State of Nebraska

ATTORNEY GENERAL'S CERTIFICATION

I certify that:

The <u>Nebraska Department of Health and Human Services</u> is the single State agency responsible for:

 \boxtimes administering the plan

The legal authority under which the agency administers the plan on a Statewide basis is <u>Sections 68-901 through 68-926. Laws 2006 LB 1248 Section 1</u>.

(statutory citation)

supervising the administration of the plan by local political subdivisions.

The legal authority under which the agency supervises the administration of the plan on a Statewide basis is contained in _____

(statutory citation)

The agency's legal authority to make rules and regulations that are binding on the political subdivisions administering the plan is _____

(statutory citation)

<u>9/25/07</u> Date

Jon Bruning				
Attorney C	7 F			
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Approval Date Nov 29 2007

TN No. <u>MS-97-6</u>

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM

State of Nebraska

WAIVER(S) OF THE SINGLE STATE AGENCY REQUIREMENT GRANTED UNDER THE INTERGOVERMENTAL COOPERATION ACT OF 1968

WAIVER #1. ¹

a. Waiver was granted on _____(date)

b. The organizational arrangement authorized, the nature and extent of responsibility for program administration delegated to ______, and the resources and/or (name of agency) services of such agency to be utilized in administration of the plan are described below:

¹ (Information on any additional waivers which have been granted is contained in attached sheets.)

c. The methods for coordinating responsibilities among the several agencies involved in administration of the plan under the alternate organizational arrangement are as follows:

TN No. <u>75-74-1</u> Supersedes

Approval Date May 23 1974

Effective Date N/A

TN No. <u>N/A</u>

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

ORGANIZATION AND FUNCTIONS OF THE MEDICAID AGENCY

The Nebraska Department of Health and Human Services is organized into Operations and six Divisions: the Division of Behavioral Health, the Division of Children & Family Services, the Division of Developmental Disabilities, the Division of Medicaid & Long-Term Care, the Division of Public Health, and the Division of Veteran's Homes The Division of Medicaid & Long-Term Care manages the development and approval of Medicaid policy so that all Divisions operate using the same policies

<u>Operations</u> includes Human Resources, Communications & Legislative Services, Financial Services Information Systems & Technology Regulatory Analysis & Integration

The <u>Division of Behavioral Health</u> provides funding, oversight and technical assistance to the six local Behavioral Health Regions. The Regions contract with local programs to provide public inpatient, outpatient, and emergency services and community mental health, substance abuse and gambling services.

The <u>Division of Children & Family Services</u> includes the areas of child abuse, foster care, adoption, domestic violence, Employment First, ADC, Medicaid eligibility, refugee resettlement, energy assistance, child care subsidy, child support enforcement, food stamps, economic assistance, Integrated Care Coordination Units, resource development, quality assurance and parole and community-based juvenile services.

The <u>Division of Developmental Disabilities</u> The Division of Developmental Disabilities administers the Beatrice State Developmental Center and publicly-funded community-based developmental disability services.

The <u>Division of Medicaid & Long-Term Care</u> encompasses the Medicaid Program, Home and Community Services for Aging and Persons with Disabilities and the State Unit on Aging.

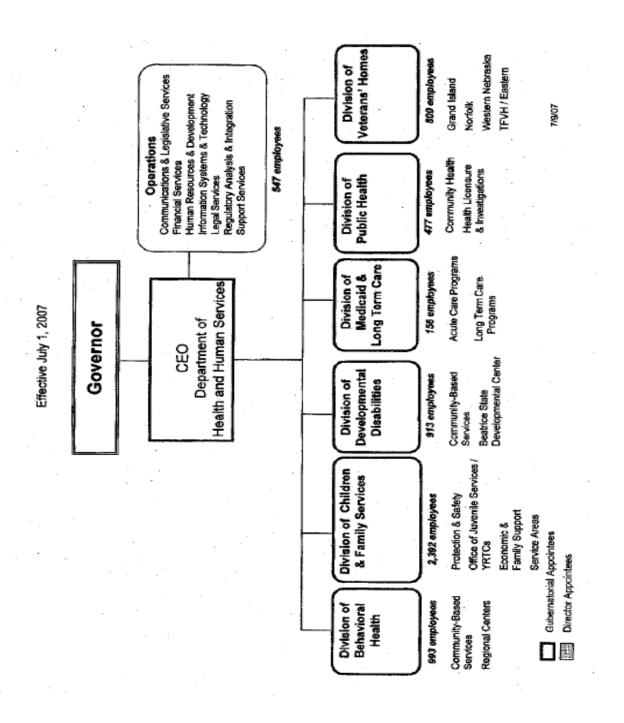
The <u>Division of Veterans' Homes</u> includes administration of the state Veterans' Homes located in Bellevue, Norfolk, Grand Island and Scottsbluff

TN No. <u>MS-07-05</u> Supersedes

Approval Date <u>Nov 29 2007</u>

Effective Date Jul 1 2007

TN No. <u>MS-85-17</u>



TN No. <u>MS-07-05</u> Supersedes

Approval Date Nov 29 2007

Effective Date Jul 1 2007

TN No. <u>MS-85-17</u>

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

STATE: Nebraska

ORGANIZATION AND FUNCTIONS OF THE MEDICAL ASSISTANCE UNIT

The Division of Medicaid & Long-term Care within the Department of Health and Human Services is composed of two sections - the Acute Care Programs Section and the Long-Term Care Programs Section. The Director of the Division of Medicaid & Long-Term Care has administrative authority over the operations and functions of the Medicaid program including the issuance of policies.

The Acute Care Programs Section is managed by an Administrator. The Section is organized into four units: Behavioral Health and Managed Care Unit, Medicaid Claims Payment Unit, Operations Unit, and Physical Health Services Unit.

The Behavioral Health, Pharmacy, and Ancillary Services Unit is responsible for the pharmacy program; the behavioral health program; and ancillary services such as transportation and durable medical equipment and supplies.

The Medicaid Claims Unit is responsible for provider enrollment; claims payment; coordination of benefits; data entry; screening; and electronic data interchange (EDI).

The Operations Unit is responsible for the Health Insurance Premium Payment (HIPP) program; the Medicaid Management Information System (MMIS); estate recovery and third party liability (TPL); the program integrity office; school-based contracts, and project management.

The Physical Health Services Unit is responsible for practitioner services such as dental, physician, visual, therapies, etc.; hospital policy and reimbursement development; disability determinations; and. administration of the Nebraska Health Connection, Nebraska's Medicaid Managed Care program under approved 1915(b) waivers Payment methodology is developed, maintained, and monitored by unit staff. This unit is responsible for formulating the request for proposal (RFP) for the program and well as negotiating contracts with the final selected contractors. The unit is also responsible for submittal of appropriate waivers. Quality assurance functions and contract monitoring are ongoing functions of this unit.

The Long-Term Care Section is managed by an Administrator. The Section is organized into four units: Long-Term Care State Plan Services; Home & Community Based Waiver Services; Safety & Independence Supports Unit; and the State Unit on Aging.

The Long-Term Care State Plan Services unit is responsible for hospice/home health/private duty nursing services; nursing facilities; ICF/MR facilities; personal assistance services; and the Money Follows the Person Grant.

The Home & Community Based Waiver Services unit is responsible for waiver policy; quality assurance; and clinical review.

The Safety &Independence Supports Unit is responsible for the Social Services Block Grant (SSBG) & Federal Grants; Disabled Persons and Family Support (DPFS), Medically Handicapped Children's Program (MHCP) and the Disabled Children's Program (DCP); Adult Protective Services; Early Development Network; and Respite Network/Subsidy.

The State Unit on Aging is responsible for aging services and the Long Term Care Ombudsman Program.

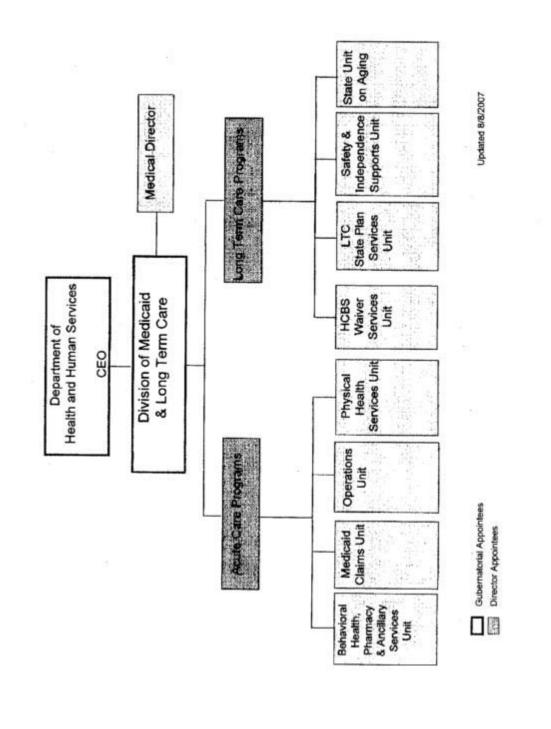
TN No. <u>MS-95-14¹</u> Supersedes

Approval Date Nov 29 2007

Effective Date Jul 1 2007

TN No. <u>MS-74-16</u>

¹ TN No. should read 07-05 and supersedes TN No. 95-14



TN No. <u>MS-07-05</u> Supersedes

Approval Date Nov 29 2007

Effective Date Jul 01 2007

TN No. <u>MS-95-14</u>

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

MEDICAL PROFESSIONALS INVOLVED IN THE ADMINISTRATION OF THE MEDICAL ASSISTANCE PROGRAM

Employees:

Employees include a part-time physician, the Medical Director for the Medicaid program, who assists with utilization review, quality of care, and policy development and interpretation. The Medical Director works closely with staff in developing and monitoring quality health policy. This physician also confers with program and payment staff on questions involving the establishment of reasonable reimbursement rates and in determining the appropriateness of medical claims payments and compliance with agency regulations. The Medical Director confers with medical professionals to assure appropriate medical input and oversight of programs. The physician is involved with medical reviews for the State Disability Program.

Three pharmacists are responsible for administering the pharmacy program. They set policy, oversee the payment process and payment vendor, and maintain contacts with providers to ensure program quality.

Eleven registered nurses on staff administer sections of the Medicaid program and provide significant medical input into policy and payment decisions. They also consult with the Program Integrity section. A nurse administrator is responsible for the S-CHIP program and oversees operational issues such as estate recovery, HIPP, program integrity, TPL, school based services, contracting process, and the state plan. Nurse program specialists are located throughout the Medicaid program areas and are responsible for the following service areas: physician, hospital, nursing facilities, mental health and substance abuse, home health nursing, vision, anesthesia, chiropractor, podiatry, rural health clinics, FQHC, lab and radiology. Two nurses assigned to Medicaid waivers also consult on issues involving home health care, hospice, and special needs in long term care facilities.

A social worker/licensed mental health practitioner administers the area responsible for mental health and substance abuse, DME, pharmacy, and transportation services.

Under Contract:

One psychologist and one family therapist serve as consultants to the mental health and substance abuse program, assuring quality care for all levels of behavioral health services. There is a pool of psychiatrists under contract who consult with Medicaid on psychiatric issues.

Three dentists, one podiatrist, one optometrist, and one audiologist, consult with their respective service area. One registered nurse consults with the DME and home health programs. All consultants have input into policy making and claims processing determinations as well as quality of care and utilization review.

Numerous registered nurses, many in local health departments, serve under contracts with local health departments to do Medicaid - particularly EPSDT - outreach; they also work with Medicaid clients regarding such things as barriers to keeping appointments, finding and maintaining a medical home, and using health care resources such as the emergency room appropriately.

TN No. <u>MS-08-08</u> Supersedes

Approval Date Dec 10 2008

TN No. <u>MS-07-05</u>

Attachment 1.2-D

Not Applicable to Nebraska

see State Plan Section 1.2 - part (d)

Substitute per letter dated 12/20/91

HCFA-PM-91-4 Revision: August 1991

(BPD)

Attachment 2.2 - A Page 1 OMB NO.: 0938-

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

GROUPS COVERED AND AGENCIES RESPONSIBLE FOR ELIGIBILITY DETERMINATION

The following groups are covered under this plan.

	Α.	Mandatory Coverage – Categorically Needy and Other Requ					
			Special Groups				
IV-A 42 CFR 435.110		1. Recipients of AFDC					
			The approved State AFDC plan includes:				
			Families with an unemployed parent for the mandatory 6-month period and an optional extension of N/A months. No time limit.				
			Pregnant women with no other eligible children				
			AFDC children age 18 who are full-time students in a secondary school or in the equivalent level of vocational or technical training.				
			The standards for AFDC payments are listed in Supplement 1 of <u>ATTACHMENT 2.6-A</u> .				
IV-A 42 CFR 435.115		2.	Deemed Recipients of AFDC				
			 Individuals denied a title IV-A cash payment solely because the amount would be less than \$10 				

* Agency that determines eligibility for coverage

Revision:	HCFA-PM-91 August 1991	-4	(BPD)	Attachment 2.2 - A Page 2 OMB NO.: 0938-
State/Territory:	<u>Nebraska</u>			
Agency* (Citation(s)		Groups Covered	
	A.		tory Coverage – Catego I Groups	rically Needy and Other Required
IV-A		2. Dee	emed Recipients of AFD	C.
1902(a)(10)(A)(of the Act	i)(I)	b.	supplementation progr child or relative of such individual living in the individuals) who would there were no work su	990, participants in a work ram under title IV-A and any h individual (or other same household as such I be eligible for AFDC if pplementation program, in on 482(e)(6) of the Act.
402(a)(22)(A) of the Act		С.		DC payments are reduced to every of overpayment of
406(h) and 1902(a)(10)(A) (i)(I) of the Act		d.	for a period of four call family becomes ineligi collection or increased	emed to be receiving AFDC endar months because the ble for AFDC as a result of I collection of support and ts of section 406(h) of the
1902(a) of the Act		e.	meet the requirements for whom an adoption	be receiving AFDC who s of section 473(b)(1) or (2) assistance agreement is in aintenance payments are IV-E of the Act.

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

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State/Territory:	<u>Nebraska</u>	
Revision:	HCFA-PM-91-4 August 1991	(BPD)

Agency*	Citation(s)	Groups Covered					
		Α.		Mandatory Coverage – Categorically Needy and Other Required Special Groups			
IV-A			3.	 Qualified Family Members 			
407(b), 1902 (a)(10)(A)(i) and 1905(m)(of the Act	I)			Effective October 1, 1990, qualified family member who would be eligible to receive AFDC under section 407 of the Act because the principal wage earner is unemployed.			
				Qualified family members are not included because cash assistance payments may be made to families with unemployed parents for 12 months per calendar year.			
IV-A 1902(a)(52) and 1925 of the Act			4.	Families terminated from AFDC solely because of earnings, hours of employment, or loss of earned income disregards entitle up to twelve months of extended benefits in accordance with section 1925 of the Act. (This provision expires on September 30, 1998)			

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

Attachment 2.2 - A Page 2a OMB NO.: 0938-

Revision:	HCFA-PM August 19	-		(BPC))	Attachment 2.2 - A Page 3 OMB NO.: 0938-
State/Territor	y: <u>Nebraska</u>					
Agency*	Citation(s)			Grou	ps Covered	
			landato pecial			rically Needy and Other Required
IV-A 42 CFR 435.	113	5	eligit	oility r		le for AFDC solely because of are specifically prohibited re:
			a.			C solely because of income ed to be available from –
				(1)		o are not legally liable for support under a State law of general
				(2)	Grandparents;	
				(3)	Legal guardians	; and
				(4)		sponsors (who are not spouses of the individual's parent);
			b.	invo	oluntary inclusion	C solely because of the of siblings who have income and n in the filing unit.
			C.			C because the family transferred ceiving adequate compensation.

TN No. <u>MS-91-24</u> Supersedes

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

– • •	
Revision:	

HCFA-PM-91-1991 (BPD)

State/Territory: Nebraska

Agency*	Citation(s)			Groups Covered				
		Α.			tory Coverage – Categorically Needy and Other Required			
42 CFR 435.	114		6.	 Individuals who would be eligible for AFDC except for th increase in OASDI benefits under Pub. L. 92-336 (July 1 1972), who were entitled to OASDI in August 1972, and who were receiving cash assistance in August 1972. 				
					Includes persons who would have been eligible for cash assistance but had not applied in August 1972 (this group included in this state's August 1972 plan).			
					Includes persons who would have been eligible for cash assistance in August 1972 if not in a medical institution or intermediate care facility (this group was included in this State's August 1972 plan).			
					Not applicable with respect to intermediate care facilities: State did or does not cover this service.			
1902(a)(10)			7.	Qua	alified Pregnant Women and Children			
(A)(i)(III) and 1905(n) of the Act				a.	A pregnant woman whose pregnancy has been medically verified who			
					 Would be eligible for an AFDC cash payment if the child had been born and was living with her; 			

* Agency that determines eligibility for coverage

TN No. <u>MS-92-1</u> Supersedes

Approval Date Apr 10 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

TN No. <u>MS-91-24</u>

Revision:

HCFA-PM-92-1 February 1992 Attachment 2.2 - A Page 4

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

(MB)

State/Territory: <u>Nebraska</u>

	COVERAGE AND CONDITIONS OF ELIGIBILITY						
Citation(s)	Groups Covered						
	A. <u>Mandatory Coverage – Categorically Needy and Other R</u> <u>Special Groups</u> (Continued)	equired					
	 a. (2) Is member of a family that would be eligible f to families with dependent children of unemployed parents if the State had an AFDC-unemployed parents program; or 	or aid					
	(3) Would be eligible for an AFDC cash paymen the basis of the income and resource requirements of the State's approved plan.	t on					
1902(a)(10)(A) (i)(III) and 1905(n) of the Act	b. Children born after September 30, 1983 who are under age 19 and who would be eligible for an AF cash payment on the basis of the income and resource requirements of the State's approved AF plan.						
	Children born after						
	(specify optional earlier date) who are under and who would be eligible for an AFDC cash payment on the basis of the income and reso	-					

Approval Date <u>Apr 8 1992</u>

requirements of the State's approved AFDC plan.

TN No. <u>MS- 91-24</u>

Revision:

HCFA-PM-92-1 February 1992 (MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

	COVE	RAGE A	AND CONDITIONS OF ELIGIBILITY			
Citation(s) Groups Covered						
	А.		Mandatory Coverage – Categorically Needy and Other Required Special Groups (Continued)			
1902(a)(10)(A) (i)(IV) and 1902(I)(1)(A) and (B) of the Act		8. Pregnant women and infants under 1 year of age with family incomes up to 133 percent of the Federal poverty level who are described in section 1902(a)(10)(A)(i)(IV) and 1902(I)(1)(A) and (B) of the Act. The income level for this group is specified in Supplement 1 to Attachment 2.6-A.				
			The State uses a percentage greater than 133 but not more than 185 percent of the Federal poverty level, as established in its State plan, State legislation, or State appropriations as of December 19, 1989.			
		9. CI	hildren:			
1902(a)(10)(A) (i)(VI) 1902(I)(1)(C) of the Act		a.	who have attained 1 year of age but have not attained 6 years of age, with family incomes at or below 133 percent of the Federal poverty levels.			
1902(a)(10)(A)(i) (VII) and 1902(l) (1)(D) of the Act		b.	born after April 30, 1979, who have attained 6 years of age but have not attained 19 years of age, with family incomes at or below 100 percent of the Federal poverty levels.			
			ne levels for these groups are specified in <u>Supplement 1 to</u> CHMENT ·2.6A.			

Approval Date Oct 29 1998

Effective Date Aug 1 1998

TN No. <u>MS-95-10</u>

Revision:	HCFA-PM-92-1 February 1992			Attachment 2.2 - A Page 5
	STATE PLAN UNDER	R TI	TLE XIX OF	THE SOCIAL SECURITY ACT
State/Territor	y:		_	
	COVERAGE	E AN	ID CONDIT	IONS OF ELIGIBILITY
Citation(s)			Groups Co	overed
1902(e)(5) of the Act	10.	a.	applied for approved The woma were preg postpartur day period	who, while pregnant, was eligible for, r, and receives Medicaid under the State plan on the day her pregnancy ends. n continues to be eligible, as though she nant, for all pregnancy-related and n medical assistance under the plan for a 60- (beginning on the last day of her pregnancy) y remaining days in the month in which the lls.
1902(e)(6) of the Act		b.	eligibility b family in w or the post of the mor	t woman who would otherwise lose ecause of an increase in income (of the hich she is a member) during the pregnancy partum period which extends through the end th in which the 60-day period (beginning on y of pregnancy) ends.

Approval Date Aug 7 1992

Effective Date Apr 1 1992

TN No. <u>MS-92-3</u>

Revision:

HCFA-PM-92-1 February 1992 Attachment 2.2 - A Page 6

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

(MB)

State/Territory: <u>Nebraska</u>

COVERAGE AND CONDITIONS OF ELIGIBILITY					
Citation(s) Groups Covered					
	Α.		tory Coverage – Categorically Needy and Other Required Groups (Continued)		
1902(e)(4) of the Act		M bi as sti	child born to a woman who is eligible for and receiving edicaid as categorically needy on the date of the child's th. The child is deemed eligible for one year from birth long as the mother remains or would remain eligible if Il pregnant and the child remains in the same household the mother.		
42 CFR 435.120		13. Aged, Blind and Disabled Individuals Receiving Cash Assistance			
		\boxtimes	a. Individuals receiving SSI.		
			This includes beneficiaries' eligible spouses and persons receiving SSI benefits pending a final determination of blindness or disability or pending disposal of excess resources under an agreement with the Social Security Administration; and beginning January 1, 1981 persons receiving SSI under section 1619(a) of the Act or considered to be receiving SSI under section 1619(b) of the Act.		
			☑ Aged☑ Blind☑ Disabled		

TN No. <u>MS-92-3</u> Supersedes

Approval Date Apr 8 1992

Effective Date Jan 1 1992

TN No. <u>MS-91-24</u>

Revision:	HCFA-PM-91 August 1991	I-4 (MB)	Attachment 2.2 - A Page 6a OMB NO.: 0938-
State/Territory	y: <u>Nebraska</u>		
Agency*	Citation(s)	Group	s Covered
	A.	Mandatory Cov Special Groups	rerage – Categorically Needy and Other Required (Continued)
435.121 1619(b)(1) of the Act		13. 🗌 b.	Individuals who meet more restrictive requirements for Medicaid than the SSI requirements. (This includes persons, who qualify for benefits under section 1619(a) of the Act or who meet the requirements for SSI status under section 1619(b)(1) of the Act and who met the State's more restrictive requirements for Medicaid in the menth before the menth they
of the Act			Medicaid in the month before the month they qualified for SSI under section 1619(a) or met the requirements under section 1619(b)(1) of the Act. Medicaid eligibility for these individuals continues as long as they continue to meet the 1619(a) eligibility standard or the requirements of section 1619(b) of the Act.)
			Aged Blind Disabled
			The more restrictive categorical eligibility criteria are described below:

(Financial criteria are described in ATTACHMENT 2.6-A).

*Agency that determines eligibility for coverage.

TN No. <u>MS-91-24</u> Supersedes

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

Revision:	HCFA-PM-91-4
	August 1991

Attachment 2.2 - A Page 6b OMB NO.: 0938-

State/Territory: Nebraska

Agency* Citation(s)		tion(s)	Groups Covered			
		A.		ry Coverage – Categorically Needy and Other Requ Groups (Continued)		
XIX	1902(a) (10)(A) (i)(II) and 1905(q) of the Act			 ualified severely impaired blind and disabled dividuals under age 65, who For the month preceding the first month of eligibility under the requirements of section 1905(q)(2) of the Act, received SSI, a State supplemental payment under section 1616 of the or under section 212 of P.L. 93-66 or benefits und section 1619(a) of the Act and were eligible for Medicaid; or For the month of June 1987, considered to be receiving SSI under section 1619(b) of the Act an were eligible for Medicaid. These individuals muss (1) Continue to meet the criteria for blindness or have the disabling physical or mental impairment under which the individual was found to be disabled; (2) Except for earnings, continue to meet all nondisability-related requirements for eligibil for SSI benefits; (3) Have unearned income in amounts that wou not cause them to be ineligible for a paymer under section 1611(b) of the Act; 		

(BPD)

*Agency that determines eligibility for coverage.

TN No. <u>MS-91-24</u> Supersedes	Approval Date Jan 20 1992	Effective Date Nov 1 1991
TN No. <u>(new page)</u>		HCFA ID: 7983E

Revision:	HCFA-PM-91 August 1991	-4 (BPD)	Attachment 2.2 - A Page 6c OMB NO.: 0938-
State/Territory	: <u>Nebraska</u>		
Agency*	Citation(s)	Group	s Covered
	А.	Mandatory Cov Special Groups	verage – Categorically Needy and Other Required <u>s</u> (Continued)
		(4)	Be seriously inhibited by the lack of Medicaid coverage in their ability to continue to work or obtain employment; and
		(5)	Have earnings that are not sufficient to provide for himself or herself a reasonable equivalent of the Medicaid, SSI (including any Federally administered SSP), or public funded attendant care services that would be available if he or she did have such earnings.
			Not applicable with respect to individuals receiving only SSP because the State either does not make SSP payments or does not provide Medicaid to SSP-only recipients.

TN No. <u>MS-91-24</u> Supersedes

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

Revision:	HCFA-PM-91- August 1991	4 (BPD)	Attachment 2.2 - A Page 6d OMB NO.: 0938-
State/Territo	ry: <u>Nebraska</u>		
Agency*	Citation(s)	Groups Covered	
	A.	<u>Mandatory Coverage – Categ</u> <u>Special Groups</u> (Continued)	gorically Needy and Other Required
1619(b)(3) of the Act		Medicaid than under SSI and Individuals who qualify for be Act or individuals described a requirements for SSI benefits Act and who met the State's month before the month they 1619(a) or met the requirement are covered. Eligibility for the they continue to qualify for be	ctive eligibility requirements for l under 42 CFR 435.121. nefits under section 1619(a) of the above who meet the eligibility s under section 1619(b)(1) of the more restrictive requirements in the qualified for SSI under section ents of section 1619(b)(1) of the Act se individuals continues as long as enefits under section 1619(a) of the lents under section 1619(b)(1) of

TN No. <u>MS-91-24</u> Supersedes

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

Revision:

(BPD)

State/Territory: Nebraska

Agency* Citation(s) Groups Covered				Groups Covered		
		Α.	Mandatory Coverage – Categorically Needy and Other Required Special Groups (Continued)			
XIX	1634(c) of the Act		 Except in States that apply more restrictive eligibility requirements for Medicaid than under SSI, blind or disabled individuals who – 			
				a. Are least 18 years of age;		
				b. Lose SSI eligibility because they become entitled to OASDI child's benefits under section 202(d) of the Act or an increase in these benefits based on their disability. Medicaid eligibility for these individuals continues for as long they would be eligible for SSI, absent their OASDI eligibility.		
				c. The State applies more restrictive eligibility requirements than those under SSI, and part or all of the amount the OASDI benefit that caused SSI/SSP ineligibility and subsequent increases are deducted when determining the amount of countable income for categorically needy eligibility.		
				d. The State applies more restrictive requirements than those under SSI, and none of the OASDI benefit is deducted in determining the amount of countable income for categorically needy eligibility.		
XIX	42 CFR 435.122		16.	Except in States that apply more restrictive eligibility requirements for Medicaid than under SSI, individuals who are ineligible for SSI or optional State supplements (if the agency provides Medicaid under-§435.230), because of requirements that do not apply under title XIX of the Act.		
XIX	42 CFR 435.130		17.	Individuals receiving mandatory State supplements.		

*Agency that determines eligibility for coverage.

Revision:	HCFA-PM- August 199	-	(BPD)	Attachment 2.2 - A Page 6f OMB NO.: 0938-
State/Territory:	<u>Nebraska</u>			
Agency* (Citation(s)		Groups Covered	
	/		ory Coverage – Categ <u>Groups</u> (Continued)	orically Needy and Other Required
42 CFR 435.13	1	Me as rec ess 197 for me	dicaid as an essential spouse, to live with ar ipient of cash assistar sential spouse is living 73 eligibility requireme OAA, AB, APTD, or A set the December 1973 needs included in co In December 1973, essential spouse lim	 anber 1973 were eligible for spouse and who have continued, and be essential to the well-being of face. The recipient with whom the continues to meet the December ents of the State's approved plan ABD and the spouse continues to 3 requirements for having his or mputing the cash payment: Medicaid coverage of the hited to the following group(s): Blind Disabled ecember 1973, the essential ible for Medicaid.

TN No. <u>MS-91-24</u> Supersedes

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

Revision:	HCFA-PM-91-4	(BPD)
	August 1991	

State/Territory: Nebraska

Ageno	cy* Citation(s)			Gr	oups Covered	
		A.		-	Coverage – Categorically Needy and Other Required pups (Continued)	
XIX	42 CFR 435.132		19. Institutionalized individuals who were eligible for Medicaid in December 1973 as inpatients of title XIX medical institutions or residents of title XIX intermediate care facilities, if, for each consecutive month after December 1973, they			
				a.	Continue to meet the December 1973 Medicaid State plan eligibility requirements; and	
				b.	Remain institutionalized; and	
				C.	Continue to need institutional care.	
XIX	42 CFR 435.133		20.	Blind	and disabled individuals who	
				a.	Meet all current requirements for Medicaid eligibility except the blindness or disability criteria; and	
				b.	Were eligible for Medicaid in December 1973 as blind or disabled; and	
				C.	For each consecutive month after December 1973 continue to meet December 1973 eligibility. criteria.	

*Agency that determines eligibility for coverage.

TN No. <u>MS-91-24</u> Supersedes

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

Revision:	HCFA-PM-91-4	(BPD)
	August 1991	

State/Territory: Nebraska

Agen	cy*	Citation(s)		Groups Covered			
			Α.		-	Coverage – Categorically Needy and Other Required pups (Continued)	
XIX	42 CF	R 435.134		21.	for th (July	iduals who would be SSI/SSP eligible except the increase in OASDI benefits under Pub. L. 92-336 1, 1972), who were entitled to OASDI in August and who were receiving cash assistance in August	
						Includes persons who would have been eligible for cash assistance but had not applied in August 1972 (this group was included in this State's August 1972 plan).	
						Includes persons who would have been eligible for cash assistance in August 1972 if not in a medical institution or intermediate care facility (this group was included in this State's August 1972 plan).	
						Not applicable with respect to intermediate care facilities; the State did or does not cover this service.	

*Agency that determines eligibility for coverage.

TN No. <u>MS-91-24</u> Supersedes

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

Revision:	HCFA-PM-91-4
	August 1991

(BPD)

State/Territory: Nebraska

Agen	су*	Citation(s)				Grou	ps Covered
			Α.				overage – Categorically Needy and Other Require
XIX	42 CFF	R 435.135		22.	Inc	lividu	als who –
					a.		receiving OASDI and were receiving SSI/SSP became ineligible for SSI/SSP after April 1977;
					b.	incr Act indi and	uld still be eligible for SSI or SSP if cost-of-living eases in OASDI paid under section 215(i) of the received after the last month for which the vidual was eligible for and received SSI/SSP OASDI, concurrently, were deducted from ome.
							Not applicable with respect to individuals receiving only SSP because the State either does not make such payments or does not provide Medicaid to SSP-only recipients.
							Not applicable because the State applies more restrictive eligibility requirements than those under SSI.
							The State applies more restrictive eligibility requirements than those under SSI and the amount of increase that caused SSI/SSP ineligibility and subsequent increases are deducted when determining the amount of countable income for categorically needy eligibility.

*Agency that determines eligibility for coverage.

TN No. <u>MS-91-24</u> Supersedes

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

Revision:	HCFA-PM-91-4 August 1991	4 (B	PD)	Attachment 2.2 - A Page 9 OMB NO.: 0938-
State/Territo	ry: <u>Nebraska</u>			
Agency*	Citation(s)	G	roups Covered	
	A.		<u>Coverage – Catego</u> oups (Continued)	rically Needy and Other Required
1634 of the Act		exce of th secti purp bene	pt for the increase in e elimination of the re on 134 of Pub. L. 98 oses of title XIX, to b	I be eligible for SSI or SSP their OASDI benefits as a result eduction factor required by -21 and who are deemed, for the SSI beneficiaries or SSP als who would be eligible for SSP (b) of the Act.
			only SSP because	respect to individuals receiving the State either does not make does not provide Medicaid to s.
			standards than tho these individuals to Federal benefit rate individuals who wo	nore restrictive eligibility se under SSI and considers have income equalling the SSI e, or the SSP benefit rate for uld be eligible for SSP only, countable income for Medicaid / eligibility.

TN No. <u>MS-91-24</u> Supersedes

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

Revision:	HCFA-PM-91-10	(M
	December 1991	

(MB)

Attachment 2.2 - A Page 9a

State/Territory: Nebraska

Agency*	Citation(s)			Gr	oups Covered
		Α.			Coverage – Categorically Needy and Other Required oups (Continued)
1634(d) of the Act			24.	divor indivi beca recei of titl recei recei if the	bled widows, disabled widowers, and disabled unmarried ced spouses who had been married to the insured idual for a period of at least ten years before the divorce me effective, who have attained the age of 50, who are ving title II payments, and who because of the receipt e II income lost eligibility for SSI or SSP which they ved in the month prior to the month in which they began to ve title II payments, who would be eligible for SSI or SSP amount of the title II benefit were not counted as income, who are not entitled to Medicare Part A.
					The State applies more restrictive eligibility requirements for its blind or disabled than those of the SSI program.
					In determining eligibility as categorically needy, the State disregards the amount of the title II benefits identified in 1634(d)(1)(A) in determining the income of the individual, but does not disregard any more of this income than would reduce the individual's income to the SSI income standard.
					In determining eligibility as categorically needy, the State disregards only part of the amount of the benefits identified in §1634(d)(1)(A) in determining the income of the individual, which amount would not reduce the individual's income below the SSI income standard. The amount of these benefits to disregarded is specified in Supplement 4 to Attachment 2.6-A.
				\boxtimes	In determining eligibility as categorically needy, the State chooses not to deduct any of the benefit identified in § 1634(d)(1)(A) in determining the income of the individual.

*Agency that determines eligibility for coverage.

TN No. <u>MS-91-30</u> Supersedes

Approval Date Jan 15 1992

Effective Date Oct 1 1991 HCFA ID: 7983E

TN No. <u>MS-91-24</u>

Revision:

HCFA-PM-93-2 March 1993 (MB)

State/Territory: Nebraska

Agency*	Citation(s)			Groups Covered	
		Α.	Mandatory Coverage – Categorically Needy and Other Requir Special Groups (Continued)		
1902(a)(10(25.	Qualif	fied Medicare beneficiaries –
and 1905(p) the Act	Ŭ.			a.	Who are entitled to hospital insurance benefits under Medicare Part At (but not pursuant to an enrollment under section 1BIBA of the Act).
				b.	Whose income does not exceed 100 percent of the Federal poverty level; and
				C.	Whose resources do not exceed three times the SS resource limit, adjusted annually by the increase in the consumer price index.
					cal assistance for this group is limited to Medicare sharing as defined in item 3.2 of this plan)
1902(a) (10)			26.	Qualit	fied disabled and working individuals –
1905(s) and 1905(p) (3) of the Act				a.	Who are entitled to hospital insurance benefits under Medicare Part A under section 1818A of the Act;
				b.	Whose income does not exceed 200 percent of the Federal poverty level; and
				C.	Whose resources do not exceed three times the SS resource limit, adjusted annually by the increase in the consumer price index.
				d.	Who are not otherwise eligible for medical assistance under Title XIX of the Act.
					cal assistance for this group is limited to Medicare A premiums under section 1818A of the Act.)

*Agency that determines eligibility for coverage.

