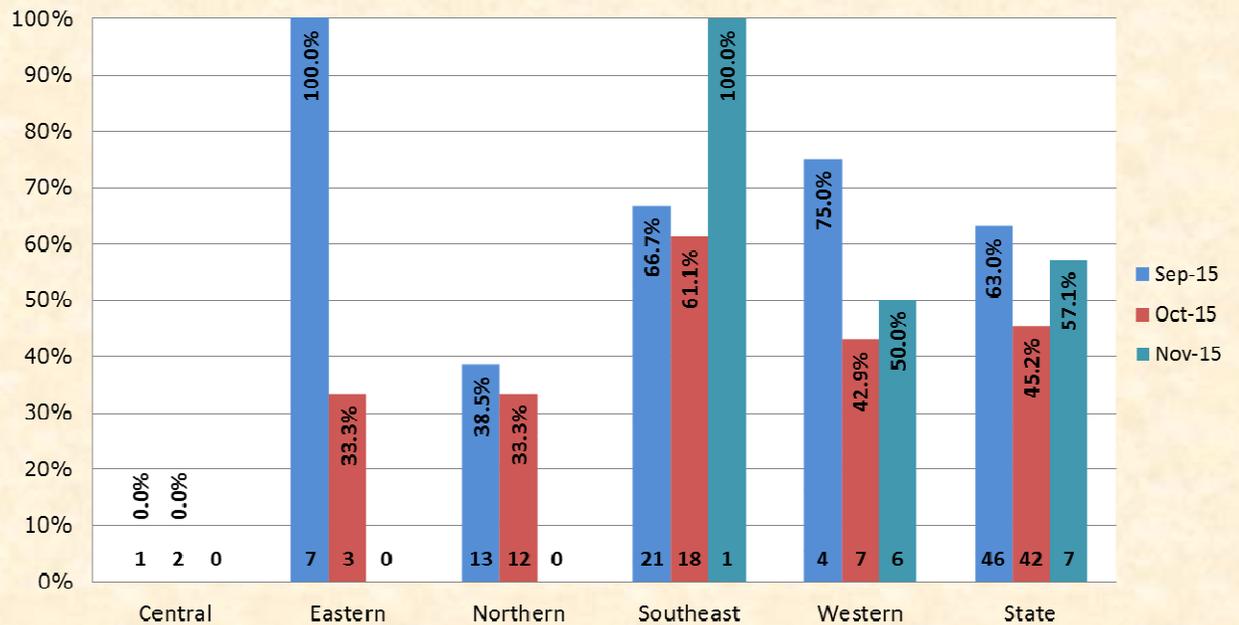
NFOCUS changes are currently planned for November 2015 that will allow us to identify ongoing cases within NFOCUS.

Data Review Frequency: Monthly

Are monthly contacts being documented on open APS program cases with no current investigation?



Monthly Contact in Open Program Cases with no Active Investigation



This data shows that there are several open program cases with no active APS investigations across the state.
 - The number at the bottom of each graph shows the number of open program cases where a monthly contact should be expected.
 - The percentage shown represents the percentage of open program cases where a monthly contact was documented.

Definition: All APS Program Cases that are in open status on the last day of the month prior to the report run and either have no investigation tied or all investigations are in Final Status.

Performance Standard: In open program cases with no investigation tied or all investigations in final status, the expectation is one documented face to face contact with the adult(s) per month.

Note: Measures are from the APS Performance Accountability report on Infoview. Source: 2015 - 11 APS Performance Accountability

APS Quality Measures – Statewide (6 Month Period)

Strengths/Opportunities:

September 2014: Many items remained consistent. Decreases in supporting the maltreatment and safety sections statewide.

October 2014: 3 out of 5 measures remained steady, while 2 increased statewide.

November 2014: 3 out of 5 measures decreased, while two measures increased for the state.

December 2014: 3 measures at 100% and increases in all 5 measures!

