REQUEST FOR APPLICATIONS – FEDERAL FUNDS

The State of Nebraska, Department of Health and Human Services (“DHHS”), Division of Children Family Services, Temporary Assistance for Needy Families Program, is issuing this Request for Applications (“RFA”) for the purposes of entering into grant agreement(s) (“Subaward” or “Subawards”) and awarding federal funds to an eligible and qualified entity to Healthy Marriages and Responsible Fatherhood Initiatives. A more detailed description may be found in Project Description, Section

<table>
<thead>
<tr>
<th>RFA #</th>
<th>RELEASE DATE</th>
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<tbody>
<tr>
<td>3312</td>
<td>October 16, 2020</td>
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<table>
<thead>
<tr>
<th>APPLICATION DUE DATE</th>
<th>POINT OF CONTACT</th>
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<tbody>
<tr>
<td>December 15, 2020</td>
<td>Oyinda Oyetunde/Jennifer Crouse</td>
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<tr>
<th>INITIAL PERIOD OF PERFORMANCE</th>
<th>TOTAL FUNDING AVAILABLE</th>
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<tbody>
<tr>
<td>January 1, 2021 Through December 31, 2021</td>
<td>$2,000,000</td>
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The resulting Subaward from this RFA is subject to and shall follow federal regulation, as set forth herein. Subrecipients receiving Subawards may only be paid up to the actual and allowable costs (as defined herein) of completing the Project Description, Section 2. No Subawards resulting from this RFA will be fee-for-service contracts, regardless of the method of payment, and no Subrecipient may keep a profit from its Subaward. More detail about the terms of this funding is set forth in Terms, Section 5, below.

A copy of this RFA may be found online at DHHS’ website at http://dhhs.ne.gov/Pages/Grants-and-Contract-Opportunities.aspx. Until final Subawards are signed, all other information pertinent to this RFA, including but not limited to any amendments or addenda, will be posted on the DHHS website.
Table of Contents

1 RFA OVERVIEW.......................................................................................................................... 3
  1.1 FUNDING INFORMATION........................................................................................................... 3
  1.2 PERIOD OF PERFORMANCE..................................................................................................... 3
  1.3 APPLICABLE LAW.................................................................................................................... 3
  1.4 ELIGIBLE ENTITIES.................................................................................................................. 4
  1.5 AWARD OF FUNDING.............................................................................................................. 4

2 PROJECT DESCRIPTION.................................................................................................................... 4
  2.1 BACKGROUND AND PURPOSE................................................................................................. 4
  2.2 FATHERHOOD INITIATIVE PROGRAM GOALS........................................................................... 4
  2.3 SCOPE OF WORK....................................................................................................................... 5
  2.4 PROGRAM ACTIVITIES............................................................................................................. 5
  2.5 PERFORMANCE REQUIREMENTS.............................................................................................. 6
  2.6 REPORTING REQUIREMENTS.................................................................................................... 7

3 RFA PROCEDURE............................................................................................................................. 8
  3.1 RFA POINT OF CONTACT (POC)............................................................................................... 8
  3.2 SCHEDULE OF EVENTS............................................................................................................ 8
  3.3 WRITTEN QUESTIONS AND ANSWERS................................................................................... 9
  3.4 SUBMISSION OF APPLICATIONS............................................................................................... 9
  3.5 EVALUATION COMMITTEE...................................................................................................... 9
  3.6 EVALUATION OF APPLICATIONS........................................................................................... 10
  3.7 LATE APPLICATIONS............................................................................................................... 10
  3.8 CORRECTIONS.......................................................................................................................... 10
  3.9 GRIEVANCE AND PROTEST PROCEDURES............................................................................ 10
  3.10 COALITION/JOINT EFFORTS.................................................................................................. 10
  3.11 DHHS RESERVATIONS OF AUTHORITY DURING APPLICATION AND EVALUATION PROCESS .................................................................................................................. 12

4 APPLICATION INSTRUCTIONS...................................................................................................... 12
  4.1 APPLICATION CONTENTS......................................................................................................... 12
  4.2 APPLICANT’S ORGANIZATIONAL OVERVIEW........................................................................ 12
  4.3 APPLICANT’S WORK PLAN..................................................................................................... 13
  4.4 APPLICANT’S BUDGET (MUST USE TEMPLATE PROVIDED)................................................... 13

5 TERMS............................................................................................................................................ 13
  5.1 ADDENDA............................................................................................................................... 13
  5.2 BUDGET CHANGES.................................................................................................................. 14
  5.3 DIRECT COSTS........................................................................................................................ 14
  5.4 INDIRECT COSTS...................................................................................................................... 14
  5.5 PROGRAM INCOME................................................................................................................ 14

6 GLOSSARY OF TERMS.................................................................................................................... 15

FORM 1 – APPLICATION COVER SHEET.................................................................................. 17
FORM 2 – APPLICANT’S WORK PLAN....................................................................................... 18
FORM 3 – APPLICANT’S BUDGET.............................................................................................. 20
1 RFA OVERVIEW

1.1 Funding Information

<table>
<thead>
<tr>
<th>Federal Agency Name</th>
<th>Catalog of Federal Domestic Assistance (CFDA) Name</th>
<th>CFDA Number</th>
<th>Federal Award Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>ACF</td>
<td>Temporary Assistance for Needy Families</td>
<td>93.558</td>
<td>January 1, 2021</td>
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</tbody>
</table>

The total anticipated available funds for Subaward(s) under this RFA is $2,000,000, [two million dollars]. A total award of this amount of funds is not guaranteed, but is subject to the applications received, to actual money awarded to DHHS from the Federal Awarding Agency, and to DHHS’ discretion. DHHS may establish a cap on total amount of funds that any one Applicant, or Applicants acting jointly, may request. Any cap shall be set forth in the Applications Instructions, Section 4.4, below. The total funds may be split among multiple Subrecipients in the discretion of DHHS.