TN No. <u>NE 10-07</u> Supersedes TN No. <u>MS-93-4</u>

Approval Date Jun 15 2010

Effective Date Jan 01 2010

Revision:	HCFA-PM- March 199		(1	/	Attachment 2.2 - A Page 9b1
State/Territory	/: <u>Nebraska</u>				
Agency*	Citation(s)		G	roups Covered	
				<u>v Coverage – Categoric</u> <u>oups</u> (Continued)	ally Needy and Other Required
1902(a)(10)(E		27.	Spe	cified low-income Medi	care beneficiaries
and 1905(p)(3 of the Act)(A)(II)		a.	under Medicare Part	ospital insurance benefits A (but not pursuant to an ction 1818A of the Act);
			b.	exceeds the income 110 percent of the Fe income for calendar	alendar years 1993 and 1994 level in 25. b., but is less than ederal poverty level, and whose years beginning 1995 is less the Federal poverty level; and
			C.		not exceed three times the SSI red annually by the increase in ndex.
			•	dical assistance for this B premiums under sec	s group is limited to Medicare ction 1839 of the Act.)
		28.	Qua	lifying individuals –	
			a.		spital insurance benefits A (but not pursuant to an ion 1818A of the Act);
			b.		ast 120 percent but does not f the Federal poverty level;
			C.		not exceed three times the SSI red annually by the Increase In ndex.
				dical assistance for this B premiums under sec	s group is limited to Medicare ction 1839 of the Act.)

TN No. <u>NE 10-07</u> Supersedes TN No. <u>MS-93-4</u>

Approval Date Jun 15 2010 Effect

Effective Date Jan 01 2010

Revision:	HCFA-PM-91-4 March 1993	(BPD)	Attachment 2.2 - A Page 9c OMB N.: 0938-
State/Territory	: <u>Nebraska</u>		
Agency*	Citation(s)	Groups Covere	d
	B. <u>Opt</u>	ional Groups Other	Than the Medically Needy
42 CFR 435.210 1902(a) (10)(A)(ii) and 1905(a) of	□ 1.	resource requirem	bed below who meet the income and nents of AFDC, SSI or an optional State ecified in 42 CFR 435.230, but who do assistance.
the Act		The plan co	overs all individuals as described above.
		The plan cc of individua	overs only the following group or groups ls:
			ed ker relatives ant women
42 CFR 435.211	□ 2.	optional State sup	ould be eligible for AFDC, SSI or an oplement as specified in 42 CFR ere not in a medical institution.

Approval Date Jan 20 1992

Effective Date <u>Nov 1 1991</u> HCFA ID: 7983E

Revision:	HCFA-PM-91-4	(BPD)	Attachme
	August 1991		Page 10

Attachment 2.2 - A Page 10 OMB N.: 0938-

State/Territory: Nebraska

COVERAGE AND CONDITIONS OF ELIGIBILITY

Agency*	Citation(s)		Ċ	Groups Covered		
		В. <u>Ор</u>	B. Optional Groups Other Than the Medically Needy (Continued)			
42 CFR 435.212 & 1902(e)(2) of the Act, P.L 99-272 (section 9517) P.L. 101-508 (section 4732)			bec an I Ser prin whc min this fam	State deems as eligible those individuals who ame otherwise ineligible for Medicaid while enrolled in HMO qualified under Title XIII of the Public Health vice Act or a managed care organization (MCO), or a hary care case management (PCCM) program, but have been enrolled in the entity for less than the imum enrollment period listed below. Coverage under section is limited to MCO or PCCM services and ily planning services described in section 5(a)(4)(C) of the Act.		
			\boxtimes	The State elects not to guarantee eligibility.		
				The State elects to guarantee eligibility. The minimum enrollment period ismonths (not to exceed six).		
				The State measures the minimum enrollment period from:		
				The date beginning the period of enrollment in the MCO or PCCM, without any intervening disenrollment, regardless of Medicaid eligibility.		
				The date beginning the period of enrollment in the MCO or PCCM as a Medicaid patient (including periods when payment is made under this section without any intervening disenrollment.		
				☐ The date beginning the last period of enrollment in the MCO or PCCM as a Medicaid patient (not including periods when payment is made under th section) without any intervening disenrollment or periods of enrollment as a privately paying patient (A new minimum enrollment period begins each time the individual becomes Medicaid eligible other than under this section).		

*Agency that determines eligibility for coverage.

Revision:	HCFA-PM-91 March 1993	-4 (BPD)	Attachment 2.2 - A Page 10a		
State/Territory:	<u>Nebraska</u>				
Agency*	Citation(s)	Groups Cove	ered		
	B.	Optional Groups Othe	er Than the Medically Needy (Continued)		
<u>1932(a)(4) of Act</u>		The Medicaid Agency may elect to restrict the disenrollment Medicaid enrollees of MCOs, PIHPs, PAHPs, and PCCMs in accordance with the regulations at 42 CFR 438.56. This requirement applies unless a recipient can demonstrate goo cause for disenrolling or if he/she moves out of the entity's service area or becomes ineligible.			
		Disenrollment r (not to exceed	ights are restricted for a period of months 12 months).		
		the recipient ma provide notifica enrolled with su	three months of each enrollment period ay disenroll without cause. The State will tion, at least once per year, to recipients ach organization of their right to and erminating such enrollment.		
		No restrictions	upon disenrollment rights.		
1903(m)(2)(H) 1902(a)(52) of the Act P.L. 101-508 42 CFR 438.56(g)		In the case of individuals who have become ineligible for Medicaid for the brief period described in section 1903(m) and who were enrolled with an MCO, PIHP, PAHP, or PC when they became ineligible, the Medicaid agency may el re-enroll those individuals in the same entity if that entity s			
has		a contract.			
		are eligible in a become eligible	ects to re-enroll the above individuals who month but in the succeeding two months e, into the same entity in which they were time eligibility was lost.		
*Agency that de	termines eligibil	The agency elects not to re-enroll above individuals the same entity in which they were previously enrol ity for coverage.			

TN No. <u>MS-09-07</u> Supersedes TN No. <u>MS-03-12</u>

Approval Date Dec 7 2009

Effective Date Jul 01 2009

Revision:	HCFA-PM-91- August 1991	4	(BERC)	Attachment 2.2 - A Page 11 OMB NO.: 0938-
State/Territory: <u>Citation</u>	<u>Nebraska</u>			
42 CFR 435.914 1902(a)(34) of the Act	2.1 (b)	(1)	are entitled to Mec months preceding application would I	d in items 2.1(b)(2) and (3) below, individuals licaid services under the plan during the three the month of application, if they were, or on have been, eligible. The effective date of troactive eligibility is specified in
1902(e)(8) and 1905(a) of the Act		(2)	expenses as qualit 1902(a)(10)(E)(i)or furnished after the determined to be a	o are eligible for Medicare cost-sharing fied Medicare beneficiaries under section f the Act, coverage is available for services end of the month which the individual is first a qualified Medicare beneficiary. <u>Attachment</u> e requirements for determination of eligibility
1902(a)(47) and		(3)	the plan during a p with section 1920	are entitled to ambulatory prenatal care under presumptive eligibility period in accordance of the Act. <u>Attachment 2.6-A</u> specifies the etermination of eligibility for this group.
42 CFR 438.6	(c)	com com	plies with 42 CFR 4	lects to enter into a risk contract that 438.6, and that is procured through an open, nt process that is consistent with 45 CFR Part with (check all that apply):
		A M of th A Pr A Pr CFF	anaged Care Organ ne Act and 42 CFR repaid Inpatient Hea	II 1310 of the Public Health Service Act. hization that meets the definition of 1903(m) 438.2. alth Plan that meets the definition of 42 CFR 438.2. Health Plan that meets the definition of 42
42 CFR 435.217	⊠ 4.	ui pi gi ba ai ef ai ef	nder the plan if they rovision of home an ranted under 42 CF stitutionalization, ar ased services unde re listed in the waive ffective date of the s nis group(s) is cover mended to cover the ffective date of the a	enrollees who reside in the community who
*Agency that de	termines eligibi			

TN No. NE 12-04					
Supersedes	Approval Date	OCT 24 2012	Effective Date	<u>FEB 01</u>	2013
TN No. <u>MS-03-12</u>					

Revision:	HCFA-PM-91- August 1991	-4	(BPD)	Attachment 2.2 - A Page 11a OMB N.: 0938-
State/Territory	<u>Nebraska</u>			OMD N.: 0000-
Agency*	Citation(s)		Groups Co	overed
	В.	<u>Optional</u>	<u>Groups O</u>	ther Than the Medically Needy (Continued)
1902(a)(10) (A)(ii)(VII) of the Act		they and	/ were in a who recei	uld be eligible for Medicaid under the plan if medical institution, who were terminally ill, ve hospice care in accordance with a tion described in section 1905(o) of the Act.
			The State	e covers all individuals as described above.
			The State of individ	e covers only the following group or groups uals:
			□ Aged	
			🗌 Blind	Disabled
			🗌 Indivi	duals under the age of
				21
				20
				19
				18
				aker relatives
			🗌 Pregi	nant women

Approval Date Jan 20 1992

Effective Date Nov 1 1991

Revision:	HCFA-PM-91-4	(BPD)
	1991	

Attachment 2.2 - A Page 12 OMB N.: 0938-

State/Territory: Nebraska

Agency*	Citation(s)			Gr	oups Covered
		В.	<u>Optio</u>	nal G	roups Other Than the Medically Needy (Continued)
42 CFR 435.2	220		6.	relate than State	iduals who would be eligible for AFDC if their work- ed child care costs were paid from earnings rather by a State agency as a service expenditure. The s's AFDC plan deducts work-related child care costs income to determine the amount of AFDC.
					The State covers all Individuals as described above.
1902(a)(10) (/ (ii) and 1905(a	,				The State covers only the following group or groups of individuals:
of the Act					Individuals under the age of
					21
					□ 20
					□ 19
					□ 18
					Caretaker relatives
					Pregnant women
42 CFR 435.2 1902(a)(10) (A) (ii) and 1905(a)(i) of	222		7.		a. All individuals who are not described in section 1902(a)(10)(A)(i) of the Act, who meet the income and resource requirements the Act of the AFDC State plan, and who are under the age of 21 or younger as indicated below.
					 □ 20 ⊠ 19 □ 18

*Agency that determines eligibility for coverage.

TN No. <u>MS-03-10</u> Supersedes TN No. <u>MS-91-24</u>

Approval Date Sept 10 2003

Effective Date <u>Sept 1 2003</u> HCFA ID 7983E

Revision:	HCFA-PM-91-4	(BPD)
	August 1991	

Attachment 2.2 - A Page 13 OMB N.: 0938-

State/Territory: <u>Nebraska</u>

Agency*	Citation(s)			Groups Covered		
		В.	<u>Optio</u>	onal C	Groups Other Than the Medically Needy (Con	tinued)
42 CFR 435	5.222		\boxtimes	b.	Reasonable classifications of individuals des (a) above, as follows:	scribed in
					 Individuals for whom public agencies assuming full or partial financial respo and who are: 	
					(a) In foster homes (and are under the of)	ne age
					(b) In private institutions (and are un age of).	der the
					 (c) In addition to the group under b.(1)(a) (b), individuals placed in foster homes private institutions by private, nonprofigure agencies (and are under the age of	or it
					(2) Individuals in adoptions subsidized in part by a public agency (who are under the age of)	full or
					(3) Individuals in NF's (who are under the of). NF services are provided under this plan.	
					(4) In addition to the group under (b)(3), individuals in ICFs/MR (who are under the a of)	ge

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Revision:	HCFA-PM-91-4 August 1991	1	(BPD)	Attachment 2.2 - A Page 13a OMB N.: 0938-
State/Territory:	<u>Nebraska</u>			
Agency*	Citation(s)		Groups	Covered
	В.	<u>Optional</u>	Groups	Other Than the Medically Needy (Continued)
			(5)	Individuals receiving active treatment as inpatients in psychiatric facilities or programs (who are under the age of <u>21</u>). Inpatient psychiatric services for individuals under age 21 are provided under this plan.
		\boxtimes	(6)	Other defined groups (and ages), as specified in Supplement 1 of <u>ATTACHMENT 2.2-A</u> .

Revision:	HCFA-PM-91-4 August 1991	(BPD)	Attachment 2.2 - A Page 14 OMB N.: 0938-
State/Territory	y: <u>Nebraska</u>		
Agency*	Citation(s)	Groups	Covered
	В.	Optional Groups	s Other Than the Medically Needy (Continued)
1902(a)(10) (A)(ii)(VIII) of the Act		⊠ 8.	 A child for whom there is in effect a State adoption assistance agreement (other than under title IV-E of the Act), who, as determined by the State adoption agency, cannot be place for adoption without medical assistance because the child has special need for medical or rehabilitative care, and who before execution of the agreement- a. Was eligible for Medicaid under the State's approved Medicaid plan; or b. Would have been eligible for Medicaid if the standards and methodologies of the title IV-E foster care program were applied rather than the AFDC standards and methodologies. The State covers individuals under the age of – 21 20 19 18

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Effective Date <u>Sept 1 2003</u> HCFA ID: 7983E

Revision:	HCFA-PM-91- August 1991	4 (BPD)	Attachment 2.2 - A Page 14a OMB N.: 0938-
State/Territory:	<u>Nebraska</u>		
Agency*	Citation(s)	Groups	Covered
	В.	Optional Groups	Other Than the Medically Needy (Continued)
42 CFR 435.223	3	9.	Individuals described below who would be eligible for AFDC if coverage under the State's AFDC plan were as broad as allowed under title IV-A:
1902(a)(10) (A)(ii) and 1905(a) of the Act			Individuals under the age of - 21 20 19 18
			Caretaker relatives
			Pregnant women

Approval Date Jan 20 1992

Revision:	

HCFA-PM-91-4 August 1991 (BPD)

State/Territory: Nebraska

Agency*	Citation(s)			(Groups Covered
		В.	<u>Optic</u>	nal	Groups Other Than the Medically Needy (Continued)
42 CFR 435.2	230		10.		tes using SSI criteria with agreements under tions 1616 and 1634 of the Act.
				Sta an pro	e following groups of individuals who receive only a te supplementary payment (but no SSI payment) under approved optional State supplementary payment gram that meets the following conditions. The oplement is
				a.	Based on need and paid in cash on a regular basis.
				b.	Equal to the difference between the individual's countable income and the income standard used to determine eligibility for the supplement
				C.	Available to all individuals in the State.
				d.	Paid to one or more of the classifications of individuals listed below, who would be eligible for SSI except for the level of their income.
					(1) All aged individuals.
					(2) All blind individuals.
					(3) All disabled individuals.

Revision:	HCFA-PM-91-4 August 1991	(BPD)	Attachment 2.2 - A Page 16
State/Territor	y: <u>Nebraska</u>		OMB N.: 0938-
Agency*	Citation(s)	Groups Covered	
	B. <u>Optio</u>	nal Groups Other Th	an the Medically Needy (Continued)
42 CFR 435.2	230	other g	ndividuals in domiciliary facilities or roup living arrangements as l under SSI.
		other g	dividuals in domiciliary facilities or roup living arrangements as I under SSI.
		or othe	ed individuals in domiciliary facilities r group living arrangements as l under SSI.
		adminis	uals receiving a Federally stered optional State supplement sets the conditions specified in 42 35.230.
		optiona	uals receiving a State administered I State supplement that meets the ons specified in 42 CFR 435.230.
			uals in additional classifications ed by the Secretary as follows:

Approval Date Jan 20 1992

Revision:	HCFA-PM-9 August 1991	1-4 (BPD)	Attachment 2.2 - A Page 16a OMB N.: 0938-
State/Territory	y: <u>Nebraska</u>		
Agency*	Citation(s)	Groups Covered	
	B.	Optional Groups Other Than th	ne Medically Needy (Continued)
		The supplement varies in incor subdivisions according to cost-	• •
		Yes.	
		□ No.	
		The standards for optional Stat are listed in Supplement 6 of <u>A</u>	

Approval Date Jan 20 1992

Revision:

HCFA-PM-91-1991 (BPD)

State/Territory: Nebraska

Agency*	Citation(s)	Groups Covered					
		В.	otional Groups Other	Than the Medically Needy (Continued)			
42 CFR 435.2 435.121	230	\square		ates and SSI criteria States without section 1616 or 1634 of the Act.			
1902(a)(10) (A)(ii)(XI) of the Act			The following groups of individuals who receive a State supplementary payment under an approved optional State supplementary payment program that meets the following conditions. The supplement is				
			a. Based on need and paid in cash on regular basis.				
			 Equal to the difference between the individual's countable income and the income standard used to determine eligibility for the supplement. 				
			c. Available to all individuals in each classification and available on a statewide basis.				
			 Paid to one or more of the classifications of individuals listed below: 				
			🛛 (1) All age	d individuals.			
			🛛 (2) All blind	d individuals.			
			🛛 (3) All disa	bled individuals.			

Approval Date Apr 10 1992

Revision:	HCFA-PM-91-4 August 1991	(BPD)	Attachment 2.2 - A Page 18 OMB N.: 0938-
State/Territor	y: <u>Nebraska</u>		
Agency*	Citation(s)	Groups	Covered
	В. <u>О</u>	ptional Groups	s Other Than the Medically Needy (Continued)
		X (4	 Aged individuals in domiciliary facilities or other group living arrangements as defined under SSI.
		(5	 Blind individuals in domiciliary facilities or other group living arrangements as defined under SSI.
		⊠ (6	 Disabled individuals in domiciliary facilities or other group living arrangements as defined under SSI.
		[] (7	 Individuals receiving a federally administered optional State supplement that meets the conditions specified in 42 CFR 435.230.
		(8	 Individuals receiving a State administered optional State supplement that meets the conditions specified in 42 CFR 435.230.
) [9	 Individuals in additional classifications approved by the Secretary as follows:
			Board and Room
			Adult Family
			Residential Care Facility
			Group Home for Children or Child Caring Agency
			Center for the Developmentally Disabled

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

Revision:	HCFA-PM-9 August 1991	I-4 (BPD)	Attachment 2.2 - A Page 18a OMB N.: 0938-			
State/Territor	ry: <u>Nebraska</u>					
Agency*	Citation(s)	Groups Covered				
	B.	Optional Groups Other Than th	ne Medically Needy (Continued)			
		The supplement varies in income standard by political subdivisions according to cost-of-living differences.				
		Yes				
		🖂 No				
		The standards for optional Stat are listed in Supplement 6 of <u>A</u>				

Approval Date Jan 20 1992

Revision:	HCFA-PM-91-4 August 1991			(BPD)	Attachment 2.2 - A Page 19 OMB N.: 0938-		
State/Territory: <u>Nebraska</u>							
Agency* (Citation(s)			Groups Covered			
		В.	<u>Opti</u>	onal Groups Other Than the	e Medically Needy (Continued)		
42 CFR 435.231 1902(a)(10) (A)(ii)(V) of the Act			12.	consecutive days and who	are eligible under special jins on the first day of the 30-		

standards specified in Supplement I to ATTACHMENT

The State covers all individuals as described above. The State covers only the following group or groups of individuals: 1902(a)(10)(A) 🗌 Aged (ii) and 1905(a) Blind of the Act Disabled Individuals under the age of--21 20 7 19 18 Caretaker relatives Pregnant women

2.6-A.

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

Revision:	HCFA-PM-91- August 1991		(BPD)	Attachment 2.2 - A Page 20 OMB N.: 0938-
State/Territory	: <u>Nebraska</u>			
Agency*	Citation(s)		Groups Cover	red
	В.	<u>Optiona</u>	Il Groups Other	Than the Medically Needy (Continued)
1902 (e) (3) of the Act		wh we ma	o would be elig re in a medical	children 18 or under who are living home, hible for Medicaid under the plan if they institution, and for whom the State has hation as required under section he Act.
		*S	ee Below	
		tha	at is used to det	Attachment 2.2-A describes the method ermine the cost effectiveness of caring for bled children at home.
1902(a)(10) (A)(ii)(IX) and 1902(l) of the Act		cat inc ma Fe <u>Att</u> the res	tegorically need come level (esta andatory level a deral poverty ir <u>achment 2.6-A</u> woman and u	viduals who are not mandatory by whose income does not exceed the ablished at an amount above the nd not more than 185 percent of the acome level) specified in <u>Supplement 1 to</u> for a family of the same size, including aborn child or infant and who meet the as specified in <u>Supplement 2 to</u>
		a.		ng pregnancy (and during the 60-day ning on the last day of pregnancy);
		b.	Infants unde	r one year of age.
	the defini	tion of cl		ose 18 and younger who meet al needs: ventilator-dependent,

TN No. <u>NE 10-02</u> Supersedes TN No. <u>MS-92-1</u>

Approval Date May 4 2010

Effective Date <u>Jan 1 2010</u> HCFA ID: 7983E

Revision:HCFA-PM-91-4 August 1991State/Territory:Nebraska				(BPD)	Attachment 2.2 - A Page 21 OMB N.: 0938-
Agency*	Citation(s)			Groups Covered	
		В.	<u>Opti</u>	onal Groups Other Than the	e Medically Needy (Continued)
1902(a) (10)(A) (ii)(IX) and 1902(l)(1) (D) of the Act			15.	to 100 percent of the Feder Supplement 1 of ATTACHN the same size. Children who are born after have attained 6 years of ag	ave income that does not stablished at an amount up ral poverty level) specified in <u>MENT 2.6-A</u> for a family of r September 30, 1983 and who
				the same size. Children who are born after	r September 30, 1983 and who

Approval Date Jan 20 1992

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HCFA-PM-91-4 August 1991 (BPD)

State/Territory: Nebraska

Agency*	Citation(s)		Groups Covered				
		В.	<u>Opt</u>	iona	I Groups Other Than the Medically Needy (Continued)		
1902(a)(10)(A	4)	\boxtimes	16.	16. Individuals			
(ii)(X) and 1902(m) (1) and (3) of the Act				a.	Who are 65 years of age or older or are disabled, as determined under section 1614(a)(3) of the Act. Both aged and disabled individuals are covered under this eligibility group.		
				b.	Whose income does not exceed the income level (established at an amount up to 100 percent of the Federal income poverty level) specified in Supplement 1 to <u>ATTACHMENT 2.6-A</u> for a family of the same size; and		
				C.	Whose resources do not exceed the maximum amount allowed under SSI; under the State's more restrictive financial criteria; or under the State's medically needy program as specified in <u>ATTACHMENT 2.6-A</u> .		

Approval Date <u>Jun 15 2010</u>

Effective Date Jan 01 2010 HCFA ID: 7983E Revision:

HCFA-PM-92-1 (MB) February 1992

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: <u>Nebraska</u>

COVERAGE AND CONDITIONS OF ELIGIBILITY

Citation(s)	Groups Covered						
	В.	Optional Groups Other Than the Medically Needy (Continued)					
1902(a)(47) and 1920 of the Act		17. Pregnant women who are determined by a "qualified provider" (as defined in §1920(b)(2) of the Act) based on preliminary information, to meet the highest applicable income criteria in this plan under <u>ATTACHMENT 2.6-A</u> and are therefore determined to be presumptively eligible during presumptive eligibility period in accordance with §1920 of the Act.					

Approval Date Apr 8 1992

Effective Date Jan 1 1992

Revision:

HCFA-PM-91-8 October 1991 Attachment 2.2 - A Page 23a

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

(MB)

State/Territory: <u>Nebraska</u>

COVERAGE AND CONDITIONS OF ELIGIBILITY

Citation(s)	Groups Covered				
	В.	<u>Opt</u>	ional Groups Other Than the Medically Needy (Continued)		
1906 of the Act		18.	 Individuals required to enroll in cost-effective employer- based group health plan remain eligible for a minimum enrollment period of <u>0</u> months. 		
1902(a(10)(F) 1902(u)(1) of the Act		19.	9. Individuals entitled to elect COBRA continuation coverage and whose income as determined under Section 1612 of the Act for purposes of the SSI program, is no more than 100 percent of the Federal poverty level, whose resources are no more than twice the SSI resource limit for an individual, and for whom the State determines that the cost of COBRA premiums is likely to be less than the Medicaid expenditures for an equivalent set of services. See Supplement 11 to Attachment 2.6-A.		
1902(a)(10)(A)	\boxtimes	20.	20. Optional Targeted Low Income Children who:		
			 are not eligible for Medicaid under any other optional or mandatory eligibility group or eligible as medically needy (without spenddown liability); 		
			 b. would not be eligible for Medicaid under the policies in the State's Medicaid plan as in effect on April 15, 1997 (other than because of the age expansion provided for in 1902(I)(2)(D)); 		

Approval Date Oct 29 1998 Effective Date Aug 1 1998

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

Citation(s)	Groups Covered
	c. are not covered under a group health plan or other group health insurance (as such terms are defined in 2791 of the Public Health Service Act coverage) other than under a health insurance program in operation before July 1, 1997 offered by a State which receives no Federal funds for the program.
	d. have family income at or below:
	200% of the Federal poverty level for the size family involved, as revised annually in the Federal Register; or
	A percentage of the Federal poverty level, which is in excess of the "Medicaid applicable income level" (as defined in 2110(b)(4) of the Act) but by no more than 50 percentage points.
	The State covers:
	All children described above who are under 19 with family income at or below 200 percent of the Federal poverty level.
	The following reasonable classifications of children described above who are under age with family income at or below the percent of the Federal poverty level specified for the classification.
1902(e)(12) of the Act	21. A child under age 19 who has been initially determined eligible is deemed to be eligible for a total of 6 months regardless of changes in circumstances other than attainment of the maximum age state above.

COVERAGE AND CONDITIONS OF ELIGIBILITY

TN No. <u>09-06</u> Supersedes TN No. <u>MS-02-09</u>

Approval Date Oct 20 2009

Effective Date

Oct 1 2009

Revision:

Attachment 2.2 - A Page 23c

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

(BPD)

State/Territory: Nebraska

COVERAGE AND CONDITIONS OF ELIGIBILITY

Citation(s)	Groups Covered
1920A of the Act	22. Children under age 19 who are determined by a "qualified entity" (as defined in 1920A(b)(3)(A)) based on preliminary information, to meet the highest applicable income criteria specified in this plan.
	The presumptive period begins on the day that the determination is made. If an application for Medicaid is filed on the child's behalf by the last day of the month following the month in which the determination of presumptive eligibility was made, the presumptive period ends on the day that the State agency makes a determination of eligibility based on that application. If an application is not filed on the child's behalf by the last day of the month following the month the determination of presumptive eligibility was made, the presumptive period ends on that application.
1902(a)(10)(A)	23. Working disabled individuals whose net family income is below 250 percent of the Federal poverty level for a family of the size involved and who, except for earned income meet all criteria for receiving benefits under the SSI program. See page 12c of Attachment 2.6A.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

CITATION GROUP COVERED

B. Optional Coverage Other Than the Medically Needy (Continued)

1902 (a) (10) (A)

(ii) (XVIII) of the Act ___ [24]. Women who:

- a. Have been screened for breast or cervical cancer under the Centers for Disease Control and Prevention Breast and Cervical Cancer Early Detection Program established under title XV of the Public Health Service Act in accordance with the requirements of section 1504 of that Act and need treatment for breast or cervical cancer, including a pre-cancerous condition of the breast or cervix;
- b Are not otherwise covered under creditable coverage, as defined in section 2701(c) of the Public Health Service Act;
- c. Are not eligible for Medicaid under any mandatory categorically needy eligibility group; and
- d.. Have not attained age 65.

1920B of the Act ____[25]. Women who are determined by a "qualified entity" (as defined in 1920B (b) based on preliminary information, to be a woman described in 1902 (aa) the Act related to certain breast and cervical cancer patients.

The presumptive period begins on the day that the determination is made. The period ends on the date that the State makes a determination with respect to the woman's eligibility for Medicaid, or if the woman does not apply for Medicaid (or a Medicaid application was not made on her behalf) by the last day of the month following the month in which the determination of presumptive eligibility was made, the presumptive period ends on that last day.

Approval Date Oct 19 2001

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HCFA-PM-91-October 1991 (BPD)

State/Territory: Nebraska

Agency*	Citation(s)		Groups Covered
		C.	Optional Coverage of the Medically Needy
42 CFR 435	.301		This plan includes the medically needy.
			□ No.
			Yes. This plan covers:
			 Pregnant women who, except for income and/or resources, would be eligible as categorically needy under title XIX of the Act.
1902(e) of th Act	ne		2. Women who, while pregnant, were eligible for and have applied for Medicaid and receive Medicaid as medically needy under the approved State plan on the date the pregnancy ends. These women continue to be eligible, as though they pregnant, for all pregnancy-related and postpartum services under the plan for a 60-day period, beginning with the date the pregnancy ends, and any remaining days in the month in which the 60 th day falls.
1902(a)(10) (C)(ii)(I) of the Act			 Individuals under age 18 who, but for income and/or resources, would be eligible under section 1902(a)(10) (A)(i) of the Act.

TN No. <u>MS-92-1</u> Supersedes TN No. <u>MS-91-24</u>

Approval Date <u>Apr 10 1992</u>

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1/2/	ision:	

HCFA-PM-91-4 October 1991 (BPD)

State/Territory: Nebraska

Agency*	Citation(s)				Groups Covered
		C.	<u>Opt</u>	ional	Coverage of the Medically Needy (Continued)
1902(e)(4) of the Act			4.	wor rece chile for I one	vborn children born on or after October 1, 1984 to a nan who is eligible as medically needy and is eiving Medicaid on the date of the child's birth. The d is deemed to have applied and been found eligible Medicaid on the date of birth and remains eligible for year so long as the woman remains eligible and the d is a member of the woman's household.
42 CFR 435.	308		5.	a.	Financially eligible individuals who are not described in section C.3 above and who are under the age of –
					 21 20 19 18 or under age 19 who are full-time students in a secondary school or in the equivalent level of vocational or technical training
				b.	Reasonable classifications of financially eligible individuals under the ages of 21, 20, 19, or 18 as specified below:
					(1) Individuals for whom public agencies are assuming full or partial financial responsibility and who are:
					(a) In foster homes (and are under the age of)
					(b) In private institutions (and are under the age of)

Approval Date Sept 10 2003

Effective Date <u>Sept 1 2003</u> HCFA ID: 7983E

Revision:	HCFA-PM-91-4	(BPD)
	August 1991	

Attachment 2.2 - A Page 25a OMB No.: 0938-

State/Territory: Nebraska

Agency*	Citation(s)			Groups Covered
		C.	Optional Cov	verage of the Medically Needy (Continued)
			inc ins	addition to the group under b. (1)(a) and (b), lividuals place in foster homes or private titutions by private, nonprofit agencies (and are der the age of)
			□ (2)	Individuals in adoptions subsidized in full or part by a public agency (who are under the age of).
			(3)	Individuals in NFs (who are under the age of).NF services are provided under this plan.
			(4)	In addition to the group under (b)(3), individuals in ICFs/MR (who are under the age of).
			(5)	Individuals receiving active treatment as – inpatients psychiatric facilities or programs (who are under the age of 21). Inpatient psychiatric services for individuals underage 21 are provided under this plan.
			(6)	Other defined groups (and ages), as specified in Supplement 1 of <u>ATTACHMENT 2.2-A</u> .

TN No. <u>NE 13-02</u> Supersedes TN No. <u>MS-03-10</u>

Approval Date JUN 12 2013

Effective Date FEB 1 2013

Revision:	HCFA-PM-91-4	(BPD)
	August 1991	

Attachment 2.2 - A Page 26 OMB No.: 0938-

State/Territory: <u>Nebraska</u>

Agency*	Citation(s)			Groups Covered
		C.	<u>Opt</u>	ional Coverage of the Medically Needy (Continued)
42 CFR 435	.310	\boxtimes	6.	Caretaker relatives.
42 CFR 435 and 435.330		\boxtimes	7.	Aged individuals.
42 CFR 435 and 435.330		\boxtimes	8.	Blind individuals.
42 CFR 435 and 435.330	-		9.	Disabled individuals.
42 CFR 435	.326		10.	Individuals who would be ineligible if they were not enrolled in an HMO. Categorically needy individuals are covered under 42 CFR 435.212 and the same rules apply to medically needy individuals.
435.340			11.	Blind and disabled individuals who:
				 Meet all current requirements for Medicaid eligibility except the blindness or disability criteria;
				 Were eligible as medically needy in December 1973 as blind or disabled; and
				c. For each consecutive month after December 1973 continue to meet the December 1973 eligibility criteria.

Revision:	HCFA-PM-91-8 October 1991	(BPD)	Attachment 2.2 - A Page 26a OMB No.: 0938-
State/Territory	<u>Nebraska</u>		
Citation(s)		Groups Covered	
	C. <u>Optio</u>	nal Coverage of the Medi	cally Needy (Continued)
1906 of the Act	12.	•	nroll in cost effective employer- s remain eligible for a minimum months.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

REQUIREMENTS RELATING TO DETERMINING ELIGIBILITY FOR MEDICARE PRESCRIPTION DRUG LOW-INCOME SUBSIDIES

Agency* Citation(s)		Groups Covered			
1935(a) and	d 1902(a)(66)	dru	agency provides for making Medicare prescription g Low Income Subsidy determinations under Section 5(a) of the Social Security Act.		
42 CFR 423 and 423.90	-	1.	The agency makes determinations of eligibility for premium and cost-sharing subsidies under and in accordance with section 1860D-14 of the Social Security Act;		
		2.	The agency provides for informing the Secretary of such determinations in cases in which such eligibility is established or redetermined;		
		3.	The agency provides for screening of individuals for Medicare cost-sharing described in Section 1905(p)(3) of the Act and offering enrollment to eligible individuals under the State plan or under a waiver of the State plan.		

Substitute per e-mail dated 8/4/03 Revision: HCFA-PM-91-4 (BPD) August 1991 SUPPLEMENT 1 TO ATTACHMENT 2.2-A Page 1 OMB NO.: 0938-

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

REASONABLE CLASSIFICATIONS OF INDIVIDUALS UNDER THE AGE OF 21, 20, 19, AND 18

Young adults age 18, 19, or 20 who were former wards of the State of Nebraska and regularly attend a school, college, or university or regularly attend a course of vocation al or technical training designed to prepare such a person for gainful employment.

TN No. <u>MS-03-10</u> Supersedes TN No. MS-95-3

Approval Date Sept 10 2003

Effective Date <u>Sept 1 2003</u> HCFA ID: 7983E

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

Method for Determining Cost Effectiveness of Caring for Certain Disabled Children at Home

The child must first meet the criteria as defined under Special Needs. The cost of the child's in-home care is compared to the cost of the child's acute hospital care. A determination is made that the cost of providing in-home care will not exceed the cost of acute hospital care.

TN No. <u>MS-95-16</u> Supersedes Approval Date Dec 14 1995 Effective Date July 25 1995

TN No. MS-91-24

Revision: HCFA-PM-92-1 February 1992 (MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

	ELIGIBILI	ITY CONDIT	IONS AND REQUIREMENTS	
Citation(s)	Condition or Requirement			
	A.		onditions of Eligibility idual covered under the plan:	
42 CFR Part 435, Subpart G		1. Is finar	ncially eligible (using the methods and standards bed in Parts B and C of this Attachment) to receive	
42 CFR Part 435, Subpart F			the "applicable non-financial eligibility conditions. r the categorically needy:	
		(i)	Except as specified under items A.2.a.(ii) and (iii) below, for AFDC-related individuals, meets the non-financial eligibility conditions of the AFDC program.	
		(ii)	For SSI-related individuals, meets the non- financial criteria of the SSI program or more restrictive SSI-related categorically needy criteria.	
1902(I) of the Act		(iii)	For financially eligible pregnant women, infants or children covered under sections 1902(a)(10)(A)(i)(IV), 1902(a)(10)(A)(i)(VI), 1902(a)(10)(A)(i)(VII), and 1902(a)(10)(A)(ii)(IX) of the Act, meets the non-financial criteria of section 1902(I) of the Act.	
1902(m) of the Act		(iv)	For financially eligible aged and disabled individuals covered under section 1902(a)(10)(A)(ii)(X) of the Act, meets the non-financial criteria of section 1902(m) of the Act.	