January 2015: Decrease in two measures, with an increase in the Safety Response area!

February 2015: Four measures remained steady with one slight decrease in one measure.

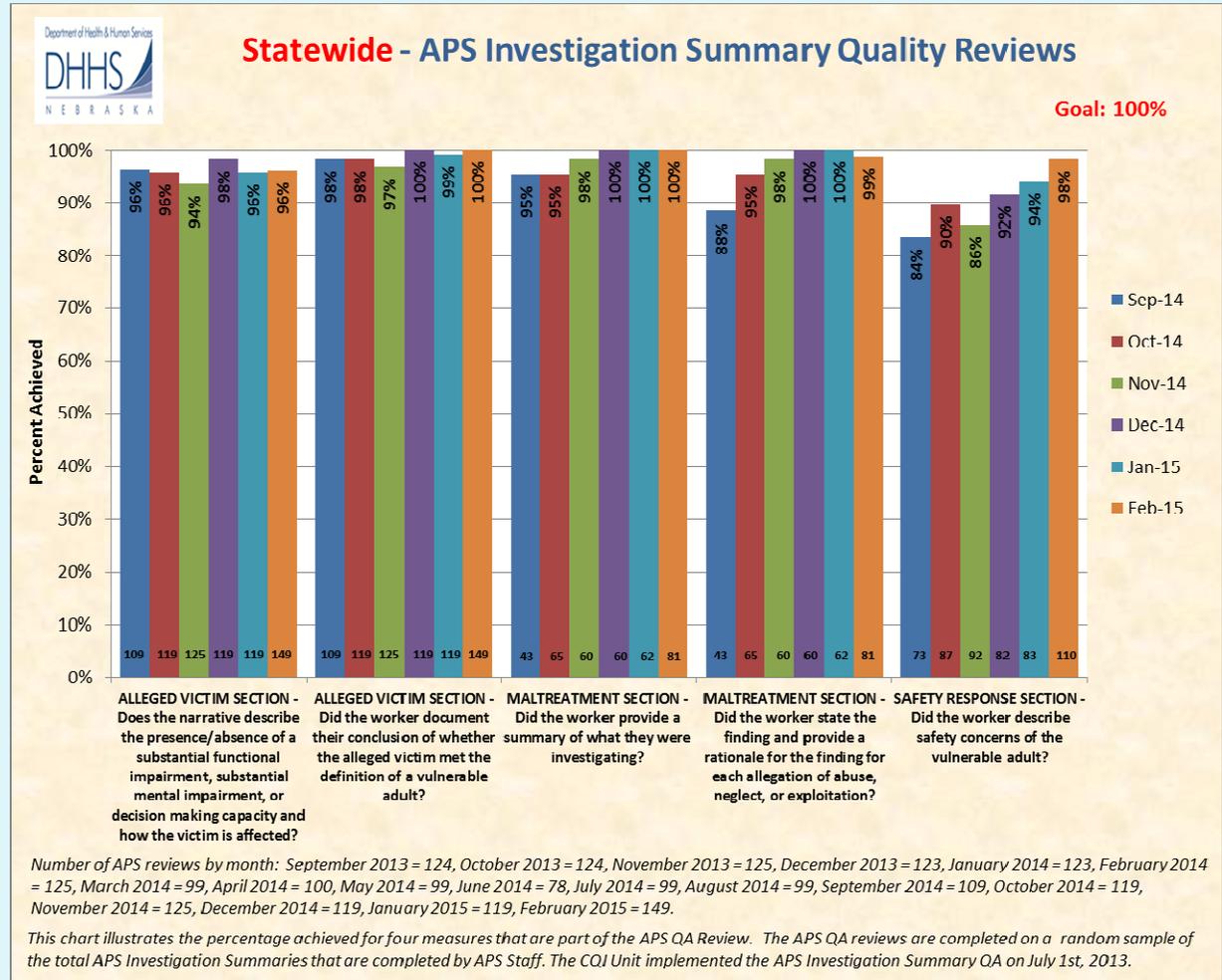
Barriers:

Action Items:

Note: Service Area charts were removed from the following pages in March 2015.