Subawards from this RFA shall not also contain state funds. Any conditions imposed on the federal funds shall also apply to all state funds.

1.2 Period of Performance

The Period of Performance is the time during which a successful Applicant may incur costs to carry out the work authorized under this RFA and the resulting Subaward. See the definitions in 2 CFR § 200.77 or 45 CFR § 75.2. The initial Period of Performance for this RFA is from January 1, 2021 to December 31, 2022. This period may be extended by DHHS as allowable by the Federal Funding Agency. If state funds are involved in the award, this may also determine whether DHHS may extend a Period of Performance.

For the initial Period of Performance, all costs must be invoiced to DHHS by January 15, 2022 and liquidated (i.e., spent) by December 31, 2022. These dates are dependent on federal periods of allowability and DHHS’ own ability to timely process payments. They may be subject to change; final dates will be included in the final Subaward between the parties. If an Applicant believes it cannot meet these deadlines, it should not apply for funding under this RFA. Obligation and liquidation deadlines may be extended as allowed by the Federal Funding Agency, but no extensions are guaranteed. Future Periods of Performance, as allowed by DHHS, may have different obligation and liquidation deadlines.

1.3 Applicable Law

Because the funds to support the activities under this RFA involve federal funds, usage of these funds is subject to federal law, in addition to any applicable state law. The Uniform Grant Guidance, 2 CFR §§ 200 et seq. (“UGG”) applies to Subawards funded from the United States Department of Agriculture (USDA), the Department of Housing and Urban Development (HUD), and the Department of Labor (DOL), the Environmental Protection Agency (EPA) or other federal agencies. The United States Department of Health and Human Services (HHS) has adopted the UGG, but has implemented and re-codified it at 45 CFR §§ 75 et seq. (“HHSGG”); for awards funded by HHS, those regulations apply. Throughout this RFA, both the UGG and the HHSGG will be cited, although they are substantially similar.

The HHSGG shall apply to this RFA if it awards funds from block grants authorized by the Omnibus Budget Reconciliation Act of 1981, unless Nebraska statute or regulation has established provisions for the payment costs and services; in all other respects, as provided herein, those block grant Subawards are governed by 45 CFR §§ 96 et seq.

Additional federal and state statutes and regulations may apply to the funding contained herein. These may be included in Additional Program Requirements, Section 5.7, below, as well as in the Subaward itself.

Further information about allowable costs and activities may be set forth herein.
1.4 Eligible Entities
Any Applicant for this RFA must be a “non-federal entity,” as set forth in 45 CFR § 75.2 or 2 CFR § 200.69. A “non-federal entity” is limited to local governments, Indian tribes, institutions of higher education, or nonprofit organizations; further definitions in the UGG or the HHSGG may apply. If this RFA involves funds from the United States Department of Labor, the definition of “non-federal entity,” per 2 CFR § 2900.2, includes for-profit entities, as well. Any Application submitted by an Applicant who is ineligible shall be rejected without scoring.

Additional requirements for determining the eligibility of entities may also be included in the Project Description.

1.5 Award of Funding
DHHS will evaluate Applications in the manner set forth herein. An Intent to Subaward will be posted on the DHHS Website with selected Applicants. Funds will be awarded through a written agreement, termed a Subaward, which will incorporate this RFA by reference. No promise for funds is binding on DHHS, and no funds will be paid to any Applicant until a Subaward has been executed by both the Applicant and DHHS.

DHHS has the option to renew this Subaward agreement for up to two (2) additional one-year periods. Total funding available for each optional renewal period shall be up to $2,000,000.

In the Evaluation of Applications, DHHS shall not discriminate for or against an organization on the basis of the organization’s religious character or affiliation, as consistent with 45 CFR §§ 87 et seq..

2 PROJECT DESCRIPTION

2.1 Background and Purpose
Studies have shown that children with fathers who are engaged in their lives have more positive life experiences. They develop healthy relationships and are less likely to have emotional or physical problems. Children who have a strong relationship with their father are less likely to use drugs or exhibit violent or anti-social behaviors. Children perform better academically and are more likely to graduate from high school, pursue higher learning and be successful in their jobs. The Nebraska Department of Health and Human Services (DHHS), Division of Children and Families wants to re-engage fathers with their children and support the whole family in their efforts to overcome struggles and improve their lives.

DHHS, Division of Child and Family Services is issuing this RFA for the purposes of Healthy Marriages and Responsible Fatherhood Initiatives. Funding will be awarded to organizations to implement Fatherhood Initiatives programs serving Temporary Assistance for Needy Families (TANF) eligible, low income, non-custodial, unemployed and under-employed fathers with income below 200 percent of the federal poverty level (FPL). This grant opportunity is intended to award funding to Nebraska based non-profit organizations. Applicants must have experience in providing Fatherhood programs and be able to develop and implement a comprehensive approach to promoting responsible fatherhood, increasing economic stability for fathers and families, and improving healthy relationships. Organizations applying for this grant opportunity must demonstrate how they would utilize community partnerships and collaborations in meaningful activities to achieve program objectives and goals.

2.2. Fatherhood Initiative Program Goals
Fatherhood Initiative programs goals are to strengthen the positive father-child engagement, improve healthy relationships (including couple and co-parenting) and marriage, and improve employment and economic mobility opportunities for noncustodial fathers. Responsible Fatherhood programs require the four activities:

2.1.1. Activities to promote or sustain marriages
2.1.2. Activities to promote responsible parenting
2.1.3. Activities to foster economic stability
2.1.4. Activities to promote responsible fatherhood that are conducted through a national clearinghouse that provides access to curricula, webinars, research products, and other resources to improve the implementation and success of responsible fatherhood programs.
2.3. Scope of Work

2.3.1. The Subrecipient shall provide Healthy Marriage and Responsible Fatherhood Initiative program services for the Nebraska Department of Health and Human Services (DHHS). The Subrecipient shall indicate on Form 3 which counties the organization will provide Fatherhood services as specified in the Notice of Award on an as-needed, if-needed basis, in accordance with the provisions and requirements stated herein.

