



State Committee on Problem Gambling  
Nebraska State Office Building  
301 Centennial Mall South – Lower Level F, NE 68509  
May 21, 2010 - 9:00 a.m. - 3:15 p.m.  
**Meeting Minutes**



**Purpose & Duration:**

This is the quarterly meeting of the State Committee on Problem Gambling. The Executive Committee meeting takes place one hour before the regular meeting starts.

**Committee Members Attending:**

John Bekins, Dennis Buckley, Carmen Engelhardt, Janet French, Sherrie Geier, Ed Hoffman, Steve Jung, Steve Sloup, Kenneth Timmerman

**Committee Members Absent:**

Dennis McNeilly, John Hill

**DHHS-Division of Behavioral Health & Operations Staff Attending:**

Maya Chilese, Lori Dawes, Eric Hunsberger, Vicki Maca, Iliana Martin

**Public Attendees:**

Jolene Beckstrom, Gina Fricke, Rhonda Spence, Wanda Swanson, Harlan Vogel, Rob Walton

**Agenda Items:**

I. **Meeting Called to Order** – Steve Jung:

Chairperson Steve Jung called the meeting to order at 9:00am. After Roll Call, it was determined that a quorum was present.

II. **Approval of Minutes** – Steve Jung:

The Meeting Minutes for February 12, 2010 were approved by general consent (Attachment A) with some minor adjustments on Section, V, g & Section VIII, a.

III. **Approval of Agenda** – Steve Jung:

The Agenda for May 21, 2010 was approved by general consent.

The GAP Annual Report is due to the Governor and the Legislature 60 days after the June 30<sup>th</sup> fiscal year end. In order to provide adequate time for preparation and approval of the Report, the next Committee meeting will be moved from Friday, August 13 to Friday August 6. The Report must be distributed on Friday, August 27<sup>th</sup>, and the Committee will need more time to review it and make changes. The requested move was approved by the Committee.

IV. **April 5 Meeting Report** – Sherrie Geier and Ed Hoffman:

Sherrie Geier, Ed Hoffman, Dr. Scot Adams, Vicki Maca, Eric Hunsberger and DHHS accounting staff met to discuss budgeting issues. The *Statement Regarding Administrative Activities (Statement)* (Attachment B) was crafted and, if adopted, will create greater discretion and flexibility for the Committee regarding the use of administrative funds, as provided by NE Rev. Statutes 71-817.

- a. The Statement was needed because the Department of Health & Human Services' (DHHS) current spending authority limitation is capped at seven percent for administrative functions. The statute clearly allows for spending up to ten percent of the monies in the Compulsive Gamblers Assistance Fund.
- b. The Committee must adopt the Statement in order use the authority granted in 71-817. At this time the resulting increased spending authority will be used to move forward with the proposed strategic planning process.
- c. Adopting this statement does not alter the program's spending authority. However, the Committee will be able to make more appropriate decisions about the use of administrative funds, as needed, to meet its stated responsibilities.

- d. Once approved, the Statement will be posted on the State Committee on Problem Gambling's web page.
- e. Once adopted the Statement will remain in effect for the remainder of the current fiscal year. To address this need in the future, two procedural options are possible. They are: 1) To ask the Department's Finance and Support staff to include the change in the Department's spending authority request to the Legislature's Appropriations Committee, or 2) to have the same request made by the Committee.
- f. If needed, the request would first go to Finance and Support (Willard Bouwens), then to Director Scot Adams, and then to CEO Kerry Winterer to include in the Department/Division budget. According to Lori Dawes, Finance & Support, this is already in the plan for this biennium.
- g. A motion was made and seconded to adopt the *Statement Regarding Administrative Activities*.  
**Action:** The Committee approved the *Statement Regarding Administrative Activities*.

**V. GAP's Strategic Planning Process (SPP) Update – John Bekins & Eric Hunsberger:**

A second proposal was from Tim Christenson. Comparable proposals are now available from prospective planners Tim Christenson and Mike Stone (Attachment C).

- a. After paring down the proposals, both are about even in dollars – about \$18,000 including meeting facilitation and travel per diem.
- b. The ad hoc committee's vote was split 50-50 with John Bekins abstaining, as he didn't want to sway the vote either way but wanted to bring to the Committee.
- c. Steve Jung was counting on a recommendation from the ad hoc committee, but John Bekins felt that the responsibility rested on the Committee to make the final determination as to which facilitator should be chosen to head the SPP.
- d. The Committee agreed that the vote should be made by everyone together, but that it need not wait for email or until the next meeting. With the two proposals in hand, a decision could be made today. (Note: conversation delayed until later in the afternoon.)

**VI. Division's Joint Strategic Planning Part 1 – Eric Hunsberger:**

A meeting on May 6, 2010 was attended by members of the Substance Abuse, Mental Health and Problem Gambling Committees. Dr. Dennis McNeilly had a great presentation for the group, linking co-occurring disorders and how substance abuse and mental health conditions affect problem gambling. In the afternoon session, John Bekins and Jerry Bauerkemper participated in the needs assessments relating to the Division's Strategic Planning Process. This was a very well executed meeting. Another joint planning meeting may be possible next year.

**VII. Licensing Initiatives – Sherrie Geier:**

Sherrie Geier received an e-mail last night from Mike Sullivan asking her to provide an update on licensing on his behalf.

- a. Mike Sullivan is a treatment provider from the Norfolk area. He has also had a contract since last year to prepare the 407 Process Licensure Application and to monitor its progress. The objective of Mike's efforts is to change the current certification of gambling counselors to a full license.
- b. The 407 process included a three-tiered review consisting of the technical review, the state board of health review and a final review by the Chief Medical Officer Dr. Joanne Schaefer. Dr. Schaefer did not give her approval, but instead recommended that the gambling licensure be combined with the LADC licensure.
- c. The Committee's charge to Mike was to follow Dr. Schaefer's recommendation and approach LADC about joint licensure. Although LADCs have initially been hesitant, they haven't said no. Mike is planning to meet with LADC representatives in June to continue this discussion. He had suggested that other members of the Certification Advisory Board, who are also LADCs attend, and that continuing work on this process be delegated to Jerry Bauerkemper who understands more and has a better handle on the process.
- d. The Committee needs to be able to report to Dr. Schaefer that they tried to act on her recommendation.
- e. The Committee's vote on contracts today might need to reflect a leadership change for this activity.
- f. The Committee asked how many of the current contractors have multiple credentials. Eric Hunsberger replied that roughly 70% do. They also asked if anyone knew how they felt about additional licensing requirements of problem gambling. Eric deferred to GAP's Clinical Treatment

- Coordinator, Maya Chilese, for more on this question.
- g. Maya stated that a large percentage of the contractors held double licensure and would be supportive. The Certification Board has done a good job in providing assistance by pointing to road blocks and ways around them, where to go and how to approach the LADC. A selling point would be that this would be a streamlined approach, and a move that would be an added opportunity, especially in view of the DSM changes. If LADCs wanted to pursue gambling licensure (90 hours required by Credentialing), there could be no difference in what already takes place. An Addiction Specialist License could equal a label with options.
  - h. Discussion that followed pointed out that:
    - 1. The Certification Board standards to maintain quality for provider competency.
    - 2. When LADCs wanted LMHPs, they grandfathered in mental health hours. If applicants had a Bachelor's (2,000 hours), the mental health hours counted. We would use the same approach.
    - 3. A second or third credential would potentially mean an additional influx of revenue if a CCGC was added for an individual.
    - 4. The proposed licensing wouldn't force anyone or eliminate anyone that has a license now or necessarily expand the pool - adding a few more hours to get a license for gambling addiction would have no negative effects.

#### VIII. Fiscal Update – Lori Dawes:

Attachment D reports through the end of April and represents about 83% of the fiscal year - 75% in Administrative Budget, 57% in the Lottery, 61% in Prevention and Awareness. One-hundred percent (100%) of the appropriation from the HealthCare Cash Fund has been expended as of the end of April.