TN No. <u>MS-92-3</u> Supersedes

Approval Date Apr 8 1992

Effective Date Jan 1 1992

TN No. <u>MS-91-24</u>

State of Nebraska

Citation(s)	Condition or Requirement
	b. For the medically needy, meets the non-financial eligibility Conditions of 42 CFR Part 435.
1905(p) of the Act	 For financially eligible qualified Medicare beneficiaries covered under section 1902(a)(10)(E)(I) of the Act, meets the non-financial criteria of section 1905(p) of the Act.
1905(s) of the Act	 For financially eligible qualified disabled and working individuals covered under section 1902(a)(10)(E)(ii) of the Act, Meets the non-financial criteria of section 1905(a).
1905(p)(3)(A)(ii) of the Act	 For financially eligible specified low income Medicare beneficiaries covered under section 1902(a)(10)(E)(iii) of the Act, meets the non-financial criteria under 1905(p)(3)(A)(ii) of the Act.
42 CR 435.406	3. Is residing in the United States and
	a. Is a citizen or national of the United States;
	 b. Is a qualified alien (QA) as defined in section 431 of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA) as amended, and the QA's eligibility is required by section 402(b) of PRWORA as amended, and is not prohibited by section 403 of PRWORA as amended;
	 Is a qualified alien subject to the 5-year bar as described in section 403 of PRWORA, so that eligibility is limited to treatment of an emergency medical condition as defined in section 401 of PRWORA;
	 Is a non-qualified alien, so that eligibility is limited to treatment of an emergency medical condition as defined in section 401 of PRWORA;

Approval Date <u>SEP 21 2010</u>

(BPD)

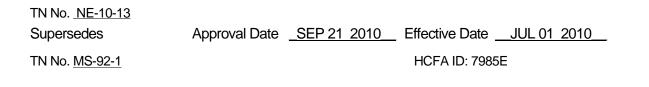
State of Nebraska

Citation(s)	Condition or Requirement
	 e. Is a QA whose eligibility is authorized under section 402(b) of PRWORA as amended, and is not prohibite by section 403 of PRWORA as amended. <u>X</u> State covers all authorized QAs. State does not cover authorized QAs.
	f. State elects CHIPRA option to provide full Medicaid coverage to otherwise eligible pregnant women or children as specified below who are aliens lawfully residing in the United States; including the following:
	 A qualified alien as defined in section 431 of PRWORA (8 U.S.C. §1641);
	(2) An alien in nonimmigrant status who has not violated the terms of the status under which he or she was admitted or to which he or she has changed after admission;
	(3) An alien who has been paroled into the United State pursuant to section 212(d)(5) of the Immigration and Nationality Act (INA) (8 U.S.C. §1182(d)(5)) for less than 1 year, except for an alien paroled for prosecution, for deferred inspection or pending removal proceedings;
	(4) An alien who belongs to one of the following classes
	 Aliens currently in temporary resident status pursuant to section 210 or 245A of the INA (8 U.S.C. §§1160 or 1255a, respectively);
	 (ii) Aliens currently under Temporary Protected Status (TPS) pursuant to section 244 of the INA (8 U.S.C. § 1254a), and pending applicants for TPS who have been granted employment authorization;
	(iii) Aliens who have been granted employment authorization under 8 CFR 274a.12(c)(9), (10), (16), (18), (20), (22), or (24);

State of Nebraska

(BPD)

Citation(s)	Condition or Requirement		
	 (iv) Family Unity beneficiaries pursuant to section 301 of Pub. L. 101-649, as amended; (v) Aliens currently under Deferred Enforced Departure (DED) pursuant to a decision made by the President; (vi) Aliens currently in deferred action status; or (vii) Aliens whose visa petition has been approved and who have a pending application for adjustment of status; 		
	(5) A pending applicant for asylum under section 208(a) of the INA (8 U.S.C. § 1158) or for withholding of removal under section 241(b)(3) of the NA (8 U.S.C. § 1231) or under the Convention Against Torture who has been granted employment authorization, and such an applicant under the age of 14 who has had an application pending for at least 180 days;		
	 (6) An alien who has been granted withholding of remov under the Convention Against Torture; 		
	 (7) A child who has a pending application for Special Immigrant Juvenile status as described in section 101(a)(27)(J) of the INA (8 U.S.C. § 1101(a)(27)(J)); 		
	 (8) An alien who is lawfully present in the Commonweals of the Northern Mariana Islands under 48 U.S.C. § 1806(e); or 		
	(9) An alien who is lawfully present in American Samoa under the immigration laws of American Samoa.		
	<u>X</u> Elected for pregnant women. <u>X</u> Elected for children under age <u>19</u> .		



State of Nebraska

(BPD)

ATTACHMENT 2.6-A Page 3a1 OMB No.: 0938 -

Citation(s)	Condition or Requirement
	a. X The State provides assurance that for an individual whom it enrolls in Medicaid under the CHIPRA section 214 option, it has verified, at the time of the individual's initial eligibility determination and at the time of the eligibility redetermination, that the individual continues to be lawfully residing in the United States. The State must first attempt to verify this status using information provided at the time of initial application. If the State cannot do so from the information readily available, it must require the individual to provide documentation or further evidence to verify satisfactory immigration status in the same manner as it would for anyone else claiming satisfactory immigration status under section 1137(d) of the Act.
42 CFR 435.403 4. 1902(b) of the Act	 Is a resident of the State, regardless of whether or not the individual maintains the residence permanently or maintains it at a fixed address. State has interstate residency agreement with the following States: State has open agreement(s).
	Not applicable; no residency requirement.

State of Nebraska

(BPD)

Citation(s)	Condition or Requirement
435.1008	5. a. Is not an inmate of a public institution. Public institutions do not include medical institutions, nursing facilities and intermediate care facilities for the mentally retarded, or publicly operated community residences that serve no more than 16 residents, or certain child care institutions.
42 CFR 435.1008 1905(a) of the Act	 Is not a patient under age 65 in an institution for mental diseases except as an inpatient under age 22 receiving active treatment in an accredited psychiatric facility or program.
	Not applicable with respect to individuals under age 22 in psychiatric facilities or programs. Such services are not provided under the plan.
433.145 435.604 1912 of the Act	6. Is required, as a condition of eligibility, to assign rights to medical support and to payments for medical care from any third party, to cooperate in obtaining such support and payments, and to cooperate in identifying and providing information to assist in pursuing any liable third party. The assignment of rights obtained from an applicant or recipient is effective only for services that are reimbursed by Medicaid. The requirements of 42 CFR 433.146 through 433.148 are met.
	An applicant or recipient must also cooperate in establishing the paternity of any eligible child and in obtaining medical support and payments for himself or herself and any other person who is eligible for Medicaid and on whose behalf the individual can make an assignment; except that individuals described in §1902(I)(1)(A) of the Social Security Act (pregnant women and women in the post-partum period) are exempt from these requirements involving paternity and obtaining support. Any individual may be exempt from the cooperation requirements by demonstrating good cause for refusing to cooperate.

TN No. <u>NE 10-13</u> Supersedes

Approval Date <u>SEP 21 2010</u>

TN No. New page

HCFA ID: 7985E

Revision: HCFA-PM-91-8 October 1991 State of <u>Nebraska</u>	(MB)	ATTACHMENT 2.6-A Page 3a3 OMB No.: 0938 -
Citation(s)	Condition or	Requirement
	any third party who covered under the assist in pursuing exempt from the c good cause for ref	cipient must also cooperate in identifying o may be liable to pay for care that is State plan and providing information to these third parties. Any individual may be ooperation requirements by demonstrating fusing to cooperate. rights is automatic because of State law.
42 CFR 435.910	social security acc more than one nur assistance for the	ondition of eligibility, to furnish his/her count number (or numbers, if he/she has mber); except for aliens seeking medical treatment of an emergency medical ection 1903(v)(2) of the Act.

TN No. <u>NE 10-13</u>			
Supersedes	Approval Date	_SEP 21 2010_	Effective Date <u>JUL 01 2010</u>
TN No. <u>New page</u>			HCFA ID: 7985E

(BPD)

Citation(s)	Condition or Requirement		
1902(c)(2)	 Is not required to apply for AFDC benefits under title IV-A as a condition of applying for, or receiving, Medicaid if the individual is a pregnant woman, infant, or child that the State elects to cover under sections 1902(a)(10)(A)(i)(IV) and 1902(a)(10)(A)(ii)(IX) of the Act. 		
1902(e)(10)(A) and (B) of the Act	9. Is not required, as an individual child or pregnant woman; to meet requirements under section 402(a)(43) of the Act to be in certain living arrangements. (Prior to terminating AFDC individuals who do not meet such requirements under a State's AFDC plan, the agency determines if they are otherwise eligible under the State's Medicaid plan.)		
	10. Is required to apply for enrollment in an employer-based cost-effective group health plan, if such plan is available to the individual. Enrollment is a condition of eligibility except for the individual who is unable to enroll on his/her own behalf (failure of a parent to enroll a child does not affect a child's eligibility).		

TN No. <u>MS-91-24</u> Supersedes

TN No. (new page)

Approval Date Jan 20 1992

State: Nebraska

ATTACHMENT 2.6-A Page 4 OMB No.:0938-0673

Citation	Condition or Requirement
В.	Posteligibility Treatment of Institutionalized Individuals' Incomes
	 The following items are not considered in the posteligibility process:
1902(o) of the Act	 a. SSI and SSP benefits paid under §1611(e)(1)(E) and (G) of the Act to individuals who receive care in a hospital, nursing home, SNF, or ICF.
Bondi v. Sullivan (SSI)	 Austrian Reparation Payments (pension (reparation) payments made under §500 - 506 of the Austrian General Social Insurance Act). Applies only if State follows SSI program rules with respect to the payments.
1902(r)(1) of the Act	 German Reparations Payments (reparation payments made by the Federal Republic of Germany).
105/206 of P. L. 100-383	d. Japanese and Aleutian Restitution Payments.
1. (a) of P.L. 103-286	e. Netherlands Reparation Payments based on Nazi, but not Japanese, persecution (during World War II
10405 of P.L. 101-239	f. Payments from the Agent Orange Settlement Fund or any other fund established pursuant to the settlement in the In re Agent orange product liability litigation, M.D.L. No.381 (E.D.N.Y.)
6(h)(2) of P.L. 101-426	g. Radiation Exposure Compensation.
12005 of P. L. 103-66	 h. VA pensions limited to \$90 per month under 38 U.S.C. 5503.
TN No. <u>NE 10</u> Supersedes)-16 Approval Date <u>NOV 23 2010</u> Effective Date <u>JUL 01 2010</u>

TN No. <u>MS 99-07</u>

Revision: CMS-PM May 200		ATTACHMENT 2.6-A Page 4a OMB No.:0938-0673
State: <u>Nebraska</u>		
Citation	Condition or Requirement	
1924 of the Act 2 435.725 435.733 435.832	2. The following monthly amounts for pers deducted from total monthly income in to of an institutionalized individual's or cou- income to the cost of institutionalized ca	the application uple's
	Personal Needs Allowance (PNA) of no For Individuals and \$60 For Couples Fo Institutionalized Persons.	
	a. Aged, blind, disabled: Individuals \$75 Couples \$150	
	 For the following persons with great Individuals with a guardian or Individuals in an ICF-MR (ICF-workshop 	
	Supplement 15 to <u>Attachment 2.6-A</u> greater need; describes the basis of deductible amount when a specific a lists the criteria to be met; and, whe organizational unit which determine	r formula for determining the amount is not listed above; re appropriate, identifies the
	b. AFDC related: Children \$60 Adults \$60	
	 For the following persons with great Individuals with a guardian or Individuals in an ICF-MR (ICF-workshop 	
	Supplement 15 to <u>Attachment 2.6-A</u> greater need; describes the basis of deductible amount when a specific lists the criteria to be met; and, whe organizational unit which determine	r formula for determining the amount is not listed above; re appropriate, identifies the
	 c. Individual under age 21 covered in t specified in Item B. 7. of <u>Attachmen</u> \$ N/A 	•

Approval Date <u>November 3, 2023</u> Effective Date <u>September 1, 2023</u>

State: <u>Nebraska</u>

Citation	Condition or Requirement
	 For the following persons with greater need: Individuals with a guardian or conservator Individuals in an ICF-MR (ICF-ID) who participate in a sheltered workshop
	Supplement 15 to <u>Attachment 2.6-A</u> describes the greater need; describes the basis or formula for determining the deductible amount when a specific amount is not listed above; lists the criteria to be met; and, where appropriate, identifies the organizational unit which determines that a criterion is met.
1924 of the Act 3	. In addition to the amounts under item 2, the following monthly amoun are deducted from the remaining income of an institutionalized individual with a community spouse:
	a. The monthly income allowance for the community spouse, calculated using the formula in §1924(d)(2), is the amount by white the maintenance needs standard exceeds the community spouse income. The maintenance needs standard cannot exceed the maximum prescribed in § 1924 (d)(3)(C). The maintenance needs standard consists of a poverty level component plus an excess shelter allowance.
	X The poverty level component is calculated using the applicable percentage (set out §1924(d)(3)(B) of the Act) of the official poverty level.
	The poverty level component is calculated using a percentage greater than the applicable percentage, equal to%, of the official poverty level (still subject to maximum maintenance needs standard).
	The maintenance needs standard for all community spouses is set at the maximum permitted by §1924(d)(3)(C).
	Except that, when applicable, the State will set the community spouse's monthly income allowance at the amount by which exceptional maintenance needs, established at a fair hearing, exceed the community spouse's income, or at the amount of any court-ordered support.

TN No. <u>NE 10-16</u> Supersedes TN No. <u>New page</u>

Approval Date <u>NOV 23 2010</u> Effective Date <u>JUL 01 2010</u>

State: <u>Nebraska</u>

Citation	Condition or Requirement
	In determining any excess shelter allowance, utility expenses are calculated using:
	<u>X</u> the standard utility allowance under §5(e) of the Food Stamp Act of 1977 or
	the actual unreimbursable amount of the community spouse's utility expenses less any portion of such amount included in condominium or cooperative charges.
	 The monthly income allowance for other dependent family members living with the community spouse is:
	<u>X</u> one-third of the amount by which the poverty level component (calculated under §1924(d)(3)(A)(i) of the Act, using the applicable percentage specified in §1924(d)(3)(B)) exceeds the dependent family member's monthly income.
	a greater amount calculated as follows:
	The following definition is used in lieu of the definition provided by the Secretary to determine the dependency of family members under §1924 (d)(1):
	c. Amounts for health care expenses described below that are incurred by and for the institutionalized individual and are not subject to payments by a third party:
	 Medicaid, Medicare, and other health insurance premiums, deductibles, or coinsurance charges, or copayments.
	 (ii) Necessary medical or remedial care recognized under State law but not covered under the State plan. (Reasonable limits on amounts are described in Supplement 3 to <u>ATTACHMENT 2.6-A</u>.)

TN No. <u>NE 10-16</u> Supersedes TN No. <u>New page</u>

ATTACHMENT 2.6-A Page 5 OMB No.:0938-0673

TN No. <u>MS 97-3</u>

Citation	Condition or Requirement
435.725 435.733 435.832	 In addition to any amounts deductible under the items above, the following monthly amounts are deducted from the remaining monthly income of an institutionalized individual or an institutionalized couple.
	a. An amount for the maintenance needs of each member of a family living in the institutionalized individual's home with no community spouse living in the home. The amount must be based on a reasonable assessment of need but must not exceed the higher of the:
	 AFDC level or Medically needy level:
	(Check one) AFDC level sin Supplement 1 X_ Medically needy level in Supplement 1 Other: \$
	b. Amounts for health care expenses described below that have not been deducted under 3.c. above (i.e., for an institutionalized individual with a community spouse), are incurred by and for the institutionalized individual or institutionalized couple, and are not subject to the payment by a third party:
	 (i) Medicaid, Medicare, and other health insurance premiums, deductibles, or coinsurance charges, or copayments. (ii) Necessary medical or remedial care recognized under
	State law but not covered under the State plan. (Reasonable limits on amount are described in Supplement 3 to <u>ATTACHMENT 2.6-A</u> .)
435.725 435.733 435.832	 At the option of the State, as specified below, the following is deducted from any remaining monthly income of an institutionalized individual or an institutionalized couple:
	A monthly amount for the maintenance of the home of the individual or couple for not longer than 6 months if a physician has certified that the individual, or one member of the institutionalized couple, is likely to return to the home within that period:
	\underline{X} Yes (the applicable amount is shown on page 5a.)
TN No. <u>NE 10-16</u> Supersedes	Approval Date <u>NOV 23 2010</u> Effective Date <u>JUL 01 2010</u>

State: <u>Nebraska</u>

Citation	Condition or Requirement
	Amount for maintenance of home is: \$
	<u>X</u> Amount for maintenance of home is the actual maintenance costs not to exceed \$281.
	Amount for maintenance of home is deductible when countable income is determined under §1924(d)(1) of the Act only if the individual's home and the community spouse's home are different.
	_X Amount for maintenance of home is not deductible when countable income is determined under §1924(d)(1) of the Act.

(MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

ELIGIBILITY CONDITIONS AND REQUIREMENTS

Citation(s)	Condition or Requirement
435.721, 435.831 For res and pla for me For sec ass spe Su lev ind Fec chi 190 190 ind Act	ancial Eligibility individuals who are AFDC or SSI recipients, the income and ource levels and methods for determining countable income d resources of the AFDC and SSI program apply, unless the n provides for more restrictive levels and methods than SSI SSI recipients under section 1902(f) of the Act, or more liberal thods under section 1902(r)(2) of the Act, as specified below. individuals who are not AFDC or SSI recipients in a non- tion 1902(f) State and those who are deemed to be cash sistance recipients, the financial eligibility requirements ecified in this section C apply. Deplement 1 to ATTACHMENT 2.6-A specifies the income els for mandatory and optional categorically needy groups of ividuals, including individuals with incomes related to the deral income poverty levelpregnant women and infants or Idren covered under sections 1902(a)(10)(A)(i)(IV), D2(a)(10)(A)(i)(VI), 1902(a)(10)(A)(i)(VII), and D2(a)(10)(A)(ii)(IX) of the Act and aged and disabled ividuals covered under section 1902(a)(10)(A)(ii)(X) of the tand for mandatory groups of qualified Medicare heficiaries covered under section 1902(a)(10)(E)(i) of the Act.

TN No. <u>MS-92-3</u> Supersedes

Approval Date Apr 3 1992

Effective Date Jan 1 1992

TN No. <u>MS-91-24</u>

(BPD)

State of Nebra	<u>aska</u>
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Citation(s)	Condition or Requirement
	Supplement 2 to ATTACHMENT 2.6-A specifies the resource levels for mandatory and optional categorically needy poverty level related groups, and for medically needy groups.
	Supplement 7 to ATTACHMENT 2.6-A specifies the income levels for categorically needy aged, blind and disabled persons who are covered under requirements more restrictive than SSI.
	Supplement 4 to ATTACHMENT 2.6-A specifies the methods for determining income eligibility used by States that have more restrictive methods than SSI, permitted under section 1902(f) of the Act.
	<u>Supplement 5 to ATTACHMENT 2.6-A</u> specifies the methods for determining resource eligibility used by States that have more restrictive methods than SSI, permitted under section 1902(f) of the Act.
	Supplement 8a to ATTACHMENT 2.6-A specifies the methods for determining income eligibility used by States that are more liberal than the methods of the cash assistance programs, permitted under section 1902(r)(2) of the Act.
	Supplement 8b to ATTACHMENT 2.6-A specifies the methods for determining resource eligibility used by States that are more liberal than the methods of the cash assistance programs, permitted under section 1902(r)(2) of the Act.

(MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

ELIGIBILITY CONDITIONS AND REQUIREMENTS

Citation(s)	Condition or Requirement
1902(r)(2)	1. Methods of Determining Income
of the Act	a. AFDC-related individuals (except for poverty level related pregnant women, infants, and children)
	 In determining countable income for AFDC-related individuals, the following methods are used:
	(a) The methods under the approved AFDC plan only; or
	(b) The methods under the State's approved AFDC plan and/or any more liberal methods described in <u>Supplement 8a to</u> <u>Attachment 2.6-A</u> .
	(2) In determining relative financial responsibility, the agency considers only the income of spouses living in the same household as available to spouses and the income of parents as available to children living with parents until the children become 21.
1902(e)(6) the Act	(3) Agency continues to treat women eligible under the provisions of sections 1902(a)(10) of the Act as eligible, without regard to any changes in income of the family of which she is a member, for the 60-day period after her pregnancy ends and any remaining days in the month in which the 60th day falls.

TN No. <u>MS-92-3</u>Approval Date <u>Apr 8 1992</u>Effective Date <u>Jan 1 1992</u>TN No. <u>MS-91-24</u>

(MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

ELIGIBILITY CONDITIONS AND REQUIREMENTS

Citation(s)	Condition or Requirement
42 CFR 435.721 435.831, and 1902(m)(1)(B)(m)(4) and 1902(r)(2) of the Act	 <u>Aged individuals</u>. In determining countable income for aged individuals, including aged individuals with incomes up to the Federal poverty level described in section 1902(m)(1) of the Act, the following methods used:
	The methods of the SSI program only.
	The methods of the SSI program and/or any more liberal methods described in <u>Supplement</u>

8a to ATTACHMENT 2.6-A.

TN No. <u>MS-92-3</u> Supersedes

Approval Date Apr 8 1992

Effective Date Jan 1 1992

TN No. (new pages)

(MB)

State of Nebraska

Citation(s)	Condition or Requirement
	For individuals other than optional State supplement recipients, more restrictive methods than SSI, applied under the provisions of section 1902(f) of the Act, as specified in <u>Supplement 4 to ATTACHMENT 2.6-A</u> ; and any more liberal methods described in <u>Supplement 8a to ATTACHMENT 2.6-A</u> .
	For institutional couples, the methods specified under section 1611(e)(5) of the Act.
C	For optional State supplement recipients under §435.230, income methods more liberal than SSI, as specified in <u>Supplement 4 to Attachment 2.6-A</u> .
	For optional State supplement recipients in section 1902(f) States and SSI criteria States without section 1616 or 1634 agreements
	SSI methods only.
	SSI methods and/or any more liberal methods than SSI described in <u>Supplement 8a to Attachment 2.6-A</u> .
	Methods more restrictive and/or more liberal than SSI. More restrictive methods are described in <u>Supplement 4 to</u> <u>ATTACHMENT 2.6-A</u> and more liberal methods are described in <u>Supplement 8a to Attachment 2.6-A</u> .
	In determining relative financial responsibility, the agency considers only the income of spouses living in the same household as available to spouses.

 TN No. <u>MS-91-24</u>

 Supersedes
 Approval Date Jan 20 1992
 Effective Date Nov 1 1991

 TN No. (new page)
 HCFA ID: 7985E

(BPD)

State of Nebraska

Citation(s)		Con	dition or Requirement
42 CFR 435.721 and 435.831 1902(m)(1) (B), (m) (4), and	C.		d individuals. In determining countable income for d individuals, the following methods are used:
			The methods of the SSI program only.
1902(r) (2). of the Act		\square	SSI methods and/or any more liberal methods described in <u>Supplement 8a to ATTACHMENT 2.6</u>
			For individuals other than optional State supplement recipients, more restrictive methods than SSI, applied under the provisions of section 1902(f) of the Act, as specified in <u>Supplement 4 to</u> <u>ATTACHMENT 2.6-A</u> , and any more liberal methods described in <u>Supplement 8a to</u> <u>ATTACHMENT 2.6-A</u> .
			For institutional couples, the methods specified under section 1611(e)(5) of the Act.
			For optional State supplement recipients under §435.230, income methods more liberal than SSI, as specified in <u>Supplement 4 to ATTACHMENT</u>
			<u>2.6-A</u> . For optional .State supplement recipients in sectior 1902(f) States and SSI criteria States without section 1616 or 1634 agreements
			SSI methods only.
			SSI methods and/or any more liberal methods than SSI described in <u>Supplement 8a to</u> <u>ATTACHMENT 2.6-A</u> .
			Methods more restrictive and/ or more liberal than SSI. More restrictive methods are described in <u>Supplement 4 to ATTACHMENT</u> <u>2.6-A</u> and more liberal methods are described in <u>Supplement 8a to ATTACHMENT 2.6-A</u> .

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

(BPD)

State of Nebraska

Citation(s)		Con	ndition or Requirement
		con hou pare	etermining relative responsibility, the agency siders only the income of spouses living in the same sehold as available to spouses and the income of ents as available to children living with parents until children become 21.
42 CFR 435.721 and 435.831 1902(m)(1)(B), (m)(4), and 1902(r)(2) of the Act	d.	Disabled individuals. In determining countable income of disabled individuals, including individuals with incomes up to the Federal poverty level described in section 1902(m) of the Act the following methods are used:	
			The methods of the SSI program.
			SSI methods and/or any more liberal methods described in <u>Supplement 8a to ATTACHMENT</u> 2.6-A.
		\boxtimes	For institutional couples: the methods specified under section I6I1(e)(5) of the Act.
			For optional State supplement recipients under §435.230: income methods more liberal than SSI, as specified in <u>Supplement 4 to ATTACHMENT</u> <u>2.6-A</u> .
			For individuals other than optional State supplement recipients (except aged and disabled individuals described in section 1903(m)(1) of the Act): more restrictive methods than SSI, applied under the provisions of section 1902(f) of the Act, as specified in <u>Supplement 4 to ATTACHMENT</u> <u>2.6-A</u> ; and any more liberal methods described in <u>Supplement 8a to ATTACHMENT 2.6-A</u> .

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

(BPD)

State of Nebraska

Citation(s)	Condition or Requirement
	For optional State supplement recipients in section 1902(f) States and SSI criteria States without section 1616 or 1634 agreements—
	SSI methods only.
	SSI methods and/or any more liberal methods than SSI described in <u>Supplement 8a to ATTACHMENT 2.6-A</u> .
	Methods more restrictive and/or more liberal than SSI, except for aged and disabled individuals described in section 1902(m)(1) of the Act. More restrictive methods are described in <u>Supplement 4 to</u> <u>ATTACHMENT 2.6-A</u> and more liberal methods are specified in <u>Supplement 8a to ATTACHMENT 2.6-A</u> .
	In determining relative financial responsibility, the agency considers only the income of spouses living in the same household as available to spouses and the income of parents as available to children living with parents until the children become 21.

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

(MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

Citation(s)	Condition or Requirement
1902(I)(3)(E) and 1902(r)(2) of the Act	e. <u>Poverty level pregnant women, infants, and children</u> . For pregnant women and infants or children covered under the provisions of sections 1902(a)(10)(A)(i)(IV), (VI), and (VII), and 1902(a)(10)(A)(ii)(IX) of the Act
	(1) The following methods are used in countable income:
	The methods of the State's approved AFDC plan.
	The methods of the approved title IV-E plan.
	The methods of the approved AFDC State plan and/or any more liberal methods described in <u>Supplement 8a to</u> <u>ATTACHMENT 2.6-A</u> .
	The methods of the approved title IV-E plan ,and/or any more liberal methods described in <u>Supplement 8a to</u> <u>ATTACHMENT 2.6-A</u> .

ELIGIBILITY CONDITIONS AND REQUIREMENTS

TN No. <u>MS- 92-3</u> Supersedes TN No. <u>MS-91-24</u>

Approval Date Apr 8 1992

Effective Date Jan 1 1992

(MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

Condition or Requirement
(2) In determining relative financial responsibility, the agency considers only the income of spouses living in the same household as available to spouses and the income of parents as available to children living with parents until the children become 21.
(3) The agency continues to treat women eligible under the provisions of sections 1902(a)(10) of the Act as eligible, without regard to any changes in income of the family of which she is a member, for the 60-day period after her pregnancy ends and any remaining days in the month in which the 60 th day falls.
f. <u>Qualified Medicare beneficiaries</u> . In determining countable income for qualified Medicare beneficiaries covered under section 1902(a)(10)(E)(i) of the Act, the following methods are used:
The methods of the SSI program only.
SSI methods and/or any more liberal methods than SSI described in <u>Supplement 8a to ATTACHMENT</u> 2.6-A
For institutional couples, the methods specified under section 1611(e)(5) of the Act.

ELIGIBILITY CONDITIONS AND REQUIREMENTS

TN No. <u>MS-92-3</u> Supersedes

Approval Date Apr 8 1992

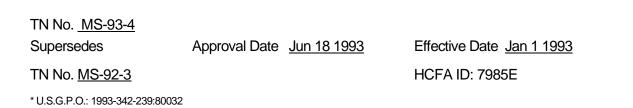
Effective Date Jan 1 1992

TN No. <u>MS-91-24</u>

(MB)

State of Nebraska

Citation(s)	C	Condition or Requirement
	a ir c b t t	an individual receives a title II benefit, any amounts attributable to the most recent increase in the monthly assurance benefit as a result of a title II COLA is not counted as income during a "transition period" beginning with January, when the title II benefit for December is received, and ending with the last day of the month following the month of publication of the evised annual Federal poverty level.
	le	For individuals with tile II income, the revised poverty evels are not effective until the first day of the month pollowing the end of the transition period.
	p	For individuals not receiving title II income, the revised overty levels are effective no later than the date of ublication.
1905(s) of the Act	g. (1) Qualified disabled and working individuals.
		In determining countable income for qualified disabled and working individuals covered under 1902(a)(10)(E)(ii) of the Act, the methods of the SSI program are used.
1905(p) of the Act	(2	2) Specified low-income Medicare beneficiaries.
		In determining countable income for specified low- income Medicare beneficiaries covered under 1902(a)(10)(E)(iii) of the Act, the same method as in f. is used.



(MB)

State of <u>Nebraska</u>

Citation(s)	Condition or Requirement
1902(u) of the Act	 (h) <u>COBRA Continuation Beneficiaries</u> In determining countable income for COBRA continuation beneficiaries, the following disregards are applied: The disregards of the SSI program; The agency uses methodologies for treatment of
	income more restrictive than the SSI program. These more restrictive methodologies are described in Supplement 4 to Attachment 2.6-A
	Note: For COBRA continuation beneficiaries specified at 1902(u)(4), costs incurred from medical care or for any other type of remedial care shall not be taken into account in determining income, except as provided in section 1612(b)(4)(B)(ii).

TN No. <u>MS-91-29</u> Supersedes

Approval Date Jan 15 1992

Effective Date <u>Oct 1 1991</u> HCFA ID: 7985E

TN No. (new page)

State/Territory: Nebraska

Citation(s)	Groups Covered
1902(a)(10)(A) (ii)(XIII) of the Act	Working Disabled Who Buy Into Medicaid etermining countable income and resources for Working Disabled vidual who buy into Medicaid, the following methodologies are lied:
	The methodologies of the SSI program.
	The agency uses methodologies for the treatment of income and resources more restrictive than the SSI Program. Those more restrictive methodologies are described in Supplement 4 to Attachment 2.2-A.
	The agency uses more liberal income and/or resources methodologies than the SSI Program. More liberal income methodologies are described in Supplement 8a to Attachment 2.6- A. More liberal resource methodologies are described in Supplement 8b to Attachment 2.6-A.
	The agency requires individuals to pay premiums or other cost sharing charges. The premium or other cost sharing charges and how they are applied are described in Attachment 2.6-A page 12d.

Approval Date <u>Jun 6 2000</u>

Effective Date Jun 1 1999

TN No. <u>(new)</u>

Attachment 2.6 – A Page 12d OMB No.:

State/Territory: <u>Nebraska</u>

STATE PLAN UNDER TITLE XIS OF THE SOCIAL SECURITY ACT

ESTABLISHMENT AND APPLICATION OF A PREMIUM OR OTHER COST SHARING CHARGES

Section 1902(f) State Non-Section 1902(f) State

- 1. A working disabled individual who receives Medicaid benefits may be subject to cost sharing. The following premium or cost sharing procedures are utilized:
 - (a) The amount of the individual's cost share shall be based on a progressive rate dependent on adjusted income (any unearned income plus any earned income less any allowable disregards) in excess of 200 percent of the Federal Poverty Level. The minimum rate is 2 percent and the maximum rate is 10 percent.

TN No. <u>MS-99-6</u> Supersedes

Approval Date <u>Jun 6 2000</u>

Effective Date Jun 1 1999

TN No. <u>(new)</u>

State of Nebraska

(BPD)

Citation(s)	Condition or Requirement
1902(k) of the Act	2. Medicaid Qualifying Trusts
1917(d)	In the case of a Medicaid qualifying trust described in 1902(k)(2) of the Act, the amount from the trust that is deemed available to the individual who established the trust (or whose spouse established the trust) is the maximum amount that the trustee(s) is permitted under the trust to distribute to the individual. This amount is deemed available to the individual, whether or not the distribution is actually made. This provision does not apply to any trust or initial trust decree established before April 7, 1986, solely for the benefit of a mentally retarded individual who resides in an intermediate care facility for the mentally retarded.
	The agency does not count the funds in a trust as described above in any instance where the State determines that it would work an undue hardship. <u>Supplement 10 of ATTACHMENT 2.6-A</u> specifies what constitutes an undue hardship.
	Effective October 1, 1993 the Medicaid agency complies with Section 1917 (d) of the Act as amended by the Omnibus Budget Reconciliation Act of 1993.
1902(a)(10) of the Act	 Medically needy income levels (MNILs) are based on family size.
	<u>Supplement 1 to ATTACHMENT 2.6-A</u> specifies the MNILs for all covered medically needy groups. If the agency chooses more restrictive levels under section 1902(f) of the Act, <u>Supplement 1</u> so indicates.

TN No. <u>MS-93-16</u> Supersedes

Approval Date May 10 1994

Effective Date Oct 1 1993

TN No. <u>MS-91-24</u>

HCFA ID: 7985E

(BPD)

ATTACHMENT 2.6-A Page 14 OMB No.: 0938

State of Nebraska

Citation(s)	Condition or Requirement
42 CFR 435.732, 435.831	 Handling of Excess Income - Spend-down for the Medically Needy in All States and the Categorically Needy in 1902(f) States Only
	a. Medically Needy
	(1) Income in excess of the MNIL is considered as available for payment of medical care and services. The Medicaid agency measures available income for period of 1 month to determine the amount of excess countable income applicable to the cost of medical care and services.
	(2) If countable income exceeds the MNIL standard, the agency deducts the following incurred expenses in the following order:
	 (a) Health insurance premiums, deductibles and coinsurance charges.
	(b) Expenses for necessary medical and remedial care not included in the plan.
	(c) Expenses for necessary medical and remedial care included in the plan.
	Reasonable limits on amounts of expenses deducted from income under a.(2)(a) and (b) above are listed below.
	See page 14a 14b (per MS-91-29) NS
1902(a)(17) of the Act	Incurred expenses that are subject to payment by a third party are not deducted unless the expenses are subject to payment by a third party that is a publicly funded program (other than Medicaid) of a State or local government.

TN No. <u>NE 15-0012</u> Supersedes TN No. <u>MS-91-24</u>

Approval Date <u>March 28, 2016</u>

Effective Date <u>January 1, 2016</u> HCFA ID: 7985E

Revision: HCFA-PM-91-8 October 1991 State of <u>Nebraska</u>	(MB)	ATTACHMENT 2.6-A Page 14a OMB No.
Citation(s)	Condition or Require	ment
	a. Medically Need	dy (Continued)
1903(f)(2) of the Act	standard,th	e income exceeds the MNIL ne agency deducts spenddown made to the State by the individual.

TN No. <u>MS-91-29</u> Supersedes

Approval Date Jan 12 1992

Effective Date Oct 1 1991

TN No. (new page)

HCFA ID: 7985E/

Revision: HCFA-PM-87-4 March 1987

(BERC)

ATTACHMENT 2.6-A Page 14a 14b (per MS-91-29) NS OMB No : 0938-0193

	OMB NO.: 0938-0193
State of <u>Nebraska</u>	
Citation(s)	Condition or Requirement
	Under (2)(b) above, the difference between the State Supplemental payment level for board and room and the State Supplemental payment level (consolidated standard of need) for the alternate care facilities specified below is an allowable excess income obligation when that amount is being applied towards necessary medical and remedial services. The necessity for medical and remedial services is recognized in the following types of alternate care facilities:
	Licensed Domiciliary Facility
	Certified Adult Family Home
	Licensed Residential Care Facility
	Licensed Group Home for the Mentally Retarded
	Licensed Center for the Developmentally Disabled
	Subsistence to obtain medical care: a limit of \$12 per day for meals is allowed for the client, and the same for an attendant if one is necessary.

TN No. <u>MS-91-24</u> Supersedes TN No. <u>MS-87-11</u>

Approval Date Jan 20 1992

Effective Date Nov 1 1991 HCFA ID: 1038P / 0015P

(BPD)

State of	<u>Nebraska</u>

Citation(s)	Condition or Requirement		
42 CFR	b. <u>Categorically Needy - Section 1902 (f) States</u>		
435.732	The agency applies the following policy under the provisions of section 1902(f) of the Act. The following amounts are deducted from income to determine the individual's countable income:		
	(1) Any SSI benefit received.		
	(2) Any State supplement received that is within the scope of an agreement described in sections 1616 or 1634 of the Act, or a State supplement within the scope of section 1902(a)(10)(A)(ii)(XI) of the Act.		
	(3) Increases in OASDI that are deducted unde §§435.134 and 435.135 for individuals specified in that section, in the manner elect by the State under that section.		
	(4) Other deductions from income described in this plan at <u>Attachment 2.6-A</u> , <u>Supplement 4</u>		
	 (5) Incurred expenses for necessary medical and remedial services recognized under State law. 		
1902(a)(17) of the Act, P.L. 100-203	Incurred expenses that are subject to payment by a third party are not deducted unless the expenses are subject to payment by a third party that is a publicly funded program (other than Medicaid) of a State or local government.		