2.3.2. The Subrecipient shall provide a facility in the awarded region(s) that is appropriate in size and design to accommodate the Subrecipient’s personnel, the participants, the participants’ children, and program activities. The Subrecipient shall ensure that the facility and all equipment therein meet the local fire, health, and safety standards and comply with American with Disabilities Act (ADA) standards.

2.3.3. Unless otherwise specified herein, the Subrecipient shall furnish all material, labor, facilities, equipment, and supplies necessary to perform the services required herein.

2.3.4. The Subrecipient should integrate partnerships for additional Healthy Marriage and Responsible Fatherhood initiative services, which improve effectiveness of the family structure. In the event that the Subrecipient develops partnerships, the Subrecipient shall maintain a formalized agreement between the Subrecipient and the partner agency. The formalized agreement should describe the role(s) and responsibilities of the Subrecipient and the partner agency, and should identify the Subrecipient’s resources and the partner agency’s resources.

2.3.5. Subrecipient Qualifications and Requirements:
2.3.5.1. The Subrecipient shall have experience assisting individuals in obtaining and maintaining employment, which may include employment services through a Subrecipient.
2.3.5.2. The Subrecipient should have experience serving fathers in at least two (2) of the following areas:
2.3.5.2.1.1. Building parenting skills of fathers;
2.3.5.2.1.2. Teen fatherhood prevention program;
2.3.5.2.1.3. Providing re-entry services to fathers who are inmates or who have been released within the last sixty (60) days from jail or prison.
2.3.5.2.1.4. Providing job placement or employment services.
2.3.5.3. The Subrecipient should be an organization that provides direct fatherhood initiative program services.

2.3.6. Implementation Requirements:
2.3.6.1. The Subrecipient should be operational (which shall include providing the required personnel, completion of all necessary functions, actions, set-up, etc., necessary for successful business operations, and full implementation of all required services pursuant to the requirements of this document) within ninety (90) calendar days after DHHS’s authorization to proceed with services.

2.4. Program Activities

2.3.1 Core Services – The Subrecipient should provide each participant the following core services as applicable:
2.3.1.1 Parenting skills development training;
2.3.1.2 Domestic violence training;
2.3.1.3 Effective co-parenting with the child’s guardian training;
2.3.1.4 Employment readiness;
2.3.1.4.1 The Subrecipient shall provide services related to economic security through financial literacy, work readiness, career exploration, securing employment, job retention, and career planning, as well as through application, enrollment, and sustained engagement in educational and vocational programs.
2.3.1.5 Child support training;
2.3.1.5.1 The Subrecipient shall not require the participant to have an open child support case. If the participant has an open child support case, the Subrecipient shall work with DHHS to ensure that the participant is making an effort to comply with his responsibilities.

2.3.1.5.2 The Subrecipient must make all participants with an open child support case available to DHHS to discuss their child support related issues. DHHS will assess and determine the necessary measures needed for all of the participant’s child support issues.

2.3.2 Program Activities – As part of the core services, the Subrecipient shall provide the following essential program activities and support program activities:

2.3.2.1 At minimum, the Subrecipient shall provide all of the following essential program activities:

2.3.2.1.1 Employment Services;
2.3.2.1.2 Educational Guidance;
2.3.2.1.3 Family Budgeting and Consumer Education;
2.3.2.1.4 Individual/Family Guidance;
2.3.2.1.5 Relationship Skills;
2.3.2.1.6 Parent Skills Training; and
2.3.2.1.7 Peer Guidance or Father-to-Father Mentoring.

2.3.2.2 In addition to providing the essential program activities, the Subrecipient should provide the following support program activities, as needed, either directly or through linkages or referrals:

2.3.2.2.1 Adult Basic Education (ABE);
2.3.2.2.2 General Equivalency Diploma (GED);
2.3.2.2.3 College Preparation;
2.3.2.2.4 Educational Workshops;
2.3.2.2.5 English for Speakers of Other Languages (ESOL);
2.3.2.2.6 Health/Nutrition Instruction;
2.3.2.2.7 Group Advising;
2.3.2.2.8 Independent Living Skills Training;
2.3.2.2.9 Individual/Family Housing Assistance;
2.3.2.2.10 Job-Readiness Skills;
2.3.2.2.11 Mediation/Conflict Resolution Training;
2.3.2.2.12 Reentry Services and Guidance; and
2.3.2.2.13 Teen Fatherhood Prevention Advising.

2.3.3 Individual Services Plan (ISP) Requirements

2.3.3.1 The Subrecipient must develop an ISP for the participant. The ISP shall address the following core services as applicable for the participant:

2.3.3.1.1 Parenting skills development;
2.3.3.1.2 Effective co-parenting with the child’s guardian;
2.3.3.1.3 Employment;
2.3.3.1.4 Education;
2.3.3.1.5 Child support; and
2.3.3.1.6 Domestic violence.

2.3.3.2 The Subrecipient shall provide services related to economic security through financial literacy, work readiness, career exploration, securing employment, job retention, and career planning, as well as through application, enrollment, and sustained engagement in educational and vocational programs.

2.3.3.3 The Subrecipient must make modifications to the ISP as goals are met and, if applicable, as additional needs arise.

2.5 Performance Requirements

2.5.1 The Subrecipient’s application must indicate how many new unduplicated fathers will be enrolled per year.
2.5.1.1 The Subrecipient shall provide participant orientation, case management (including assessment), Individual Services Plan (ISP), the core services addressed below, and program activities identified herein for each participant.