- a. Health Care Cash is directly appropriated, but if we do not use it we lose it. The Committee's recommendation last year was to use this category of funding more rapidly earlier in the year. So, contracts with larger dollar values were designed to draw down these funds first.
- b. Lottery dollars will be drawn down faster now than they were in the beginning of the year, because the Health Care Cash Fund appropriation is gone. The change in funding sources will result in increased use of Lottery funds for May and June.
- c. There is about \$114,000 of the budget this year that has not been contracted and is currently unallocated. Unallocated funds are used to support contract amendment requests from providers throughout the year. These requests are based on increased treatment needs that cannot be covered by original contracted amounts.
- d. A motion was made that the Committee consider and approve the allocation of the \$113,800 unallocated funds left prior to the final budget date of June 30<sup>th</sup> to contracts and/or other projects. An ad hoc subcommittee was suggested to approve the allocation of available funds, overseen by Eric Hunsberger and Maya Chilese. Members could e-mail suggestions about what should be funded. The motion was seconded. Carmen Englehardt, Sherrie Geier and John Bekins will serve on the ad hoc subcommittee.

**Action:** The Committee voted to have the ad hoc subcommittee oversee and approve the allocation of the available funds for projects such as more billboards, conference scholarships, etc.

#### Morning Break

#### IX. GAP's SPP Part 2 (Attachment C): Tim Christensen vs. Mike Stone – Steve Jung & John Bekins:

Handouts were distributed containing the updated proposals from Tim Christensen and Mike Stone.

- a. Comparison of both candidates showed past experience in strategic planning, administration, and almost identical pricing. Christensen knows Nebraska, but Stone knows the process and has more national experience.
- b. When asked for a preference or what would give one candidate an advantage over the other, members agreed that Mike Stone's greater expertise working with the federal side would be more desirable. He also seemed to have a more vested interest in Nebraska, even though Christensen has been the previous GAP administrator and many Committee members had worked with him.
- c. After further discussion, a motion was made for the Committee to vote on selecting Mike Stone as the facilitator for the SPP.

**Action:** The Committee voted to select Mike Stone as the facilitator for the SPP, and for the ad hoc committee to move forward on his contract. In a separate vote, the Committee also approved a contract for Mike Stone, not to exceed \$18,000 without approval of the Committee.

## Break for Lunch

### X. Funding Requests – Steve Jung:

There was one request for an agency to transfer funds from one contract funding category to another, and one request for additional funds. (Attachment E).

- a. Choices Treatment Center asked to transfer \$1,300 from current contracted treatment funds to education and outreach activity, which the Executive Committee approved on April 29, 2010.
- b. Gina Fricke, Peace & Power Counseling, requested additional funds. The current contract is for \$24,000. (It has already had one previously approved funding request and contract amendment.) The provider has expended \$14,420 and requested an additional \$10,810 for treatment and \$282.50 for outreach and educational presentations. This request was submitted on May 17<sup>th</sup>, which was after the May 7<sup>th</sup> deadline that the Committee specified for funding requests for this meeting.
- c. The Committee questioned whether the additional funding request policy it established in February had been communicated to providers, and if this information and a list of the Committee meeting dates had been placed on the web site. Eric Hunsberger responded that there may have been no direct communication. However, Committee meeting dates are on the SCPG web site, and the funding request form had been added, although not set up on a separate table, and the deadline information was found on the bottom of the Request for Additional Funds form. Under those circumstances, some Committee members did not think that providers should be held accountable for their requests not being submitted on time.
- d. Eric said that the providers were informed because the information was posted on the web site, as were the meetings dates. But no written communication let providers know to submit their requests before May 7<sup>th</sup> for this quarterly meeting. Gina Fricke recognized that she needed to request additional funds, only because, when Eric visited with her on May 10<sup>th</sup>, he indicated to her that her spending trend might require it. Attachment D indicates that 75% of her contract would have been expended by April 30.
- e. The concern wasn't about a reminder but rather communication being sent directly to providers following the February meeting, at which the Committee created a policy calling for requests for additional funding be submitted two weeks prior to each of the quarterly Committee meetings. The policy and corresponding deadline submission dates were to be posted on the web site. Since this was not done, the Committee decided that providers could not yet be expected to comply with the new policy. The Division agreed, and stated that this had been discussed and changes would be made.
- f. The Committee asked Eric about his site visit of the Peace & Power Counseling agency, his meeting with the provider and the validity of the request for additional funding. Eric restated that Provider Gina Fricke's caseload is in transition. He feels that she will experience increased stability during the next year. Her funding requirements will taper off or increase, depending on her agency's ability to meet the community's needs.
- g. A motion was made and seconded to approve the request for additional funds by Peace & Power Counseling in the amount of \$10,810 pursuant to Attachment E.  
**Action:** The Committee voted to approve the request.

### XI. FY11 Contracts – Steve Jung:

Attachment F shows utilization rates and guides for FY11 contract approval. Eric Hunsberger encouraged the Committee to consider voting for the higher end of the proposed funding range for FY11 contracts.

- a. There was some confusion about financial discrepancies contained in meeting handouts versus materials that were sent to Committee members before the meeting. Apparently, many of the errors in the materials distributed before the meeting were found and corrected in meetings of GAP and Finance staff members before the meeting handouts were prepared.
- b. Vicki Maca stated that the Division needs to do a better job and would work hard to ensure that the Committee receives accurate information.
- c. Funding range projected for FY11 contracts - \$1,382,620. After some examination and discussion, a motion was made that Committee approve contracts with ranges as noted on Attachment F for Private & Agency Treatment Providers: Michael Sullivan, \$43,000; Robert Walton, \$3,000; Richard

Landrigan, \$20,000; Wanda Swanson, \$28,000; Betty Hampton, \$7,000; Lisa Johnson, \$10,000; Rebecca Green, \$20,000, Sue Huebner, \$5,000; Peace & Power Counseling (Gina Fricke), \$40,000; First Step, \$128,000; Choices, \$232,000; Spence Counseling, \$165,000; Heartland Family Services, \$180,000; and CrossRoads,\$15,000

**Action:** The Committee voted to approve the FY11 contracts for all treatment providers.

- d. The Committee would like to see a statement of philosophy from treatment providers and have more provider presentations for the Committee. Providers would be given a presentation template, so they all speak to the issues and questions that the Committee is interested in understanding.
- e. Steve Jung requested that Committee meetings, at which approval of new contracts is determined, be scheduled from 9 a.m. to 4 p.m. These meetings always require extra time.
- f. Magellan's status and funding options were questioned. They have two contracts within the Department for ASO purposes, which means they authorize and register services. The Division of Behavioral Health has one of the contracts, and Magellan is, in effect, the Division's database provider since the Division doesn't have its own internal system. The Division is not satisfied with the data and information provided, so Magellan is on a corrective action plan and payment has been withheld for six months on their three-year w/one-year renewable contract.
- g. The Division has a contract with Orion Tech Healthcare. This national company will conduct a needs assessment; meet with the behavioral health regions and treatment providers to see how they collect data, how Magellan processes the data, how Magellan gets the data to the Division, evaluate software and electronic health records, etc.; and provide a comprehensive report of its findings.
- h. The Division's next step might be to establish its own internal database, so it is not completely dependent on Magellan. Why should Magellan receive a three percent rate increase, if it is not performing satisfactory work? The increase was built into the original contract. Magellan hired a new CEO, who has great experience and has already made impressive changes in just three months. This is encouraging but does not mean the Division will continue with use Magellan in future. The Committee was asked to approve \$10,300 for one year for Magellan. A motion was made to that effect.  
**Action:** The Committee voted to approve \$10,300 for Magellan's services for one year.
- i. Maya Chilese commented on the UNL Public Policy Center's (PPC) potential contract for another \$50,000 dollars. Two of their contract deliverables were discontinued, so why continue to pay them \$50,000 when the scope of work is different? The Division will be negotiating for a reduced contract amount.
- j. PPC has been managing the Consumer Survey, providing data analysis and preparing the GAP's annual report. It is required to provide the annual report as one of the deliverables of the current contract year. Another entity could be found to manage the Consumer Survey. The University may not want to contract for amounts less than \$50,000, because of their twenty-six percent overhead fees. A motion was made to deny renewed funding for the UNL's PPC for the next fiscal year.  
**Action:** The Committee voted to deny FY11 funding for the Public Policy Center, at least until additional information can be gathered.
- k. NCCG's amount for FY11 is \$215,000. There isn't a funding range for this contract. Last year an upper limit was set at \$225,000 and was to be used if the licensure application had been approved and training costs had increased. A motion was made to approve \$215,000 for NCCG's FY11 contract.  
**Action:** The Committee approved \$215,000 for NCCG's FY11 contract.
- l. There are suggested public awareness contract amounts of \$25,000 for FY11 for Brokaw Marketing (billboards) and Learfield Radio. In response to Committee questions, Eric stated that he meets with these advertising companies at least quarterly. He reviews with them the marketing plan outcomes, considering hotline calls to place billboards in higher volume areas, and targeting advertising where it is most needed. The Committee moved to approve \$25,000 for FY11 – with a minimum of \$20,000 for billboards, and radio and an additional maximum of \$10,000 for public awareness materials and educational resources.  
**Action:** The Committee approved contract funding to Brokaw Marketing and Learfield Radio for FY11, as well as funding for production of public awareness and educational resources.
- m. With regard to production of public awareness and educational resources, Maya clarified that what was being discussed was reproducing materials that came from the public awareness campaign

that the Committee funded some years ago. This would be helpful, so providers who do educational outreach would not be using their own funds to create brochures and flyers, etc. Those materials could be reprinted with minor updating.