Supersedes

Approval Date Jan 20 1992

TN No. (new page)

Revision: HCFA-PM-91-8 October 1991	(MB)	ATTACHMENT 2.6-A Page 15a OMB No.:
State of <u>Nebraska</u>		
Citation(s)	Condition or R	Requirement
	4.b. <u>Categor</u> Continue	<u>ically Needy - Section 1902(f) States</u> ed
1903(f)(2) of the Act	— 、 , , ,	nddown payments made to the State by ndividual.
		FFP will be reduced to the extent State is enddown payment by the individual.

TN No. <u>MS-91-29</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 15 1992

Effective Date Oct 1 1991 HCFA ID: 7985E/

(BPD)

Citation(s)	Condition or Requirement		
5.		<u>AFD</u> leve	ds for Determining Resources DC-related individuals (except for poverty el related pregnant women, infants, and Idren). In determining countable resources for AFDC-
		(-)	related individuals, the following methods are used:
			(a) The methods under the State's approved AFDC plan; and
			(b) The methods under the State's approved AFDC plan and/or any more liberal methods described in <u>Supplement 8b to</u> <u>ATTACHMENT 2.6-A</u>
		(2)	In determining relative financial responsibility, the agency considers only the resources of spouses living in the same household as available to spouses and the resources of parents as available to children living with parents until the children become 21.

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

(BPD)

State of Nebraska

Citation(s)	Condition or Requirement
1902(a)(10)(A), 1902(a)(10)(C), 1902(m)(1)(B) and (C), and 1902(r) of the Act	 5. <u>Methods for Determining Resources</u> b. <u>Aged individuals</u>. For aged individuals covered under section 1902(a)(10)(A)(ii)(X) of the Act, the agency used the following methods for treatment of resources: The methods of the SS1 program. SSI methods and/or anymore liberal methods described in <u>Supplement 8b to ATTACHMENT 2.6-A</u> Methods that are more restrictive (except for individuals described in section 1902(m)(1) of the Act) and/or more liberal than those of the SSI program. <u>Supplement 5 to ATTACHMENT 2.6-A</u> describes the more restrictive methods and <u>Supplement 8b to ATTACHMENT 2.6-A</u>

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

(BPD)

State of	<u>Nebraska</u>
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Citation(s)	Condition or Requirement	
	In determining relative financial responsibility, the agency considers only the resources of spouses living in the same household as available to spouses.	
1902(a)(10)(A), 1902(a)(10)(C), 1902(m)(1)(B), and	 <u>Blind individuals</u>. For blind individuals the agency uses the following methods for treatment of resources: 	
1902(r) of the Act	The methods of the SSI program.	
	SSI methods and/or any more liberal methods described in <u>Supplement 8b to</u> <u>ATTACHMENT 2.6-A</u> .	
	Methods that are more restrictive and/or more liberal than those of the SSI program. <u>Supplement 5 to</u> <u>ATTACHMENT 2.6-A</u> describe the more restrictive methods and <u>Supplement 8b</u> <u>to ATTACHMENT 2.6-A</u> specify the more liberal methods.	
	In determining relative financial responsibility, the agency considers only the resources of spouses living in the same household as available to spouses and the resources of parents as available to children living with parents until the children become 21.	

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

(BPD)

Citation(s)	Condition or Requirement
1902(a)(10)(A), 1902(a)(10)(C), 1902(m)(1)(B), and (C), and 1902(r)(2) of	 <u>Disabled individuals, including individuals covere</u> <u>under section 1902(a)(10)(A)(ii)(X) of the Act</u>. Th agency uses the following methods for the treatment of resources:
the Act	The methods of the SSI program.
	SSI methods and/or any more liberal method described in <u>Supplement 8a to ATTACHMEN</u> 2.6-A.
	Methods that are more restrictive (except for individuals described In section 1902(m)(1) of the Act) and/or more liberal that those under the SSI program. More restrictive methods and described in <u>Supplement 5 to ATTACHMENT</u> <u>2.6-A</u> and more liberal methods are specified <u>Supplement 8b to ATTACHMENT 2.6-A</u> .
	In determining relative financial responsibility, the agency considers only the resources of spouses living in the same household as available to spouses and the resources of parents as available to children living with parents until the children become 21.
1902(I)(3) and 1902(r)(2) of the Act	e. <u>Poverty level pregnant women covered under</u> sections 1902(a)(10)(A)(i)(IV) and 1902(a)(10)(A)(ii)(IX)(A) of the Act.
	The agency uses the following methods in the treatment of resources.
	The methods of the SSI program only.
	The methods of the SSI program and/or any more liberal methods described in <u>Supplement 5a or Supplement 8b to</u> <u>ATTACHMENT 2.6-A</u> .

Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

Revision: HCFA-PM-9 August 1991		ATTACHMENT 2.6-A Page 19 OMB No.: 0938-
State of <u>Nebraska</u>		
Citation(s)	Condition	or Requirement
		Methods that are more liberal than those of SSI. The more liberal methods are specified in <u>Supplement 5a or Supplement 8b to</u> <u>ATTACHMENT 2.6-A</u> .
		Not applicable. The agency does not consider resources in determining eligibility.
	age livin spo to c	etermining relative financial responsibility, the ncy considers only the resources of spouses g in the same household as available to uses and the resources of parents as available hildren living with parents until the children ome 21.
1902(I)(3) and 1902(r)(2) of the Act		erty level infants covered under section 2(a)(10)(A)(i)(IV) of the Act.
		agency uses the following methods for the ment of resources:
		The methods of the State's approved AFDC blan.
1902(I)(3)(C) of the Act		Methods more liberal than those in the State's approved AFDC plan (but not more restrictive), in accordance with section 1902(I)(3)(C) of the Act, as specified in Supplement 5a of ATTACHMENT 2.6-A.
1902(r)(2) of the Act		Methods more liberal than those in the State's approved AFDC plan (but not more restrictive), as described in <u>Supplement 5a or Supplement 3 o ATTACHMENT 2.6-A</u> .
		Not applicable. The agency does not consider esources in determining eligibility.

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

(MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

ELIGIBILITY CONDITIONS AND REQUIREMENTS

Citation(s)	Condition or Requirement
1902(I)(3) and 1902(r)(2) of the Act	 g. 1. <u>Poverty level children covered under section</u> 1902(a)(10)(A)(i)(VI) of the Act. The agency uses the following methods for the treatment of resource:
	The methods of the State's approved AFDC plan.
1902(1)(3)(C) of the Act	Methods more liberal than those in the State's approved AFDC plan (but not more restrictive), in accordance with section 1902(I)(3)(C) of the Act, as specified in Supplement 5a of ATTACHMENT 2.6-A.
1902(r)(2) of the Act	Methods more liberal than those in the State's approved AFDC plan (but not more restrictive), as described in <u>Supplement 8b</u> to ATTACHMENT 2.6-A.
	Not applicable. The agency does not consider resources in determining eligibility.
	In determining relative financial responsibility, the agency considers only the resources of spouses living in the same household as available to spouses and the resources of parents as available to children living with parents until the children become 21.

TN No. <u>MS-92-3</u> Supersedes TN No. <u>MS-91-24</u>

Approval Date Apr 8 1992

Effective Date Jan 1 1992

(BPD)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

ELIGIBILITY CONDITIONS AND REQUIREMENTS

Citation(s)	Condition or Requirement
1902(I)(3) and 1902(r)(2) of the Act	 g. 2. <u>Poverty level children under section</u> <u>1902(a)(10)(A)(i)(VII)</u> The agency uses the following methods for the
	treatment of resources: The methods of the State's approved AFDC plan.
1902(1)(3)(C) of the Act	Methods more liberal than those in the State's approved AFDC plan (but not more restrictive), as specified in <u>Supplement 5a</u> of ATTACHMENT 2.6-A.
1902(r)(2)	Methods more liberal than those in the State's approved AFDC plan (but not more restrictive), as described in <u>Supplement 8a</u> to ATTACHMENT 2.6-A.
of the Act	Not applicable. The agency does not consider resources in determining eligibility.
	In determining relative responsibility, the agency considers only the resources of spouses living in the same household as available to spouses and the resources of parents as available to children living with parents until the children become 21.

Approval Date Apr 8 1992

Revision:	HCFA-PM-92-8
	October 1991

(BPD)

State of Nebraska

Citation(s)	Condition or Requirement
1905(p)(1) (C) and (D) and 1902(r)(2) of the Act	 h. For Qualified Medicare beneficiaries covered under section 1902(a)(10)(E)(i) of the Act the agency uses the following methods for treatment of resources:
	\boxtimes The methods of the SSI program only.
	The methods of the SSI program and/or more liberal methods as described in <u>Supplement</u> <u>8b to ATTACHMENT 2.6-A</u> .
1905(s) of the Act	 For qualified disabled and working individuals covered under section 1902(a)(10)(E)(ii) of the Act, the agency uses SSI program methods for the treatment of resources.
1902(u) of the Act	 For COBRA continuation beneficiaries, the agency uses the following methods for treatment of resources:
	The methods of the SSI program only.
	More restrictive methods applied under section 1902(f) of the Act as described in Supplement 5 to Attachment 2.6-A.

Effective Date Oct 1 1991 HCFA ID: 7985E Revision: HCFA-PM-93-5 May 1993 (MB)

State of Nebraska

Citation(s)	Condition or Requirement
1902(a)(10)(E)(iii) of the Act	 k. <u>Specified low-income Medicare beneficiaries</u> <u>covered under section 1902(a)(10)(E)(iii) of the</u> <u>Act</u> The agency uses the same method as in 5.h. of <u>Attachment 2.6-A</u>.
	6. Resource Standard - Categorically Needy
	 a. 1902(f) States (except as specified under items 6.c. and d. below).for aged, blind and disabled- individuals:
	Same as SSI resource standards.
	More restrictive.
	The resource standards for other individuals are the same as those in the related cash assistance program.
	 b. Non-1902(f) States (except as specified under items 6.c. and d. below)
	The resource standards are the same as those in the related cash assistance program.
	Supplement 8 to ATTACHMENT 2.6-A specifies for 1902(f) States the categorically needy resource levels for all covered categorically needy groups.

TN No. <u>MS-93-11</u> Supersedes TN No. <u>MS-91-29</u>

Approval Date Jul 12 1993

(MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

ELIGIBILITY CONDITIONS AND REQUIREMENTS

Citation(s)	Condition or Requirement	
1902(I)(3)(A) (B) and (C) of the Act	 c. For pregnant women and infants covered under the provisions of section 1902(a)(10)(A)(i)(IV) a 1902(a)(10)(A)(ii)(IX) of the Act, the agency applies resource standard. 	
	Yes. <u>Supplement 2 to ATTACHMENT 2.6-</u> specifies the standard which, for pregnant women, is no more restrictive than the stan under the SSI program; and for infants is no more restrictive than the standard applied i State's approved plan.	dard
	No. The agency does not apply resource standard to these individuals.	
1902(I)(3)(A) and (C) of the Act	 For children covered under the provisions of section 1902(a)(10)(A)(i)(VI) of the Act, the age applies a resource standard. 	ency
	Yes. Supplement 2 to ATTACHMENT 2.6-A specifies the standard which is no more restrictive than the standard applied in the state's approved AFDC plan.	<u>A</u>
	No. The agency does not apply a resource standard to these individuals.	

TN No. <u>MS-92-3</u> Supersedes TN No. <u>MS-91-24</u>

Approval Date Apr 8 1992

Effective Date Jan 1 1992

(BPD)

State of <u>Nebraska</u>	State	of	<u>Nebraska</u>
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Citation(s)	Condition or Requirement
1902(m)(1)(C) and (m)(2)(B) of the Act	 For aged and disabled individuals described in section 1902(m)(1) of the Act who are covered under section 1902(a)(10)(A)(ii)(X) of the Act, the resource standard is:
	Same as SSI resource standards.
	Same as the medically needy resource standards, which are higher than the SSI resource standards (if the State covers the medically needy).
	Supplement 2 to ATTACHMENT 2.6-A specifies the resource levels for these individuals.

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

Revision: HCFA-PM-93-5 May 1993 (MB)

State of Nebraska

Citation(s)	Condition or Requirement		
	7.	Resource Standard - Medically Needy	
1902(a)(10)(C)(i) of the Act		a. Resource standards are based on family size.	
		 A single standard is employed in determining resource eligibility for all groups. 	
		 In 1902(f) States, the resource standards are more restrictive than in 7.b. above for - 	
		 Aged Blind Disabled 	
		<u>Supplement 2 to ATTACHMENT 2.6-A</u> specifies the resource standards for all covered medically needy groups. If the agency chooses more restrictive levels under 7.c., <u>Supplement 2</u> so indicates.	
1905(p) (1) (D) and (p) (2) (B) of the Act	8.	Resource Standard - Qualified Medicare Beneficiaries Specified Low-Income Medicare Beneficiaries, and Qualifying Individuals	
		For qualified Medicare beneficiaries covered under Section $1902(a)(10)(E)(i)$ of the Act, specified low- income Medicare beneficiaries covered under Section $1902(a)(10)(E)(iii)$ of the Act, and Qualifying Individuals covered under Section $1902(a)(10)(E)(iv)$ of the Act, the resource standard is equal to the amount defined under Section $1905(p)(1)(C)$ of the Act.	
1905(s) of the Act	9.	Resource Standard-Qualified Disabled and Working Individuals	
		For qualified disabled and working individuals covered under section 1902(a)(10)(E)(ii) of the Act, the resource standard for an individual or a couple (in the case of an individual with a spouse) is twice the SSI resource standard.	

Revision: HCFA-PM-91-8 October 1991	(MB)	ATTACHMENT 2.6-A Page 22a
State of <u>Nebraska</u>		
Citation(s)	Con	dition or Requirement
1902(u) of the Act	9. 1	For COBRA continuation beneficiaries, the resource standard is:
		Twice the SSI resource standard for an individual.
		More restrictive standard for as applied under section 1902(f) of the Act as described in Supplement 8 to Attachment 2.6-A.

TN No. <u>MS-91-29</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 15 1992

Effective Date Oct 1 1991 HCFA ID: 7985E Revision: HCFA-PM-93-5 May 1993 (MB)

State of Nebraska

Citation(s)	Condition or Requirement		
1902(u) of the Act	10. Excess Resources		
	a. Categorically Needy, Qualified Medicare Beneficiaries, Qualified Disabled and Working Individuals, and Specified Low-Income Medicare Beneficiaries		
	Any excess resources make the individual ineligible.		
	b. Categorically Needy Only		
	This State has a section 1634 agreement with SSI. Receipt of SSI is provided for individual while disposing of excess resources.		
	c. Medically Needy		
	Any excess resources make the individual ineligible.		

(BPD)

State of <u>Nebraska</u>				
Citation(s)	Condition or Requirement			
42 CFR 435.914	11. Effective Date of Eligibility			
433.314	a. Groups Other Than Qualified Medicare Beneficiaries			
	(1) For the prospective period.			
	coverage Is available for the full month if the following individuals are eligible at any time during the month.			
	Coverage is available only for the period during the month for which the following individuals meet the eligibility requirements.			
	Aged, blind, disabled.AFDC-related.			
	(2) For the retroactive period.			
	Coverage is available for three months before the date of application if the following individuals would have been eligible had they applied:			
	Aged, blind, disabled.AFDC-related.			
	Coverage is available beginning the first day of the third month before the date of application if the following individuals would have been eligible at any time during that month, had they applied			
	Aged, blind, disabled.AFDC-related.			

Approval Date Jan 20 1992

(MB)

ATTACHMENT 2.6-A Page 25

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

ELIGIBILITY CONDITIONS AND REQUIREMENTS

Citation(s)	Condition or Requirement		
1920(b)(1) of the Act	(3) For a presumptive eligibility period for pregnant women only.		
	Coverage is available for ambulatory prenatal care for the period that begins on the day a qualified provider determines that a woman meets any of the income eligibility levels specified in <u>ATTACHMENT 2.6-A</u> of this approved plan. If the woman files an application for Medicaid by the last day of the month following the month in which the qualified provider made the determination of presumptive eligibility, the period ends on the day that the State agency makes the determination of eligibility based on that application. If the woman does not file an application for Medicaid by the last day of the month following the month in which the qualified provider made the determination, the period ends on that last day.		
1902(e)(8) and 1905(a) of the Act	▷ b. For qualified Medicare beneficiaries defined in section 1905(p)(1) of the Act coverage is available beginning with the first day of the month after the month in which the individual is first determined to be a qualified Medicare beneficiary under section 1905(p)(1). The eligibility determination is valid for		
	☐ 12 months		
	6 months		
	months (no less than 6 months and nor more than 12 months)		
TN No. <u>MS-92-3</u> Supersedes	Approval Date <u>Apr 8 1992</u> Effective Date <u>Jan 1 1992</u>		

Supersedes TN No. <u>MS-91-24</u> Revision: HCFA-PM-95-1 March 1995 (MB)

ATTACHMENT 2.6-A Page 26

State of Nebraska

itation(s)	Condition or Requirement		
1902(a)(18) and 1902(f) of the Act	 Pre-OBRA 93 Transfer of Resources - Categorically and Medically Needy, Qualified Medicare Beneficiaries, and Qualified Disabled and Working Individuals 		
	The agency complies with the provisions of section 19 of the Act with respect to the transfer of resources.		
	Disposal of resources at less than fair market waffects eligibility for certain services as detailed Supplement 9 to Attachment 2.6-A.		
1917(c)	13. Transfer of Assets - All eligibility groups		
	The agency complies with the provisions of section 1917(c) of the Act, as enacted by OBRA 93, with regato to the transfer of assets.		
	Disposal of assets at less than fair market value af eligibility for certain services as detailed in <u>Suppler</u> <u>9(a) to ATTACHMENT 2.6-A</u> , except in instances w the agency determines that the transfer rules would an undue hardship.		
1917(d)	14. Treatment of Trusts - All eligibility groups		
	The agency complies with the provisions of section 1917(d) of the Act, as amended by OBRA 93, with reg to trusts.		
	The agency uses more restrictive methodolo under section 1902(f) of the Act, and applies t methodologies in dealing with trusts:		
	The agency meets the requirements in section 1917(d)(f)(B) of the Act for use of Miller trusts.		
	The agency does not count the funds in a trust in instance where the agency determines that the tran would work an undue hardship, as described <u>Supplement 10 to ATTACHMENT 2.6-A</u> .		

Revision: CMS-PM-02-1 May 2002

State: <u>Nebraska</u>

Citation	Condition or Requirement		
1924 of the Act	15.	The agency complies with the provisions of § 1924 with respect to income and resource eligibility and post eligibility determinations for individuals who are expected to be institutionalized for at least 30 consecutive days and who have a spouse living in the community. When applying the formula used to determine the amount of resources in initial eligibility determinations, the State standard for community spouses is: the maximum standard permitted by law;	
		 X the minimum standard permitted by law; or \$ a standard that is an amount between the minimum and the 	
		maximum.	

SUPPLEMENT 1 TO ATTACHMENT 2.6-A Page 1 OMB No.: 0938-

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

(BPD)

State: Nebraska

INCOME ELIGIBILITY LEVELS

A. MANDATORY CATEGORICALLY NEEDY

1. AFDC-Related Groups Other Than Poverty Level Pregnant Women and Infants:

			Maximum Payment
<u>Family Size</u>	Need Standard	Payment Standard	<u>Amounts</u>
1	222	222	222
2	293	293	293
3	364	364	364
4	435	435	435
5	506	506	506
6	577	577	577
7	648	648	648
8	719	719	719
9	790	790	790
10	861	861	861

2. For pregnant women and infants under Section 1902(a)(10)(i)(IV) of the Act (women during pregnancy and infants under one year of age) the income eligibility level is 150 percent of the Federal Poverty level (as revised annually in the Federal Register) for the size family involved.

Approval Date Dec 14 1995

Effective Date Jul 1 1995

Revision: HCFA-PM-92-1 (MB) February 1992

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

INCOME ELIGIBILITY LEVELS

A. MANDATORY CATEGORICALLY NEEDY (Continued)

- 3. For children under Section 1902(a)(10)(i)(VI) of the Act (children who have attained age 1 but have not attained 6), the income eligibility level is 133 percent of the Federal poverty level (as revised annually in the Federal Register) for the size family involved.
- 4. For children under Section 1902(a)(10)(i)(VII) of the Act (children who were born after September 30, 1983 and have attained age 6 but have not attained age 19), the income eligibility level is 100 percent of the Federal poverty level (as revised annually in the Federal Register) for the size family involved.

TN No. <u>MS-92-3</u>

Supersedes

Approval Date Apr 8 1992

Effective Date Jan 1 1992

TN No. MS-91-24

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

INCOME ELIGIBILITY LEVELS (Continued)

B. OPTIONAL CATEGORICALLY NEEDY GROUPS WITH INCOMES RELATED TO FEDERAL POVERTY LEVEL

1. Pregnant Women and Infants

The levels for determining income eligibility for optional groups of pregnant women and infants under the provisions of sections 1902(a)(10)(A)(ii)(IX) and 1902(I)(2) of the Act are as follows:

Based on <u>150</u> percent of the official Federal income poverty level (no less than 133 percent and no more than 185 percent) for the size of the family involved.

Family Size	Income Level
1	\$
2	\$
3	\$
4	\$
5	\$

TN No. <u>NE 10-02</u> Supersedes TN No. MS-91-24

Approval Date May 4 2010

Effective Date Jan 2 2010 HCFA ID: 7985E "Substitute per letter dated 6/14/93"

Revision: HCFA-PM-92-1 August 1991 (MB)

SUPPLEMENT 1 TO ATTACHMENT 2.6-A Page 4 OMB No.: 0938-

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

(Reserved)

TN No. <u>MS-93-5</u> Supersedes TN No. <u>(MS-91-24)</u>

Approval Date Jun 24 1993

Effective Date Jan 1 1993

"Substitute per letter dated 6/14/93"

Revision: HCFA-PM-92-1 (MB) February 1992

SUPPLEMENT 1 TO ATTACHMENT 2.6-A Page 5

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

INCOME ELIGIBILITY LEVELS (Continued)

3. Aged and Disabled individuals

For aged and disabled individuals described in Section 1902(m)(1) of the Act, the income edibility level is 100 percent of the Federal Poverty level (as revised annually in the Federal Register) for the size family involved.

TN No. <u>MS-93-5</u>

Approval Date <u>Jun 24 1993</u>

Effective Date Jan 1 1993

TN No. (MS-92-7)

Supersedes

(BPD)

SUPPLEMENT 1 TO ATTACHMENT 2.6-A Page 6 OMB No.: 0938-

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

INCOME ELIGIBILITY LEVELS (Continued)

C. QUALIFIED MEDICARE BENEFICIARIES WITH INCOMES RELATED TO FEDERAL POVERTY LEVEL

The levels for determining income eligibility for groups of qualified Medicare beneficiaries under the provisions of section 1905(p)(2)(A) of the Act are as follows:

- 1. NON-SECTION 1902(f) STATES
 - a. Based on the following percent of the official Federal income poverty level:
 - Eff. Jan 1, 1989:
 Image: State in the state in the

b. Levels

For Qualified Medicare Beneficiaries described in 1905(p)(1) of the Act, the income eligibility level is 100 percent of the Federal Poverty Level (as revised annually in the Federal Register) for the size family involved.

TN No. <u>MS-93-5</u>				
Supersedes	Approval Date	<u>Jun 24 1993</u>	Effective Date	Jan 1 1993
TN No. <u>MS-91-24</u>			HCFA ID	: 7985E

Revision: HCFA-PM-91-1991

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

INCOME ELIGIBILITY LEVELS (Continued)

- C. QUALIFIED MEDICARE BENEFICIARIES WITH INCOMES RELATED TO FEDERAL POVERTY LEVEL
- 2. <u>SECTION 1902(f) STATES WHICH AS OF JANUARY 1, 1987 USED INCOME</u> <u>STANDARDS MORE RESTRICTIVE THAN SSI</u>
- a. Based on the following percent of the official Federal income poverty level:

Eff. Jan 1, 1989: 🗌	80 percent	percent (no more than 100)
Eff. Jan 1, 1990: 🗌	85 percent	percent (no more than 100)
Eff. Jan 1, 1991: 🗌	90 percent	percent (no more than 100)
Eff. Jan 1, 1992:	100 percent	

b. Levels:

Family Size	Income Levels
<u>1</u>	\$
<u>2</u>	\$

TN No. <u>MS-92-1</u>Approval Date <u>Apr 10 1992</u>Effective Date <u>Nov 1 1991</u>SupersedesApproval Date <u>Apr 10 1992</u>Effective Date <u>Nov 1 1991</u>TN No. <u>MS-91-24</u>HCFA ID: 7985E

(BPD)

SUPPLEMENT 1 TO ATTACHMENT 2.6-A Page 8 OMB No.: 0938-

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

INCOME ELIGIBILITY LEVELS (Continued)

D. MEDICALLY NEEDY

 \boxtimes Applicable to all groups.

Applicable to all groups except those specified below. Excepted group income levels are also listed on an attached page 3.

(1)	(2)	(3)	(4)	(5)
Family Size	Net income level protected for maintenance for 1 month urban only urban & rural	Amount by which Column (2) exceeds limits specified in 42 CFR 435.1007 ¹	Net income level for persons living in rural areas for months	Amount by which Column (4) exceeds limits specified in 42 CFR 435.1007 ¹
1	\$ 392	\$	\$	\$
2	\$ 392	\$	\$	\$
3	\$ 492	\$	\$	\$
4	\$ 584	\$	\$	\$

¹ The agency has methods for excluding from its claim for FFP payments made on behalf of individuals whose income exceeds these limits.

TN No. <u>NE 15-0012</u> Supersedes	Approval Date	March 28, 2016	Effective Date <u>January 1, 2016</u>
TN No. <u>MS-91-24</u>			HCFA ID: 7985E

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

INCOME ELIGIBILITY LEVELS (Continued)

D. MEDICALLY NEEDY

(1)	(2)	(3)	(4)	(5)
Family Size	Net income level protected for maintenance for 1 month urban only urban & rural	Amount by which Column (2) exceeds limits specified in 42 CFR 435.1007 ¹	Net income level for persons living in rural areas for months	Amount by which Column (4) exceeds limits specified in 42 CFR 435.1007 ¹
5	\$ 675	\$	\$	\$
6	\$ 775	\$	\$	\$
7	\$ 867	\$	\$	\$
8	\$ 967	\$	\$	\$
9	\$ 1,059	\$	\$	\$
10	\$ 1,150	\$	\$	\$
For each additional person				
additional person add:	\$ 91	\$	\$	\$

¹ The agency has methods for excluding from its claim for FFP payments made on behalf of individuals whose income exceeds these limits.

TN No. <u>NE 15-0012</u> Supersedes TN No. <u>MS-91-24</u>

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

RESOURCE LEVELS

A. CATEGORICALLY NEEDY GROUPS WITH INCOMES RELATED TO FEDERAL POVERTY LEVEL

- 1. Pregnant Women
 - a. Mandatory Groups
 - Same as SSI resources levels
 - Less restrictive than SSI resource levels and is as follows:

<u>Family Size</u> 1	Resource Level
2	

b. Optional Groups

Same as SSI resources levels

Less restrictive than SSI resource levels and is as follows:

Resource Level

Family Size	
1	
2	

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

- 2. Infants
 - a. Mandatory Group of Infants
 - Same as resource levels in the State's approved AFDC plan.
 - Less restrictive than the AFDC levels and are as follows.

Family Size	Resource Level
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

- a. Optional Group of Infants
 - Same as resource levels in the State's approved AFDC plan.

Less restrictive than the AFDC levels and are as follows.

Family Size	<u>Resource Level</u>
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

Revision: HCFA-PM-92-1 February 1992

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

- 3. Children
 - a. <u>Mandatory Group of Children under Section 1902(a)(10)(i)(VI) of the Act.</u> (Children who have attained age 1 but have not attained age 6)
 - Same as resource levels in the State's approved AFDC plan.
 - Less restrictive than the AFDC levels and are as follows.

Family Size	Resource Level
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	

Revision: HCFA-PM-92-2 March 1992

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

- Mandatory Group of Children under Section 1902(a)(10)(i)(VII) of the Act. (Children born after September 30, 1983 who have attained age 6 but have not attained age 19)
 - Same as resource levels in the State's approved AFDC plan.
 - Less restrictive than the AFDC levels and are as follows.

Family Size	<u>Resource Level</u>
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	

TN No. <u>MS-92-19</u> Supersedes TN No. <u>MS-91-24</u>

Approval Date Jan 14 1993

Effective Date Oct 1 1992

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

- 3. Aged and Disabled Individuals
 - Same as SSI resource levels.
 - More restrictive than SSI levels and are as follows.

Family Size	Resource Level
1	
2	
3	
4	
5	

Same as medically needy resource levels (applicable only if State has a medically needy program.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

B. <u>MEDICALLY NEEDY</u>

Applicable to all groups-

Except those specified below under the provisions of section 1902(f) of the Act.

Family Size	Resource Level
1	\$4,000
2	6,000
3	6,025
4	6,050
5	6,075
6	6,100
7	6,125
8	6,150
9	6,175
10	6,200
For each additional person	25

<u>Jan 20 1992</u>

TN No. <u>MS- 91-24</u>	
Supersedes	Approval Date
TN No. <u>(new page)</u>	

Revision: HCFA-PM-85-3 May 1985

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

REASONABLE LIMITS ON AMOUNTS FOR NECESSARY MEDICAL OR REMEDIAL CARE NOT COVERED UNDER MEDICAID

NOT APPLICABLE

11/08 decision by CMS & DHHS to leave this page in State Plan

TN No. <u>MS- 85-9</u>			
Supersedes	Approval Date	<u>Mar 6 1986</u>	Effective Date Apr 1 1985
TN No. <u>(new)</u>			HCFA ID: 4093E / 0002P

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

METHODS FOR TREATMENT OF INCOME THAT DIFFER FROM THOSE OF THE SSI PROGRAM

(Section 1902(f) more restrictive methods and criteria and State supplement criteria in SSI criteria States without section 1634 agreements and in section 1902(f) States. Use to reflect more liberal methods only if you limit to State supplement recipients. DO NOT USE this supplement to reflect more liberal policies that you elect under the authority of section 1902(r)(2) of the Act. Use Supplement 8a for section 1902(r)(2) methods.)

N/A

TN No. <u>MS- 91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

MORE RESTRICTIVE METHODS OF TREATING RESOURCES THAN THOSE OF THE SSI PROGRAM – Section 1902(f) States only

N/A

TN No. <u>MS- 91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

METHODS FOR TREATMENT OF RESOURCES FOR INDIVIDUALS WITH INCOMES RELATED TO FEDERAL POVERTY LEVELS

(Do not complete if you are electing more liberal methods under the authority of section 1902(r)(2) of the Act instead of the authority specific to Federal poverty levels. Use Supplement 8b for section 1902(r)(2) methods.)

N/A

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

State Nebraska

Standards for Op	ptional State Supplementary F	ayments		
Payment Category (Reasonable Classification) Administered By Federal/State		Net Income Level		Income Disregards
	Federal/State	One Person	Couple	Employed
(1)	(2)	(3)	(4)	(5)
Available to all aged, blind and disabled individuals with varying payment levels dependent on the following living arrangements:				
Own or rent a home	State	\$503 \$281*	\$796 \$349*	
Patient in a nursing home, regional center, state institution for the mentally retarded, or receiving chronic or convalescent hospital care	State	\$60	\$120	
In room and board situation (not licensed home) or boarding home (licensed or unlicensed if board and room is provided)	State	\$737	\$1,474	_
In certified adult family home	State	\$865	\$1730	SSI Standards
In licensed assisted living facility In licensed mental health center	State	\$1,175	\$2,350	
Assisted Living Waiver	State	\$733	\$1,466	
In licensed group home for children and/or child caring agency	State	\$801	\$1,602	
In licensed centers for the developmentally disabled	State	\$737	\$1,474	

* Maximum for shelter allowance

TN No. <u>NE 15-0012</u> Supersedes TN No. <u>NE 15-0007</u>

Approval Date <u>March 28, 2016</u>

Effective Date <u>January 1, 2016</u>

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

INCOME LEVELS FOR 1902(f) STATES - CATEGORICALLY NEEDY WHO ARE COVERED UNDER REQUIREMENTS MORE RESTRICTIVE THAN SSI

N/A

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Nebraska

RESOURCE STANDARDS FOR 1902(f) STATES CATEGORICALLY NEEDY

N/A

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

Supplement 8a to Attachment 2.6-A Page 1

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

MORE LIBERAL METHODS OF TREATING INCOME AND RESOURCES UNDER SECTION 1902(r)(2) OF THE ACT*

Section 1902(f) State

Non-Section 1902(f) State

- For the qualified pregnant women and children (1902)(a)(10)(A)(i)(III), the poverty level pregnant women and children (1902(a)(10)(A)(i)(IV), (VI) and (VII)), the optional groups of children under age 21 and caretaker relatives (1902(a)(10)(A)(ii)(I)), and pregnant women under 1902(a)(10)(A)(ii)(IX) and 1902(I)(1)(A), declared winnings, interest, and dividends of less than \$10 per month are excluded as income.
- For the qualified pregnant women and children (1902)(a)(10)(A)(i)(III), the poverty level pregnant women and children (1902(a)(10)(A)(i)(IV), (VI) and (VII)), the optional groups of children under age 21 and caretaker relatives (1902(a)(10)(A)(ii)(I)), and pregnant women under 1902(a)(10)(A)(ii)(IX) and 1902(I)(1)(A), and the medically needy (1902(a)(10)(C)(i)(III), effective November 1, 2002, disregard \$100 of gross earned income per working individual as a work-related expense deduction in determining countable income.
- 3 For Working Disabled individuals as defined in Section (1902)(a)(10)(A)(ii)(XIII) of the Act, the following income standard applies:

Disregard all earnings plus unearned income contingent upon a trial work period (such as a Social Security Trial Work Periods). In determining eligibility for SSI in the individual eligibility determination required under Section 4733 of the Balanced Budget Act.

- 4. For pregnant women under 1902(a)(10)(A)(ii)(IX) and 1902 (I)(1)(A) of the Act, disregard the amount of income between 150% FPL and 185% FPL.
- 5. For persons eligible as Qualified Medicare Beneficiaries1902(a)(10)(E)(i) and1905(p)(1), the Specified Low-Income Beneficiaries 1902(a)(10)(E)(iii), the Qualifying Individuals 1902(a)(10)(E)(iv), the Working Disabled 1902(a)(10)(ii)(XIII) and the Aged and Disabled 1902(a)(10)(A)(ii)(X) disregard the amount of income equal to the monthly premiums paid for private/commercially available health insurance plans.

TN No. <u>NE 15-001</u> Supersedes TN No. <u>NE 10-02</u>

Approval Date May 1, 2015Effective Date January 1, 2015

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

MORE LIBERAL METHODS OF TREATING INCOME AND RESOURCES UNDER SECTION 1902(r)(2) OF THE ACT*

Section 1902(f) State

Non-Section 1902(f) State

3. Treatment of Excess Resources Under 1902(r)(2) for Medicaid Working Disabled

For Working Disabled individuals as defined in Section 1902(a)(10)(A)(iii)(XIII) of the Act, the following more liberal resource methodology applies:

Disregard an additional \$2,000 per individual for a total of \$4,000 per individual and an additional \$3,000 per couple for a total of \$6,000 per couple. The purpose of this additional resource disregard is to aid in achieving self-sufficiency.

Approval Date Jun 6 2000

Effective Date Jun 1 1999

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LESS RESTRICTIVE METHODS OF TREATING INCOME UNDER SECTION 1902(r)(2) OF THE ACT

- For all eligibility groups subject to 1902(r)(2) and not subject to the limitations on payment explained in 1903(f) of the Act: All otherwise countable income deposited in an IDA account funded under the Assets for Independence Act is excluded.
- For all eligibility groups subject to 1902(r)(2) and not subject to the limitations on payment explained in 1903(f) of the Act: All otherwise countable income deposited in an IDA account authorized under Section 404 is excluded.
- For all eligibility groups subject to 1902(r)(2) and not subject to the limitations on payment explained in 1903(f) of the Act: All interest earned on an IDA account funded under the Assets for Independence Act is excluded.