2.5.2 Program Outcomes
2.5.2.1 The Subrecipient will show an increase for the following outcomes from year to year:
   2.5.2.1.1 Number of fathers with consistent child support payments
   2.5.2.1.2 Number of fathers who obtain employment or increased wages
   2.5.2.1.3 Number of referrals to outside agencies in order to meet overall program goals
   2.5.2.1.4 Number of father visitations with children

2.6 Reporting Requirements
2.6.1 The Subrecipient shall collect the following statistics and report information quarterly by no later than the 15th of the following month of the quarter to DHHS in an electronic format:
   2.6.1.1 Number of participants;
   2.6.1.2 Number and types of group activities provided;
   2.6.1.3 Level of participation in group activities;
   2.6.1.4 Referral to outside agencies for support services;
   2.6.1.5 Number of Fathers who gain employment;
   2.6.1.6 Updated participant list with the following information:
      2.6.1.6.1 Participant name;
      2.6.1.6.2 Current address;
      2.6.1.6.3 Most recent date the case manager made contact with the participant;
      2.6.1.6.4 ISP (Y/N);
      2.6.1.6.5 Referrals provided to community resources;
      2.6.1.6.6 Employment status (Y/N);
      2.6.1.6.7 Child Support order established (Y/N);
      2.6.1.6.8 Current with Child Support payment (Y/N);
      2.6.1.6.9 The number of days per month the father had parenting time with child (based on father’s self-attestation).

2.6.2 The Subrecipient shall meet with DHHS, as requested, and on an ongoing basis, to report on the progress of the Subrecipient’s healthy marriage and responsible fatherhood initiatives. DHHS shall initiate and coordinate any requested meetings.
3. RFA PROCEDURE
This RFA seeks Applications to complete activities allowable under the funding source identified in 1.2, above. All Applications must conform to all instructions, conditions, and requirements included in this RFA. Applicants should carefully examine this RFA, as well as the requirements on the state or federal funds involved. Applications that DHHS determines do not conform to the requirements of this RFA, or Applications from ineligible entities, may be considered non-responsive and rejected without scoring.

3.1 RFA Point of Contact (POC)
Oyinda Oyetunde/Jennifer Crouse
PO Box 94926
Lincoln, NE 68508
(531) 500-1837
DHHS.RFAResponses@nebraska.gov

From the date the RFA is issued until the Intent to Subaward is issued, communication from the Applicant or prospective Applicant is limited to the POC listed above (but see exceptions, below). After the Intent to Subaward is issued, the Applicant may communicate with individuals DHHS has designated as responsible for negotiating the Subaward on behalf of DHHS. No member of the state government, employee of the state, or member of the Evaluation Committee is empowered to make binding statements regarding this RFA. The POC will issue any clarifications or opinions regarding this RFA in writing. Only the POC has the authority to modify the RFA, answer questions, or render opinions on behalf of DHHS. Applicants shall not have any communication with, or attempt to communicate or influence any Evaluator.

The following exceptions to these restrictions are permitted:
1. The email submission of the Application to the designated email address designated in Submission of Applications, Section 3.5;
2. Contact made pursuant to pre-existing contracts, subawards, or obligations;
3. Contact required by the schedule of events or an event scheduled later by the RFA POC; and
4. Contact required for negotiation and execution of the final subaward.

DHHS reserves the right to reject an Applicant’s application, withdraw an Intent to Subaward, or terminate a Subaward if DHHS determines there has been a violation of these procedures.

3.2 Schedule of Events

<table>
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<tr>
<th>ACTIVITY</th>
<th>DATE/TIME</th>
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<tbody>
<tr>
<td>1. Release RFA</td>
<td>October 16, 2020</td>
</tr>
<tr>
<td>2. Last day to submit written questions</td>
<td>October 30, 2020</td>
</tr>
<tr>
<td>3. State responds to written questions through RFA “Addendum” and/or “Amendment” to be posted to the Internet at: <a href="http://dhhs.ne.gov/Pages/Grants-and-Contract-Opportunities.aspx">http://dhhs.ne.gov/Pages/Grants-and-Contract-Opportunities.aspx</a></td>
<td>November 13, 2020</td>
</tr>
<tr>
<td>4. Application Review Period Begins (Application due date)</td>
<td>December 15, 2020 2:00 PM Central Time</td>
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<td>5. Evaluation Period</td>
<td>December 16, 2020</td>
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<tr>
<td>7. Period of Performance Start*</td>
<td>January 1, 2021</td>
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*The Period of Performance start may occur before a Subaward is finalized, agreed to, and executed by the parties. Because this is just the period during which costs are allowable, it does not reflect that any agreement between DHHS and any successful Applicant has gone into effect or is binding in any way. No binding agreement has been made between DHHS and any Applicant until a Subaward is fully executed by both parties.
3.3 Written Questions and Answers
Questions regarding information needed for an Application, as well as the meaning or interpretation of any RFA provision, must be submitted in writing to POC via email and clearly marked “RFA Number (3312); Questions.” The POC is not obligated to respond to questions that are received late, as set forth in the Schedule of Events.

Applicants should present, as questions, any assumptions upon which the Application is or might be developed. Applications will be evaluated without consideration of any known or unknown assumptions of an Applicant. The Subaward will not incorporate any known or unknown assumptions of an Applicant.

Questions must be sent via e-mail to DHHS.RFAResponses@nebraska.gov. DHHS recommends that Applicants submit questions using the following format:

<table>
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<tr>
<th>RFA Section Reference</th>
<th>RFA Page Number</th>
<th>Question</th>
</tr>
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Written answers will be posted at the DHHS Website per the Schedule of Events. Written answers will become part of this RFA.