Data Review Frequency: Monthly

Does the APS Investigation Summary contain sufficient information to support decisions made during the investigation?



Note: Measure is from CQI reviews of the APS investigation summary. Random samples from each service area are selected and reviewed on a monthly basis.

CHAPTER 3: Workforce Stability

- **OUTCOME STATEMENT: THE ADULT PROTECTIVE SERVICES' WORKFORCE IS WELL-QUALIFIED, TRAINED, SUPERVISED, AND SUPPORTED.**
- **Goal Statement #1: Reduce staff turnover**
- **Goal Statement #2: Provide for ongoing training for staff that addresses the skill and knowledge base needed to carry out their duties**
- **Goal Statement #3: Supervisory staff will be well trained and provide overall leadership for workers**

APS Intake Trends by Service Area (Past 12 Months)

Strengths/Opportunities:

November 2015: All 5 service areas have increasing trend lines for the past 12 month period.

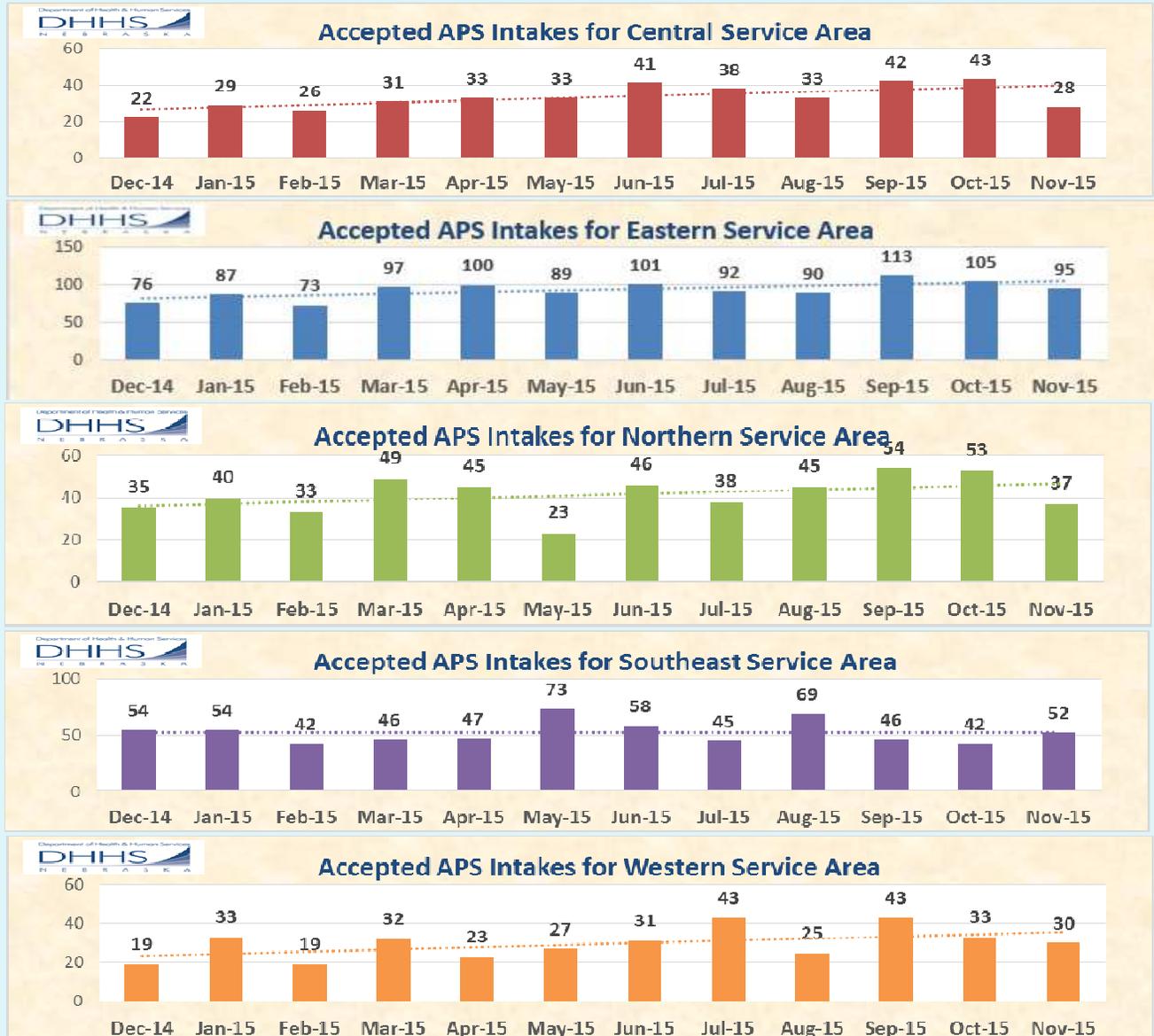
Barriers:

Action Items:

This data includes multiple reporter intakes.

Source: 2015-11 Intake QA Report

What are the current trends for accepted Adult Protective Services intakes for each Service Area for the past 12 months?



Data Review Frequency: Monthly

APS Staff Vacancy Rate (6 Month Period)

Strengths/Opportunities:

November 2015: ESA and SESA continue to have one position in trainee status. The WSA has 3 staff covering the 4 positions at this time.

Barriers:

Action Items:

**Planned:* In the future, APS Staff could have their own classification.

Data Review Frequency: Monthly

How many APS positions were available for a full case load and how many positions were in Trainee status in a given month?

APS Positions (Full Case Load) by Service Area						
	Jun-15	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15
CSA	3/4, 75.0%	3/4, 75.0%	3/4, 75.0%	3/4, 75.0%	4/4, 100%	4/4, 100%
ESA	11/11, 100%	10/11, 90.9%	10/11, 90.9%	10/11, 90.9%	10/11, 90.9%	10/11, 90.9%
NSA	5/5, 100%	5/5, 100%	5/5, 100%	5/5, 100%	5/5, 100%	5/5, 100%
SESA	7/7, 100%	7/7, 100%	6/7, 85.8%	6/7, 85.8%	6/7, 85.8%	6/7, 85.8%
WSA	4/4, 100%	4/4, 100%	4/4, 100%	4/4, 100%	4/4, 100%	3/4, 75.0%
Total	30/31, 96.8%	30/31, 96.8%	28/31, 90.3%	28/31, 90.3%	29/31, 93.5%	28/31, 90.3%

APS Positions (Trainee Status) by Service Area						
	Jun-15	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15
CSA	0/4, 0.0%	0/4, 0.0%	1/4, 25.0%	1/4, 25.0%	0/4, 0.0%	0/4, 0.0%
ESA	0/11, 0.0%	0/11, 0.0%	0/11, 0.0%	1/11, 9.1%	1/11, 9.1%	1/11, 9.1%
NSA	0/5, 0.0%	0/5, 0.0%	0/5, 0.0%	0/5, 0.0%	0/5, 100%	0/5, 100%
SESA	0/7, 0.0%	0/7, 0.0%	0/7, 0.0%	1/7, 14.3%	1/7, 14.3%	1/7, 14.3%
WSA	0/4, 0.0%	0/4, 0.0%	0/4, 0.0%	0/4, 0.0%	0/4, 100%	0/4, 0.0%
Total	0/31, 0.0%	0/31, 0.0%	1/31, 3.2%	3/31, 9.7%	2/31, 6.5%	2/31, 6.5%

Source: Self-Report from all the Adult Protective Services Supervisors.

Average Investigation Per APS Worker Per Month

Strengths/Opportunities:

The average number of APS intakes per worker per month increased slightly from 2012 to 2013.