Approval Date Jan 30 2001

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: <u>Nebraska</u>

MORE LIBERAL METHODS OF TREATING RESOURCES UNDER SECTION 1902(r)(2) OF THE ACT

Section 1902(f) State

Non-Section 1902(f) State

TREATMENT OF EXCESS RESOURCES UNDER 1902(r)(2)

Excess resources make the individual ineligible. Once the excess resources have been reduced to the allowable limit, eligibility may begin –

- 1. The first day of the month in which the resources are actually reduced to or below the allowable limit if the resources were not used to pay bills incurred in a prior month. The resources may be reduced by paying any bills or by purchasing any items of need.
- 2. The first day of the month in which the most recent bill (s) for maintenance or medical was incurred which was paid to reduce the excess resources. Maintenance needs include items such as food, shelter, clothing, transportation and personal comfort items. Qualifying bills would be those incurred by the client, the client's spouse or dependent child(ren).

Example

Medicaid Bills Incurred	Application <u>Made</u>	Bills <u>Paid</u>
Jan. 1, Feb. 3, Mar. 5, Mar. 25	March Excess Resources	April Below Resources

- If bills from Jan. 1 and Feb.3 were used to reduce resources, eligibility would begin Feb. 1 even though the bills were not actually paid until April.
- This methodology would apply to all eligibility groups except deemed cash recipients and Qualified Medicare Beneficiary's.
- All of the requirements of 1917(c)(4) are met for individuals who dispose of resources for less than fair market value.

Eligibility will never begin before the third month before the month of application.

From the date of determination of eligibility for Medicaid, an applicant has 90 days in which to spend down excess resources to become retroactively eligible for Medicaid.

TN No. <u>MS-91-24</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 20 1992

Supplement 8b to Attachment 2.6-A ADDENDUM

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITYACT

State: Nebraska

LESS RESTRICTIVE METHODS OF TREATING RESOURCES UNDER SECTION 1902(r)(2) OF THE ACT

For all eligibility groups subject to 1902(r)(2) of the Act: All funds in IDA accounts funded under the Assets for independence Act are excluded.

State: <u>Nebraska</u>

STATE LONG-TERM CARE INSURANCE PARTNERSHIP

1902(r)(2)The following more liberal methodology applies to individuals who are
eligible for medical assistance under one of the following eligibility groups:

1902(a)(10)(A)(ii)(I), 1902(a)(10)(A)(ii)(X), 1902(a)(10)(C) -(Section 1905(a)(iii), Section 1905(a)(iv) & Section 1905(a)(v))

An individual who is a beneficiary under a long-term care insurance policy that meets the requirements of a "qualified State long-term care insurance partnership" policy (partnership policy) as set forth below, is given a resource disregard as described in this amendment. The amount of the disregard is equal to the amount of the insurance benefit payments made to or on behalf of the individual. The term "long-term care insurance policy" includes a certificate issued under a group insurance contract.

The State Medicaid Agency (Agency) stipulates that the following requirements will be satisfied in order for a long-term care policy to qualify for a disregard. Where appropriate, the Agency relies on attestations by the State Insurance Commissioner (Commissioner) or other State official charged with regulation and oversight of insurance policies sold in the state, regarding information within the expertise of the State's Insurance Department.

- The policy is a qualified long-term care insurance policy as defined in section 7702B(b) of the Internal Revenue Code of 1986.
- The policy meets the requirements of the long-term care insurance model regulation and long-term care insurance model Act promulgated by the National Association of Insurance Commissioners (as adopted as of October 2000) as those requirements are set forth in section 1917(b)(5)(A) of the Social Security Act.

State: <u>Nebraska</u>

STATE LONG-TERM CARE INSURANCE PARTNERSHIP

- The policy was issued no earlier than the effective date of this State plan amendment.
- The insured individual was a resident of a Partnership State when coverage first became effective under the policy. If the policy is later exchanged for a different long-term care policy, the individual was a resident of a Partnership State when coverage under the earliest policy became effective.
- The policy meets the inflation protection requirements set forth in section 1917(b)(1)(C)(iii)(IV) of the Social Security Act.
- The Commissioner requires the issuer of the policy to make regular reports to the Secretary that include notification regarding when benefits provided under the policy have been paid and the amount of such benefits paid, notification regarding when the policy otherwise terminates, and such other information as the Secretary determines may be appropriate to the administration of such partnerships.
- The State does not impose any requirement affecting the terms or benefits of a partnership policy that the state does not also impose on non-partnership policies.
- The State Insurance Department assures that any individual who sells a partnership policy receives training, and demonstrates evidence of an understanding of such policies and how they relate to other public and private coverage of long-term care.
- The Agency provides information and technical assistance to the Insurance Department regarding the training described above.

TN No. <u>MS-06-07</u> Supersedes TN No. <u>New Page</u>

Approval Date Dec 18 2006 Effective

Effective Date Jul 1 2006

State: Nebraska

		In addition to adjustment or recovery of payments for services listed above, payments are adjusted or recovered for other services under the State plan as listed below:
		All Medicaid services provided under the Nebraska Title XIX State Plan.
1917(b)1(C)	(4)	If an individual covered under a long-term care insurance policy received benefits for which assets or resources were disregarded as provided for in Attachment 2.6-A. Supplement 8c (State Long-Term Care Insurance Partnership), the State does not seek adjustment or recovery from the individual's estate for the amount of assets or resources disregarded.

Approval Date Dec 10 2006

Effective Date Jul 1 2006

State: Nebraska

TRANSFER OF RESOURCES

- 1917(c) The agency provides for the denial of eligibility by reason of disposal of resources for less than fair market value.
 - A. Transfer of resources of an individual who is an inpatient in a medical institution or nursing facility.
 - 1. The agency provides for a period of ineligibility in the case of an institutionalized individual who at any time during the 30 month period immediately preceding the individuals application for medical assistance, disposed of resources for less than fair market value. The period of ineligibility shall begin with the month in which the resources were transferred and the number of months will be equal to the less of 30 months, or the total uncompensated value of the transferred resources divided by the average cost to a private patient at the time of application, of nursing facility services.
 - B. Transfer of the home of an individual who is an inpatient in a medical institution or nursing facility.
 - 1. A period of ineligibility is not imposed if the resource transferred were a home and title to the home was transferred to
 - a. the spouse of the individual,
 - b. a child of the individual who is under age 21, or is blind or disabled,
 - c. a sibling of such individual who has an equity interest in the home and who was residing in the home for a period of at least one year immediately preceding the date of the individuals admission to the medical institution or nursing facility, or

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Revision: HCFA-PM-91-4 (BPD) August 1991

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

TRANSFER OF RESOURCES

- d. a son or daughter who was residing in the home for a period of two years immediately preceding the individuals admission to the medical institution or nursing facility, and who provided care to the individual which permitted him/her to reside at home rather than in the institution or facility.
- C. A period of ineligibility for transfer of resources will not be imposed if the individual can show that s/he intended to dispose of the resource for fair market value or for other valuable consideration, the transfer was not made to qualify for assistance, or that denial of assistance would cause undue hardship

Approval Date Jan 20 1992

Effective Date Nov 1 1991

Revision: HCFA-PM-95-1 March 1995

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

TRANSFER OF ASSETS

- 1917(c) The agency provides for the denial of certain Medicaid services by reason of disposal of assets for less than fair market value.
 - 1. Institutionalized individuals may be denied certain Medicaid services upon disposing of assets for less than fair market value on or after the look-back date.

The agency withholds payment to institutionalized individuals for the following services:

Payments based on a level of care in a nursing facility;

Payments based on a nursing facility level of care in a medical institution;

Home and community-based services LInder a 1915 waiver.

- 2. Non-institutionalized individuals:
 - The agency applies these provisions to the following noninstitutionalized eligibility groups. These groups can be no more restrictive than those set forth in section 1905(a) of the Social Security Act:

The agency withholds payment to non-institutionalized individuals for the following services:

Home health services (section 1905(a)(7));

Home and community care for functionally disabled and elderly adults (section 1905(a)(22));

Personal care services furnished to individuals who are not inpatients in certain medical institutions, as recognized under agency law and specified in section 1905(a)(24).

The following other long-term care services for which medical assistance is otherwise under the agency plan:

TN No. <u>MS-95-3</u> Supersedes TN No. <u>(New Page)</u>

Approval Date May 11 1995 Effective Date Jan 1 1995

Revision: HCFA-PM-95-1 (MB) SUPPLEMEN March 1995 Page 2

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

TRANSFER OF ASSETS

3. Penalty Date--

The beginning date of each penalty period imposed for an uncompensated transfer of assets is:

- \boxtimes the first day of the month in which the asset was transferred;
- the first day of the month following the month of transfer.
- Penalty Period Institutionalized Individuals--In determining the penalty for an institutionalized individual, the agency uses:
 - the average monthly cost to a private patient of nursing facility services in the agency;
 - the average monthly cost to a private patient of nursing facility services in the community in which the individual is institutionalized.
- <u>Penalty Period-Non-institutionalized Individuals</u>--The agency imposes a penalty period determined by using the same method as is used for an institutionalized individual, including the use of the average monthly cost of nursing facility services;
 - imposes a shorter penalty period than would be imposed for institutionalized individuals, as outlined below:

Revision:	HCFA-PM-95-1
	March 1995

State: Nebraska

TRANSFER OF ASSETS

- 6. Penalty period for amounts of transfer less than cost of nursing facility care
 - a. Where the amount of the transfer is less than the monthly cost of nursing facility care, the agency:
 - \boxtimes does not impose a penalty;
 - imposes a penalty for less than a full month, based on the proportion of the agency's private nursing facility rate that was transferred.
 - b. Where an individual makes a series of transfers, each less than the private nursing facility rate for a month, the agency:

does not impose a penalty;

 \boxtimes imposes a series of penalties, each for less than a full month.

- 7. <u>Transfers made so that penalty periods would overlap</u> The agency:
 - totals the value of all assets transferred to produce a single penalty period;
 - calculates the individual penalty periods and imposes them sequentially.
- 8. <u>Transfers made so that penalty periods would not overlap</u> The agency:
 - \boxtimes assigns each transfer its own penalty period;
 - uses the method outlined below:

Revision:	HCFA-PM-95-1
	March 1995

State: Nebraska

TRANSFER OF ASSETS

- 9. <u>Penalty periods transfer by a spouse that results in a penalty period for</u> <u>the individual</u>
 - a. The agency apportions any existing penalty period between the spouses using the method outlined below, provided the spouse is eligible for Medicaid. A penalty can be assessed against the spouse, and some portion of the penalty against the individual remains.
 - b. If one spouse is no longer subject to a penalty, the remaining penalty period must be served by the remaining spouse.

10. <u>Treatment of income as an asset</u> -When income has been transferred as a lump sum, the agency will calculate the penalty period on the lump sum value.

The agency will impose partial month penalty periods.

When a stream of income or the right to a stream of income has been transferred, the agency will impose a penalty period for each income payment.

- For transfers of individual income payments, the agency will impose partial month penalty periods.
- For transfers of the right to an income stream, the agency will use the actuarial value of all payments transferred.
- The agency uses an alternate method to calculate penalty periods, as described below:

State: Nebraska

TRANSFER OF ASSETS

11. Imposition of a penalty would work an undue hardship--

The agency does not apply the transfer of assets provisions in any case in which the agency determines that such an application would work an undue hardship. The agency will use the following procedures in making undue hardship determinations:

- a. The client will be sent a notice that their Medicaid case is being closed or application rejected due to a gratuitous transfer, the length of the penalty period and notification that they can claim undue hardship.
- b. The client must then file for an administrative hearing within 90 days from this notice to follow-up on their claim of undue hardship.
- c. The client and/or their representative must present their claim and supporting documentation.
- d. A decision/finding is issued within 45 days.

The following criteria will be used to determine whether the agency will not count assets transferred because the penalty would work an undue hardship:

Factors that would be considered would include but are not limited to: whether the client's health or life would be endangered; whether the application of a penalty would deprive the client or financially dependent family members of food, clothing, or shelter; whether the individual made a reasonable attempt to recover the assets.

Approval Date May 11 1995

Effective Date Jan 1 1995

State: Nebraska

TRANSFER OF ASSETS

- 1917(c) FOR TRANSFERS OF ASSETS FOR LESS THAN FAIR MARKET VALUE MADE ON OR AFTER FEBRUARY 8, 2006, the agency provides for the denial of certain Medicaid services.
 - 1. Institutionalized individuals are denied coverage of certain Medicaid services upon disposing of assets for less than fair market value on or after the look-back date.

The agency does not provide medical assistance coverage for institutionalized individuals for the following services:

Nursing facility services;

Nursing facility level of care provided in a medical institution;

Home and community-based services under a 1915(c) or (d) waiver.

State: Nebraska

TRANSFER OF ASSETS

- 2. Non-institutionalized individuals:
 - The agency applies these provisions to the following noninstitutionalized eligibility groups. These groups can be no more restrictive than those set forth in section 1905(a) of the Social Security Act:

The agency withholds payment to non-institutionalized individuals for the following services:

Home health services (section 1905(a) (7));

Home and community care for functionally disabled elderly adults (section 1905(a) (22);

Personal care services furnished to individuals who are not inpatients in certain medical institutions, as recognized under agency law and specified in section 1905(a) (24).

The following other long-term care services for which payment for medical assistance is otherwise made under the agency plan:

TN No. <u>MS-06-06</u>			
Supersedes	Approval Date	<u>Dec 18 2006</u>	Effective Date Jul 1 2006
TN No. <u>(new page)</u>			

State: Nebraska

TRANSFER OF ASSETS

- 3. Penalty Date--The beginning date of each penalty period imposed for an uncompensated transfer of assets is <u>the later of</u>:
 - the first day of a month during or after which assets have been transferred for less than fair market value;
 - The State uses the first day of .the month in which the assets were transferred
 - \boxtimes The state uses the first day of the month after the month in which the assets were transferred

or

 the date on which the individual is eligible for medical assistance under the State plan and is receiving institutional level care services described in paragraphs 1 and 2 that, were it not for the imposition of the penalty period, would be covered by Medicaid;

AND

which does not occur during any other period of ineligibility for services by reason of a transfer of assets penalty.

Approval Date Dec 18 2006

Effective Date Jul 1 2006

State: Nebraska

TRANSFER OF ASSETS

- Penalty Period Institutionalized Individuals--In determining the penalty for an institutionalized individual, the agency uses:
 - the average monthly cost to a private patient of nursing facility services in the State at the time of application;
 - the average monthly cost to a private patient of nursing facility services in the community in which the individual is institutionalized at the time of application.
- 5. <u>Penalty Period Non-institutionalized Individuals</u>--The agency imposes a penalty period determined by using the same method as is used for an institutionalized individual, including the use of the average monthly cost of nursing facility services;
 - imposes a shorter penalty period than would be imposed for institutionalized individuals, as outlined below:
- 6. Penalty period for amounts of transfer less than cost of nursing facility care -
 - Where the amount of the transfer is less than the monthly cost of nursing facility care, the agency imposes a penalty for less than a full month, based on the option selected in item 4.
 - The state adds together all transfers for less than fair market value made during the look-back period in more than one month and calculates a single period of ineligibility, that begins on the earliest date that would otherwise apply if the transfer had been made in single lump sum.

TN No. <u>MS-06-06</u>			
Supersedes	Approval Date	Dec 18 2006	Effective Date Jul 1 2006
TN No. <u>(new page)</u>			

State: Nebraska

TRANSFER OF ASSETS

- 7. <u>Penalty periods transfer by a spouse that results in a penalty period for</u> <u>the individual</u> -
 - (a) The agency apportions any existing penalty period between the spouses using the method outlined below, provided the spouse is eligible for Medicaid. A penalty can be assessed against the spouse, and some portion of the penalty against the individual remains.
 - (b) If one spouse is no longer subject to a penalty, the remaining penalty period must be served by the remaining spouse.
- 8. Treatment of a transfer of income--

When income has been transferred as a lump sum, the agency will calculate the penalty period on the lump sum value.

When a stream of income or the right to a stream of income has been transferred, the agency will impose a penalty period for each income payment.

- For transfers of individual income payments, the agency will impose partial month penalty periods using the methodology selected in 6. above.
- For transfers of the right to an income stream, the agency will base the penalty period on the combined actuarial value of all payments transferred.

Approval Date Dec 18 2006

Effective Date Jul 1 2006

State: Nebraska

TRANSFER OF ASSETS

9. Imposition of a penalty would work an undue hardship--

The agency does not impose a penalty for transferring assets for less than fair market value in any case in which the agency determines that such imposition would work an undue hardship. The agency will use the following criteria in making undue hardship determinations:

Application of a transfer of assets penalty would deprive the individual:

- (a) Of medical care such that the individual's health or life would be endangered; or
- (b) Of food, clothing, shelter, or other necessities of life.
- 10. Procedures for Undue Hardship waivers

The agency has established a process under which hardship waivers may be requested that provides for:

- (a) Notice to a recipient subject to a penalty that an undue hardship exception exists;
- (b) A timely process for determining whether an undue hardship waiver will be granted; and
- (c) A process, which is described in the notice, under which an adverse determination can be appealed.

These procedures shall permit the facility in which the institutionalized individual is residing to file an undue hardship waiver application on behalf of the individual with the consent of the individual or the individual's personal representative.

SUPPLEMENT 9(b) to ATTACHMENT 2.6-A Page 7

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

TRANSFER OF ASSETS

11. Bed Hold Waivers For Hardship Applicants

The agency provides that while an application for an undue hardship waiver is pending in the case of an individual who is a resident of a nursing facility:

Payments to the nursing facility to hold the bed for the individual will be made for a period not to exceed $\underline{30}$ days (may not be greater than $\underline{30}$).

Approval Date Dec 18 2006

Effective Date Jul 1 2006

State: Nebraska

The agency does not apply the trust provisions in any case in which the agency determines that such application would work an undue hardship.

The following criteria will be used to determine whether the agency will not count assets transferred because doing so would work an undue hardship:

Criteria would include but are not limited to: whether application of the provisions would deprive the client of medical care such that his/her health or life would be endangered; whether the application of the transfer provisions would deprive a financially dependent family member of food, clothing, or shelter; whether the client or client's representative has made a reasonable effort to recover the assets.

Under the agency's undue hardship provisions, the agency exempts the funds in an irrevocable burial trust.

The maximum value of the exemption for an irrevocable burial trust is \$4,926 (the amount as of the effective date of this approval) which will be increased annually by the percentage change in the Consumer Price Index published by the Federal Bureau of Labor Statistics at the close of the twelve-month period ending on August 31 of such year.

Revision: HCFA-PM-95-1 March 1995

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: <u>Nebraska</u>	
Citation(s)	Condition or Requirement
1902(u) of the Act	COST EFFECTIVENESS METHODOLOGY FOR COBRA CONTINUATION BENEFICIARIES Premium payments are made by the agency only if such payments are likely to be cost-effective. The agency specifies the guidelines used in determining cost effectiveness by selecting one of the following methods:
	The methodology as described in SMM section 3598.
	Another cost-effective methodology as described below.

TN No. <u>MS-91-29</u> Supersedes TN No. <u>(new page)</u>

Approval Date Jan 15 1992

Effective Date Oct 1 1991 HCFA ID: 7985E

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

ELIGIBILITY UNDER SECTION 1931 OF THE ACT

The state	e covers low-income families and children under section 1931 of the Act.
The follo	wing groups were included in the AFDC State Plan effective July 16, 1996.
\boxtimes	Pregnant women with no other eligible children
\boxtimes	AFDC children age 18 who are full-time students in a secondary school or in the equivalent level of vocational or technical training.
	In determining eligibility for Medicaid, the agency uses the AFDC standards and methodologies in effect as of July 16, 1996, without modification.
	In determining eligibility for Medicaid, the agency uses the AFDC standards and methodologies in effect as of July 16, 1996, with the following modifications
	The agency applies lower income standards which are no lower than the AFDC standards in effect on May 1, 1988, as follows:
	NA
	The agency applies higher income standards than those in effect as of July 16, 1996, increase by no more than the percentage increases in the CPI-U since July 16, 1996 as follows:
	NA
	The agency applies higher resource standards than those in effect as of July 16, 1996, increase by no more than the percentage increases in the CPI-U since July 16, 1996 as follows:
	NA

TN No. <u>MS-02-09</u> Supersedes TN No. <u>MS-02-06</u>

Approval Date Mar 27 2003

Effective Date Nov 1 2002

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

ELIGIBILITY UNDER SECTION 1931 OF THE ACT

- The agency uses less restrictive income and/or resource methodologies than those in effect as of July 16, 1996 as follows:
- 1. For purposes of the 185% gross income test, all income in excess of 185% of the Standard of Need will be disregarded, effective 10-1-97.
- 2. When determining resources eligibility an additional \$3,000 for a one person ADC unit and \$5,000 for two or more shall be disregarded to assist families to become self-sufficient effective 7-1-97.
- 3. When determining countable resources, the total value of one car used for employment or medical transportation will be disregarded effective 7-1-97.
- 4. When determining countable resources, the cash value of Life insurance policies will be disregarded effective 7-1-97.
- 5. When determining available income up to \$10 interest income per month, per source, per individual, will be disregarded effective 7-1-97.
- 6. When determining available income, disregard any grant, scholarship, or work study to a student of any age effective 7-1-97.
- 7. Lump sums are considered resources in the month of receipt or report and resources thereafter, with the exception of the benefit payments listed below which are disregarded for six months and counted as resources thereafter, unless it is to the client's benefit to treat these payments under the previous methodologies. The benefit payments are: Black Lung; Civil Service Pension; Disability Benefits Employer/Insurance; Retirement Pension Employment; Military Retirement; Railroad Retirement; Social Security; Veterans Pension/Compensation; and Workers Compensation.

Approval Date Mar 27 2003 Effective Date Nov 1 2002

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

ELIGIBILITY UNDER SECTION 1931 OF THE ACT

- 8. For Grandparent deeming, subtract 300% of the Federal Poverty Level for the family size before deeming income to the minor parent effective 7-1-97.
- 9. Earnings of children working are disregarding effective 7-1-97.
- 10. Effective July 1, 2003, disregard earned income as follows: the first 20% of gross earnings, child care as billed or paid up to earned income, and earned income equal to the following for the corresponding family size:

Family Size	Earned Income Disregarded
1	\$179
2	201
3	223
4	245
5	267
6	289
7	311
8	262
9	355
10	377

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

ELIGIBILITY UNDER SECTION 1931 OF THE ACT

The income and/or resource methodologies that the less restrictive methodologies replace are as follows:

NA

- The agency terminates medical assistance (except for certain pregnant women and children) for individuals who fail to meet TANF work requirements.
- ☐ The agency continues to apply the following waivers of provisions of Part A of Title IV in effect as of July 16, 1996, or submitted prior to August 22, 1996 and approved by the Secretary on or before July 1, 1997.

Approval Date Mar 27 2003

Effective Date Nov 1 2002

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

ELIGIBILITY UNDER SECTION 1931 OF THE ACT

The agency uses less restrictive income and/or resource methodologies than those in effect as of July 16, 1996, as follows:

- All otherwise countable income deposited in an IDA account funded under the Assets for Independence Act is excluded from income.
- All interest earned on an IDA account funded under the Assets for Independence Act is excluded from income.
- All funds in IDA accounts funded under the Assets for Independence Act are excluded from resources.
- All otherwise countable income deposited in an IDA account funded under Section 404 of the Social Security Act is excluded from income

Approval Date Mar 27 2003 Effective

Effective Date Nov 1 2002

Supplement 12 to Attachment 2.6-A ADDENDUM

Family Size	Additional income disregard as a percent of FPL for family of the applicable size
1	133%
2	138%
3	140%
4	142%
5	143%
6	144%
7	145%
8	145%
9	146%
10	146%

Child care shall be disregarded from earnings.

For those families with income above 100% of FPL the State shall charge a premium that equal to 3% of the families gross income. The premium shall be administered in accordance with Section 1925(5) of the Social Security Act as in effect September 2002.

Approval Date Dec 30 2002

Effective Date <u>Jul 1 2002</u>

STATE: <u>Nebraska</u>

SECTION 1924 PROVISIONS

- A. Income and resource eligibility policies used to determine eligibility for institutionalized individuals who have spouses living in the community are consistent with Section 1924 of the Act.
- B. In the determination of resource eligibility, the State resource standard is \$<u>15,804</u>.
- C. The definition of undue hardship for purposes of determining if institutionalized spouses receive Medicaid in spite of having excess countable resources is described below:

Imminent eviction from the institution.

Approval Date Apr 28 1997

Effective Date Jan 1 1997

State: Nebraska

METHODS AND STANDARDS FOR ESTABLISHING PAYMENT RATES QUALIFIED DISABLED AND WORKING INDIVIDUALS

The income standard for Qualified Disabled and Working Individuals (QDWI's) is 200 percent of the official federal poverty line as defined by the Executive Office of Management and Budget.

The resource standard for QDWI's is \$4,000 for an individual and \$6,000 for a married couple.

The same income disregards and resource exclusions that apply to other categorically needy groups apply to QDWI's.

A QDWI must also meet the federal non-financial eligibility requirements for medical assistance, such as the filing of an application for Medicaid, obtaining a Social Security number, citizenship, residency, and assignment of rights.

Approval Date Oct 4 1990

Effective Date <u>Jul 1 1990</u>

State: <u>Nebraska</u>

VARIATIONS FROM THE BASIC PERSONAL NEEDS ALLOWANCE

An individual considered incompetent to handle his/her own affairs is allowed an additional \$10 per month for guardianship/conservator fees and additional amount as approved by the court for annual accounting and bonding fees.

For an individual in an ICF-MR (ICF-ID) who participates in a sheltered workshop, an additional amount up to \$65 plus one-half of the remainder of earned income may be retained.

Revision:

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

ASSET VERIFICATION SYSTEM

 The agency will provide for the verification of assets for purposes of determining or re-determining Medicaid eligibility for aged, blind and disabled Medicaid applicants and recipients using an Asset Verification System (AVS) that meets the following minimum requirements.

- A. The request and response system must be electronic:
 - (1) Verification inquiries must be sent electronically via the internet or similar means from the agency to the financial institution (FI).
 - (2) The system cannot be based on mailing paper-based requests.
 - (3) The system must have the capability to accept responses electronically.
- B. The system must be secure, based on a recognized industry standard of security (e.g., as defined by the U.S. Commerce Department's National Institute of Standards and Technology, or NIST).
- C. The system must establish and maintain a database of FIs that participate in the agency's AVS.
- D. Verification requests also must be sent to FIs other than those identified by applicants and recipients, based on some logic such as geographic proximity to the applicant's home address, or other reasonable factors whenever the agency determines that such requests are needed to determine or redetermine the individual's eligibility.
- E. The verification requests must include a request for information on both open and closed accounts, going back up to 5 years as determined by the State.

State: Nebraska

ASSET VERIFICATION SYSTEM

- 2. System Development
 - _____ A. The agency itself will develop an AVS.

In 3 below, provide any additional information the agency wants to include.

B. The agency will hire a contractor to develop an AVS.

In 3 below provide any additional information the agency wants to include.

<u>X</u> C. The agency will be joining a consortium to develop an AVS.

In 3 below, identify the States participating in the consortium. Also, provide any other information the agency wants to include pertaining to how the consortium will implement the AVS requirements.

D. The agency already has a system in place that meets the requirements for an acceptable AVS.

In 3 below, describe how the existing system meets the requirements in Section 1.

E. Other alternative not included in A. – D. above.

In 3 below, describe this alternative approach and how it will meet the requirements in Section 1.

Approval Date March 12, 2018 Effective Date May 31, 2018

Revision:

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

ASSET VERIFICATION SYSTEM

3. Provide the AVS implementation information requested for the implementation approach checked in Section 2, and any other information the agency may want to include.

Nebraska Medicaid is preparing to take part in a multi-state consortium, and enter into a contract with the New England States Consortium System Organization (NESCSO), to meet the Federal requirements in implementing an Asset Verification System (AVS). The intention of States in the consortium, is to ensure the AVS module and its different components have well documented system interfaces, providing the flexibility to connect with eligibility systems at different levels. Advantages include minimized procurement costs, purchasing leverage when negotiating with other states, collaboration across states for identifying business and technical requirements, and cost-shared customization.

Nebraska Asset Verification System Timeline

Task	Estimated Completion Date
Obtain sample RFPs from other states	Completed
Develop list of potential bidders	Completed
Discussion with NESCSO of AVS multi-state procurement	Completed
Received MOU draft from NESCSO and sample	Completed
procurement	
Review of NESCSO RFP	Completed
NESCSO releases RFP	Completed
NESCSO finalizes vendor contract	Completed
Initialize meeting with NESCSO for pricing and contract	Completed
information	
Complete contract process	4/30/18
Vendor start date	5/31/18
Complete implementation process	7/1/18
System go live	8/1/18

Approval Date March 12, 2018 Effective Date May 31, 2018

State: NEBRASKA

DISQUALIFICATION FOR LONG-TERM CARE ASSISTANCE FOR INDIVIDUALS WITH SUBSTANTIAL HOME EQUITY

- 1917(f) The State agency denies reimbursement for nursing facility services and other long-term care services covered under the State plan for an individual who does not have a spouse, child under 21 or adult disabled child residing in the individual's home, when the individual's equity interest in the home exceeds the following amount:
 - Solution Solution
 - An amount that exceeds \$500,000 but does not exceed \$750,000 (increased by the annual percentage increase in the urban component of the consumer price index beginning with 2011, rounded to the nearest \$1,000).

The amount chosen by the State is _____

- This higher standard does not apply statewide. It only applies in the following areas of the State:
- This higher standard applies to all eligibility groups.
- This higher standard only applies to the following eligibility groups:

The State has a process under which this limitation will be waived in cases of undue hardship.

TN No.	MS-06-05
Superse	edes
TN No.	(new page)

Approval Date Dec 18 2006

Effective Date Jan 1 2006

State Plan Under Title XIX of the Social Security Act

State: _____

METHODOLOGY FOR IDENTIFICATION OF APPLICABLE FMAP RATES

The State will determine the appropriate FMAP rate for expenditures for individuals enrolled in the adult group described in 42 CFR 435.119 and receiving benefits in accordance with 42 CFR Part 440 Subpart C. The adult group FMAP methodology consists of two parts: an individual-based determination related to enrolled individuals, and as applicable, appropriate population-based adjustments.

Part 1 – Adult Group Individual Income-Based Determinations

For individuals eligible in the adult group, the state will make an individual income-based determination for purposes of the adult group FMAP methodology by comparing individual income to the relevant converted income eligibility standards in effect on December 1, 2009, and included in the MAGI Conversion Plan (Part 2) approved by CMS on ________. In general, and subject to any adjustments described in this SPA, under the adult group FMAP methodology, the expenditures of individuals with incomes below the relevant converted income standards for the applicable subgroup are considered as those for which the newly eligible FMAP is not available. The relevant MAGI-converted standards for each population group in the new adult group are described in Table 1.

Effective Date –____

Childless Adults Children Age 19 or 20 institutionalized institutionalized **Disabled Persons, non-**Relatives Parents/Caretaker **Disabled Persons**, **Population Group** ⊳ **Covered Populations Within New Adult Group** If a population group was not covered as of 12/1/09, enter "Not covered". • • For each population group, indicate the lower of: **Relevant Population Group Income Standard** 133% FPL. appropriate cross-reference, or 2) to the relevant income standard and the The reference in the MAGI Conversion Plan (Part σ additional information in corresponding attachments. the population adjustment will apply to each population group. Provide Enter "Y" (Yes), "N" (No), or "NA" in the appropriate column to indicate if Resource Proxy C **Applicable Population Adjustment** Enrollment Cap σ Circumstances Special m Adjustments Other П

Table 1: Adult Group Eligibility Standards and FMAP Methodology Features

Approval Date – <u>MAR 10 2020</u> Effective Date –

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Part 2 – Population-based Adjustments to the Newly Eligible Population Based on Resource Test, Enrollment Cap or Special Circumstances

- A. Optional Resource Criteria Proxy Adjustment (42 CFR 433.206(d))
 - 1. The state:
 - □ Applies a resource proxy adjustment to a population group(s) that was subject to a resource test that was applicable on December 1, 2009.
 - Does <u>NOT</u> apply a resource proxy adjustment (Skip items 2 through 3 and go to Section B).

Table 1 indicates the group or groups for which the state applies a resource proxy adjustment to the expenditures applicable for individuals eligible and enrolled under 42 CFR 435.119. A resource proxy adjustment is only permitted for a population group(s) that was subject to a resource test that was applicable on December 1, 2009.

The effective date(s) for application of the resource proxy adjustment is specified and described in Attachment B.

2. Data source used for resource proxy adjustments:

The state:

- □ Applies existing state data from periods before January 1, 2014.
- □ Applies data obtained through a post-eligibility statistically valid sample of individuals.

Data used in resource proxy adjustments is described in Attachment B.

3. Resource Proxy Methodology: Attachment B describes the sampling approach or other methodology used for calculating the adjustment.

B. Enrollment Cap Adjustment (42 CFR 433.206(e))

- 1. \Box An enrollment cap adjustment is applied by the state (complete items 2 through 4).
 - □ An enrollment cap adjustment is not applied by the state (skip items 2 through 4 and go to Section C).

3

TN –____

Approval Date – MAR 10 2020

Effective Date –____

- 2. Attachment C describes any enrollment caps authorized in section 1115 demonstrations as of December 1, 2009 that are applicable to populations that the state covers in the eligibility group described at 42 CFR 435.119 and received full benefits, benchmark benefits, or benchmark equivalent benefits as determined by CMS. The enrollment cap or caps are as specified in the applicable section 1115 demonstration special terms and conditions as confirmed by CMS, or in alternative authorized cap or caps as confirmed by CMS. Attach CMS correspondence confirming the applicable enrollment cap(s).
- 3. The state applies a combined enrollment cap adjustment for purposes of claiming FMAP in the adult group:
 - $\hfill \Box$ Yes. The combined enrollment cap adjustment is described in Attachment C
 - □ No.
- 4. Enrollment Cap Methodology: Attachment C describes the methodology for calculating the enrollment cap adjustment, including the use of combined enrollment caps, if applicable.

B. Special Circumstances (42 CFR 433.206(g)) and Other Adjustments to the Adult Group FMAP Methodology

- 1. The state:
 - Applies a special circumstances adjustment(s).
 - Does <u>not</u> apply a special circumstances adjustment.
- 2. The state:
 - Applies additional adjustment(s) to the adult group FMAP methodology (complete item 3).
 - Does <u>not</u> apply any additional adjustment(s) to the adult group FMAP methodology (skip item 3 and got to Part 3).
- 3. Attachment D describes the special circumstances and other proxy adjustment(s) that are applied, including the population groups to which the adjustments apply and the methodology for calculating the adjustments.

Approval Date – 12/8/2023

Effective Date – 1/1/2024

TN – ^{NE} 24-0006 TN <u>NE 19-0003</u>

Part 3 – One-Time Transitions of Previously Covered Populations into the New Adult Group

A. Transitioning Previous Section 1115 and State Plan Populations to the New Adult Group

- □ Individuals previously eligible for Medicaid coverage through a section 1115 demonstration program or a mandatory or optional state plan eligibility category will be transitioned to the new adult group described in 42 CFR 435.119 in accordance with a CMS-approved transition plan and/or a section 1902(e)(14)(A) waiver. For purposes of claiming federal funding at the appropriate FMAP for the populations transitioned to new adult group, the adult group FMAP methodology is applied pursuant to and as described in Attachment E, and where applicable, is subject to any special circumstances or other adjustments described in Attachment D.
- $\hfill\square$ The state does not have any relevant populations requiring such transitions.

Part 4 - Applicability of Special FMAP Rates

A. Expansion State Designation

The state:

- Does <u>NOT</u> meet the definition of expansion state in 42 CFR 433.204(b). (Skip section B and go to Part 5)
- □ Meets the definition of expansion state as defined in 42 CFR 433.204(b), determined in accordance with the CMS letter confirming expansion state status, dated ______.