- n. The next item, the prevention contracts, was decided in the previous Committee meeting.
- o. The last item refers to the earlier discussion about Mike Sullivan and the possibility of dividing the licensure management contract for \$5,000 between Mike and Jerry Bauerkemper.

**Action:** The Committee approved the licensure management contract amount of \$5,000 for FY11.

## **XII. GAP Program Reports – Eric Hunsberger:**

### **Consumer Survey**

- a. PPC will test the Consumer Survey (Attachment G) this month with Choices Treatment Center and Heartland Family Services.
- b. Having the PPC's name on the Survey was questioned. Their name is on it because they will be receiving the completed Surveys, and they will do the analyses. It was pointed out that this might be a problem, since someone completing the Survey might not want their information released to just anyone.
- c. Traditionally, people who receive state-funded services have always known that information collected from them is confidential. The state needs the data to help drive program decisions. It has to come from the consumers, but only from individuals who agree to do the Survey.
- d. Eric was asked why, if the Survey is confidential, are individuals saying that they have received calls and they want to know who is making those calls. There have been two different consumer survey initiatives. Long ago, there was a method to contact consumers after recovery. That survey was done at the agencies. A new initiative for consumer survey was initiated by an out-of-state entity. GAP and PPC would have collaborated and PPC would call consumers. Today what we see is an effort to restore the initiative to determine consumer satisfaction at the provider and individual levels. The survey is anonymous in the sense that nobody but the provider and client know who the client is. Ideally, a survey should occur within 12 months of discharge, and the intent of the survey to get information about consumer satisfaction and well-being.
- e. PPC is at the end of the contract year and will recommend the next steps, if this survey is a successful tool to measure satisfaction for all those individuals who receive services. There will be more follow-ups about this survey at the August Committee meeting.

### **Provider Training:**

The Magellan Webinar (Attachment H) has been schedule for June 22<sup>nd</sup> and 23<sup>rd</sup> to go over updates.

- a. The Committee asked how do you get paid if don't enter the information? Eric stated that it depends on the status of the provider. If someone is just getting started as a new provider and is in the process of establishing a Magellan account, they are reimbursed based on the invoices they submit.
- b. When someone becomes a provider, how does Magellan find out that there is a new provider and who is responsible for informing that provider about the requirements and the information to Magellan in order to get paid?
- c. It's a combination of several activities. First, the contract is signed and processed through the state. Second, the provider is given specific information about Magellan, an instruction manual and a point-of-contact at Magellan, who helps establish their account within 30-90 days after the contract begins. Magellan establishes a new account for them after receiving their information.
- d. Perhaps providers shouldn't be paid unless there has been on-site assessment of what services are being provided.
- e. In August there will be a follow-up report on this webinar training.

### **Provider Audits:**

The site visits with Heartland Family Services and Peace & Power Counseling were very successful. The number of consumers served, quality of services being provided, treatment philosophy and assessment of the treatment were quite good. Also, effective the 29th of April, Heartland hired a new counselor to replace Gina Fricke. Over the next year site visits will be conducted with the other agencies and individual providers.

**Annual Conferences:**

Flyers for the National Conference (Portland in June - Nebraska will be represented by Eric and Jerry Bauerkemper) and the Midwest Conference (Kansas City in July) were available. Both are good sources of continuing education for treatment providers. One of the suggestions mentioned for spending the \$113,800 was for scholarships for one person from each agency and possibly one to each private provider to attend the Midwest Conference. This would be based on the time table being workable for processing registration funding for the Midwest Conference. Funding scholarships would give the providers an opportunity to learn new things. What they learn would not only help their clients, it would also give Nebraska a great presence at the Midwest Conference.

XIII. **Next Committee Meeting:** August 6, 2010. Agenda items will include the annual report, the ad hoc report on the expenditure of the \$113,800 and some kind of financial training for the Committee. Providers would also be encouraged to come, if possible, so they could have an explanation from financial services of how the state's contract and funding cycles work, why there is a need for a deadline when extra funding requests comes in and what happens to funding requests. This would also be a good exercise for the Committee.

**XIV. Public Comment/Discussion:**

- a. Wanda Swanson thanked the Committee for approving her FY11 contract and for sending the information on meetings.
- b. Jolene Johns asked about the educational materials and wanted to make sure that new materials don't have Choices' name on the publications. She also wanted to alert the Committee that Keno is the crack cocaine of gambling. It took in \$230 million last year and is played in bars, etc. During the ice storms, people were still lined up in front of places without electricity to play Keno.
- c. Gina Fricke was happy to be able to branch out and expand services in Omaha. Her intent was not to cause havoc but to increase service availability, because people really need more services.
- d. Rob Walton wanted to assure the Committee that he will make an effort to finish his CCGC. He works full time and does part-time counseling in Norfolk, where it's harder to find services.

XV. **Meeting Adjourned:** Meeting adjourned at 3:25 p.m.

**State Committee on Problem Gambling**  
**DRAFT Statement Regarding Administrative Activities**

"The State Committee on Problem Gambling recommends use of the Compulsive Gamblers Assistance Fund for administrative activities<sup>1</sup> it finds necessary to carry out its duties under § 71-816 & 71-817 for the overall treatment for problem gamblers."

The intent of this statement is to accomplish the following:

- To allow the State Committee on Problem Gambling to fulfill its responsibilities.
- To inform the public regarding the roles, responsibilities, and authority the State Committee on Problem Gambling has concerning the Compulsive Gamblers Assistance Fund.
- To ensure the use of resources is consistent with cited statutes.

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<sup>1</sup> Originally in reference to the strategic planning process discussed among the Division of Behavioral Health staff, Sherrie Geier, and Ed Hoffman on April 5, 2010.

## **Nebraska Statutes Re: The State Committee on Problem Gambling & the Compulsive Gamblers Assistance Fund (As amended by LB 1058 in 2008 and LB 189 in 2009)**

### **71-816 Legislative findings; State Committee on Problem Gambling; created; members; duties; division; duties; joint report.**

(1) The Legislature finds that the main sources of funding for the Compulsive Gamblers Assistance Fund are the Charitable Gaming Operations Fund as provided in section 9-1,101 and the State Lottery Operation Trust Fund as provided in section 9-812 and Article III, section 24, of the Constitution of Nebraska. It is the intent of the Legislature that the Compulsive Gamblers Assistance Fund be used primarily for counseling and treatment services for problem gamblers and their families who are residents of Nebraska.

(2) The State Committee on Problem Gambling is created. Members of the committee shall have a demonstrated interest and commitment and specialized knowledge, experience, or expertise relating to problem gambling in the State of Nebraska. The committee shall consist of twelve members appointed by the Governor and shall include at least three consumers of problem gambling services. The committee shall appoint one of its members as chairperson of the committee and other officers as it deems appropriate. The committee shall conduct regular meetings and shall meet upon the call of the chairperson or a majority of its members to conduct its official business.

(3) The committee shall develop and recommend to the division guidelines and standards for the distribution and disbursement of money in the Compulsive Gamblers Assistance Fund. Such guidelines and standards shall be based on nationally recognized standards for problem gamblers assistance programs.

(4) In addition, the committee shall develop recommendations regarding (a) the evaluation and approval process for provider applications and contracts for treatment funding from the Compulsive Gamblers Assistance Fund, (b) the review and use of evaluation data, (c) the use and expenditure of funds for education regarding problem gambling and prevention of problem gambling, and (d) the creation and implementation of outreach and educational programs regarding problem gambling for Nebraska residents. The committee may engage in other activities it finds necessary to carry out its duties under this section.

(5) Based on the recommendations of the committee, the division shall adopt guidelines and standards for the distribution and disbursement of money in the fund and for administration of problem gambling services in Nebraska.