3.4 Submission of Applications

3.5.1. Applicants must submit a complete Application, including all the parts required herein, in one of three ways:

3.5.1.1. Electronically via email to DHHS.RFAResponses@nebraska.gov. The subject of the email shall indicate “RFA # (with the appropriate number filled in): Response of [Name of Organization].” The email shall include the Application as a single Portable Document Format (PDF) or multiple PDFs. Failure to provide the Application in the correct format may result in DHHS being unable to read or open the Application and thus rejecting it without Evaluation. The email shall request a read receipt. A read receipt will be supplied to the Applicants upon receipt of the email by DHHS’ Central Procurement Services. Central Procurement Services shall not forward the Applications to the program until the beginning of the Application Review Period.

3.5.1.2. Submission directly to the POC via United States Postal Service mail. The Application shall be sent to the POC’s address listed above in Point of Contact, Section 3.1. The Application itself shall remain sealed and shall not be opened until the beginning of the Application Review Period.

3.5.1.3. Hand delivered responses or responses delivered by FedEx or UPS should be delivered to:
ATTN: Oyinda Oyetunde/Jennifer Crouse
DHHS - 3rd Floor Reception Desk
301 Centennial Mall South
Lincoln, NE 68509

3.5.2. The Application itself shall remain sealed and shall not be opened until the beginning of the Application Review Period.

3.5.3. Regardless of submission method, Applicants must use the forms supplied by DHHS in this RFA unless specifically otherwise indicated herein. All Applications must be received by the beginning of the Application Review Period, as stated in the Schedule of Events, Section 3.2.

3.5 Evaluation Committee
Applications are evaluated by members of an Evaluation Committee(s). The Evaluation Committee(s) will consist of individuals selected at the discretion of DHHS. All members of the Evaluation Committee will disclose to DHHS any potential conflicts of interest before evaluation. Members with a conflict will be removed from the Evaluation Committee before scoring.

Any contact, attempted contact, or attempt to influence an evaluator that is involved with this RFA may result in the rejection of this Application and further administrative actions.
3.6. Evaluation of Applications
All complete Applications that are responsive to the RFA will be evaluated. DHHS reserves the right to evaluate Applicants and award funds in a manner utilizing criteria selected at DHHS’ discretion and in the best interest of meeting the objectives of the funding involved. The Evaluation will be conducted by the following method:

DHHS will initially evaluate all Applications to determine whether the Applicant is an eligible entity; whether the Application meets the minimum requirements of this RFA; and whether the Applicant poses risk of noncompliance with federal statutes, regulations, and the terms and conditions of the Subaward, such that DHHS should not award funding. DHHS will award to the top scoring Applicant or Applicants, as DHHS determines and as funding allows. DHHS will conduct a fair, impartial, and comprehensive evaluation of all Applications in accordance with the predetermined criteria based on the Application. The Applicant’s responses to the Forms will be scored through a point method set forth below. DHHS will evaluate on the following categories with a maximum point potential for each:

3.6.1. Applicant's Organizational Overview. Applicants will receive high scores if they have a defined and clear organizational structure; organizational experience in federal grants; qualified and capable personnel with experience in federal grants or equivalent credentials or experience; or can otherwise demonstrate that they will be a reliable Subrecipient who will use all awarded funds in a manner consistent with law and the requirements of this RFA. (50 points)

3.6.2. Applicant's Work Plan. Applicants will receive higher scores if their work plan responds to the Project Description and meets the goals or objectives of the federal funding and RFA, as well as evidencing the ability to meet expected outcomes, adhere to reporting deadlines or other deadlines, and complete any required evaluation activities. DHHS exercises sole discretion as to whether the Application adequately addresses the purposes and objectives of the federal funding DHHS has received. (100 points)

3.6.3. Applicant's Budget. Applicants will receive higher scores if the budget is tailored to the work plan and utilizes allowable direct and indirect costs. Total request for funding itself will not determine score; rather, Applicants will be scored based on whether budget accurately reflects allowable costs of completing the work set forth in the work plan. (25 points)

3.6.4. Coalition. Applicants will receive higher scores if it is a coalition of organizations, as allowed in Section 3.11. (25 points)

There are 200 total points available for Applications under this RFA.

DHHS may award to a single top Applicant, or may award to multiple top scoring Applicants, in its sole discretion. If all Applicants meet the minimum requirements and are meritorious, DHHS may also elect to

3.7. Late Applications
Applications received after the time and date of the Application opening will be considered late Applications. Late Applications will be rejected. All Applications must be electronically or physically received by the date and time of the Application Opening. The State is not responsible for Applications that are late or lost regardless of cause or fault. It is the Applicant’s responsibility to ensure Applications are received timely.

3.8. Corrections
An Applicant may correct a mistake in an Application prior to the time of opening by giving written notice to the POC of intent to withdraw the Application for modification, or to withdraw the Application completely. Changes in an Application after the Evaluation Period has begun are acceptable only if the change is made to correct a minor error. Whether an error is minor shall be determined by DHHS.

3.9. Grievance and Protest Procedures
All grievances must follow the DHHS Subaward Grievance/Protests Procedures, available on the DHHS website. Grievances must be filed timely.