B. Qualification for Temporary 2.2 Percentage Point Increase in FMAP.

The state:

- □ Does <u>NOT</u> qualify for temporary 2.2 percentage point increase in FMAP under 42 CFR 433.10(c)(7).
- □ Qualifies for temporary 2.2 percentage point increase in FMAP under 42 CFR 433.10(c)(7), determined in accordance with the CMS letter confirming eligibility for the temporary FMAP increase, dated _______. The state will not claim any federal funding for individuals determined eligible under 42 CFR 435.119 at the FMAP rate described in 42 CFR 433.10(c)(6).

Approval Date – MAR 10 2020

Effective Date –_____

Part 5 - State Attestations

The State attests to the following:

- A. The application of the adult group FMAP methodology will not affect the timing or approval of any individual's eligibility for Medicaid.
- B. The application of the adult group FMAP methodology will not be biased in such a manner as to inappropriately establish the numbers of, or medical assistance expenditures for, individuals determined to be newly or not newly eligible.

ATTACHMENTS

Not all of the attachments indicated below will apply to all states; some attachments may describe methodologies for multiple population groups within the new adult group. Indicate those of the following attachments which are included with this SPA:

- 🗷 Attachment A Conversion Plan Standards Referenced in Table 1
- □ Attachment B Resource Criteria Proxy Methodology
- □ Attachment C Enrollment Cap Methodology
- Attachment D Special Circumstances Adjustment and Other Adjustments to the Adult Group FMAP Methodology
- ☑ Attachment E Transition Methodologies

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 4 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

TN – NE 24-0006 TN NE 19-0003

Approval Date – 12/8/2023

Effective Date – 1/1/2024

Most Recent Updated Summary Information for Part 2 of the Modified Adjusted Gross Income (MAGI) Conversion Plan**

Table 1

Part 2 of MAGI Conversion Plan Using State Data

		used? (Yes/No)	selected	(Yes/No)	Standard	income band used in conversion*	Converted Standard
	A	В	U	٥	ш	ш	U
Conversions fo	Conversions for FMAP Claiming						
1	Parents/Caretaker	<u>No</u>	2009-2010	No	% FPL	% FPL	% FPL
K E K A F A	Kelatives (Expand number of rows for family size as needed for larger family size standards defined by the state) defined by the state)				or Fixed dollar standards Family size 1_\$485 2_597 3_710 4_823 5_935 6_1,048 7_1,162 Add-on for additional family members if relevant_\$113_	or Fixed dollar standards Family size 1 2 3 3 4 5 6 6 6 6 6 7 7 Add-on for additional family members if relevant	or Fixed dollar standards Family size 1_\$555 2_692 3_829 4_967 5_1,102 6_1,240 7_1,378 Add-on for additional family members if relevant_\$137

	Population Group	SIPP results used? (Yes/No)	Time Period selected	Sampling (Yes/No)	Net Income Standard	Income band used in conversion*	Converted Standard
	A	ß	U	٥	ш	Ŀ	σ
2	Non-institutionalized disabled adults	<u>8</u>	<u> 2009 - 2010</u>	N	100% FPL	76% FPL to 100% FPL	102% FPL
					% SSI FBR	% SSI FBR	% SSI FBR
					l J	<u>ס</u>	ы
					Dollar Standards	Dollar Standards	Dollar Standards
					Single	Single	Single
					Couple	Couple	Couple
							Conversion based on:
							Average disregard Median disregard

Converted Standard	σ	102% FPL	% SSI FBR		or	Dollar Standards	Single	Couple
Income band used in conversion*	Ŀ							
Net Income Standard	ш							
Sampling (Yes/No)	٥							
Time Period selected	J							
SIPP results used? (Yes/No)	ß	<u>8</u>						
Population Group	A	Institutionalized disabled adults	(Institutionalized	reters to Nursing Home or Nursing	Facility services)	(This is a gross	income category: Till in column G only)	
		m						

	Population Group	SIPP results used? (Yes/No)	Time Period selected	Sampling (Yes/No)	Net Income Standard	Income band used in conversion*	Converted Standard
	A	В	U	٥	ш	Ľ	IJ
4	Children age 19 and/or 20 Specify age limit as of 12/1/09 (19 or 20):	<u>N/N</u>			% FPL or or or Fixed dollar standards Family size 122222	% FPL	% FPLorororororororstandards standards Family size 13ddddddd
ъ	Childless Adults	<u>N/A</u>			% FPL	% FPL	% FPL

*Alternative method states: only fill out column F if applicable.

**The numbers in this summary chart will be updated automatically in the case of modification in the CMS approved MAGI Conversion Plan.

State: Nebraska

Attachment D to Supplement 18 to Attachment 2.6A

Special Circumstances Adjustment and Other Adjustments to the Adult Group FMAP Methodology

Effective January 1, 2024, Nebraska elects the extended postpartum option, which extends postpartum coverage from 60 days to 12 months, under Sections 9812 and 9822 of the American Rescue Plan Act of 2021. The new extended postpartum coverage provides ongoing care that will reduce pregnancy related deaths and severe maternal morbidity and will improve continuity of care for chronic health conditions.

Nebraska proposes to use proxy methodology to account for the proportion of individuals covered under the extended postpartum coverage option who would otherwise be newly eligible for coverage in the Adult Group (42 CFR 435.119) and for the newly eligible federal medical assistance percentage (FMAP) under section 1905(y) of the Act, if the state completed a redetermination at the end of the original 60-day postpartum period.

Prior to Nebraska adding adult expansion, postpartum individuals, specifically those who received coverage under the state's eligibility category for pregnancy related individuals (42 CFR 435.116), with income equal to or less than 138% of the federal poverty level (FPL) (133% FPL plus the 5% disregard), but above the parent caretaker income limit (58% FPL), who were between the ages of nineteen and sixty-five years old, no longer pregnant, not disabled, and not enrolled in Medicare Part A or Part B, would have been determined ineligible after receiving 60 days of postpartum coverage. After October 1, 2020, these individuals were eligible for the Adult Group and for the newly eligible FMAP under section 1905(y) of the Social Security Act and once moved to the adult coverage group, Nebraska would have received enhanced FMAP for these individuals. Nebraska provides coverage to individuals in the Adult Group (42 CFR 435.119) up to 133% FPL (plus the 5% disregard). Nebraska provides coverage to pregnant individuals at the same amount, duration, and scope as those covered in the Adult Group, as described in Attachment 3.1L of the State's Alternative Benefit Plan.

Denominator: Based on 2019 calendar year data (pre-Medicaid Expansion for Nebraska), in Nebraska, 5,207 individuals were enrolled in a pregnancy-related group while pregnant and maintained coverage in this group through the 60-day postpartum period. Nebraska provides coverage to pregnant individuals with income between 0% and 199% FPL (5% disregard included), but only individuals with income up to 194% FPL, plus the 5% disregard, who meet no other full benefit coverage group (e.g., parent caretaker) are enrolled in the group for pregnancy related individuals (42 CFR 435.116), where pregnancy is a factor of eligibility. The total denominator is 5,207 individuals receiving postpartum care under the state's eligibility category for pregnancy-related individuals annually.

<u>Numerator</u>: Out of those 5,207 individuals, 2,412 individuals would either qualify for a non-adult coverage group, with income at or below 58% FPL or be above the income threshold for any non-adult coverage group full scope benefit, with income above 138% FPL. The remining 2,803 individuals, with income above 58% FPL but equal to or less than 138% FPL, between the ages of nineteen and sixty-five years old, not disabled, and not enrolled in Medicare Part A or Part B, would have moved to the adult coverage group, and received the remaining 10 months of postpartum coverage under that group at the same amount, duration, and scope as those covered under the Adult Group. Nebraska redetermines eligibility annually and therefore assumes the coverage would be for the entire additional 10-month period.

Proxy Percentage: Nebraska estimates that 53.8% (2,803 of remaining individuals with income above 58% but equal to or less than 138% FPL/5,207 individuals enrolled in a pregnancy-related group) of postpartum individuals would be otherwise eligible for coverage in the adult group and for the newly eligible FMAP after the original 60-day postpartum period, but for the state's election of the extended postpartum coverage option.

Attachment E: Transition Methodologies

Under the current Medicaid State Plan, Nebraska covers parents and caretaker relatives whose income is equal to or less than 58% of the Federal Poverty Level (FPL), and who are living with a dependent child. At this time, Nebraska applies the 5% income disregard to determinations for individuals whose income is above 58% of the FPL. Parents and caretaker relatives who currently meet income eligibility due to application of the 5% income disregard, and who are eligible in the adult group, will be transitioned into the adult group. Transition of this group will be handled administratively and will be effective with the implementation of the adult group.

Individuals aged 19 through 64 are also covered in Nebraska under certain Medically Needy groups. Individuals in these categories that meet income and eligibility criteria for the adult group will be transitioned into this group. This transition will be handled administratively, and will require information gathering by eligibility staff to ensure verifications needed for a MAGI determination are obtained. Information gathering will begin within three months of the planned implementation date to allow transition of these individuals effective with this date.

Revis	sion: HCFA-PM-91 1991	(BPD)		Attachment 3.1-A Page 1 OMB No.: 0938
State	: Nebraska			
		I AND SCOPE OF MEDICAI PROVIDED TO THE CATEC		
1.	Inpatient hospital services ot	her than those provided in a	n institu	tion for mental diseases.
	Provided:	No limitations	\boxtimes	With limitations*
2. a.	Outpatient hospital services.			
	Provided:	No limitations	\boxtimes	With limitations*
b.	Rural health clinic services a (which are otherwise include		s furnisł	ned by a rural health clinic
	Provided:	No limitations	\bowtie	With limitations*
	Not provided.			
C.	Federally qualified health cer covered under the plan and the Medicaid Manual (HCFA-Pul	furnished by an FQHC in acc		
	Provided:	No limitations	\bowtie	With limitations*
3.	Other laboratory and x-ray se	ervices.		
	Provided:	No limitations	\boxtimes	With limitations*

* Description provided on attachment

Approval Date Mar 16 2001

Revision: HCFA-PM-93-5 (MB) May 1993 Attachment 3.1-A Page 2 OMB No.:

State: Nebraska

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older.

Provided: 🗌 No limitations 🛛 With limitations*

Nursing facility (NF) services are available to eligible individuals in accordance with 42 CFR 440.40 and 440.155.

Specialized add-on services are available to certain individuals residing in a Medicaid-certified nursing facility. Specialized add-on services are paid as add-on services to the provider of the specialized add-on service in accordance with Attachment 4.19-D, page 33. Services will not be paid as specialized add-on services if the services are included in the nursing facility's per diem rate or covered under other sections of the State Plan.

Specialized add-on services are services which result in a continuous, aggressive individualized plan of care and recommended and monitored by the individual's interdisciplinary team (IDT). Specialized add-on services include habilitative services and are not provided by the nursing facility. Habilitative services are medically necessary services intended to assist the individual in obtaining, maintaining, or improving developmental-age appropriate skills not fully acquired as a result of congenital, genetic, or early acquired health condition.

Specialized add-on services are provided only when prior authorized, recommended by the individual's IDT and are included in the individual's plan of care. The IDT includes but is not limited to the attending physician, a RN and nurse aide with responsibility for the individual, a member of the food and nutrition services staff, to the extent possible the individual and the individual's representative(s), and other appropriate staff or professionals in disciplines as determined by the individual's needs or as requested by the individual.

Specialized add-on services must meet professional standards of quality and be provided by qualified persons in accordance with each individual's written plan of care.

Specialized add-on services, limitations, and the providers who may furnish the services are as follows:

TN No. <u>NE 18-0001</u> Supersedes TN No. <u>NE 11-32</u>

Approval Date SEP 04 2018

Revision:	HCFA-PM-93-5	(MB)
	May 1993	

Attachment 3.1-A Page 2a OMB No.:

State: Nebraska AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older.
- I. Habilitative Skills
 - A. Habilitative Skills supports individuals to acquire new skills and/or increase skills in the areas of hygiene, self-advocacy, activities of daily living and communication. Habilitative skills can occur on-site (at the nursing facility) but may be expanded to also occur in the community such as grocery stores, financial institutions, movie theatres, recreational centers/events, and social activities so the individual learns these skills in a variety of settings. Services are expected to include both formal training (goal oriented and measureable) and opportunities to practice the skills in various settings.

Habilitative Skills services consist of:

- Identification of skill needs requiring training with regard to individual rights and due process, advocating for their own needs, desires, future life goals and participation in the development of their plan of care, communication skills, personal hygiene skills, dressing skills, laundry skills, bathing skills, and toileting skills;
- 2. Development and implementation of formal training goals related to identified skill needs; and
- 3. Monitor and revise goals according to the individual's response to training.

This service is provided with a staff to individual ratio of 1:1.

This service is provided to individuals in order to meet the goals and outcome measurements as outlined in the individual's plan of care per 42 CFR §483.120 and 42 CFR §483.21.

- B. Limitations
 - 1. Transportation is not included in the reimbursement rates. Transportation services can be billed separately for off-site habilitative skills only and is limited to travel to and from the habilitative service. The individual must be present in the vehicle.
 - 2. This service can be authorized in combination with but cannot be provided during the same time period as Habilitative Community Inclusion.

TN No. <u>NE 18-0001</u>		
Supersedes	Approval Date SEP 04 2018	Effective Date JUL 01 2018
TN No. <u>NE 11-32</u>		HCFA ID: 7986E

Revision:	HCFA-PM-93-5	(MB)
	May 1993	

Attachment 3.1-A Page 2b OMB No.:

State: Nebraska AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older.
 - 3. This service shall exclude any services available through public education programs funded under the Individuals with Disabilities Education Act (IDEA). This includes services not otherwise available through public education programs in the individual's local school district, including after school supervision and daytime services when school is not in session (i.e., summer breaks and/or scheduled school holidays, inservice days, etc.). Services cannot be provided during the school hours set by the local school district for the individual. Regular school hours and days apply for a child who receives home schooling.
 - C. Provider requirements: Any person providing specialized add-on services for the individual (as an independent provider or as an employee of an agency provider) must comply with the following requirements:
 - 1. Be legally authorized to work in the United States;
 - 2. Not be a family member or legal guardian of the individual;
 - 3. Not be an employee of the Nebraska Department of Health and Human Services (DHHS);
 - 4. Be at least 19 years of age;
 - 5. Meet the following educational and/or work experience requirements:
 - a. Have a bachelor's or advanced degree from an accredited college or university in one of the following areas: social, behavioral, or human services, such as psychology, sociology, social work, medicine, nursing, rehabilitation, counseling, human development, gerontology, educational psychology, education, or criminal justice; and
 - b. At least one year of direct care experience with intellectually disabled individuals; OR
 - c. In lieu of a bachelor's/advanced degree, a minimum of three years direct care experience with intellectually disabled individuals;
 - 6. Willing and qualified habilitation providers who are enrolled in Medicaid may provide this service.
- II. Employment Assistance
 - A. Employment Assistance supports the individual through habilitative training to obtain gainful employment in their community. The goal is to provide the skills, tools, and supports to enable the individual to seek and obtain employment.

TN No. NE 18-0001SupersedesApproval Date SEP 04 2018TN No. New Page

Effective Date <u>JUL 01 2018</u>

Revision: HCFA-PM-93-5 (MB) May 1993 Attachment 3.1-A Page 2c OMB No.:

State: Nebraska AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older.

Employment Assistance services consist of:

- 1. Identification of the individual's job preferences and skill needs;
- 2. Identification of available employment opportunities in their community;
- 3. Development and implementation of formal training goals related to the individual's employment needs including application for employment, job readiness and preparation skills and appropriate work behavior;
- 4. Monitor and revise goals according to the individual's response to training.

This service is provided with a staff to individual ratio of 1:1 and may be provided at the nursing facility or in the community.

This service is provided to individuals in order to meet the goals and outcome measurements as outlined in the individual's plan of care per 42 CFR §483.120 and 42 CFR §483.21.

- B. Limitations
 - 1. The individual's service hours are determined by the assistance needed to reach employment goals.
 - 2. This service can be authorized in combination with but cannot be provided during the same time period as Employment Support.
 - 3. Transportation is not included in the reimbursement rate and must be billed separately and is limited to travel to and from the habilitative service. The individual must be present in the vehicle.
 - 4. This service shall exclude any services available through public education programs funded under the Individuals with Disabilities Education Act (IDEA). This includes services not otherwise available through public education programs in the individual's local school district, including after school supervision and daytime services when school is not in session (i.e., summer breaks and/or scheduled school holidays, inservice days, etc.). Services cannot be provided during the school hours set by the local school district for the individual. Regular school hours and days apply for a child who receives home schooling.
 - 5. No employment assistance or support services are available to a resident of a nursing facility through a program funded by the Rehabilitation Act of 1973 in Nebraska.
- C. Provider requirements: Any person providing specialized add-on services for the individual (as an independent provider or as an employee of an agency provider) must comply with the following requirements:

Revision:	HCFA-PM-93-5	(MB)
	May 1993	

Attachment 3.1-A Page 2d OMB No.:

State: Nebraska AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older.
 - 1. Be legally authorized to work in the United States;
 - 2. Not be a family member or legal guardian of the individual;
 - 3. Not be an employee of the Nebraska Department of Health and Human Services (DHHS);
 - 4. Be at least 19 years of age;
 - 5. Meet the following educational and/or work experience requirements:
 - a. Have a bachelor's or advanced degree from an accredited college or university in one of the following areas: social, behavioral, or human services, such as psychology, sociology, social work, medicine, nursing, rehabilitation, counseling, human development, gerontology, educational psychology, education, or criminal justice; and
 - b. At least one year of direct care experience with intellectually disabled individuals; OR
 - c. In lieu of a bachelor's/advanced degree, a minimum of three years direct care experience with intellectually disabled individuals;
 - 6. Willing and qualified habilitation providers who are enrolled in Medicaid may provide this service.
- III. Employment Support
 - A. Employment Support supports the individual through habilitative training to maintain integrated and gainful employment after the individual has secured employment. The goal is to provide the skills, tools, and supports necessary for the individual to maintain employment.

Employment Support services consist of:

- 1. Teaching appropriate work behavior related to punctuality, attendance and co-worker relationships;
- 2. Providing training and support for the individual to develop time management skills;
- 3. Providing training and monitoring in order for the individual to learn the job tasks necessary to maintain employment;
- 4. Providing social skills training in relation to the work environment; and
- 5. Monitoring and revising goals according to the individual's response to training.

Attachment 3.1-A Page 2e OMB No.:

State: Nebraska

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older. (Continued)

This service is provided with a staff to individual ratio of up to 1:4 and must be provided in the community.

This service is provided to individuals in order to meet the goals and outcome measurements as outlined in the individual's plan of care per 42 CFR §483.120 and 42 CFR §483.21.

- B. Limitations
 - 1. Payment for Employment Support excludes the supervisory activities rendered as a normal part of the business setting.
 - 2. This service can be authorized in combination with but cannot be provided during the same time period as Employment Assistance.
 - 3. Transportation is not included in the reimbursement rate and must be billed separately and is limited to travel to and from the habilitative service. The individual must be present in the vehicle.
 - 4. This service shall exclude any services available through public education programs funded under the Individuals with Disabilities Education Act (IDEA). This includes services not otherwise available through public education programs in the individual's local school district, including after school supervision and daytime services when school is not in session (i.e., summer breaks and/or scheduled school holidays, inservice days, etc.). Services cannot be provided during the school hours set by the local school district for the individual. Regular school hours and days apply for a child who receives home schooling.
 - 5. No employment assistance/support services are available to a resident of a nursing facility through a program funded by the Rehabilitation Act of 1973 in Nebraska.
- C. Provider requirements: Any person providing specialized add-on services for the individual (as an independent provider or as an employee of an agency provider) must comply with the following requirements:
 - 1. Be legally authorized to work in the United States;
 - 2. Not be a family member or legal guardian of the individual;
 - 3. Not be an employee of the Nebraska Department of Health and Human Services (DHHS);
 - 4. Be at least 19 years of age;

Attachment 3.1-A Page 2f OMB No.:

State: Nebraska

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older. (Continued)
 - 5. Meet the following educational and/or work experience requirements:
 - a. Have a bachelor's or advanced degree from an accredited college or university in one of the following areas: social, behavioral, or human services, such as psychology, sociology, social work, medicine, nursing, rehabilitation, counseling, human development, gerontology, educational psychology, education, or criminal justice; and
 - b. At least one year of direct care experience with intellectually disabled individuals; OR
 - c. In lieu of a bachelor's/advanced degree, a minimum of three years direct care experience with intellectually disabled individuals (must include one year of experience specific to employment support for individuals with developmental/intellectual disabilities);
 - 6. Willing and qualified habilitation providers who are enrolled in Medicaid may provide this service.
- IV. Habilitative Community Inclusion
 - A. Habilitative Community Inclusion supports individuals to increase independence and inclusion in their community. Habilitative Community Inclusion must occur in the community in a nonresidential setting, separate from the individual's residential living arrangement. Making connections with community members is a strong component of this service provision. Habilitative Community Inclusion must be furnished consistent with the individual's care plan and include options and opportunities for community integration, relationship-building, and an increased presence in one's community.

Habilitative Community Inclusion services consist of:

- 1. Identification of needed skills with regard to access and use of community supports, services and activities;
- 2. Development and implementation of formal training goals related to:
 - a. Community transportation and emergency systems (such as police and fire);
 - b. Accessing and participation in community groups, volunteer organizations, and social settings; and
 - c. Opportunities to pursue social and cultural interests and building and maintaining interpersonal relationships; and

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Attachment 3.1-A Page 2g OMB No.:

State: Nebraska

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older. (Continued)
 - 3. Monitor and revise goals according to the individual's response to training.

This service is provided with a staff to individual ratio of 1:1.

This service is provided to individuals in order to meet the goals and outcome measurements as outlined in the individual's plan of care per 42 CFR §483.120 and 42 CFR §483.21.

- B. Limitations
 - 1. Habilitative Community Inclusion can supplement, but cannot replace, activities that would otherwise be available as part of the NF activities program.
 - 2. Transportation is not included in the reimbursement rate and must be billed separately and is limited to travel to and from the habilitative service. The individual must be present in the vehicle.
 - 3. This service shall exclude any services available through public education programs funded under the Individuals with Disabilities Education Act (IDEA). This includes services not otherwise available through public education programs in the individual's local school district, including after school supervision and daytime services when school is not in session (i.e., summer breaks and/or scheduled school holidays, inservice days, etc.). Services cannot be provided during the school hours set by the local school district for the individual. Regular school hours and days apply for a child who receives home schooling.
- C. Provider requirements: Any person providing specialized add-on services for the individual (as an independent provider or as an employee of an agency provider) must comply with the following requirements:
 - 1. Be legally authorized to work in the United States;
 - 2. Not be a family member or legal guardian of the individual;
 - 3. Not be an employee of the Nebraska Department of Health and Human Services (DHHS);
 - 4. Be at least 19 years of age;

TN No. NE 18-0001SupersedesApproval Date SEP 04 2018TN No. New Page

Effective Date <u>JUL 01 2018</u>

Attachment 3.1-A Page 2h OMB No.:

State: Nebraska

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older. (Continued)
 - 5. Meet the following educational and/or work experience requirements:
 - a. Have a bachelor's or advanced degree from an accredited college or university in one of the following areas: social, behavioral, or human services, such as psychology, sociology, social work, medicine, nursing, rehabilitation, counseling, human development, gerontology, educational psychology, education, or criminal justice; and
 - b. At least one year of direct care experience with intellectually disabled individuals; OR
 - c. In lieu of a bachelor's/advanced degree, a minimum of three years direct care experience with intellectually disabled individuals;
 - 6. Willing and qualified habilitation providers who are enrolled in Medicaid may provide this service.
- IV. Non-Medical Transportation
 - A. Non-medical transportation is provided in order for the individual to participate in specialized add-on services in a community setting.
 - B. Limitations
 - 1. Transportation is limited to travel to and from a habilitative service according to the individual's plan of care.
 - 2. The individual must be present in the vehicle.
 - 3. Purchase or lease of vehicles is not covered under this service.
 - 4. Is a separately billable service for off-site Habilitative Skills, off-site Employment Assistance, Employment Support, and Habilitative Community Inclusion.

Approval Date <u>SEP 04 2018</u>

State: Nebraska

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older. (Continued)
 - C. Provider requirements: Any person providing specialized add-on services for the individual (as an independent provider or as an employee of an agency provider) must comply with the following requirements:
 - 1. Be legally authorized to work in the United States;
 - 2. Have a valid State issued driver's license;
 - 3. Not be a family member or legal guardian of the individual;
 - 4. Not be an employee of the Nebraska Department of Health and Human Services (DHHS);
 - 5. Be at least 19 years of age;
 - 6. Willing and qualified habilitation providers who are enrolled in Medicaid may provide this service.
- V. Specialized add-on services are paid as payments to the provider of the specialized add-on service as described in Attachment 4.19-D, Part 1.

Approval Date <u>SEP 04 2018</u>

Attachment 3.1-A Page 2j OMB No.:

State: Nebraska

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older. (Continued)
 - b. Early and periodic screening, diagnostic and treatment services for individuals under 21 years of age, and treatment of conditions found.*

Provided: No limitations	\boxtimes	With limitations*
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c. Family planning services and supplies for individuals of child-bearing age.

Provided:	No limitations	\boxtimes	With limitations*
(iii) Any other health	care professional legally authoriz	zed to pr	ovide tobacco cessation
services under State	law and who is specifically desig	gnated b	y the Secretary in
regulations. (None ar	e designated at this time; this ite	m is res	erved for future use.)
*describe if there are	any limits on who can provide th	nese cou	inseling services.

- d. 1) Face-to-Face Tobacco Cessation Counseling Services provided (by):
 - (i) By or under supervision of a physician;
 - \bigotimes (ii) By any other health care professional who is legally authorized to furnish such services under State law and who is authorized to provide Medicaid coverable services other than tobacco cessation services; or
 - Face-to-Face Tobacco Cessation counseling Services Benefit Package for Pregnant 2) Women

Provided:	🛛 No limitatio
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With limitations*

*Any benefit package that consists of less than four (4) counseling sessions per quit attempt, with a minimum of two (2) quit attempts per 12 month period (eight (8) per year) should be explained below.

Please describe any limitations:

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Supersedes	Approval Date SEP 04 2018
TN No. <u>New Page</u>	· · · · · · · · · · · · · · · · · · ·

Revision:	HCFA-PM-93-5	(MB)
	May 1993	

Attachment 3.1-A Page 2k OMB No.:

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

5. a. Physicians' services whether furnished in the office, the patient's home, a hospital, a nursing facility or elsewhere.

Provided:	No limitations	\boxtimes	With limitations'
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b. Medical and surgical services furnished by a dentist (in accordance with section 1905(a)(5)(B) of the act).

Provided:	No limitations	\boxtimes	With limitations*
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- 6. Medical care and any other type of remedial care recognized under State law, furnished by licensed practitioners within the scope of their practice as defined by state law.
 - a. Podiatrists' services.

Provided:	
i ioviaca.	

No limitations

With limitations*

* Description provided on attachment

 TN No. NE 18-0001
 Approval Date SEP 04 2018

 Supersedes
 Approval Date SEP 04 2018

 TN No. NE-11-32
 Approval Date SEP 04 2018

Revision:	HCFA-PM-91-4 August 1991	(BPD)		Attachment 3.1-A Page 3 OMB No.: 0938	
State/Territo	ry: <u>Nebraska</u>				
		AND SCOPE OF MEDICA ROVIDED TO THE CATE			
b. Optom	netrists' services.				
	Provided: Not Provided	No limitations	\boxtimes	With limitations*	
c. Chirop	practors' services.				
	Provided: Not Provided	No limitations	\boxtimes	With limitations*	
d. Other	practitioners' services.				
	Provided: Not Provided	Identified on attached limitations.	sheet w	vith description of	
7. Home	health services.				
		ig services provided by a h gency exists in the area.	iome he	alth agency or by a registered	
\boxtimes	Provided:	No limitations	\boxtimes	With limitations*	
b. Home	health aide services pro	ovided by a home health ag	gency.		
\boxtimes	Provided:	No limitations	\boxtimes	With limitations*	
c. Medic	al supplies, equipment,	and appliances suitable for	r use in t	the home.	
\boxtimes	Provided:	No limitations	\boxtimes	With limitations*	
* Description provided on attachment.					

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-91-24</u>

Approval Date Mar 16 2001

Revision:	HCFA-PM-91-4 August 1991	(BPD)	Attachment 3.1-A Page 3a OMB No.: 0938

State/Territory: <u>Nebraska</u>

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- d. Physical therapy, occupational therapy, or speech pathology and audiology services provided by a home health agency or medical rehabilitation facility.
 - Provided:
 No limitations
 With limitations*
- 8. Private duty nursing services.

\boxtimes	Provided:	\Box No limitations \Box	With limitations*
	Not Provided		

* Description provided on attachment.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-93-15</u>

Approval Date Mar 16 2001

Revis		HCFA-PM-85-3 May 1985	(BERC)		Attachment 3.1-A Page 4 OMB No.: 0938-0193
State	/Territory	y: <u>Nebraska</u>			
	А		D SCOPE OF MEDICAL A VIDED TO THE CATEGO		
9.	Clinic s	ervices.			
	\square	Provided: Not Provided	No limitations	\bowtie	With limitations*
10.	Dental	Services.			
	\square	Provided: Not Provided	No limitations	\bowtie	With limitations*
11.	Physica	al therapy and related serv	vices.		
a.	Physica	al therapy			
	\square	Provided: Not Provided	No limitations		With limitations*
b.	Occupa	ational therapy.			
	\square	Provided: Not Provided	No limitations	\boxtimes	With limitations*
C.		es for individuals with spee ervision of a speech path		diso	rders (provided by or under
	\square	Provided: Not Provided	No limitations	\boxtimes	With limitations*

*Description provided on attachment

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-90-14</u>

Approval Date Mar 16 2001

Revision:	HCFA-PM-85-3	(BPD)
	May 1985	

Attachment 3.1-A Page 5 OMB No.: 0938-0193

State/Territory: <u>Nebraska</u>

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 12. Proscribed drugs, dentures, and prosthetic devices; and eyeglasses prescribed by a physician skilled in diseases of the eye or by an optometrist.
 - a. Prescribed drugs.

	\square	Provided: Not Provided	No limitations	With limitations*
b.	Denture	es.		
	\square	Provided: Not Provided	No limitations	With limitations*
C.	Prosthe	tic devices		
	\square	Provided: Not Provided	No limitations	With limitations*
d.	Eyeglas	sses		
	\square	Provided: Not Provided	No limitations	With limitations*

13. Other diagnostic, screening, preventive, and rehabilitative services, i.e., other that those provided elsewhere in the plan.

	Provided:	No limitations	With limitations*
\boxtimes	Not Provided		

*Description provided on attachment

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-85-10</u>

Approval Date Mar 16 2001

Effective Date Jul 1 2000 HCFA ID: 0069P/0002P

Revis	sion:	HCFA-PM-85-3 May 1985	(BERC)		Attachment 3.1-A Page 6 OMB No.: 0938
State	/Territor	y: <u>Nebraska</u>			
	Þ		D SCOPE OF MEDICAL A VIDED TO THE CATEGOI		
b.	Screen	ing services			
	\square	Provided: Not Provided	No limitations		With limitations*
C.	Preven	tive services			
	\square	Provided: Not Provided	No limitations		With limitations*
d.	Rehabi	litative services			
	\square	Provided: Not Provided	No limitations	\boxtimes	With limitations*
14.	Service	es for individual age 65 or	older in institutions for men	tal di	seases.
a.	Inpatie	nt hospital services.			
	\square	Provided: Not Provided	No limitations	\boxtimes	With limitations*
b.	Skilled	nursing facility services			
	\square	Provided: Not Provided	No limitations	\boxtimes	With limitations*
C.	Interme	ediate care facility services	5		
	\square	Provided: Not Provided	No limitations	\boxtimes	With limitations*

*Description provided on attachment

Approval Date Mar 16 2001

Effective Date <u>Jul 1 2000</u> HCFA ID: 0069P/0002P Revision: HCFA-PM-86-20 (BERC) SEPTEMBER 1986 ATTACHMENT 3.1-A Page 7

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 15. a. Intermediate care facility services (other than such services in an institution for mental diseases) for persons determined in accordance with section 1902(a)(31)(A) of the Act, to be in need of such care.
 - X Provided ____ No limitations
 - X With limitations* Not Provided:
 - b. Including such services in a public institution (or distinct part thereof) for the mentally retarded or persons with related conditions.
 - X Provided ____ No limitations
 - <u>X</u> With limitations* <u>Not Provided:</u>
- 16. Inpatient psychiatric facility services for individuals under 22 years of age.
 - <u>X</u> Provided <u>X</u> No limitations With limitations*
 - ___ Not Provided:
- 17. Nurse-midwife services
 - <u>X</u> Provided <u>No limitations</u> <u>X</u> With limitations*
 - ___ Not Provided:
- 18. Hospice care (in accordance with section 1905(o) of the Act).
 - <u>X</u> Provided <u>No limitations</u> <u>X</u> Provided in accordance with section 2302 of the Affordable Care Act
 - <u>X</u> With limitations* <u>Not Provided</u>:

*Description provided on attachment

TN No. <u>NE 11-14</u>

Supersedes TN No. <u>11-10</u> Approval Date <u>DEC 21 2011</u> Effective Date <u>JUL 01 2011</u>

Revision: HCFA-PM-94-7 September 1994 (MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 19. Case management services and Tuberculosis related services
 - a. Case management services as defined in, and to the group specified in, Supplement 1 to ATTACTMENT 3.1-A (in accordance witH section 1905(a)(19) or section 1915(g) of the Act.)

\triangleleft	Provided:
	Not Provid

With limitations*

b. Special tuberculosis (TB) related services under section 1902(z)(2)(F) of the Act.

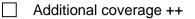
	Provided:						
$\overline{\mathbf{X}}$	Not Provided						

With limitations*

20. Extended services for pregnant women

Provided

a. Pregnancy-related and postpartum services for a 60-day period after the pregnancy ends and any remaining days in the month in which the 60th day falls.



- b. Services for any other medical conditions that may complicate pregnancy.
 - Additional coverage ++

Attached is a description of increases in covered services beyond limitations for all groups described in this attachment and/or any additional services provided to pregnant women only.

*Description provided on attachment

TN No. MS-00-06 Supersedes TN No. MS-94-15

Approval Date Mar 16 2001

Effective Date Jul 1 2000

Revis	sion:	HCFA-PM-91 1991	(BPD)	Attachment 3.1-A Page 8a OMB No.: 0938-					
State	State/Territory: <u>Nebraska</u>								
	AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY								
21.	21. Ambulatory prenatal care for pregnant women furnished during a presumptive eligibility period by an eligible provider (in accordance with section 1920 of the Act).								
	\square	Provided: Not Provided	No limitations	\boxtimes With limitations*					
22.	22. Respiratory care services (in accordance with section 1902(e)(9)(A) through (C) of the Act).								
	\square	Provided: Not Provided	No limitations	With limitations*					
23.	Certifie	ed pediatric or family n	urse practitioners' services.						
		Provided:	No limitations	Vith limitations*					

*Description provided on attachment

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-92-1</u>

Approval Date Mar 16 2001

		August 1991		Page OMB	9 No.: 0938		
State	/Territory	y: <u>Nebraska</u>					
	А		D SCOPE OF MEDICAL / VIDED TO THE CATEGO				
24.		er medical care and any or determined by the Secretary.	other type of remedial care	e reco	gnized under State law		
a.	Transpo	ortation.					
	\square	Provided: Not Provided	No limitations	\boxtimes	With limitations*		
b.	. Services of Christian Science nurses.						
	\square	Provided: Not Provided	No limitations		With limitations*		
c.	c. Care and services provide in Christian Science sanatoria						
	\square	Provided: Not Provided	No limitations		With limitations*		
d.	d. Nursing facility services for patients under 21 years of age						
	\square	Provided: Not Provided	No limitations	\bowtie	With limitations*		
e.	Emerge	ency hospital services.					
	\square	Provided: Not Provided	No limitations	\boxtimes	With limitations*		

*Description provided on attachment

TN No. <u>MS-00-06</u>Approval Date <u>Mar 16 2001</u>Effective Date <u>Jul 1 2000</u>SupersedesApproval Date <u>Mar 16 2001</u>Effective Date <u>Jul 1 2000</u>TN No. <u>MS-91-24</u>HCFA ID: 7986E

Revision: HCFA-PM-94-9 December 1994

(MB)

State/Territory: <u>Nebraska</u>

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

- 25. Home and Community Care for Functionally Disabled Elderly Individuals, as defined described and limited in Supplement 2 to Attachment 3.1-A, and Appendices A-G to Supplement 2 to Attachment 3.1-A.
 - Provided:
 Not provided
- 26. Personal assistance services are those services provided to a Medicaid client who is not an inpatient or resident of a hospital, nursing facility, intermediate care facility for the mentally retarded, institution for mental disease, or prison, which are authorized on a written service plan according to individual needs identified in a written assessment.