(6) The division and the committee shall jointly submit a report within sixty days after the end of each fiscal year to the Legislature and the Governor that provides details of the administration of services and distribution of funds.

**Source** Laws 2004, LB 1083, § 16; Laws 2005, LB 551, § 6; Laws 2006, LB 994, § 95; Laws 2008, LB1058, § 1; Laws 2009, LB189, § 1. August 30, 2009

### **71-817 Compulsive Gamblers Assistance Fund; created; use; investment.**

The Compulsive Gamblers Assistance Fund is created. The fund shall include revenue transferred from the State Lottery Operation Trust Fund under section 9-812 and the Charitable Gaming Operations Fund under section 9-1,101 and any other revenue received by the division for credit to the fund from any other public or private source, including, but not limited to, appropriations, grants, donations, gifts, devises, bequests, fees, or reimbursements. The division shall administer the fund for the treatment of problem gamblers as recommended by the State Committee on Problem Gambling established under section 71-816 and shall spend no more than ten percent of the money appropriated to the fund for administrative costs. The Director of Administrative Services shall draw warrants upon the Compulsive Gamblers Assistance Fund upon the presentation of proper vouchers by the division. Money from the Compulsive Gamblers Assistance Fund shall be used exclusively for the purpose of providing assistance to agencies, groups, organizations, and individuals that provide education, assistance, and counseling to individuals and families experiencing difficulty as a result of problem gambling, to promote the awareness of problem gamblers assistance programs, and to pay the costs and expenses of the division and the committee with regard to problem gambling. The division shall not provide any direct services to problem gamblers or their families. Funds appropriated from the Compulsive Gamblers Assistance Fund shall not be granted or loaned to or administered by any regional behavioral health authority unless the authority is a direct provider of a problem gamblers assistance program. Any money in the fund available for investment shall be invested by the state investment officer pursuant to the Nebraska Capital Expansion Act and the Nebraska State Funds Investment Act.

**Source** Laws 1993, LB 138, § 33; R.S.Supp.,1994, § 9-804.05; Laws 1995, LB 275, § 17; Laws 2000, LB 659, § 3; Laws 2001, LB 541, § 5; R.S.Supp.,2002, § 83-162.04; Laws 2004, LB 1083, § 17; Laws 2005, LB 551, § 7; Laws 2008, LB1058, § 2; Laws 2009, LB189, § 2. August 30, 2009



# MKS Communications

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**TO:** Eric Hunsberger, Program Manager  
Nebraska Gamblers Assistance Program

**FROM:** Mike Stone, Principal  
MKS Communications

**SUBJECT:** ***Nebraska Strategic Planning Process  
Proposal for Facilitation***

**DATE:** January 19, 2010

Thank you for the opportunity to submit this proposal to facilitate development of a Strategic Plan for the Nebraska Gamblers Assistance Program. MKS Communication (Kristine L. and Michael R. Stone) has experience in these projects, having participated in the development of both the Kentucky Council on Problem Gambling and National Council on Problem Gambling strategic plans, and having facilitated the development of the Illinois Council on Problem Gambling strategic plan. MKS Communications provides administrative, advocacy, meeting and project assistance to the Kentucky Council on Problem Gambling, and Mike Stone currently serves on the National Council on Problem Gambling Bylaws and Federal Affairs (legislative advocacy) Committees.

**MKS Communication understands the project goal of this proposal is to develop a new strategic plan for the Nebraska Gamblers Assistance Program. After reviewing:**

- the strategic plans for other publicly funded gamblers assistance programs in Arizona, Iowa and Minnesota, as well as the current Nebraska Gamblers Assistance Program Strategic Plan 2006-2011;
- available Nebraska legislation pertaining to the Gamblers Assistance Program;
- the biennial report for Fiscal Years 2006-2007 and the Fiscal Year 2008-2009 Annual Report; and
- additional provided information, charts and statistics regarding gambling activity and problem gambling services in Nebraska;

**a multi-step approach is suggested to develop a plan aligned with mission, vision and strategic priorities; based on available data and evidence; and adaptable to Nebraska's unique economic and societal environments.**

In addition to the above resources and input from the State Committee on Problem Gambling, stakeholders and participants, the process will draw on strategic planning concepts and facilitation strategies contained in ***Strategic Planning for Public and Nonprofit Organizations*** by John M. Bryson, ***Good to Great*** by Jim Collins, ***7 Measures of Success*** published by the American Society of Association Executives, ***Facilitator's Guide to Participatory Decision Making*** by Sam Kaner, and ***Large Group Interventions*** by Barbara Benedict Bunker and Billie T. Alban.

It is understood this process will be accomplished in two steps. The first, culminating in a facilitated strategic planning session on April 28, 2010, followed by a written draft, will encompass the balance of the 2009-2010 Nebraska fiscal year. The second ending with adoption of a strategic plan document, will take place in the 2010-2011 Nebraska fiscal year. Accordingly this proposal outlines the steps and proposes a fee structure for each step, but which also can be incorporated into a single agreement.

## ***Step One***

Continuing in-depth research on issues facing Nebraska regarding gambling in general and problem gambling in particular.

Conducting a priority survey of identified ad-hoc committee members (members), strategic planning process participants (participants), and program administrators (administrators).

Writing an issues summary for review by the committee, participants and administrators, which will serve as background for the facilitated strategic planning session. This summary will note existing initiatives, proposed initiatives, federal initiatives, infrastructure, stakeholder role, industry role and Gamblers Assistance Program (GAP) role.

Facilitating a strategic planning session. This will include collegial exercises, issues review, an interactive SWOT (strengths, weaknesses, opportunities and threats) exercise, "snowcard" exercises focusing on areas of service/goals/objectives/tasks, review and update of mission/vision statements, and initial draft of goals and objectives.

Preparing and distributing a working draft of a proposed strategic plan.

## ***Step Two***

Creating evaluation instruments for the strategic plan elements.

Distributing the evaluation instruments to the committee, participants, administrators, governmental officials, legislators, stakeholders and others identified with a possible interest in the strategic plan. This step is important in gaining feedback and buy-in from the larger community.

Receiving, codifying and analyzing the evaluations.

Incorporating evaluation feedback into a working draft of the strategic plan, and distributing the working draft for committee, participant and administrator review, feedback.

Receiving feedback and writing a proposed strategic plan.

Facilitating a participant meeting for review and adoption of the strategic plan.

Submitting the final strategic plan.

## ***Fee Proposal***

Based on estimated work hours required by MKS Communications staff to fulfill the tasks listed above, the proposed fee structure is \$4,500 for step one and \$3,000 for step two; plus any approved expenses for travel, postage, duplication, etc. The total proposed fee is \$7,500, plus expenses.

Again, thank you for the opportunity to present this proposal. We look forward to working with the Nebraska Gamblers Assistance Program to develop its strategic plan for 2012 and beyond. Please advise if there are any questions, comments or requests for additional information or clarification.

# Planning for Nebraska Gamblers Assistance Program

## Consultation Proposal

May 20, 2010

Tim Christensen, MPA

Jeff Marotta, Ph.D.

### *I. Framework/Proposed Approach*

The following proposal is designed to produce for the Nebraska Gamblers Assistance Program (GAP) a work plan document with which the oversight committee, Division administration, stakeholders and GAP Staff can document and report on the successes, challenges and operations of the GAP. This proposal does not contemplate undertaking a complete strategic planning process, recognizing that this work has been done or will be done in the course of other activities. In spite of this, some elements of strategic planning processes will be used to develop the work plan so as to ensure synergy with existing and/or developing plans.

Critical elements of the work plan will be:

- 1) Identification of core program elements to be administered by the GAP.
- 2) Identification of 5 year goals and objectives for each core service area, with intermediate milestones. Goals will be developed with input from key stakeholders to achieve fidelity with strategic plan goals.
- 3) Creation of an administrative approach and specific activities consistent with GAP values which will support the attainment of each goal.
- 4) Development of a measurement and reporting process with which to communicate the success and challenges of goal attainment.

### *II. Proposed Process*

#### **Step 1: Program Assessment**

Consultant will review existing legislation, last two annual reports or program summaries, Committee minutes, existing strategic planning documents, budgets and any other pertinent program information. Through discussions with members of the planning committee, Division staff and GAP staff, consultant will formulate a brief analysis of current strengths, challenges, needs and opportunities and identify core program elements.