2014: The average number of intakes per worker increased from 2013, but several service areas decreased.

Barriers:

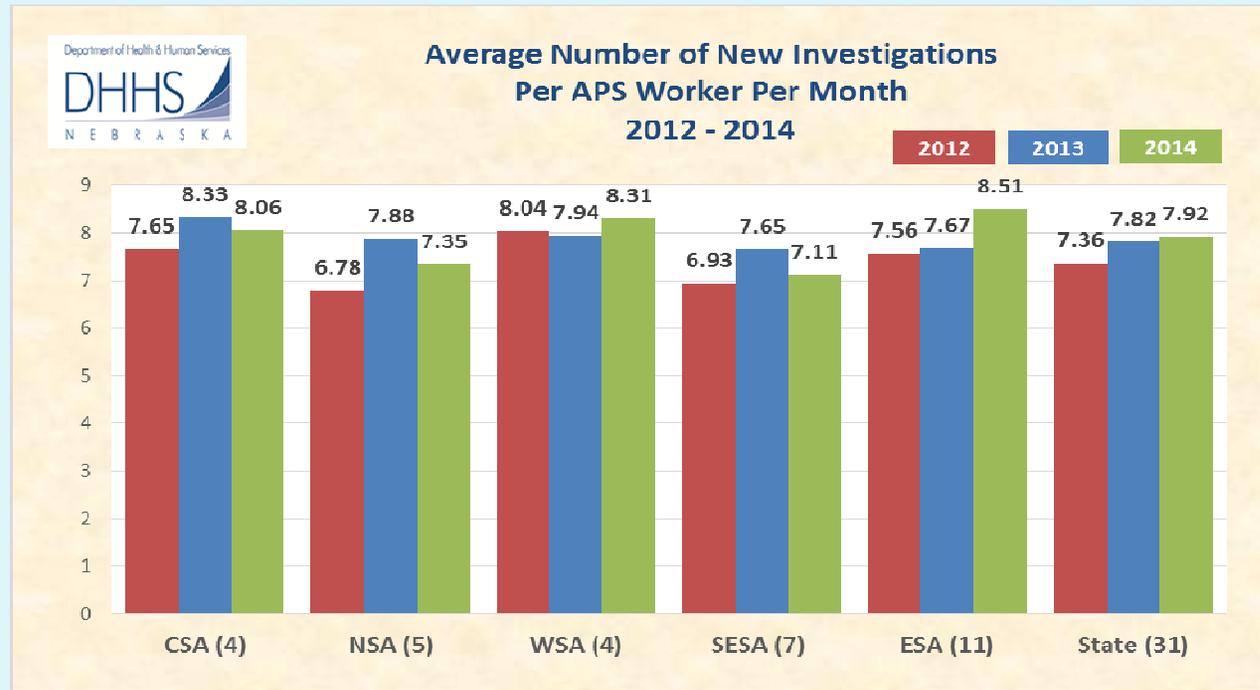
Action Items:

NFOCUS changes are currently planned for November 2015 that will allow us to identify ongoing cases within NFOCUS.

Note: The number next to each service area represents the total allotted positions for the current year (2014).

Data Review Frequency: Monthly

On average, how many investigations were completed by APS workers in each Service Area and how many of those investigations were for Self Neglect, APS, or Org. Related Intakes?



Average Number of New Investigations Per Worker Per Month - 2014			
	Self Neglect	APS Investigation	ORG Related
CSA	3.5	2.9	1.2
NSA	2.3	3.1	1.3
WSA	3.1	3.5	1.1
SESA	2.4	2.9	1.3
ESA	2.1	4.1	1.8

This data only represents new investigations. It does not reflect the number of ongoing cases managed by APS.

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Prepared by:

Nebraska Department of Health and Human Services
Children and Family Services
Research, Planning and Evaluation Unit
402-471-5361
DHHS.CQI@nebraska.gov