3.10. Coalition / Joint Efforts
Applicants may cooperate or submit Applications jointly, but all such Applications must clearly identify the Applicants involved, the roles each will have administering the Subaward, and that they are eligible for the Subaward, as set
forth herein. Applicants may create a legal entity, or describe a plan for the creation of a legal entity, as a cooperative or joint venture if the entity itself is eligible for the Subaward and all Applicants are also eligible. DHHS shall determine the proper method for any resulting Subaward, should the joint Applicants be selected for funding.
3.11. DHHS Reservations of Authority During Application and Evaluation Process

After Evaluation of the Applications, or at any point in the RFA process, DHHS may take one or more of the following actions:

3.11.1. Amend the RFA;
3.11.2. Amend the RFA;
3.11.3. Extend the time of or establish a new Application opening time (i.e., allowing additional time to submit Applications);
3.11.4. Waive deviations or errors in the RFA process and in Applications that are not material, do not compromise the RFA process or an Application, and do not improve an Applicant’s position;
3.11.5. Accept or reject a portion of or all of an Application;
3.11.6. Accept or reject all Applications;
3.11.7. Withdraw the RFA; or
3.11.8. Elect to reissue the RFA.

DHHS reserves the right to adjust the Applicant’s budget with successful Applicants after the Intent to Subaward is issued. DHHS also reserves the right to adjust the Work Plan with Applicant to meet the requirements of the grant, Federal Funding Agency, law, or to meet DHHS programmatic needs. DHHS also reserve the right to apply additional conditions based on the successful Application and the result of a pre-award risk assessment. If a scoring method is used to rank applications to determine funding amounts, all adjustments shall have no bearing on rank.

If DHHS rejects all Applications, it may enter either reissue an RFA with the same or different specifications and terms, or it may negotiate a single or multiple Subawards with individual Applicants or non-Applicants.

4 APPLICATION INSTRUCTIONS

4.1 Application Contents

A complete, responsive Application must contain the following completed documents:

4.1.1. Form 1 – Application Form and Cover Sheet;
4.1.2. Form 2 – Organization Overview
4.1.3. Form 3 – Applicant’s Work Plan;
4.1.4. Form 4 – Applicant Budget; and
4.1.5. Attachment 1 – Regions to be Served.

Applications that do not contain all of the required sections will be rejected. An editable Microsoft Word-formatted document of the Forms will be posted on the DHHS Website, which Applicants may fill in and submit.

4.2 Applicant’s Organizational Overview

The Applicant’s Organization Overview section shall contain the following information about the Applicant. If the Application is a cooperative or joint venture between two or more entities, all information required in this section shall be provided for all entities, even if a new legal entity has been created or is planned to be created for the purposes of the Subaward.

4.2.1. Organization Information. Applicant’s full legal name, including any other “doing business as” names, or any previous names the organization used. A DUNS number shall be provided. A parent DUNS number shall also be provided, if applicable.

4.2.2. Summary of Federal Grants Experience. A description of Applicant’s previous experience with receiving federal funds. This shall include, but not be limited to, experience receiving federal funds as a recipient or a Subrecipient. Applicant should describe and demonstrate knowledge of the Uniform Grant Guidance / HHS Grants Guidance (as applicable), as well as any specific experience with the particular federal program and funding source that funds this RFA.

4.2.3. Summary of Programmatic Experience. A description of Applicant’s experience with the type of programming or work contained in the Project Description, or other relevant work.
4.2.4. **Personnel and Management.** Applicant should identify individuals employed by Applicant, on its board of directors, or otherwise affiliated with Applicant, who have a demonstrated knowledge or experience with federal grants, the Uniform Grant Guidance or the HHS Grants Guidance, programmatic experience, or other relevant experience.

4.2.5. **Agreements Terminated or Costs Disallowed.** Applicant must provide a summary of any agreements executed within the last five (5) years with federal awarding agencies or pass-through entities (either as grant agreements, cooperative agreements, Subawards, or contracts) that:

4.2.5.1. Were terminated for cause; or
4.2.5.2. Where Specific Conditions were placed on Applicant (see 2 CFR § 200.207 or 45 CFR § 75.207).

If an Applicant has been disbarred by the United States Federal government, it is not eligible to receive funding under this RFA.

4.3 **Applicant’s Work Plan**

The Work Plan must respond in detail to the Project Description. It must contain a description of the work activities Applicant is proposing to complete under the RFA. It should contain an understanding of the requirements for the project under the applicable federal or state funding sources (or both), and, as applicable, descriptions of timelines, outcome/process measures, and program evaluation activities.

4.4 **Applicant’s Budget (Must use template provided)**

Each budget should contain only costs that are allowable under the applicable federal statutes, regulations, terms and conditions of this RFA. Applicants will not be allowed to change their budgets once submitted to DHHS, unless the POC specifically requests, in writing, budget changes. Budgets may be modified as required by DHHS or in agreement between DHHS and the Applicant after the Intent to Subaward is announced. Applicants should not rely on budget changes or modifications in submitting their proposed budget, but should be able to perform the program activities consistent with their budget.

If an Applicant has or has prepared a cost allocation plan for this Subaward, it may submit it along with the Application.

If Applicants plan to charge indirect costs other than through a cost allocation plan, Applicants thus must provide one of the following along with their budget: 1) A current federally-approved indirect cost rate agreement; 2) A currently approved indirect cost rate agreement with DHHS; or 3) A calculation of *de minimis* indirect costs consistent with federal rules. DHHS may provide a calculator to aid programs in calculating *de minimis* indirect costs, upon request;

Indirect costs and cost allocation plans may also be negotiated after the Intent to Subaward. As consistent with law, Applicants may voluntarily opt to take a lower indirect rate than their approved agreement, or indirect cost calculation, allows.

5 **TERMS**

Applicants must be aware of the following terms when submitting their Applications. These terms will be included in the resulting Subaward between the parties, as well.

5.1 **Addenda**

The following Addenda will be incorporated into any Subaward with a selected Applicant. They are available online at the DHHS Website:

- Addendum A - DHHS Standard Terms – Subawards
- Addendum B - DHHS Insurance Requirements – Subawards

DHHS reserves the right to amend these terms at any time during the RFA; to negotiate the terms with selected Applicants; to amend or change these terms for any subsequent Subaward signed and executed by the parties; or any combination of the above. Terms required by federal or state law will not be negotiated, and if an Applicant cannot agree to these terms, DHHS may withdraw or modify the Intent to Subaward and take any of the actions set forth herein.
5.2 Budget Changes
The final Subaward may contain terms to allow a Subrecipient to modify a budget, with or without approval from DHHS. Applicants should not, however, rely on this when submitting budgets.