#### **Step 2: Review of Existing Mission, Vision, Values and Goals**

Consultants will review the existing GAP Strategic Plan, including existing mission, vision and values statements (if available) and work with the steering committee on the development of revised proposed goals to be accomplished from State Fiscal Year 2011 through 2016.

### **Step 3: Prioritization of Goals**

The planning committee will engage in operational planning to recommend specific objectives and actions to be accomplished by the GAP. A one-day planning session will be facilitated by the consultant to present the program assessment, gain consensus on identified goals and create a prioritized set of recommended objectives and actions for each core program element.

### **Step 5: Create Work Plan Document**

Following the planning session, consultant will meet with GAP administration to develop specific action plans to meet identified goals. Consultant will assist staff in crafting an approach to each objective informed by the experiences of other jurisdictions in attaining similar goals. Consultant will also assist in the development of a process for measuring, evaluating and reporting on progress toward attainment of identified goals.

Consultant will then draft a work plan document summarizing the goals, objectives, approach and activities for the identified time period. This draft will be made available for comment and edit to Division administration, planning committee members, GAP Staff, and any other identified stakeholders. Consultant will incorporate edits and comments into a final document to the satisfaction of Division administration.

### *III. Costs*

Total proposed fee is \$8,500.00, plus expenses estimated to total \$1,000.00.

### *IV. Timeframe*

It is anticipated the entire process can be completed between July and December of 2010.

We are open to discussing alternative methods as pricing, service levels and tasks are negotiable. Thank you for considering this proposal.

**Fiscal Year 2009-2010  
GAP Expenditures and Revenues  
As of April 30, 2010**

Percent of Time Elapsed **83.29%**  
Percent Elapsed- Bi-weekly Admin **81.48%**

**Expenditures**

	<u>Administration</u>	<u>% Expend</u>	<u>Lottery</u>	<u>% Expend</u>	<u>Prevention Education Awareness</u>	<u>% Expend</u>	<u>Health Care Cash</u>	<u>% Expend</u>	<u>Grand Total</u>	<u>% Expend</u>
FY10 GAP Budget	\$75,000		\$858,820		\$185,000		\$225,000		\$1,343,820	
FY09 Encumbrances	\$25,586		\$78,797		\$15,527		\$17,193		\$137,102	
FY10 Available Funds	\$100,586		\$937,617		\$200,527		\$242,193		\$1,480,922	
<b>Expenditures YTD</b>	<b>\$76,316</b>	75.87%	<b>\$539,840</b>	57.58%	<b>\$123,394</b>	61.54%	<b>\$242,193</b>	100.00%	<b>\$981,743</b>	66.29%
<b>Unexpended</b>	<b>\$24,270</b>		<b>\$397,777</b>		<b>\$77,133</b>		<b>\$0</b>		<b>\$499,179</b>	
<b>Unallocated Budget</b>									<b>\$113,800</b>	

**Revenues**

	<u>Administration Fund 21750 and Fund 22640</u>	<u>Lottery Revenue (Fund 21750)</u>	<u>Prevention Education Awareness (Fund 21750)</u>	<u>Health Care Cash (Fund 22640)</u>	<u>Grand Total</u>
Beginning Balance	25,586	537,346	219,507	17,193	\$799,632
Receipts YTD	<b>75,310</b>	<b>717,983</b>	<b>177,711</b>	<b>225,000</b>	<b>\$1,196,004</b>
Interest	1,148	18,994	6,010	0	<b>\$26,152</b>
Total Available	102,044	1,274,323	403,228	242,193	\$2,021,788
<b>Expenditures</b>	<b>\$76,316</b>	<b>\$539,840</b>	<b>\$123,394</b>	<b>\$242,193</b>	<b>\$981,743</b>
<b>Ending Balance</b>	<b>\$25,728</b>	<b>\$734,483</b>	<b>\$279,834</b>	<b>\$0</b>	<b>\$1,040,045</b>



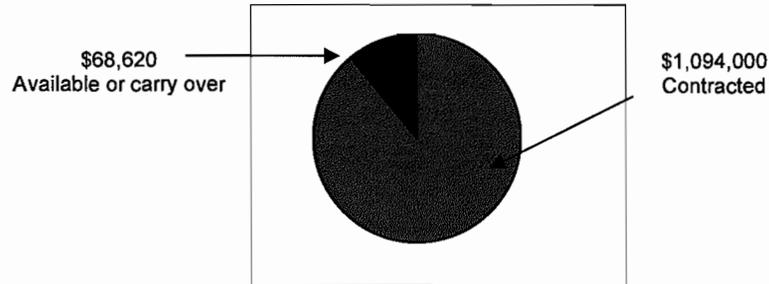
**GAP Quarterly Report as of 4/30/10**

	<b>Total Contract</b>	<b>1st Qtr July - Sept</b>	<b>2nd Qtr Oct - Dec</b>	<b>3rd Qtr Jan - Mar</b>	<b>4th Qtr Apr-June</b>	<b>Total Paid Out</b>	<b>Total % Used</b>	<b>Average Monthly Clients</b>	<b>Fund Sources</b>
<b>Agencies</b>									
First Step	\$124,500	21,943.75	20,188.75	22,858.75	0.00	<b>64,991.25</b>	52.20%	18.00	Lottery, Healthcare
Choices	\$224,000	58,992.50	49,042.50	53,050.00	0.00	<b>161,085.00</b>	71.91%	33.67	Lottery, Healthcare
Spence Counseling	\$160,000	36,412.50	32,295.00	40,710.00	0.00	<b>109,417.50</b>	68.39%	24.67	Lottery, Healthcare
Heartland Family Services	\$176,000	40,713.10	35,499.27	26,341.51	0.00	<b>102,553.88</b>	58.27%	65.00	Lottery, Healthcare
CrossRoads	\$21,000	2,850.00	3,300.00	1,835.00	0.00	<b>7,985.00</b>	38.02%	3.00	Lottery
NCCG	\$155,000	36,000.00	41,000.00	36,000.00	0.00	<b>113,000.00</b>	72.90%		Lottery
<b>Total Agencies</b>	<b>\$860,500</b>	<b>196,911.85</b>	<b>181,325.52</b>	<b>180,795.26</b>	<b>0.00</b>	<b>559,032.63</b>	<b>64.97%</b>		
<b>Private Providers</b>									
Michael Sullivan	\$43,000	11,850.00	11,700.00	6,845.00	0.00	<b>30,395.00</b>	70.69%	10.67	Lottery
Robert Walton	\$4,000	0.00	1,695.00	300.00	0.00	<b>1,995.00</b>	49.88%	2.00	Lottery
Richard Landrigan	\$30,000	5,105.00	3,725.00	0.00	0.00	<b>8,830.00</b>	29.43%	8.00	Lottery
Wanda Swanson	\$28,000	7,335.00	5,355.00	6,900.00	0.00	<b>19,590.00</b>	69.96%	4.33	Lottery
Betty Hampton	\$6,000	1,200.00	765.00	2,535.00	0.00	<b>4,500.00</b>	75.00%	1.67	Lottery
Lisa Johnson	\$10,000	2,775.00	1,950.00	2,475.00	0.00	<b>7,200.00</b>	72.00%	2.00	Lottery
Rebecca Green	\$18,500	1,650.00	2,806.25	3,330.00	0.00	<b>7,786.25</b>	42.09%	2.00	Lottery
Sue Huebner (payable as of 4/19)	\$5,000		0.00	690.00	0.00	<b>690.00</b>	13.80%	1.00	Lottery
Gina Fricke	\$24,000		0.00	14,420.00	0.00	<b>14,420.00</b>	60.08%	31.00	Lottery
<b>Total Private Providers</b>	<b>168,500.00</b>	<b>29,915.00</b>	<b>27,996.25</b>	<b>37,495.00</b>	<b>0.00</b>	<b>95,406.25</b>	<b>56.62%</b>		
<b>Prevention/ Education Contracts</b>									
First Step	\$4,000	495.00	630.00	605.00	0.00	<b>1,730.00</b>	43.25%		Education*
Choices	\$4,000	730.00	355.00	797.50	0.00	<b>1,882.50</b>	47.06%		Education*
Spence	\$4,000	140.00	60.00	0.00	0.00	<b>200.00</b>	5.00%		Education
Heartland Family Services	\$4,000	745.00	720.00	605.00	0.00	<b>2,070.00</b>	51.75%		Education*
CrossRoads	\$4,000	770.00	1,045.00	990.00	0.00	<b>2,805.00</b>	70.13%		Education
Richard Landrigan	\$2,000	55.00	165.00	0.00	0.00	<b>220.00</b>	11.00%		Education
Betty Hampton	\$1,820	310.00	220.00	330.00	0.00	<b>860.00</b>	47.25%		Education
NCCG	\$60,000	15,000.00	21,000.00	15,000.00	0.00	<b>51,000.00</b>	85.00%		Education
Garfield Wheeler Loop	\$30,000		4,838.00	8,153.74	0.00	<b>12,991.74</b>	43.31%		Education
Lancaster County	\$35,000		0.00	2,964.24	0.00	<b>2,964.24</b>	8.47%		Education
Brokaw Marketing (Billboards)	\$10,000		0.00	9,988.62	0.00	<b>9,988.62</b>	99.89%		Education
Learfield (Radio)	\$10,000		0.00	1,640.00	0.00	<b>1,640.00</b>	16.40%		Education
Education Materials*	\$5,000			400.00		<b>400.00</b>	8.00%		Education
<b>Total Prevention</b>	<b>173,820.00</b>	<b>18,245.00</b>	<b>29,033.00</b>	<b>41,474.10</b>	<b>0.00</b>	<b>88,752.10</b>	<b>51.06%</b>		
<b>Evaluation Contracts</b>									
Michael Sullivan- Licensing	\$5,000		671.04	0.00	0.00	<b>671.04</b>	13.42%		Lottery
University Public Policy Center	\$50,000		13,274.09	16,474.68	0.00	<b>29,748.77</b>	59.50%		Healthcare
Magellan- Two Years	\$10,000		0.00	0.00	0.00	<b>0.00</b>	0.00%		Healthcare
<b>Total Evaluation</b>	<b>\$65,000</b>	<b>\$0.00</b>	<b>\$13,945.13</b>	<b>\$16,474.68</b>	<b>\$0.00</b>	<b>\$30,419.81</b>	<b>46.80%</b>		
<b>Total Contracts</b>	<b>\$1,267,820</b>	<b>\$245,072</b>	<b>\$252,300</b>	<b>\$276,239</b>	<b>\$0</b>	<b>\$773,611</b>	<b>61.02%</b>		