Personal assistance services are A) authorized by a Social Services Worker or designee, B) provided by qualified providers who are not legally responsible relatives, and C) are furnished inside the home, and outside the home with limitations.

Provided:

State Approved (Not Physician) Service Plan Allowed Services Outside the Home Also Allowed Limitations Described on Attachment

Not Provided

- 27. Program of All-Inclusive Care for the Elderly (PACE) services, as described in Supplement 4 to Attachment 3.1-A.
 - <u>X</u> Election of PACE: By virtue of this submittal, the State elects PACE as an optional State Plan service.
 - ____ No election of PACE: By virtue of this submittal, the State elects to not add PACE as an optional State Plan service
- 28. (i) Licensed or Otherwise State-Approved Freestanding Birth Center

 \bowtie

Provided:	No limitations	\bowtie	With limitations		None licensed or approve	d
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Please describe any limitations: Facilities must:

- (a) Be specifically approved by Department of Health and Human Services, Division of Public Health to provide birthing center Services.
- (b) Maintain standards of care required by Department of Health and Human Services, Division of Public Health for licensure.

TN No. <u>NE 12-04</u> Supersedes TN No. <u>NE 11-21</u>

Approval Date OCT 24 2012 Effective Date FEB 01 2013

Revision: HCFA-PM-94-9 (MB) December 1994

State/Territory: <u>Nebraska</u>

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

28. (ii) Licensed or Otherwise State-Recognized covered professionals providing services in the Freestanding Birth Center

Provided: No limitations With limitations (please describe below) Not Applicable (there are no licensed or State approved Freestanding Birth Centers

Please check all that apply:

- (a) Practitioners furnishing mandatory services described in another benefit category and otherwise covered under the State plan (i.e. physicians and certified nurse midwives).
- (b) Other licensed practitioners furnishing prenatal, labor and delivery, or postpartum care in a freestanding birth center within the scope of practice under State law whose services are otherwise covered under 42 CFR 440.60 (e.g., lay midwives, certified professional midwives (CPMs), and any other type of licensed midwife).*
- (c) Other health care professionals licensed or otherwise recognized by the State to provide these birth attendant services (e.g., doulas, lactation consultant, etc.).*

TN No. <u>NE 12-04</u> Supersedes TN No. <u>NE 11-21</u>

Approval Date OCT 24 2012 Effective Date FEB 01 2013

Telehealth means the use of medical information electronically exchanged from one site to another, whether synchronously or asynchronously, to aid a health care practitioner in the diagnosis or treatment of a patient. Telehealth includes services originating from a patient's home or any other location where such patient is located. Asynchronous services involving the acquisition and storage of medical information at one site that is then forwarded to and retrieved by a health care practitioner at another site for medical evaluation and telemonitoring.

Telehealth consultation means any contact between a patient and a health care practitioner relating to the health care diagnosis or treatment of such patient through telehealth, but does not include a telephone conversation, electronic mail message, or facsimile transmission between a health care practitioner and a patient or a consultation between two health care practitioners.

Telemonitoring means the remote monitoring of a patient's vital signs, biometric data, or subjective data by a monitoring device which transmits such data electronically to a health care practitioner for analysis and storage.

Health care practitioners must:

- 1. act within their scope of practice;
- 2. be enrolled with Nebraska Medicaid; and
- 3. be appropriately licensed, certified, or registered by Nebraska HHS Regulation and Licensure for the service for which they bill Medicaid.

All state plan prior authorization requirements must be met to be covered as a telehealth service. Prior authorization requests must state the intent to provide the service as a telehealth service.

TN No. <u>NE 14-006</u> Supersedes TN No.<u>13-24</u>

Approval Date <u>10/21/2014</u>

Effective Date 07/01/2014

Substitute per letter dated 2/14/01

ATTACHMENT 3.1-A Page 12

A telehealth service is not covered when the service delivered via telecommunication technology is deemed to be investigational or experimental.

Transmission costs are not covered when the telehealth service provided by the health care practitioner is not a covered state plan service.

TN No. <u>NE 14-006</u> Supersedes TN No. <u>13-24</u>

Approval Date <u>10/21/2014</u>

Effective Date 07/01/2014

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

CATEGORICALLY NEEDY GROUP(S)

30. Coverage of Routine Patient Cost in Qualifying Clinical Trials

*The state needs to check each assurance below.

Provided: X

I. General Assurances:

Routine Patient Cost – Section 1905(gg)(1)

 \underline{X} Coverage of routine patient cost for items and services as defined in section 1905(gg)(1) that are furnished in connection with participation in a qualified clinical trial.

Qualifying Clinical Trial – Section 1905(gg)(2)

<u>X</u> A qualified clinical trial is a clinical trial that meets the definition at section 1905(gg)(2).

Coverage Determination – Section 1905(gg)(3)

 \underline{X} A determination with respect to coverage for an individual participating in a qualified clinical trial will be made in accordance with section 1905(gg)(3).

PRA Disclosure Statement - This information is being collected to assist the Centers for Medicare & Medicaid Services in implementing Section 210 of the Consolidated Appropriations Act of 2021 amending section 1905(a) of the Social Security Act (the Act), by adding a new mandatory benefit at section 1905(a)(30). Section 210 mandates coverage of routine patient services and costs furnished in connection with participation by Medicaid beneficiaries in gualifying clinical trials effective January 1, 2022. Section 210 also amended sections 1902(a)(10)(A) and 1937(b)(5) of the Act to make coverage of this new benefit mandatory under the state plan and any benchmark or benchmark equivalent coverage (also referred to as alternative benefit plans, or ABPs). Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. An agency may notconduct or sponsor, and a person is not required to respond to, a collection of information unlessit displays a currently valid Office of Management and Budget (OMB) control number. The OMB control number for this project is 0938-1148 (CMS-10398 #74). Public burden for all of the collection of information requirements under this control number is estimated to take about 56 hours per response. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CMS, 7500 SecurityBoulevard, Attn: Paperwork Reduction Act Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

TN: <u>NE 22-0003</u> Supersedes TN: <u>New</u> Approval Date: <u>June 16, 2022</u> Effective Date: <u>January 1, 2022</u>

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State <u>Nebraska</u> LIMITATIONS - INPATIENT HOSPITAL SERVICES

Reimbursement for inpatient hospital care of patients whose primary care needs are psychiatric in nature are limited to a distinct part of a medical/surgical hospital that -

- 1. Is maintained for the care and treatment of patients with primary psychiatric disorders;
- 2. Is licensed or formally approved as a hospital by the Nebraska Department of Health and Human Services, or if the hospital is located in another state, the officially designated authority for standard-setting in that state;
- 3. Is accredited by a nationally recognized accrediting organization or has deemed status as a Medicare/Medicaid provider by the Division of Public Health;
- 4. Meets the requirements for participation in Medicare for psychiatric hospitals; and
- 5. Has in effect a utilization review plan applicable to all Medicaid clients.

Inpatient Subacute Hospital Services for Individuals Age 21 and Above

This service is covered under 42 CFR 440.10 Subpart A. In addition to the acute inpatient hospital services for clients age 21 and above, Medicaid considers reimbursement for subacute inpatient hospital psychiatric services when the primary care needs are psychiatric in nature and services are limited to a distinct part of a medical/surgical hospital that is –

- 1. Maintained for the care and treatment of patients with a primary psychiatric disorder;
- 2. Licensed or formally approved as a hospital by the Nebraska Department of Health and Human Services, Division of Public Health or if the hospital is located in another state, the officially designated authority for standard-setting in that state;
- 3. Is accredited by a nationally recognized accrediting organization or has deemed status as a Medicare/Medicaid provider by the Division of Public Health;
- 4. Meets the requirements for participation in Medicare for psychiatric hospitals;
- 5. Has in effect a utilization review plan applicable to all Medicaid clients.
- 6. Has medical records that are sufficient to determine the degree and intensity of the treatment furnished to a client;
- 7. Meets staffing requirements effective to carry out an active treatment program;
- 8. Encourages the involvement of family members in assessment treatment planning, treatment delivery and discharge, unless prohibited through legal action or the client or because of federal confidentiality laws;

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State <u>Nebraska</u>

LIMITATIONS - INPATIENT HOSPITAL SERVICES

- 9. Has the flexibility to meet the schedules of families, guardians and caretakers as necessary; and
- 10. Documents the attempts to involve family in treatment.

Subacute inpatient psychiatric hospital programs must have adequate staff to provide:

- 1. Comprehensive psychiatric diagnostic evaluations by an attending psychiatrist, nursing assessments, substance abuse assessments as needed, laboratory radiology or other diagnostic tests as necessary.
- 2. Physical examination and the ability to meet the basic medical needs of the patient.
- 3. Individual, group, and family psychotherapy by a licensed practitioner. Medication initiation and management services by a psychiatrist.
- 4. An organized, supervised milieu, psycho-educational services and other support services appropriate.

Subacute inpatient psychiatric programs must have adequate staff to meet the needs of the patients served. Essential positions available to the program are:

- 1. A clinical/program director;
- 2. Nursing services;
- 3. Psychotherapy services by a licensed practitioner;
- 4. Licensed addiction and drug abuse services as needed and appropriate by a licensed individual skilled and trained to treat substance abuse issues;
- 5. Psycheducational services as necessary;
- 6. Case Management services.

Providers of subacute inpatient hospital services must consider the following conditions to be determine the necessity for treatment.

- 1. Can the patient benefit from longer term evaluation, stabilization, and treatment services?
- 2. Is the client moderate to high risk to harm self or others?
- 3. Does the client have symptoms consistent with a current version of the DSM diagnosis?
- 4. Does the client have the ability to respond to intensive structured intervention services?
- 5. Is the client of moderate to high risk to relapse or have symptom reoccurrence?
- 6. Does the client have a high need of professional structure and intervention services?
- 7. Can the client be treated with short term intervention services?

All subacute inpatient psychiatric services must be prior authorized by the Department or by <u>the</u> Department's contracted designee.

Transmittal # <u>NE 14-020</u> Supersedes Transmittal # <u>NE 14-03</u>

Approved: October 28, 2014 Effective: November 1, 2014

State: Nebraska

LIMITATIONS -INPATIENT HOSPITAL SERVICES

NMAP covers medical transplants including donor services that are medically necessary and defined as non-experimental by Medicare. If no Medicare policy exists for a specific type of transplant, the appropriate staff in the Medicaid Division shall determine whether the transplant is medically necessary and non-experimental.

Notwithstanding any Medicare policy on liver or heart transplants, the Nebraska Medical Assistance Program covers liver or heart transplantation when the written opinions of two physicians specializing in transplantation state that -

- 1. No other therapeutic alternatives exist; and
- 2. The death of the patient is imminent.

NMAP requires prior authorization of all transplant services before the services are provided.

NMAP covers medically necessary services for the NMAP-eligible donor to an NMAP-eligible client. The services must be directly related to the transplant.

NMAP covers laboratory tests for NMAP-eligible prospective donors. The tests must be directly related to the transplant.

NMAP covers medically necessary services for the NMAP-ineligible donor to an NMAP-eligible client. The services must be directly related to the transplant and must directly benefit the NMAP transplant client. Coverage of treatment of complications is limited to those that are reasonably medically foreseeable.

NMAP covers laboratory tests for NMAP-ineligible prospective donors that directly benefit the NMAP transplant client. The tests must be directly related to the transplant.

NMAP does not cover services provided to an NMAP-ineligible donor that are not medically necessary or that are not directly related to the transplant.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-86-14</u>

Approval Date Mar 16 2001

ATTACHMENT 3.1-A Item 1d Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS -INPATIENT HOSPITAL SERVICES

Telehealth:

Inpatient hospital services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>(new page)</u>

Approval Date Mar 16 2001

ATTACHMENT 3.1-A Page 1, Item 1 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS -INPATIENT HOSPITAL SERVICES

ABORTIONS:

Payment for abortions under the Nebraska Medical Assistance Program is limited to those abortions for which FFP is currently available.

TN No. <u>MS-81-6</u> Supersedes TN No. <u>MS-80-13</u>

Approval Date Oct 1 1981

Effective Date <u>Jun 5 1981</u>

ATTACHMENT 3.1-A Item 2a, Page 1 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS - OUTPATIENT HOSPITAL

All psychiatric testing and evaluations must be performed by a licensed psychologist or under the supervision of a licensed psychologist.

TN No. <u>NE 11-23</u> Supersedes TN No. <u>MS-00-06</u>

Approval Date MAR 06 2012 Effective Date JUL 01 2011

State: Nebraska

LIMITATIONS – OUTPATIENT HOSPITAL

Drugs, medical supplies and services <u>not utilized</u> in the emergency or outpatient facility are not a covered outpatient or emergency service.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-79-13</u>

Approval Date Mar 16 2001

ATTACHMENT 3.1-A Item 2a, Page 3 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS – OUTPATIENT HOSPITAL SERVICES

Abortions are covered when a physician or licensed nurse practitioner certifies that the pregnancy was a result of rape or incest, or the woman suffers from a physical disorder, injury or illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would place the woman in danger of death unless an abortion is performed.

TN No. <u>NE 16-0006</u> Supersedes TN No.<u>NE 11-23</u>

Approval Date SEP 16 2016

Effective Date JUL 01 2016

ATTACHMENT 3.1-A Item 2a, Page 4 of 4 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS – OUTPATIENT HOSPITAL

Telehealth:

Outpatient hospital services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>(new page)</u>

Approval Date Mar 16 2001

State: Nebraska

LIMITATIONS - OUTPATIENT HOSPITAL

PSYCHIATRIC PARTIAL HOSPITALIZATION SERVICES

Psychiatric Partial Hospitalization services are diagnostic, therapeutic, treatment and rehabilitation services provided in an outpatient hospital setting under the direction of a licensed physician, preferably a psychiatrist, enrolled with Nebraska Medicaid.

Services are provided in a facility licensed as a hospital by Health and Human Services, Division of Public Health or if the service is provided in another state, the state agency assigned this responsibility. The facility must have achieved and maintained accreditation by a nationally recognized accrediting organization or have deemed status as a Medicare/Medicaid provider by the Division of Public Health. The provider must be enrolled as a hospital with Nebraska Medicaid. Services are provided at a level of intensity that meets the client's mental health/substance abuse treatment needs but less than a 24-hour period. Services are available a minimum of three hours per day and may be provided a full day of 6 or more treatment hours. Services must be available a minimum of 5 days per week but may be available 7 days per week.

TN No. <u>NE 17-0004</u> Supersedes TN No. <u>NE 10-15</u>

Approved: September 15, 2017

Effective: July 1, 2017

ATTACHMENT 3.1-A Item 2b Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS – RURAL HEALTH CLINIC SERVICES

Rural Health Clinic Services

The rural health clinic must be certified by HCFA for participation in the Medicare program. Covered services are limited to those defined in 42 CFR 440.20(b).

Telehealth:

Rural health clinic services are covered when provided appropriately via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

Approval Date: October 20, 2023

ATTACHMENT 3.1-A Item 2c Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS – FEDERALLY-QUALIFIED HEALTH CENTERS

To be considered a federally-qualified health center (FQHC) for the Nebraska Medical Assistance Program, as allowed by section 6404 of P.L. 101-239, a health center must furnish proof that the United States Public Health Service has determined that it is qualified under Sections 329, 330, or 340 of the Public Health Service Act, or that it qualifies by meeting other requirements established by the Secretary of Health and Human Services.

TN No. <u>NE 16-0001</u> Supersedes TN No. MS-00-06

Approval Date May 20, 2016

Effective Date _January 1, 2016

Attachment 3.1-A Item 3 Applies to both Categorically and Medically needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – OTHER LABORATORY AND X-RAY SERVICES

PRIOR AUTHORIZATION: NMAP requires prior authorization for certain radiology services. Prior authorization must be obtained before the service is provided. All non-emergency outpatient computerized tomography (CT) scans, magnetic resonance angiogram (MRA) scans, magnetic resonance imaging (MRI) scans, magnetic resonance spectroscopy (MRS) scans, nuclear medicine cardiology scans, positron emission tomography (PET) scans, and single photon emission computed tomography (SPECT) scans will require prior authorization. These prior authorization requirements apply for all Medicaid clients enrolled in fee-for-service programs and must be completed prior to the scan being performed. These requirements do not apply to these scans when performed during an inpatient hospitalization or for treatment of an emergency medical condition through the hospital's emergency room.

Telehealth:

Other laboratory and x-ray services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

Transmittal # NE-09-02

Supersedes

Approved

Jun 30 2009

Effective Jul 1 2009

Transmittal # MS-00-06

State: Nebraska

LIMITATIONS -ASSESSMENTS OF DEVELOPMENTALLY DISABLED PERSONS IN SNF

Individuals having a developmental disability who currently reside in a non-MR facility shall, when identified as appropriate by the Medical Review Team, have an initial and subsequent annual independent assessment for functional living skills. Assessment of functional living skills shall be given to only clients identified by the Medical Review Team as appropriate for assessment based on the developmental disability criteria in order to:

- 1. Identify the most appropriate services to meet the identifying needs based on the principle of normalization, the least restrictive alternatives, and the client's needs.
- 2. The evaluation shall include actual observation/interview with the client and identify the sources of information including the staff persons who have supplied assessor with information relative to the assessment.
- 3. The assessment shall be an assessment of independent functioning of the individual. The assessment shall include recommendations for further evaluation and/or consultation in specific areas. Recommendations shall be incorporated into the individual's overall plan of care by the facility.

Approval Date Mar 16 2001

State: Nebraska

LIMITATIONS - EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

This section applies to EPSDT services provided on or after April 1, 1990.

HEALTH SCREENING SERVICES are provided at intervals stated in the American Academy of Pediatrics Periodicity schedule and at other intervals indicated as medically necessary, to determine the existence of certain physical or mental illnesses or conditions. This periodicity schedule was selected based on meetings and/or written correspondence with the Nebraska Chapter of the American Academy of Pediatrics, the Nebraska Chapter of the Academy of Family Physicians, and the Chairman of the University of Nebraska Medical Center's Department of Pediatrics.

Health screening services include, at a minimum,-

- 1. A comprehensive health and developmental history (including assessment of both physical and mental health development);
- 2. A comprehensive unclothed physical exam;
- 3. Appropriate immunizations according to age and health history;
- 4. Appropriate laboratory tests (including lead blood level assessment appropriate for age and risk factors); and
- 5. Health education (including anticipatory guidance).

Approval Date Mar 16 2001

State: Nebraska

LIMITATIONS - EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

VISION SERVICES are provided at the following intervals, and at other intervals, indicated as medically necessary, to determine the existence of a suspected illness or condition:

Birth to 3 years	Screening through history taking and observation at intervals that follow the Health Screening periodicity schedule	
Age 3 to 21 years	Screening by standard testing method yearly through age six and thereafter to follow the Health Screening periodicity schedule	

This periodicity schedule was selected based on input from meetings and/or written correspondence with the Nebraska Chapter of the American Academy of Pediatrics, the Nebraska Chapter of the American Academy of Family Physicians, the American Optometrist Association (AOA), and the HHS visual care consultant.

Vision services include, at a minimum, diagnosis and treatment for defects in vision, including eyeglasses.

DENTAL SERVICES are provided at the following intervals, and at other intervals, indicated as medically necessary to determine the existence of a suspected illness or condition:

Birth to 21At six month intervals, dental screening is to be obtained from a
dentist as recommended by AAP's "Recommendations For
Preventive Pediatric Health Care." Visual inspection of the mouth
for very young children is Recommended as part of each Health
Screening examination.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-90-14</u>

Approval Date Mar 16 2001

Effective Date <u>Jul 1 2000</u>

State: Nebraska

LIMITATIONS - EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

This periodicity schedule was established based on input from written correspondence with the Nebraska Dental Association. The schedule for EPSDT dental exams is based on the NDA's recommendations.

Dental services include, at a minimum, relief of pain and infections, restoration of teeth, and maintenance of dental health.

HEARING SERVICES are provided at the following intervals, and at other intervals indicated as medically necessary, to determine the existence of a suspected illness or condition:

Birth to 3 years	Screening through history taking and observation at intervals that follow Health Screening periodicity schedule	
Age 3 to 21 years	Screening by standard testing method yearly through age six and thereafter to follow the Health Screening periodicity schedule	

This periodicity schedule was established based on input from meetings and written correspondence with the Nebraska Chapter of the American Academy of Pediatrics, the Nebraska Chapter of the Academy of Family Physicians, the DSS audiological consultant as well as a position paper by the American Speech and Hearing Association.

Hearing services include, at a minimum, diagnosis and treatment for defects in hearing, including hearing aids.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-90-14</u>

Approval Date Mar 16 2001

State: Nebraska

LIMITATIONS - EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

SERVICES DESCRIBED IN SECTION 1905(a) of the Social Security Act that are not covered under Nebraska State Plan for Medical Assistance are covered for treatment when the condition is disclosed in an EPSDT exam, health screen, dental screen, vision screen, or hearing screen. These services are considered EPSDT follow-up services and are covered under the following conditions:

- The service is required to treat the condition (i.e., to correct or ameliorate defects and physical or mental illnesses or conditions) identified during a HEALTH CHECK (EPSDT) screening examination;
- 2 The provider of services is a Medicaid-enrolled provider and is authorized to provide the service within the scope of practice under applicable federal and state law;
- 3. The service is consistent with applicable federal and state laws that govern the provision of health care;
- 4. The service must be medically necessary, safe and effective, and not considered experimental/investigational;
- Services not covered under the plan must be prior authorized by the Medicaid Division, Department of Health and Human Services Finance and Support. The screening practitioner shall submit the request which must include
 - a. A copy of the screening exam from or the name of the screening practitioner and the date of the screening exam which identified the condition; and
 - b. A plan of care which includes -
 - (1) History of the condition;
 - (2) Physical findings and other signs and symptoms, including appropriate laboratory data;
 - (3) Recommended service/procedure, including (if known) the potential provider of service;
 - (4) Estimated cost, if available; and
 - (5) Expected outcomes.

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ATTACHMENT 3.1-A Item 4b, Page 5 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS - EARLY AND PERIODIC SCREENING AND DIAGNOSTIC AND TREATMENT OF CONDITIONS FOUND

The Medical Director or designee shall make a decision on each request in an expeditious manner. Appropriate health care professionals may be consulted during the decision-making process. If the initial request is denied, additional information may be sent for reconsideration.

EPSDT follow-up services include -

- Dental sealants: Application is covered if applied to permanent teeth within three years of eruption. Sealant application is covered only for permanent teeth numbered 2, 3, 4, 5, 12, 13, 14, 15, 18, 19, 20, 21, 28, 29, 30, and 31.
- Orthodontic treatment for individuals age 20 and younger: NMAP requires prior authorization of all orthodontic treatment except diagnostic evaluation procedures. Total payment of prior-authorized orthodontic treatment is made upon approval of the treatment plan and submittal of an ADA dental claim form.
- Well child cluster visits: The cluster visit is a well-child visit in a group setting with parent-child pairs of the same age, offering the opportunity for the provision of extended physician parent/child time with a focus on psychosocial aspects as well as physical aspects of well-child care. The cluster visit must include a complete EPSDT exam.
- Nutritional counseling: Nutritional counseling is provided by the screening physician, screening physician auxiliary staff, physician-contracted staff, as part of comprehensive well child or periodic visit. When a diagnostic finding from the EPSDT exam indicates that a nutritional problem or condition of such severity exists that nutritional counseling beyond that normally expected as part of the standard medical management is warranted, medical nutritional therapy can be ordered in compliance with Attachment 3.1-A, Item 4b, Page 33-34.

TN No. <u>NE 17-0018</u> Supersedes TN No. <u>MS-00-06</u>

Approval Date <u>December 8, 2017</u> Effective Date <u>October 1, 2017</u>

State: Nebraska

LIMITATIONS - EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

- Risk reduction services: These services include the basic six to seven week series of prepared childbirth sessions, early pregnancy sessions, refresher childbirth sessions, caesarean birth sessions, breast-feeding session, and infant care sessions when provided by licensed practitioners approved by Health and Human Services Finance and Support, Medicaid Division. The services are covered for EPSDT participants when comparable services are not available in the community at no cost. Risk reduction services also include a pediatric prenatal visit between the expectant parent(s) and the prospective primary care provider of the infant's health care.
- Weight management clinics as allowed in 471 NAC 33-006.

NMAP does not limit providers of EPSDT services to those who are qualified to provide all components of the EPSDT screen. A provider who is qualified under the plan to furnish one or more (but not all) of the services and items is considered qualified to provide the items and services as part of early and periodic screening, diagnosis and treatment services.

TN No. <u>MS-00-06</u> Supersedes TN No. MS-95-13

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ATTACHMENT 3.1-A Item 4b, Page 7 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

MENTAL HEALTH AND SUBSTANCE ABUSE SERVICES COVERED UNDER EPSDT:

Medicaid covers certain mental health and substance abuse (MH/SA) services as part of the HEALTHCHECK (EPSDT) benefit.

Licensed Mental Health Practitioner (LMHP) - 42 CFR 440.60 - Other Licensed Practitioners

The following mental health and substance abuse practitioners who are licensed in the State of Nebraska to diagnose and treat mental illness or substance abuse acting within the scope of all applicable state laws and their professional license may be enrolled as an individual provider of mental health/substance abuse services. The following individuals are licensed to practice: Licensed Alcohol and Drug Counselor who is an individual licensed by the Nebraska Health and Human Services.

All services provided while a person is a resident of an Institution for Mental Disease (IMD) are considered content of the institutional service and not otherwise reimbursable by Medicaid.

Medicaid and/or its designee does not permit separate billing of mileage and conference fees for home-based family therapy providers of outpatient psychiatric services. Those costs are assumed to be covered in the rates. For the purposes of this section, Medicaid agency designee will be a contractor designated by the agency to conduct prior authorization and utilization review.

Telehealth:

Services provided by licensed mental health and substance abuse practitioners via telehealth technologies are covered subject to the limitations as set forth in state regulations.

ATTACHMENT 3.1-A Item 4b, Page 8 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSTIC AND TREATMENT OF CONDITIONS FOUND

MENTAL HEALTH AND SUBSTANCE ABUSE SERVICES COVERED UNDER EPSDT:

Rehabilitation Services - 42 CFR 440.130(d)

The following explanation and limitations apply to the mental health and substance abuse rehabilitation services provided by unlicensed direct care staff listed below:

- Day Treatment/Intensive Outpatient Service
- Community Treatment Aide
- Professional Resource Family Care
- Therapeutic Group Home
- Multisystemic Therapy
- Functional Family Therapy
- Peer Support

These rehabilitation services are provided as part of a comprehensive specialized psychiatric program available to all Medicaid EPSDT eligible clients with significant functional impairments resulting from an identified mental health or substance abuse diagnosis. The recommendation of medical necessity for these rehabilitative services shall be determined by a licensed psychologist, licensed independent mental health practitioner (LIMHP) or physician who is acting within the scope of his/her professional license and applicable state law, to promote the maximum reduction of symptoms and/or restoration of an individual to his/her best age-appropriate functional level according to an individualized treatment plan, which addresses the child's assessed needs.

The activities included in the rehabilitation service shall be intended to achieve the identified Medicaid eligible client's treatment plan goals or objectives. Components that are not provided to or directed exclusively toward the treatment of the Medicaid eligible individual are not eligible for Medicaid reimbursement. All services are directed exclusively towards the treatment of the Medicaid eligible.

TN No. <u>NE 16-0009</u> Supersedes TN No. <u>NE 16-0004</u>

Approval Date Jun 16, 2017

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

Services shall be medically necessary and shall be recommended by a psychologist, LIMHP or physician according to an individualized treatment plan, which addresses the eligible individual's assessed needs. An Initial Diagnostic Interview (IDI) is a comprehensive assessment that identifies the clinical need for treatment and the most effective treatment intervention/level of care to meet the medical necessity needs of the client. The IDI is completed prior to service provision and the IDI documentation accompanies the referral information to the rehabilitation program provider. The recommendations of the licensed supervising practitioner following the Initial Diagnostic Interview serves as the treatment plan until the comprehensive treatment plan is developed.

The treatment plan shall specify the frequency, amount and duration of services. The treatment plan shall be signed by the psychologist, licensed mental health practitioner or physician responsible for developing the plan. The plan will specify a timeline for reevaluation of the plan that is at least an annual redetermination. A new treatment plan with a different rehabilitation strategy shall be developed if there is no measureable reduction of disability or restoration of functional level.

Agencies and practitioners shall maintain case records that include a copy of the treatment plan, the name of the individual, dates of services provided, nature, content and units of rehabilitation services provided, and progress made toward functional improvement and goals in the treatment plan.

Rehabilitation services shall meet the following requirements:

- If provided at a work site, the rehabilitation service shall not be job tasks oriented.
- Any services or components of services which the basic nature is to supplant housekeeping, homemaking, or basic services for the convenience of a person receiving covered services (including housekeeping, shopping, child care, and laundry services) are not covered.
- Services shall not be provided in an Institution for Mental Disease (IMD).
- Room and board is excluded from any services or rates provided in a residential setting.
- Transportation of children is not included in rehabilitation services or rates.
- Education services are not included in or eligible for payment by the Medicaid Program, and do not apply toward the hours of minimum treatment activities for any service in this section. Practitioners shall be familiar with each youth's IEP and coordinate with the youth and the youth's school to achieve the IEP. Education services may not be the primary reason for rehabilitation admission or treatment. Academic education services, when required by law, shall be available.

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 Supersedes
 Appl

 TN No. NE 14-020
 Appl

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ATTACHMENT 3.1-A Item 4b, Page 10 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State: <u>Nebraska</u>

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

Rehabilitation services shall be offered to all EPSDT eligible clients who need them regardless of their living arrangements, including foster care status. EPSDT eligible clients covered by Medicaid, including their parents and guardians, shall be able to choose any willing and qualified provider of services (e.g., not limited to foster care parents). Medically necessary rehabilitation services for an EPSDT eligible shall be provided by qualified Medicaid providers distinct from placement and excluding room and board. For all EPSDT services, the practitioner shall include communication and coordination with the family and/or legal guardian. Coordination with other child serving systems should occur as needed to achieve the treatment goals. All coordination shall be documented in the youth's medical record.

Rehabilitation services may not include reimbursement for other services to which an eligible individual has been referred, including foster care programs and services such as, but not limited to, the following:

- (1) Research gathering and completion of documentation required by the foster care program
- (2) Assessing adoption placements
- (3) Recruiting or interviewing potential foster care parents
- (4) Serving legal papers
- (5) Home investigations
- (6) Providing transportation
- (7) Administering foster care subsidies
- (8) Making placement arrangements

Definitions:

The mental health and substance abuse rehabilitation services provided by unlicensed direct care staff are defined as follows:

1. Treatment in Day Treatment and Intensive Outpatient Service (IOP) by Unlicensed Direct Care Staff

Day Treatment and Intensive Outpatient services are part of a continuum of care to prevent inpatient services and/or to facilitate the movement of the client from an-inpatient setting (in a hospital or PRTF) service to a status in which the client is capable of functioning within the community with less frequent contact with the mental health or substance abuse provider. These services shall lead to an attainment of specific goals through a group of individualized treatment interventions and services.

Individualized treatment shall provide the basis for transitioning an EPSDT eligible to a less intense level of care if additional services are clinically necessary. Individualized treatment is based upon an active treatment plan reviewed every 30 days after it is finalized and a specific plan for discharge from Day Treatment when the treatment goals have been met.

TN No. <u>NE 15-0013</u> Supersedes TN No. 11-10

ATTACHMENT 3.1-A Item 4b, Page 11 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

Treatment services may be appropriately used to transition a client from higher levels of care and may be provided for clients at risk of needing more intensive care than traditional weekly outpatient treatment services. Medicaid covers only treatment by unlicensed direct care staff. For these specific rehabilitation services, the comprehensive specialized psychiatric program, Medicaid covers only treatment by unlicensed direct care staff. Unlicensed direct care staff perform the following functions:

- A. Provide psychoeducational activities and interventions to support the EPSDT eligible in developing social, therapeutic, and other independent living skills as appropriate. Psychoeducational therapy services may include:
 - (1) <u>Crisis Intervention Plan and Aftercare Planning -</u>This service is provided in a group or individual session and assists the client in understanding crisis planning and supports the client in developing their individualized plan for crisis intervention.
 - (2) <u>Social Skills Building -</u> This service is a behavioral health intervention used to support the psychotherapy provided by a licensed person that assist the client in learning better relationship skills with other individuals around him/her. The service is provided by a skilled and trained, unlicensed individual under the supervision of a licensed practitioner.
 - (3) <u>Life Survival Skills -</u> These are interactions either in the group setting or the individual session which develop better interaction skills in the community. These services are led and provided by a skilled and trained unlicensed direct care staff person under the supervision of a licensed practitioner.
 - (4) <u>Substance Abuse Prevention Intervention -</u> This service provides substance abuse education and is provided by a skilled and trained unlicensed direct care staff person under the supervision of a licensed practitioner.
 - (5) <u>Self-care services -</u> These are interventions to assist the client in coping and managing in their environment. These services are led and provided by a skilled and trained unlicensed direct care staff under the supervision of a licensed practitioner.
 - (6) <u>Medication education and medication compliance groups -</u> These are treatment interventions either in a group setting or an individual session that assists the client in understanding the purpose of medication, assists in identifying side effects, and assists in helping the client maintain compliance. Services are provided by a registered nurse.
 - (7) <u>Health care issues group (may include nutrition, hygiene, personal wellness) -</u> This is a psychoeducational group service, generally provided by a licensed nurse, that provides assistance to the client in learning how to better manage their health issues.

These activities (1 through 7) are rehabilitative skill building provided by a skilled and trained unlicensed direct care staff or by a licensed nurse, when indicated, who has proven competency in delivering these psychosocial activities.

ATTACHMENT 3.1-A Item 4b, Page 12 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

- B. Implement the treatment plan and discharge plan for each EPSDT eligible
- C. Provide continual care to the EPSDT eligible clients in the program
- D. Report all crisis or emergency situations to the program/clinical director or to the program's designee in the absence of the program/clinical director
- E. Understand the program's philosophy regarding behavior management and apply its philosophy in daily interactions with the clients in care

Provider Qualifications:

Agencies shall be certified by Medicaid and/or its designee. Agencies shall be licensed by the State of Nebraska for substance abuse service delivery if substance abuse treatment is delivered. Each agency will employ program/clinical directors to supervise unlicensed direct care staff consistent with State licensure, accreditation, and regulations including co-occurring conditions. The program shall identify an on-call system of licensed practitioners available for crisis management when the client is not in the program's scheduled hours and/or the program is not in session. Programs shall identify a coverage Supervising Practitioner to serve the program in the unforeseen absence of the designated Supervising Practitioner due to illness or vacations.

Practitioners providing substance abuse or mental health services must meet the training requirements outlined by Medicaid and/or its designee, in addition to any required scope of practice license required for the facility or agency to practice in the State of Nebraska. The unlicensed direct care staff shall have a bachelor's degree or higher in psychology, sociology, or related human service field, but two years of course work in the human services field and two years experience/training with demonstrated skills and competencies in treatment of youth with mental illness is acceptable. These requirements for unlicensed direct care staff become effective for staff hired on or after the effective date of this policy. Unlicensed direct care staff:

- (1) Shall complete the initial program training and successfully complete the agency's competency check. In addition, each staff shall have demonstrated skill and competency in the treatment of clients with mental health and substance abuse disorders prior to delivery of services.
- (2) Shall pass child abuse check, Adult abuse registry and motor vehicle screens
- (3) Shall complete specific training for behavioral management and update the training as required by the program
- (4) Shall understand de-escalation techniques and demonstrate the ability to implement those techniques effectively

ATTACHMENT 3.1-A Item 4b, Page 13 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

Unit of Service: 15-minute unit for unlicensed direct care staff. A unit of service is defined according to the HCPCS approved code set.