5.3 Direct Costs
Under this Subaward, DHHS shall only pay for actual and allowable costs (as defined in this section) incurred during the Period of Performance.

To be allowable, all costs must be:
- Necessary for the performance of the Subaward activities;
- Reasonable, as provided in 2 CFR § 200.404 or 45 CFR § 75.404;
- Allocable to the federal award, as provided in 2 CFR § 200.405 or 45 CFR § 75.405;
- Consistent with all other requirements of the Cost Principles in 2 CFR § 200 Subpart E or 45 CFR § 75 Subpart E; and
- Consistent with all other law, regulation, policy, or other requirements applicable to the state or federal funds involved.

To be actual, all costs must be finalized and spent by the appropriate dates set forth in the Subaward.

Particular Federal Funding Agencies may have additional requirements and stipulations regarding allowable costs under that particular funding.

Applicants should be aware that direct personnel costs must be consistent with 45 CFR § 75.430 or 2 CFR § 200.430, as applicable. These costs must be able to be backed by sufficient documentation, or must be shown to be allocable to the award via an alternative, allowable method, such as a random moment time study.

5.4 Indirect Costs
Federal law defines indirect costs as "costs incurred for a common or joint purpose benefitting more than one cost objective, and not readily assignable to the cost objectives specifically benefitted, without effort disproportionate to the results achieved." 2 CFR § 200.56 and 45 CFR § 75.2. All indirect costs may only be paid if they are consistent with the UGG or HHSGG, as applicable.

As provided in 2 CFR § 200.414 and 45 CFR § 75.414, indirect costs may only be paid from a federal grant if paid through a federally-approved rate or a rate negotiated between DHHS and the Applicant. If the Applicant has never had a federally-approved indirect rate, it may charge indirect costs as consistent with the federal rules for de minimis indirect costs.

Cost Allocation plans may set forth a direct allocation of all costs under a Subaward, or may allocate only a portion of those costs along with an indirect rate. Subrecipients may not, however, charge items as direct costs and also as indirect costs.

5.5 Program Income
Any revenue generated by the Subaward is Program Income (see definition in 2 CFR § 200.80 or 45 CFR § 75.2). Program Income requires an accounting of its use and must be handled in accordance with 2 CFR § 200.307 or 45 CFR § 75.307. As per the Notice of Award for the federal funds involved in this RFA or from other regulation, all program income generated by the Subawards awarded as a result of this RFA must be handled under the addition method, Please see the regulations cited above for more detail.
6 GLOSSARY OF TERMS
All terms shall have the meaning as set forth in 2 CFR §§ 200 et seq. or 45 CFR §§ 75 et seq. unless otherwise specifically set forth herein.

Agent/Representative: A person authorized to act on behalf of another.

Amend: To alter or change by adding, subtracting, or substituting.

Amendment: A written correction or alteration to a document.

Applicant: Non-Federal Entity that has applied for funding under this RFA.

Application: The written proposal submitted by the Applicant applying for funding under this RFA, which is composed of Forms 1 through 5.

Application Due Date: The date the RFA must be submitted to DHHS, and if not submitted by that time, rejected.

DHHS Website: www.dhhs.ne.gov.

Evaluation: The process of examining an Applicant after opening to determine the Applicant’s responsibility, responsiveness to requirements, and to ascertain other characteristics of the Application that relate to determination of the successful award.

Evaluation Committee: Committee(s) appointed by DHHS that advises and assists DHHS in the evaluation of Applications.

Evaluator: An individual on the Evaluation Committee who advises and assists in the evaluation of Applications.

HHS Grants Guidance (“HHS GG”): The regulations codified at 45 CFR §§ 75 et seq., a re-codified version of the UGG, which provide the general administrative requirements for grant funding flowing down from the federal Department of Health and Human Services. See also Uniform Grant Guidance.

Intent to Subaward: A document noting the results of the RFA evaluation process, and identified any identified Applicant(s) with whom DHHS intends to award federal funds, but not a binding agreement with any promise to award.

Mandatory/Must: Required, compulsory, or obligatory.

May: Discretionary, permitted; used to express possibility.

Must: See Mandatory/Must and Shall/Will/Must.

Non-Responsive: When an Application does not meet the minimum requirements of this RFA.

Point of Contact (“POC”): The person designated to receive communications and to communicate.

Request for Applications (“RFA”): Written solicitation of competitive applications for federal grant funding.

Shall/Will/Must: An order/command; mandatory.

Should: Expected; suggested, but not necessarily mandatory.

Subaward: In addition to the definition in 2 CFR § 200.92 and 45 CFR § 75.2, Subaward means the Grant Agreement executed, pursuant to the terms of the RFA, with the Non-Federal Entity.

Subrecipient: In addition to the definition in 2 CFR § 200.93 and 45 CFR § 75.2, Subrecipient means the Non-Federal Entity that has executed a Subaward with DHHS.
Uniform Grants Guidance ("UGG"): The regulations codified at 2 CFR §§ 200 et seq., which provide the general administrative requirements for grant funding flowing down from the federal government. See also HHS Grants Guidance.

Will: See Shall/Will/Must.
**FORM 1 – APPLICATION COVER SHEET**

**Instructions:** This form must be signed and returned, along with the application materials, before the Application Due Date, to the POC or designated email address, as applicable.