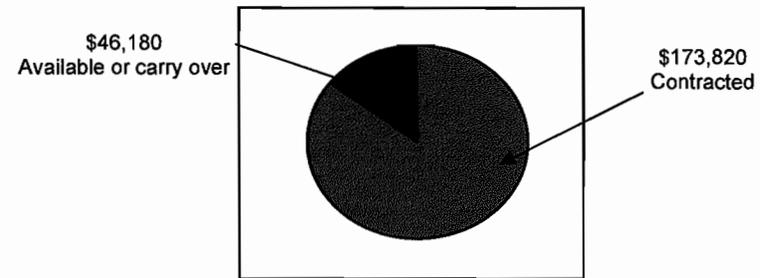
\*reconsolidating internally with funding source

**GAP Funds Overview - as of 5/20/10**

**Treatment/Outreach Funds as of 4/30/10**  
\$1,162,620 Authorized



**Prevention, Education, Awareness Funds as of 4/30/10**  
\$220,000 Authorized



**Summary for Requests/Change in Funding**

Requesting Agent	Current Contract	Expended through 4/30/2010	Additional Request	Justification Provided	Funding Sources
Peace & Power Counseling*	\$24,000	\$14,420	\$10,810	Maintain treatment and education/outreach services	<i>Treatment/Outreach Fund.</i>

\*Request received on May 17, 2010 due to contractor not able to project expenses for May/June given recent establishment.

Requesting Agent	Current Contract	Expended through 4/30/2010	Request	Justification Provided	Funding Sources
Choices Treatment Center	\$228,000	\$161,085	<i>Transfer only \$1300</i>	Existing and designated Treatment funds to support Education/Outreach activities during the current contract year.	<i>Prevention, Education, and Awareness Fund. Approved by Executive Committee on 4/29/2010</i>



## Gambler's Assistance Program Request for Additional Funds



Provider/Agency Name: Peace and Power Counseling LLC

Address/City/Zip: 6901 Dodge Street, Suite 101, Omaha, NE, 68132

Current FY Contract Amt.: 24,000 Current Capacity/ # Served: 34 current GAP clients

Program Narrative: (Briefly describe reason for request, may attach additional sheet.)

- Describe program to be funded: Treatment X Education X Prevention X Other \_\_\_\_\_
- Describe how capacity will be expanded (# actively served, annual total)
- Clarify supporting evidence of capacity increase needed in region
- Describe advantages/ benefits to State as result of funding this service area as opposed to other service providers/service areas.

I have 34 active clients at this time who are gamblers or family members of gamblers. I have four intakes scheduled in the next two weeks. My caseload is consistently increasing, my billing has also increased through the GAP contract. Please see the attached chart. It shows that as my caseload has increased so has the amount of services provided. Since the amount of services have increased each month I am projecting that services for April will be the approximate amount of services/funding that will be used in May and June. As you can see I have projected that \$6800 worth of services will be provided each of those months. With only \$2790 left in my contract, I am requesting an additional \$10,810 for the rest of this fiscal year.

Also, as \$3000 of my funding was allocated for outreach and educational presentations, I have used \$2282.50 from January thru April. I project that I will use about \$500 each of May and June for outreach. There is \$717.50 left in my contract for outreach and educational presentations. I project that I will use an additional \$282.50 of outreach and educational presentation funds. *gah*

I think that my current caseload and the interest I have received for outreach and educational presentations shows there is an increased demand for gambling treatment services. I have expanded my referral sources and educated about 217 people through my education and outreach presentations. That number does not include the around 200 people who stopped at my booth at the Omaha Health Expo held at the Civic Auditorium in April.

I believe and the evidence shows that as more people learn about gambling treatment services and their availability to those who could not afford treatment otherwise, more people will be referred, and more people will find the services that they need to improve their lives and move away from gambling compulsively.

The Nebraska GAP benefits by funding Peace and Power Counseling LLC because I am determined to give clients who seek services for their own or someone else's gambling the best services possible. I am also motivated to get the word out in the Omaha community and surrounding areas about gambling treatment. I have a lot of contacts and am receiving a lot of new referrals. The clients are being served because Nebraska GAP is funding Peace and Power Counseling LLC.

Might agency administration/program staffing be adjusted to handle expansion? If so, please explain how this capacity will be addressed:

As my caseload increases I plan to hire another therapist. I foresee this happening before 2011.

Requested Funding Increase: \$10,810 \$282.50 going to outreach and educational presentations

Submitted by: Gina Fricke/Peace and Power Counseling LLC Submission Date: 5-17-10

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GAP Received: \_\_\_\_\_ Committee Review Date: \_\_\_\_\_ Committee Vote: \_\_\_\_\_

Approval/\$: \_\_\_\_\_

Denial/Reason: \_\_\_\_\_

GAP Response Date: \_\_\_\_\_

GAP Signature: \_\_\_\_\_

**GAP will provide response to provider within 10-14 business days of Committee meeting and/or vote.**

---

April 21, 2010

DHHS  
301 Centennial Mall  
PO Box 95026  
Lincoln, NE 68509-5026

Dear Vicki,

RE: Seeking permission for a request to transfer funds.

Currently GAP does not have a form for requesting transfer of funds. Therefore I modified the GAP Request for Additional Funds form for my request.

Attached you will find our request, a summary of FY10 Outreach/Education completed to date and a FY10 Scheduled Outreach/Education for the remainder of the contract year.

Currently Choices contract funding for FY10 is \$228,000.00 FYI- (\$124,000.00 from the General Lottery Fund, \$100,000 from Healthcare Cash Fund and \$4,000.00 from Lottery advertisement fund.) It is my understanding the General Lottery Fund and Healthcare Cash Fund dollars can be used to provide all types of services, which is where I am asking for the funds to be transferred from.

As in my contract and Scope of Work, I projected to utilize \$4,000.00 in Outreach/Education services. Due to the increased number of requests for Outreach/Education services I am requesting to transfer funds in the amount of \$1,300.00.

As you can see from the request, our Outreach/Education funding for FY10 is not sufficient to complete the fiscal year requests.

Please do not hesitate to call (402) 476-2300 with any questions or concerns.

Sincerely,

Deb Hammond, CCGC  
Director Choices, Inc.

## **Gamblers Assistance Program**

### **Request for funds to be transferred to Outreach/Education from treatment dollars for FY10**

**Provider Agency Name:** Choices Treatment Center, Inc.

**Address:** 2737 N. 49<sup>th</sup> Street, Lincoln, NE 68504

**Current FY10 Contract Amount:** \$228,000.00

**Direct Client Care:** \$224, 000.00

**Outreach/Education:** \$4,000.00

Choices, Inc. requests \$1,300.00 of treatment dollars to be transferred to Outreach/Education services.

#### **Program Narrative:**

Choices, Inc. continues to perform outreach and education to individuals and groups, including information dissemination, active learning, and other public health educational strategies. Choices, Inc. utilizes the Department approved survey tool and submits such collected documents monthly with billing. (see attachment of FY10 outreach/education completed to date)

Choices, Inc. has scheduled several outreach/education activities to be completed in the FY10 contract. (see attachment of FY10 scheduled outreach/education activities).

**Describe program to be funded:** Outreach/Education

**Submitted by:** Choices, Inc. by Deb Hammond, Director

**Submission date:** April 21, 2010

# FY10 Outreach and Education Summary

## July, 2009

### Outreach-

Recovery Benefit Picnic at Antelope Park  
Dr. Daniel Einspahr

### Education

Doane College-Crete

## August, 2009

### Outreach-

Community Behavioral Health  
Lancaster County Court House- Judge Strong and Attorney Robert Chapin  
Citifinancial  
VA Medical Center- VA Promise Program  
NE Educators & Employee Credit Union  
Household Finance

## September, 2009

### Outreach

Q & A Technologies

## October, 2009

### Outreach

Tier One Bank- Susan Reinhardt, branch Manager II

## November, 2009

### Outreach

Outreach with Marketing Director Jeff Konaba

### Education-

Doane College- Crete  
Eastmont Towers- Kiwanis Group

## December, 2009

No outreach or Education

## January, 2010

### Outreach-

West Gate Bank

Havelock Methodist Church

Mayors thru out Nebraska-Proclamations (Alliance, Falls City, Hastings, Lincoln, Fairbury, Geneva, Kearney, Pawnee City, Red Cloud, Superior, and Seward)

### Education-

Center Point

Doane College- Lincoln

## February, 2010

### Outreach-

Nebraska City- Proclamation signing by mayor

State Capitol- Governor Heineman signing proclamation

South Sioux City- Proclamation signing by mayor

## March, 2010

### Outreach-

Doane College-Lincoln- display in main lobby

State Capitol- display proclamations and handouts

Cornhusker Bank-10<sup>th</sup> & Cornhusker

West Gate Bank

UNL-Health Dept, VA, People's Clinic, Big Red Keno, Antlers Treatment Center, Recovery Treatment Center

NE Lottery

Christ Lutheran Church

Havelock Methodist Church

Malone Center, Lutheran Family Services, People's City Mission, Matt Talbot Kitchen, Lincoln Action Program

Lincoln Journal Star- Cindy Lang Kubieck

Neighborhood Extra- Dennis Buckley

Wesleyan College-Student Center-display proclamations and handouts

Independence Center- display proclamations and handouts in main lobby

Attorneys- Anderson, Creager & Wittstruck

Darrell Stock

James Hoppe & David Kyker

McHenry, Haszasrd, Hansen, Roth & Hupp

Lancaster County Health- outreach and handouts

Horseman's Park  
Harrah's Casino  
Horseshoe Casino  
Ameristar Casino

Education-

Dr. Richard Thompson  
Muellers Rec  
Probation- Trabor Hall

## FY10 Scheduled Outreach/Education

### April, 2010

#### Education

April 28, 2010 Doane College- Crete-

### May, 2010

#### Outreach

May 13- 14, 2010 Nebraska Juvenile Justice Association (NJJA) Conference

#### Education

May 3, 2010 Northstar High School- Family Crisis Class

May 19, 2010 Northeast High School- Family Crisis Class

### June, 2010

#### Outreach

June 16, 2010 Forum- Havelock Methodist Church (rescheduled from May, 2010)

# GAP May 2010: Service Contract Recommendations for FY11

F

Service Contractor	FY10 Contract Amount	FY10 Treatment \$ Clients Served (Apr)*	FY10 Education \$ (Billed through April 30 <sup>th</sup> )	Funding Range to consider For FY11 <sup>1</sup>	Committee Outcomes For FY11
<b>First Step</b>	\$128,500 Tx: 124,500 Ed: 4,000	\$64,991.25  Clients: 20	\$1,730.00	<b>\$100K-128K</b>	
<b>Choices</b>	\$228,000 Tx: 224,000 Ed: 4,000	\$161,085.00  Clients: 38	\$1,882.50	<b>\$216K-224K</b>  <b>\$232K (requested)</b> TX - \$224K Ed - \$8K	
<b>Spence Counseling</b>	\$164,000 Tx: 160,000 Ed: 4,000	\$109,417.50  Clients: 26	\$200.00	<b>\$155K - 165K</b>	
<b>Heartland Family Services</b>	\$180,000 Tx: 176,000 Ed: 4,000	\$102,553.88  Clients: 70	\$2,070.00	<b>\$170-180K</b>	
<b>CrossRoads</b>	\$25,000 Tx: 21,000 Ed: 4,000	\$7,985.00  Clients: 5	\$2,805.00	<b>\$10K-15K</b>	
<b>Total Agencies=</b>	<b>\$725,500</b>			<b>\$667K-720K</b>	

<sup>1</sup> Ranges based on % difference at completion of the 3<sup>rd</sup> QTR, need for services generated, previously bided amount, and/or if requested differently by the contractor.

\* Includes Assessments, Crisis, Treatment, and Admin Costs

# GAP May 2010: Service Contract Recommendations for FY11

F

Service Contractor	FY10 Contract Amount	FY10 Treatment \$ Clients Served (Apr)*	FY10 Education \$ (Billed through April 30 <sup>th</sup> )	Funding Range to consider For FY11 <sup>2</sup>	Committee Outcomes For FY11
<b>Michael Sullivan</b>	\$43,000 Tx: 43,000 Ed: 0	\$30,295.00 Clients: 11		<b>\$40K-43K</b>	
<b>Robert Walton</b>	\$4,000 Tx: 4,000 Ed: 0	\$1,955.00 Clients: 2		<b>\$2K-3K</b>	
<b>Richard Landrigan</b>	\$32,000 Tx: 30,000 Ed: 3,200	\$8,830.00 Clients: 5	\$0	<b>\$20K<sup>3</sup></b>	
<b>Wanda Swanson</b>	\$28,000 Tx: 28,000 Ed: 0	\$ 19,590.00 Clients: 8		<b>\$26K-28K</b>  \$28K (requested) TX – \$23K Ed – \$5K	
<b>Betty Hampton</b>	\$7,820 Tx: 6,000 Ed: 1,820	\$4,500.00 Clients: 4	\$860.00	<b>6K – 7K</b>  \$6K-7K (requested) TX – \$4.5K Ed – \$2K	
<b>Lisa Johnson</b>	\$10,000 Tx: 10,000 Ed: 0	\$7,200.00 Clients: 4	\$55.00	<b>\$10K</b>	
<b>Rebecca Green</b>	\$18,500 Tx: 18,500 Ed: 0	\$7,786.25 Clients: 5	\$68.75	<b>\$12K-20K</b>	

<sup>2</sup> Ranges based on % difference at completion of the 3<sup>rd</sup> QTR, need for services generated, previously bid amount and/or if requested differently by the contractor.

<sup>3</sup> Re-opening on a part time basis as of May 11, 2010.

\* Includes Assessments, Crisis, Treatment, and Admin Costs

# GAP May 2010: Service Contract Recommendations for FY11

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Service Contractor	FY10 Contract Amount	FY10 Treatment \$ Clients Served (Apr)*	FY10 Education \$ (Billed through April 30 <sup>th</sup> )	Funding Range to consider For FY11 <sup>4</sup>	Committee Outcomes For FY11
<b>Sue Huebner</b>	\$5,000 Tx: 5,000 Ed: 0	\$1,045.25 Clients: 2		<b>\$2K-5K</b>	
<b>Peace &amp; Power Counseling (Gina Fricke)</b>	\$24,000 Tx: 21,000 Ed: 3,000	\$14,420 Clients: 30	\$1,265	<b>\$36K-40K</b>	
<b>Total=</b>	<b>\$172,320</b>			<b>\$141-164K</b>	

<b>Magellan</b>	\$10,000	Standard 3% increase annually		<b>\$10K-13K</b>	
<b>UN Board of Regents (Public Policy Center)</b>	\$50,000			<b>\$30K-40K</b> \$50K (requested)	
<b>NCCG</b>	\$215,000			<b>\$215K</b>	
<b>Brokaw Marketing (Billboards)</b>	\$20,000			<b>\$25K</b>	
<b>NE Radio Network (Radio)</b>				<i>(at minimum initially)</i>	
<b>Public Education Materials/Resources</b>	\$5,000			<b>\$5K-10K</b>	
<b>Prevention</b>	\$65,000	Determined at Feb 12, 2010 meeting		<b>\$65K</b>	
<b>Licensure App</b>	\$5,000			<b>\$5K</b>	
<b>Total=</b>	<b>\$370,000</b>			<b>\$335K – 383K</b>	

<sup>4</sup> Ranges based on % difference at completion of the 3<sup>rd</sup> QTR, need for services generated, previously bided amount and/or if requested differently by the contractor.