<table>
<thead>
<tr>
<th>RFA #</th>
<th>RELEASE DATE</th>
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<tbody>
<tr>
<td>3312</td>
<td>October 16, 2020</td>
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<table>
<thead>
<tr>
<th>APPLICATION DUE DATE</th>
<th>POINT OF CONTACT</th>
</tr>
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<tbody>
<tr>
<td>DECEMBER 15, 2020</td>
<td>Oyinda Oyetunde/Jennifer Crouse</td>
</tr>
</tbody>
</table>

**CERTIFICATION AND GUARANTEE OF COMPLIANCE**

By signing this Application Cover Sheet, the Applicant guarantees compliance with the provisions stated in this Request for Application and certifies that all information contained in this Application is accurate. This Application is submitted pursuant to the terms of the RFA, and if the Applicant is awarded funding, it will be incorporated into the Subaward between the parties. I understand that if anything in this Application conflicts with the RFA or with the subsequent Subaward, the Subaward and RFA shall govern as set forth in the Subaward.

ORGANIZATION*: __________________________________________________________________________

ORGANIZATION DUNS NUMBER: ________________ PARENT DUNS (IF APPLICABLE): ________________

COMPLETE ADDRESS: _________________________________________________________________________

_______________________________________________________________________________________

CONGRESSIONAL DISTRICT: ________________

TELEPHONE NUMBER: ______________________ EMAIL ADDRESS: ________________________________

_____ I CERTIFY THAT THIS ORGANIZATION IS AN “ELIGIBLE ORGANIZATION” AS DEFINED BY THIS RFA.

_____ I CERTIFY THAT THIS ORGANIZATION IS NOT PRESENTLY DEBARRED OR SUSPENDED.

SIGNATURE: ____________________________________________________________________________

TYPED NAME & TITLE OF SIGNER: ________________________________________________________________________

*Name must match DUNS Number.*
FORM 3 – APPLICANT’S WORK PLAN

PROPOSED METHODOLOGY, APPROACH, AND WORK PLAN

Directions for Applicants: Applicants should present a written plan for performing the requirements specified in Section 2, Scope of Work

Applicants are to include, at minimum, the following narrative structures and technical approach for the proposed work plan. The applicant shall:

A. State the key objectives of the proposed program. Discuss how the objectives for the proposed program are appropriate for meeting the needs of the specified population, and discuss how those needs were determined.
B. Applicants are encouraged to utilize evidence based practices and outcome models.
C. Provide a detailed description of plan activities which must include the following services for fathers:
   1. **Fatherhood classes, individual coaching and intensive case management.**
      The program must use an evidence-based, interactive curriculum designed to help fathers learn and apply skills that will assist them in fulfilling their roles and responsibilities as fathers, reinforce parental practices that advance child well-being, and improve father-child relationships. Applications are to describe in detail how they will provide services by including information on curriculum, course duration, the frequency of the course offering, and number of new fathers to be enrolled in each course.
   2. **Co-parenting/Relationship skills classes and provide couples counseling when requested;**
   3. **Employment services for unemployed and under-employed fathers.** All applicants must include comprehensive employment services designed to lead fathers to employment. Employment services must include steps and goals to help fathers prepare for, find, and retain employment. In developing a comprehensive employment approach, applicants must include elements that are designed to enhance the employability skills and/or career advancement of participating fathers. Applicant descriptions must incorporate three (3) or more of the following activities (Applicants may also propose other appropriate services or strategies designed to achieve comprehensive employment services):
      i. Career counseling/development (assessments of skills levels, aptitudes, abilities, competencies, and support services as needed);
      ii. Encouragement of education, including career-advancing education;
      iii. Job search, training, enhancement, retention and placement assistance;
      iv. Basic technology training;
      v. Pre-employment or soft-skills development that may include basic academic skills; and;
      vi. Post-employment supports and case management to help participants gain and retain employment as they move toward economic self-sufficiency.
   4. **Prevention of premature fatherhood.** Program plans may also include classes and activities for teens designed to decrease or prevent premature fatherhood. Teens do not have to be fathers in order to receive services.

Some of these services may be provided by a Subrecipient or community partner so long as the applicant is primarily responsible for providing essential services.

C. Provide a detailed participant recruitment plan;
D. Provide a proposed timeline for the program;
E. Provide a status reporting procedure for reporting work completed, and resolution of unanticipated problems;
F. Include the Nebraska Counties and Regions to be Served Form (Attachment 1). Complete this attachment by listing counties where they plan to offer programming and services for fathers;
G. Describe the process for how the applicant will ensure fathers meet the Healthy Marriage and Responsible Father Initiatives eligibility requirements including verifying fathers being served have income at or below 200 percent of the Federal Poverty Level (FPL).
H. Describe how the applicant will collect and report the statistics and report information quarterly in an electronic format.
Attachment 1: Regions to be Served

Applicants must complete and submit this form with the application.

Please list the Nebraska counties where you will offer programming and services:
### FORM 4 – APPLICANT’S BUDGET

Cost Sheet—Budget Summary

<table>
<thead>
<tr>
<th>Category</th>
<th>Sub-Award Period</th>
<th>Total Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Personnel Services</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Salaries</td>
<td></td>
<td></td>
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<tr>
<td>2. Benefits</td>
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<td><strong>B. Subrecipients</strong></td>
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<tr>
<td><strong>C. Operations</strong></td>
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<td></td>
</tr>
<tr>
<td>1. Facilities</td>
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<td>2. Utilities</td>
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<td>3. Furniture</td>
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<tr>
<td>4. Supplies</td>
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<tr>
<td>5. IT Hardware</td>
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<tr>
<td>6. IT Software</td>
<td></td>
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<tr>
<td>7. Travel</td>
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<tr>
<td>8. Other</td>
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<td></td>
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<tr>
<td><strong>D. Other Expenses</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>E. Indirect Costs</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>