\* Includes Assessments, Crisis, Treatment, and Admin Costs

## GAP May 2010: Contract Amounts for FY11

	FY10 Contract Amount	Contract Amount Ranges to Consider	Committee Outcomes
<b>Agencies</b>	\$725,500	\$667K-720K	
<b>Private Providers</b>	\$172,320	\$141K-164K	
<b>Magellan</b>	\$10,000	\$10K-13K	
<b>Public Policy Center</b>	\$50,000	\$30K – 40K (\$50K requested)	
<b>NCCG</b>	\$215,000	\$215K	
<b>Education (Billboards/Radio)</b>	\$20,000	\$25K <i>(at minimum initially)</i>	
<b>Public Education Materials/Resources</b>	\$5,000	\$5K-10K	
<b>Prevention</b>	\$65,000	\$65K	
<b>Licensure App</b>	\$5,000	\$5K	
<b>Total Contracts</b>	\$1,262,820	\$1,163K -1,267K	
<b>Contract Spending Authority</b>	\$1,382,620	\$1,382,620	

## **Gamblers Assistance Program Request for FY11 Funds**

**Provider Agency Name:** Choices Treatment Center, Inc.

**Address:** 2737 N. 49<sup>th</sup> Street, Lincoln, NE 68504

**Current FY10 Contract Amount:** \$228,000.00

**Direct Client Care:** \$224,000.00

**Outreach/Education:** \$4,000.00

**Choices, Inc requests:** \$232,000.00

### **Program Narrative:**

Due to the recent increase in services (crisis, evaluation and outreach/education needs) Choices, Inc. is asking for consideration with FY11 contract to be in the amount of \$232,000.00 (\$224,000.00 to utilize for problem gambling services and \$8,000.00 to utilize for outreach/education services). This slight increase in funding is anticipated due to the increased number of requests for outreach/education, 12 hours of crisis time, and 8 evaluations within the month of April. Therefore, it is anticipated that the FY10 contract funding amount of \$228,000.00 will be insufficient during the FY11 contract.

If GAP considers increasing Choices FY11 contract, there should be no need to request a transfer of funds as was previously requested on April 21, 2010.

**Describe program to be funded:** Direct Client Care and Outreach/Education

**Submitted by:** Choices, Inc. by Deb Hammond, Director

**Submission date:** April 27, 2010

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## Gamblers Assistance Program Request for FY11 Funds

**Provider Agency Name:** Changes

**Address:** 4534 Valley Rd, Lincoln, NE 68510

**Current FY10 Contract Amount:** (Original \$13,000.00, Amended additional \$9,000.00. Total \$22,000.00).

**Direct Client Care:** (Original \$13,000.00 plus \$9,000.00 amendment)

**Outreach/Education:** \$5,000 of \$13,000.00 could be utilized for outreach/education. This money was used for direct client care.

**Changes requests:** \$28,000.00 for FY11 contract funds

### Program Narrative:

Due to the increase in services (crisis, direct client care and the need for outreach/education) in Southeast Nebraska Changes is asking for consideration with FY11 contract to be in the amount of \$28,000.00. (\$23,000.00 for direct client care and \$5,000.00 for outreach/education). This increase in funding is anticipated due to the increased number of consumers requesting services and the need for outreach/education in Southeast Nebraska. It is anticipated that the FY10 contract funding amount of \$22,000.00 will be insufficient during the FY11 contract.

**Describe program to be funded:** Direct Client Care and Outreach/Education

**Submitted by:** Changes by Wanda Swanson, CCGC, Proprietor

*Wanda Swanson, CCGC*

**Submission date:** April 29, 2010

## Consumer Survey

Gamblers Assistance Program

Nebraska Department of Health and Human Services, Division of Behavioral Health

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ID Number: \_\_\_\_\_

**For how long have you been discharged?** \_\_\_\_ (years) \_\_\_\_\_ (months)

**How did you find gambling counseling services?** (select one)

- Self Referred       Referred by Helpline       Referred by a Health Professional  
 Phone book/information       Internet       2-1-1  
 Other (please specify) \_\_\_\_\_

**Since you have discharged from treatment have you gambled?**

- Yes       No

Please indicate the degree of agreement of the following questions about the Gambler Assistance Program.

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1. When I called for an appointment I was **scheduled** within three business days.

1                      2                      3                      4                      5  
Strongly agree    agree    neutral    disagree    Strongly disagree

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2. The distance and travel time required to meet with my counselor were not a barrier to receiving treatment.

1                      2                      3                      4                      5  
Strongly agree    agree    neutral    disagree    Strongly disagree

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3. **Services** were available at times that were good **for me**.

1                      2                      3                      4                      5  
Strongly agree    Agree    Neutral    Disagree    Strongly disagree

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4. **My treatment needs** were assessed, including referrals to other resources if necessary, within the first three sessions of my treatment.

1                      2                      3                      4                      5  
Strongly agree    Agree    Neutral    Disagree    Strongly disagree

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5. There were no language, communication or cultural problems between my counselor or office staff and me.

1                      2                      3                      4                      5  
 Strongly agree    Agree    Neutral    Disagree    Strongly disagree

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6. I had confidence in my counselor.

1                      2                      3                      4                      5  
 Strongly agree    Agree    Neutral    Disagree    Strongly disagree

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7. I completed the treatment program according to my goals discussed with my counselor.

1                      2                      3                      4                      5  
 Strongly agree    Agree    Neutral    Disagree    Strongly disagree

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8. The results of my treatment program were positive in nature.

1                      2                      3                      4                      5  
 Strongly agree    Agree    Neutral    Disagree    Strongly disagree

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9. I have re-established important relationships in my life.

1                      2                      3                      4                      5  
 Strongly agree    Agree    Neutral    Disagree    Strongly disagree

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10. My efforts in work and/or school have improved.

1                      2                      3                      4                      5  
 Strongly agree    Agree    Neutral    Disagree    Strongly disagree

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11. My financial situation has improved.

1                      2                      3                      4                      5  
 Strongly agree    Agree    Neutral    Disagree    Strongly disagree

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12. My legal situation has improved.

1                      2                      3                      4                      5  
 Strongly agree    Agree    Neutral    Disagree    Strongly disagree

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*This final section allows you to express in your own words your feelings about this program.*

**13. What is your overall opinion about the Gamblers Assistance Program?**



## **GAP Provider Training**

The DHHS Gamblers Assistance Program and Magellan Health Services will be offering training to GAP providers on the newly revised “GAP Provider Manual and Web Site User Guide.” In addition, the FY11 GAP contracting and evaluation processes will be reviewed.

Current training topics DHHS GAP and Magellan will be...

- Review the revised “GAP Provider Manual and Web Site User Guide.”
- Highlight the most recent Web Site enhancements.
- Identify process pitfalls to avoid.
- Provide a Q/A session regarding the subjects above.

### **This training will be offered**

**June 22, 2010 11:00 PM – 1:00 PM CST**

**June 23, 2010 12:00 PM – 2:00 PM CST**

**AT&T Web Meeting Format:** To assure you will be able to access the online forum, we ask you to log in to the AT&T site ahead of time. Some participants may need to download AT&T software in order to gain access. Due to limited space, large offices should log in to the forum in groups, to help assure that everyone can participate in one of the two offerings.

Please log into the training **at least (15) minutes** prior to the start time.

**Web Meeting Address:** <https://webmeeting.att.com>

**Meeting Number:** 8882733658

**Access Code:** 3745887

**Conference Call-in Number:** 1-888-273-3658, Passcode 3745887