Limitations:

Agency providers cannot receive Medicaid reimbursement for treatment services provided to clients who live in any institution and are transported to the program. When a Medicaid beneficiary is receiving Therapeutic Group Home, Professional Resource Family Care, hospital or PRTF services, the client may not participate in day treatment or Intensive Outpatient Services.

The service definition does not include activities or reimbursement for the following clients:

- (1) Living in institutions
- (2) With social or educational needs met through a less structured program
- (3) With primary diagnosis and functional impairment acutely psychiatric in nature and an unstable condition which will not benefit from the program
- (4) Where referral information supports that the client cannot benefit from services

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ATTACHMENT 3.1-A Item 4b, Page 14 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

The overall program may generally only bill for 6 hours a day for day treatment and 3 hours per day for intensive outpatient services. The number of hours per day shall be determined by the specific clinical needs of the client and by the level of acuity of the client. Medicaid and/or its designee may prior authorize treatment in excess of these guidelines if medically necessary.

Licensed practitioners will provide services and bill separately from unlicensed practitioners for the time spent in direct therapy per direct therapy coding under the Other Licensed Practitioner Section of the State Plan (e.g., unbundled). Licensed and unlicensed practitioners may not bill for the same time. Clinical supervision costs for unlicensed practitioners are built into the unlicensed direct care practitioner service and reimbursement.

Day Treatment Direct Care Staff time may only be provided in an office-based facility with a well organized supportive therapeutic environment for EPSDT eligible clients in order that EPSDT eligible clients can apply the goals of their individualized, active treatment plan and achieve progress in accomplishing those goals. Clients whose symptoms includes uncontrolled disruptive behavior shall have de-escalation and anger management identified in the initial treatment plan and measures shall be taken to aggressively enforce and manage those behaviors at the earliest time possible. Day Treatment workers shall be aware of safety issues unique to each EPSDT eligible and provide safety intervention within the milieu. Procedures such as seclusion and restraint to manage the treatment milieu are not permitted in Day Treatment programs. Treatment Plans shall be developed within 10 days of admission to the Day Treatment program.

Intensive Outpatient Direct Care Staff time may only be provided in an office-based facility providing group-based, non-residential, intensive outpatient mental health/substance abuse treatment services in conjunction with psychotherapy services and substance abuse counseling services provided by licensed practitioners. Treatment Plans shall be developed within 14 days of admission to the IOP program.

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ATTACHMENT 3.1-A Item 4b, Page 15 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

2. Community Treatment Aide (CTA)

Community Treatment Aide (CTA) services are supportive, and psycho-educational interventions provided primarily in the client's natural environment. Natural environment primarily is the client's home but may also include a foster home, school, or other appropriate community locations conducive for the delivery of CTA services per the service. CTA services shall be expected to improve the client's level of functioning within their environment to enhance the client and caregiver's ability to manage the client's primary mental health and substance abuse related symptoms. The service is delivered by a highly skilled, educated and trained non-licensed (paraprofessional) staff person under the direction and supervision of a licensed practitioner who simultaneously provides family and individual therapy on a regular basis to the client and the client's caregiver/family. Community Treatment Aide (CTA) services are designed to assist the individual with compensating for or eliminating functional deficits and interpersonal and/or environmental barriers associated with their mental illness. Services may be provided in the community or in the individual's place of residence as outlined in the Plan of Care.

The intent of CTA is to restore the fullest possible integration of the individual as an active and productive member of his or her family, community, and/or culture with the least amount of ongoing professional intervention. CTA is a face-to-face intervention with the individual present. Services may be provided individually and in a family setting. A majority of CTA contacts shall occur in community locations where the person lives, works, attends school, and/or socializes. A CTA provider performs the following functions:

(A) Provides training and rehabilitation of basic personal care and activities of daily living through training the EPSDT eligible clients and the usual caregiver (such as the biological family, foster family) etc. This function provides basic education and encouragement to clients with mental health issues to develop personal grooming habits which assists in better personal relationships and assists the client to provide better daily organization.

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ATTACHMENT 3.1-A Item 4b, Page 16 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

- (B) <u>Promotes improvement in the EPSDT eligible client's social skills and relationship skills</u> <u>through training and education of the EPSDT</u> eligible clients <u>and the usual caregiver</u> -This rehabilitative service assists the client in learning acceptable social behavior to improve relationships with family members, peer groups and community.
- (C) <u>Teaches and instructs the caregiver in crisis and de-escalation techniques -</u> This is a rehabilitative function provided individually or in a group setting that assists the client in managing emotions, particularly understanding anger and healthy releases and outlets for emotions.
- (D) <u>Teaches and models appropriate behavioral treatment interventions and techniques for</u> <u>the EPSDT eligible and the caregiver</u> - This rehabilitative function assists the caregiver and client in understanding appropriate interactions through the use of role playing techniques and modeling appropriate behaviors.
- (E) <u>Teaches and models appropriate coping skills to manage dysfunctional behavior for the caregiver -</u> This rehabilitative function assists the client in understanding methods of healthy coping of stress to reduce and eliminate dysfunctional behavior.
- (F) Provides information about medication compliance and relapse prevention and reports to her/his supervising licensed mental health practitioner - This rehabilitative function assists the client/caregiver with resolving any medication compliance issues by CTA reporting any medication problems to his/her immediate supervisor to assist in bringing these issues to the physician.
- (G) <u>Teaches and models proper and effective parenting practice -</u> This rehabilitative function assists the immediate caregiver and client in learning more effective parenting techniques in relation to managing mental health and substance abuse symptoms.

These activities (A through G) are rehabilitative skill building provided by a skilled and trained unlicensed staff person who has proven competency in delivering these activities.

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ATTACHMENT 3.1-A Item 4b, Page 17 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

Provider Qualifications:

Agencies shall be certified by Medicaid and/or its designee. Each agency will employ licensed program/clinical directors to supervise unlicensed direct care staff consistent with State licensure. Practitioners providing substance abuse or mental health services must meet the training requirements outlined by Medicaid and/or its designee, in addition to any required scope of practice license required for the facility or agency to practice in the State of Nebraska. CTA staff shall have a bachelor's degree in psychology, social work, child development or related field and equivalent of one year of full-time work experience or graduate studies in direct child/adolescent services or mental health and/or substance abuse services. The CTA staff shall be employed/contracted within the same agency as the therapist/licensed practitioner providing psychotherapy services to the client and the client's family. The CTA staff shall be certified in the State of Nebraska to provide the service, which includes criminal, abuse/neglect registry and professional background checks, and completion of a state approved standardized basic training program.

The CTA staff person of the CTA agency shall receive regularly scheduled clinical supervision from a licensed Program/Clinical Director meeting the qualifications of a licensed mental health practitioner, registered nurse (RN), APRN, LIMHP, or a psychologist with experience regarding this specialized mental health service. A licensed practitioner which may include a licensed psychiatrist, psychologist, LIMHP, LMHP and APRN (large agency CTA programs may also include provisionally licensed psychologists and provisionally licensed mental health practitioners as therapists) shall be available at all times for supervision of the CTA staff, guiding the active treatment plan implementation in the home/living environment, co-signing all CTA progress notes and continuous and ongoing assessment of the active treatment plan to assure that the clinical needs of the EPSDT eligible/parent/caregiver are met. This includes transitioning the client to other treatment and care settings as necessary.

Unit of Service: 15 minute unit for unlicensed direct care staff. A unit of service is defined according to the HCPCS approved code set.

Limitations:

Limit of 750 hours of CTA per calendar year that can be exceeded when medically necessary through prior authorization.

TN No. <u>11-10</u> Supersedes TN No. <u>New page</u>

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ATTACHMENT 3.1-A Item 4b, Page 18 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

3. Professional Resource Family Care

Professional Resource Family Care is intended to provide short-term and intensive supportive resources for the EPSDT eligible and his/her family. The intent of this service is to provide a crisis stabilization option for the family in order to avoid psychiatric inpatient and institutional treatment of the EPSDT eligible by responding to potential crisis situations through the utilization of a co-parenting approach provided in a surrogate family setting. The goal will be to support the EPSDT eligible and family in ways that will address current acute and/or chronic mental health needs and coordinate a successful return to the family setting at the earliest possible time. During the time the professional resource family is supporting the EPSDT eligible, there is regular contact with the family to prepare for the EPSDT eligible client's return and his/her ongoing needs as part of the family. It is expected that the EPSDT eligible client's individual treatment team. A professional resource family performs the following functions:

- (A) Promotes improvement in the EPSDT eligible client's social skills and family and peer relationship skills through training and education of the EPSDT eligible and the biological parents/primary caregiver
- (B) Teaches and instructs the caregiver in crisis and de-escalation techniques
- (C) Teaches and models appropriate behavioral treatment interventions and techniques for the EPSDT eligible and the biological parents/primary caregiver
- (D) Teaches and models appropriate coping skills to manage dysfunctional behavior for the biological parents/primary caregiver
- (E) Teaches and models proper and effective parenting practice to biological parents/primary caregiver
- (F) Provides information about medication compliance and relapse prevention and reports to her/his supervising licensed mental health practitioner
- (G) Provides training and rehabilitation of basic personal care and activities of daily living through training the EPSDT eligible and the usual biological parents/primary caregiver
- (H) Assists the EPSDT eligible to develop positive peer relationships
- (I) Works with the biological parents/primary caregiver to explore community resources in the EPSDT eligible client's natural setting

ATTACHMENT 3.1-A Item 4b, Page 19 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

Provider Qualifications:

Agencies shall be licensed by the State of Nebraska as a Child Placing Agency and accredited by a national accrediting body. Each agency will employ licensed program/clinical directors to supervise unlicensed direct care staff consistent with State licensure. PRFC service staff shall receive ongoing and regular clinical supervision through a Child Placing Agency by a person meeting the qualifications of a psychiatrist or psychologist with experience regarding this specialized mental health service, and such supervision shall be available at all times to provide back up, support, and/or consultation.

Practitioners providing substance abuse or mental health services must meet the training requirements outlined by Medicaid and/or its designee, in addition to any required scope of practice license required for the facility or agency to practice in the State of Nebraska. The agency will also employ Professional Resource Families Care staff with the following qualifications:

- (1) Have a high school diploma or equivalent for all staff and a bachelor's degree in a human service field for specialists
- (2) Be 21 years of age and have a minimum of 2 years experience working with children, 2 years education in the human service field or a combination of work experience and education with one year of education substituting for one year of experience
- (3) Complete training according to a curriculum approved by State prior to providing the service
- (4) Pass child abuse check, Adult abuse registry and motor vehicle screens
- (5) Each surrogate family setting shall have a Foster Family license by the State. Each PRFC practitioner shall be supported by a Child Placing Agency with appropriate clinical supervision, training and staffing.
- (6) Understand de-escalation techniques and demonstrate the ability to implement those techniques effectively

Unit of Service: Day unit for unlicensed direct care staff. A unit of service is defined according to the HCPCS approved code set.

Limitations: PRFC services require prior authorization. The duration of services is prior authorized. Additional days can be authorized with prior approval from Medicaid and/or its designee. Each unlicensed direct care staff may only care for one EPSDT eligible in treatment unless an exception is granted by Medicaid and/or its designee.

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PRFC services may not be provided simultaneously with ThGH care and do not duplicate any other Medicaid State Plan Service or service otherwise available to recipient at no cost as charity care. Treatment Plans shall be developed within 7 days of admission to the PRFC program and reviewed every 14 days thereafter.

Direct care by licensed staff is billed separately from the PRFC services per diem treatment rate for unlicensed practitioners (e.g., unbundled) which does not include room and board.

4. Therapeutic Group Home

Therapeutic Group Homes (ThGHs) provide a community-based residential service in a home-like setting of no greater than 16 beds under the supervision and program oversight of a psychiatrist or psychologist. The treatment should be targeted to support the development of adaptive and functional behaviors that will enable the EPSDT eligible to remain successfully in his/her community, and to regularly attend and participate in work, school or training. ThGHs deliver an array of clinical and related services within the ThGH including psychiatric supports, integration with community resources and skill-building taught within the context of the home-like setting. ThGH treatment shall target reducing the severity of the behavioral health issue that was identified as the reason for admission. Most often, targeted behaviors will relate directly to the EPSDT eligible client's ability to function successfully in a home setting and school environment (e.g., compliance with reasonable behavioral expectations; safe behavior and appropriate responses to social cues and conflicts).

Treatment shall:

- (A) Focus on reducing the behavior and symptoms of the psychiatric disorder that necessitated the removal of the EPSDT eligible from his/her usual living situation
- (B) Decrease problem behavior and increase developmentally-appropriate, normative and pro-social behavior in EPSDT eligible clients who are in need of out-of-home placement
- (C) Transition EPSDT eligible from therapeutic group home to home or community based living with outpatient treatment (e.g., individual and family therapy) if necessary.

ThGH services are utilized when less intensive levels of treatment shall have been determined to be unsafe, unsuccessful or unavailable. The EPSDT eligible shall require active treatment on an individualized active treatment plan that would not be able to be provided at a less restrictive level of care and is being provided on a 24-hour basis with licensed program/clinical directors supervising the behavioral health staff. The treatment plan shall be developed within 7 days of admission and reviewed every 14 days thereafter.

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The setting shall be ideally situated to allow ongoing participation of the EPSDT eligible client's family. The EPSDT eligible shall attend a school in the community (e.g., a school integrated with children not from the institution and not on the institution's campus). In this setting, the EPSDT eligible remains involved in community-based activities and may attend a community educational, vocational program or other treatment setting.

ThGHs provide twenty-four hours/day, seven days/week structured and supportive living environment. Care coordination is provided to plan and arrange access to a range of educational and therapeutic services. Psychotropic medications should be used with specific target symptoms identification, with medical monitoring and 24-hour medical availability, when appropriate and relevant. Physicians and Advanced Practice Registered Nurses administer and monitor the psychotropic medications. Screening and assessment is required upon admission and every 14 days thereafter to track progress and revise the treatment plan to address any lack of progress and to monitor for current medical problems and concomitant substance use issues.

The individualized, strengths-based services and supports:

- (1) Are identified in partnership with the EPSDT eligible and the family and support system, to the extent possible, and if developmentally appropriate
- (2) Are based on both clinical and functional assessments
- (3) Are clinically monitored and coordinated, with 24-hour availability
- (4) Are implemented with oversight from a licensed mental health professional
- (5) Assist with the development of skills for daily living and support success in community settings, including home and school

The ThGH is required to coordinate with the EPSDT eligible client's community resources, with the goal of transitioning the EPSDT eligible out of the program as soon as possible and appropriate. Discharge planning begins upon admission with concrete plans for the EPSDT eligible to transition back into the community beginning within the first week of admission with clear action steps and target dates outlined in the treatment plan. The treatment plan shall include behaviorally-measurable discharge goals.

For treatment planning, the program shall use a standardized assessment and treatment planning tool such as the Child and Adolescent Needs and Strengths. The assessment protocol shall differentiate across life domains, as well as risk and protective factors, sufficiently so that a treatment plan can be tailored to the areas related to the presenting problems of each EPSDT eligible and their family in order to ensure targeted treatment. The tool should also allow tracking of progress over time. The specific tools and approaches used by each program shall be specified in the program description and are subject to approval by the State. In addition, the program shall ensure that requirements for pretreatment assessment are met prior to treatment commencing.

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For service delivery, the program shall incorporate at least two research-based approaches including either Evidence-Based Practices (EBPs) or ASAM pertinent to the sub-populations of ThGH clients to be served by the specific program. The specific research-based models to be used should be incorporated into the program description and submitted to the State for approval. All research-based programming in ThGH settings must be approved by the State.

Annually, facilities shall submit documentation demonstrating compliance with fidelity monitoring for at least two research-based approaches (e.g., EBP and/or ASAM). The State shall approve the auditing body providing the fidelity monitoring. ThGH facilities may specialize and provide care for sexually deviant behaviors, substance abuse, or dually diagnosed individuals. If a program provides care to any of these categories of populations, the program shall submit documentation regarding the appropriateness of the research-based approaches. For milieu management, all programs should also incorporate some form of research-based, trauma-informed programming and training, if the primary research-based treatment model used by the program does not.

Provider Qualifications: A Therapeutic Group Home shall be nationally accredited and licensed as a mental health center or substance abuse treatment center by the Nebraska Health and Human Services System and may not exceed eight beds unless grandfathered. Practitioners providing substance abuse or mental health services must meet the training requirements outlined by Medicaid and/or its designee, in addition to any required scope of practice license required for the facility or agency to practice in the State of Nebraska. ThGH staff shall be supervised by a licensed psychiatrist or psychologist (supervising practitioner) with experience in the research-based treatments used in the facility. Unlicensed direct care staff includes paraprofessional, master's and bachelor's level staff supervised by a psychologist or psychiatrist. At least 21 hours of active treatment per week for each EPSDT eligible is required to be provided by qualified staff (e.g., having a certification in the EBPs selected by the facility and/or licensed practitioners operating under their scope of practice in Nebraska and meeting ThGH licensure requirements), consistent with each EPSDT eligible client's treatment plan and meeting assessed needs. All staff not licensed shall have provider qualifications meeting at least the following:

- (1) Have a high school diploma or equivalent
- (2) Be 21 years of age and have a minimum of 2 years experience working with children, 2 years education in the human service field or a combination of work experience and education with one year of education substituting for one year of experience
- (3) Complete training according to a curriculum approved by the State prior to providing the service
- (4) Pass child abuse check, Adult abuse registry and motor vehicle screens
- (5) Be certified in: First Aid, CPR, Crisis Prevention / Management
- (6) Understand de-escalation techniques and demonstrate the ability to implement those techniques effectively

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State: Nebraska

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Staffing schedules shall reflect overlap in shift hours to accommodate information exchange for continuity of treatment, adequate numbers of staff reflective of the tone of the unit, appropriate staff gender mix and the consistent presence and availability of professional staff. In addition, staffing schedules should ensure the presence and availability of professional staff on nights and weekends, when parents are available to participate in family therapy and to provide input on the treatment of their EPSDT eligible.

Unit of Service: Day unit for unlicensed direct care staff. A unit of service is defined according to the HCPCS approved code set.

Limitations:

All licensed staff including psychiatrists, psychologists, Licensed Independent Mental Health Practitioners, Licensed Mental Health Practitioners, Provisionally Licensed Mental Health Practitioners, Advanced Practice Registered Nurses, and Licensed Alcohol and Drug Counselors bill for their services separately under the approved State Plan for Other Licensed Practitioners, Item 6d or EPSDT Other Licensed Practitioners. A psychiatrist or psychologist shall be the supervising practitioner and shall provide twenty-four (24) hour, on-call coverage seven (7) days a week. The psychologist or psychiatrist shall see the client at least once, prescribe the type of care provided, and, if the services are not timelimited by the prescription, review the need for continued care every 14 days. Although the psychologist or psychiatrist does not have to be on the premises when his/her client is receiving covered services, the supervising practitioner shall assume professional responsibility for the services provided and assure that the services are medically appropriate. Therapy (individual, group and family, whenever possible) and ongoing psychiatric assessment and intervention (by a psychiatrist) are required of ThGH, but provided and billed separately by licensed practitioners for direct time spent.

ThGHs are located in residential communities in order to facilitate community integration through public education, recreation and maintenance of family connections. The facility is expected to provide recreational activities for all residents but not use Medicaid funding for payment of such non-Medicaid activities.

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ThGHs may not be Institutions for Mental Disease. Each organization owning Therapeutic Group Homes shall ensure that the definitions of institutions are observed and that in no instance does the operation of multiple ThGH facilities constitute operation of an Institution of Mental Disease. All new construction, newly acquired property or facility or new provider organization shall comply with facility bed limitations not to exceed eight beds. Existing facilities may not add beds if the bed total would exceed eight beds in the facility. A waiver up to a maximum of 16 beds may be granted for existing facilities of greater than eight beds at the existing capacity not to exceed 16 beds in the institution until alterations of the existing facility are made. Any physical plant alterations of existing facilities shall be completed in a manner to comply with the eight bed per facility limit (i.e., renovations of existing facilities exceeding eight beds shall include a reduction in the bed capacity to eight beds).

Average Length of stay ranges from 14 days to 6 months. ThGH programs focusing on transition or short-term crisis are typically in the 14 to 30 day range. Discharge will be based on the EPSDT eligible no longer making adequate improvement in this facility (and another facility is being recommended) or the EPSDT eligible no longer having medical necessity at this level of care. Continued ThGH stay should be based on a clinical expectation that continued treatment in the ThGH can reasonably be expected to achieve treatment goals and improve or stabilize the EPSDT eligible client's behavior, such that this level of care will no longer be needed and the EPSDT eligible can return to the community. Transition should occur to a more appropriate level of care (either more or less restrictive) if the EPSDT eligible is not making progress toward treatment goals and there is no reasonable expectation of progress at this level of care (e.g., EPSDT eligible client's behavior and/or safety needs requires a more restrictive level of care, or alternatively, EPSDT eligible client's behavior is linked to family functioning and can be better addressed through a family/home-based treatment).

5. Multisystemic Therapy (MST)

MST is an evidenced based intensive treatment process that focuses on diagnosed behavioral health disorders and on environmental systems (family, school, peer groups, culture, neighborhood and community) that contribute to, or influence a youth's involvement, or potential involvement in the juvenile justice system. The therapeutic modality reinforces positive behaviors, and reduces negative behavior, uses family strengths to promote positive coping activities and helps the family increase accountability and problem solving. Beneficiaries accepting MST receive assessment and home based treatment that strives to change how youth, who are at risk of out-of-home placement or who are returning home from an out of home placement, function in their natural settings to promote positive social behavior while decreasing anti-social behavior.

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MST's therapeutic services aims to uncover and assess the functional origins of adolescent behavioral problems by altering the youth's behavioral health issues in a manner that promotes prosocial conduct while decreasing aggressive/violent, antisocial, substance using or delinquent behavior by keeping the youth safely at home, in school and out of trouble. Treatment is used at the onset of behaviors that could result in (or have resulted in) criminal involvement by treating the youth within the environment that has formed the basis of the problem behavior.

Treatment shall target reducing the severity of the behavioral issue identified as the reason for referral and to support the development of adaptive and functional behaviors.

MST services

(A) Assessment

An Initial Diagnostic Interview (IDI) is a comprehensive assessment that identifies the Clinical need for treatment and the most effective treatment intervention/level of care to meet the medical necessity needs of the client. The IDI is completed prior to service provision and the IDI documentation accompanies the referral information to the rehabilitation program provider. The recommendations of the licensed supervising practitioner following the Initial Diagnostic Interview serves as the treatment plan until the comprehensive treatment plan is developed.

- (B) Treatment
 - i. Youth and families receive individualized, therapy which is available 24 hours a day, seven days a week in the community setting. The MST therapy services is designed to decrease symptoms of the mental health diagnosis, reduce maladaptive referral behaviors and increase pro-social behaviors at home and across the multiple interconnected systems. The interconnected systems include the family, extended family, peers, neighbors, and the community that exists in the youth's world. The positives that are found in these systems are used as leverage for change. MST is an evidence based practice.
 - ii. The family receives family therapy in order to understand and implement how to assist their child based on the child's medical diagnosis."

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(C) Providers

Assessment providers may be any of the following: Physician, Psychiatrist, Psychiatric Advanced Practice Registered Nurse (APRN), Licensed Psychologist, Provisionally Licensed Psychologist, and Licensed Independent Mental Health Practitioner (LIMHP) acting within their scope of practice.

Treatment providers may be any of the following: Physician, Psychiatrist, Psychiatric Advanced Practice Registered Nurse (APRN), Licensed Psychologist, Provisionally Licensed Psychologist, Licensed Independent Mental Health Practitioner (LIMHP), Licensed Mental Health Practitioner (LMHP), and a Provisional Mental Health Practitioner (PLMPH), acting within their scope of practice.

i. Treatment Provider Qualifications:

MST treatment providers at minimum have attained their Master's Degree. Certification for MST is also a requirement, as is being a member of an active MST team. An active MST team requires MST certification of a Clinical Supervisor and at least three MST certified treatment providers working collaboratively with one another using the MST framework as defined by the international MST Services program provided by the State.

ii. Supervision

MST Clinical Supervisors are Physicians, Licensed Psychologists, or Licensed Independent Mental Health Practitioner (LIMHP). The Clinical Supervisors education and licensure requirements equate to that of the treatment providers with the exception of the Clinical Supervisor must have two years of prior experience in practicing psychotherapy.

The clinicians that require supervision include the Provisionally Licensed Psychologist (this licensure must be supervised by a Licensed Psychologist) and the Licensed Mental Health Practitioner (LMHP) and the Provisionally Licensed Mental Health Practitioner, (PLMHP) (Both of the latter two types of providers can be supervised by all assessment providers with the exception of the provisionally licensed psychologist).

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- (D) Client Eligibility Early Periodic Screening, Diagnostic, and Treatment (EPSDT) services are available to all youth under the age of 21 based on medical necessity.
- 6. Functional Family Therapy (FFT)

Functional Family Therapy (FFT) is an evidenced-based family therapy that provides clinical assessment and treatment for the youth and their family to improve communication, problem solving, and conflict management in order to reduce problematic behavior of the youth. It is a short-term treatment strategy that is built on a foundation of respect of individuals, families and cultures.

The services include an emphasis on assessment in understanding the purpose behavior problems serve within the family relationship system, followed by treatment strategies that pave the way for motivating the youth and their families to become more adaptive and successful in their lives.

FFT is designed to improve family communication and supports, while decreasing intense negativity and dysfunctional patterns of behavior. Therapy also includes training parents how to assist their child based on the child's medical diagnosis.

FFT services

(A) Assessment

An Initial Diagnostic Interview (IDI) is a comprehensive assessment that identifies the Clinical need for treatment and the most effective treatment intervention/level of care to meet the medical necessity needs of the client. The IDI is completed prior to service provision and the IDI documentation accompanies the referral information to the rehabilitation program provider. The recommendations of the licensed supervising practitioner following the Initial Diagnostic Interview serves as the treatment plan until the comprehensive treatment plan is developed.

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(B) Treatment

The services the youth and family will receive with FFT include frequent therapy assisting the youth and family in learning and demonstrating the benefits of positive, respectful, strength based relationships. Positive outcomes are anticipated through the therapy which includes conflict resolution and strategies to enhance the relationships within the family. The youth and family will also gain the ability through therapy to extend their acquired competencies into accessing additional resources to prevent relapse as they continue developing their independence.

(C) Providers

Assessment providers may be any of the following: Physician, Psychiatrist, Psychiatric Advanced Practice–Registered Nurse (APRN), Licensed Psychologists, Provisionally Licensed Psychologist and a Licensed Independent Mental Health Practitioner (LIMHP), all acting within their scope of practice.

Treatment providers may be any of the following: Physician, Advanced Practice Registered Nurse (APRN), Licensed Psychologist, Provisionally Licensed Psychologist, Licensed Independent Mental Health Practitioner (LIMHP), Licensed Mental Health Practitioner (LMHP), and a Provisionally Licensed Mental Health Practitioner (PLMHP), acting within their scope of practice.

i. Provider Qualifications

A FFT treatment provider, at a minimum have attained a Master's degree and are a member of an active FFT team. An active FFT team requires FFT certification of a Clinical Supervisor and at least three FFT certified treatment providers working collaboratively with one another using the FFT services as defined by the international FFT Services program provided by the State.

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ii. Supervision

Clinical Supervisors must be Physicians, Licensed Psychologists and/or Licensed Independent Mental Health Practitioner (LIMHP). All Clinical Supervisors must be certified in the FFT model, with experience in the practice of psychotherapy. Licensed Mental Health Practitioners (LMHP), and Provisional Mental Health Practitioners (PLMPH), require supervision.

MST AND FFT PROVIDER RESPONSIBILITIES			
Assessment Providers	Clinical Supervisors	Treatment providers	
Physicians	Physicians	Physicians	
Psychiatric Advanced Practice Nurse (APRN)		Psychiatric Advanced Practice Nurse (APRN)	
Licensed Psychologist	Licensed Psychologist	Licensed Psychologist	
Provisionally licensed Psychologist		Provisionally licensed Psychologist	
Licensed Independent Mental Health Practitioner (LIMHP)	Licensed Independent Mental Health Practitioner (LIMHP)	Licensed Independent Mental Health Practitioner (LIMHP)	
		Licensed Mental Health Practitioner (LMHP)	
		Provisionally Licensed Mental Health Provider (PLMHP)	

(D) Eligibility

Early, Periodic, Screening, Diagnostic and Treatment (EPSDT) services are available without limitation to all individuals under the age of 21 based on medical necessity.

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7. Peer Support:

Peer support is the provision of support by people who have life experience with Mental Health or Substance Use Disorders (SUD) and have been trained to assist others in initiating and maintaining long-term recovery. Peer support is an ancillary service provided in conjunction with individual, group and family therapy. It is designed to improve quality of life for the Medicaid eligible client and their families and increase the Medicaid eligible client resiliency in order to achieve long-term recovery from symptoms related to their mental health/SUD diagnosis. Peer support services are individualized and based on a mutual relationship between the Certified Peer Support Professionals and the Medicaid eligible client, consequently allowing the Medicaid eligible client the opportunity to learn to manage his/her own recovery and advocacy process. The Nebraska Peer Support model incorporates trauma informed care (TIC). Trauma informed care is an organizational structure and treatment framework that involves understanding, recognizing and responding effectively to the effects of all types of trauma. Certified Peer Support Professionals will be expected to have received training on TIC and be able to incorporate that training into their interactions with the clients and their families so as to avoid retraumatizing the client/family. Peer support services may be provided in an outpatient office/clinic, and the client's home and/or community. Certified Peer Support Professionals work closely with the treatment team to assist the client's recovery.

- (A) Categories of Peer Support
 - i. Transition Age Youth (TAY) peer support services are designed to promote positive youth development and provide supportive services to youth and young adults under the age of 21 who are experiencing mental health and substance use issues. TAY includes the following services provided in each identified setting:

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- a) Individual setting: the Certified Peer Support Professionals will: assist clients to set up and sustain self-help groups or locate and joining existing groups; share their experiences, skills, strengths, supports and resources they used in order to show that recovery is achievable; work with the clients and the treatment teams to develop a wellness and recovery plan; assist the clients in determining the steps they need to take in order to achieve the goals identified on the wellness and recovery plan and/or treatment plan; model and teach problem solving techniques; share and explore community resources related to recovery, education, employment; serve as a recovery agent by providing and advocating for any effective recovery based services that will aid the clients in daily living; assist clients in developing empowerment skills and combating stigma through self-advocacy.
- b) Group setting: the Certified Peer Support Professionals will: share their experiences, skills, strengths, supports and resources they used in order to show that recovery is achievable; model and teach problem solving techniques, share and explore community resources related to recovery, education, employment; assist clients in developing empowerment skills and combating stigma through self-advocacy.

Qualified providers: Certified Peer Support Professional

Note: The following list of treatment team members is not meant to be an allinclusive list anyone involved in the Medicaid eligible client's treatment may participate in the treatment team at the consent of the Medicaid eligible client: client, physicians, therapists, family members, and the Certified Peer Support Professionals.

The examples listed above are intended to illustrate services that may be provided under each Medicaid state plan subcomponent service, and are not intended to be prescriptive or limit the services children receive. Additional services beyond those provided as examples above are available to beneficiaries through the Medicaid state plan without limitation.

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- ii. Family Peer Support Services are available to parents/legal guardians of Medicaid eligible children. 17 and younger. The service must be directed exclusively toward the benefit of the Medicaid eligible child. These services are provided by a person who is in recovery from mental illness and/or substance use, a parent of a child with a similar mental illness and/or substance use disorder or an adult with an ongoing and/or personal experience with a family member with a similar mental illness and/or substance use disorder. Family peer support services includes the following services provided in each identified setting:
 - a) Family setting: the Certified Peer Support Professionals will: Work with clients, families, and the treatment teams in developing a wellness and recovery plan; assist the family and the Medicaid eligible client in determining what needs to be done to achieve goals identified on the wellness and recovery plan and/or treatment plan; assist families and the Medicaid eligible client to set up and sustain self-help groups or locate and joining existing groups; share their experiences, skills, strengths, supports and resources with the family in order to show the families and the Medicaid eligible client to model and teach problem solving techniques, share and explore community resources related to recovery, education, and employment; serve as a recovery agent by providing and advocating for any effective recovery based services that will aid the families and the Medicaid eligible client in daily living; and assist families and the Medicaid eligible client in daily living; and assist families and the Medicaid eligible client in daily living; stigma through self-advocacy.
 - b) Group setting: the Certified Peer Support Professionals will: share their experiences, skills, strengths, supports and resources they used to show the families and the Medicaid eligible clients that recovery is achievable; work with families and the Medicaid eligible clients to model and teach problem solving techniques, share and explore community resources related to recovery, education, and employment; and assist families and the Medicaid eligible clients in developing empowerment skills and combating stigma through self-advocacy.

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Qualified providers: Certified Peer Support Professional

Note: The examples listed above are intended to illustrate services that may be provided under each Medicaid state plan subcomponent service, and are not intended to be prescriptive or limit the services children receive. Additional services beyond those provided as examples above are available to beneficiaries through the Medicaid state plan without limitation.

(B) Treatment

The treatment interventions identified below may be utilized by Certified Peer Support Professionals in an individual, family and/or group setting.

- i. Provides person-centered recovery, culturally competent and focused support while helping to ensure the treatment plan reflects the needs and preferences of the Medicaid eligible client.
- ii. Assist the Medicaid eligible client and his/her parent or guardian in implementing the goals and objectives identified by the therapist and client in the treatment plan.
- iii. Assist the Medicaid eligible client and his/her parent or guardian to build confidence and develop skills necessary to enhance and improve the health of the Medicaid eligible client.
- iv. Uses lived experience to assist the Medicaid eligible client in the development of coping skills and problem solving strategies in order to improve his/her self-management of a mental illness and/or substance use disorder.
- v. Assist the Medicaid eligible client and his/her parent or guardian in accessing community resources, for individuals diagnosed with mental illness and/or substance use disorder, to aid in the Medicaid eligible client's recovery.
- vi. Acts as an advocate, mentor, or facilitator for resolution of issues related to the Medicaid eligible client's mental illness and/or substance use disorder.
- vii. Provides Family/Caregiver condition specific training and support to promote consistency for the Medicaid eligible client diagnosed with a mental illness and/or substance use disorder.
- viii. Models recovery and wellness principles that empower the Medicaid eligible client to identify and take actions steps towards his/her own goals

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ATTACHMENT 3.1-A Item 4b, Page 24j Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State: Nebraska LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSTIC AND TREATMENT OF CONDITIONS FOUND

Rehabilitative Services – 42 CFR 440.130(d)

(C) Providers:

Provider Qualifications: All Certified Peer Support Professionals must meet the following criteria:

- i. Be 19 years of age or older;
- ii. Have personal experience as an individual diagnosed with a mental health/substance use disorder; be a parent/caregiver of a child with a similar mental illness and/or substance use disorder; or is an adult with an on-going and/or personal experience with a family member with a similar mental illness and/or substance use disorder;
- iii. Be able to demonstrate personal transformation and resiliency by maintaining sobriety, refraining from illicit drug use, and/or not requiring an inpatient level of treatment within the last year;
- iv. Have a high school diploma or equivalent with a minimum of two years of experience working in the behavioral health field;
- v. Complete a state and/or national training program;
- vi. Obtain state and/or national certification as a Certified Peer Support Professional:
- vii. Maintain state and/or national certification by completing continuing education requirements as identified by the certifying organization; and
- viii. Pass a criminal background check and have no active registry on the abuse/neglect or sex offender registry.

(D) Supervision:

- i. Direct supervision is included in the state's scope of practice act for all supervising licensed providers.
- The supervising practitioners assumes professional responsibility for the ii. services provided by the Certified Peer Support Professional.

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ATTACHMENT 3.1-A Item 4b, Page 24k Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State: <u>Nebraska</u> LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSTIC AND TREATMENT OF CONDITIONS FOUND

Supervision is required at least twice per month for clinical consultation, and the supervisor must be available at all times for telephone consultation. Each supervisor is allowed no more than 6 Certified Peer Support Professionals at one time. Documentation of supervision must be clearly written in the case file. Supervision is not a billable service. The supervising practitioner is required to perform at least one face-to-face contact with the individual within 30 days of the Medicaid eligible client being assigned a Certified Peer Support Professionals and no less frequently than every 60 days thereafter for the purpose of monitoring the Medicaid eligible client's progress towards meeting goals and determining the effectiveness of the peer support interventions. These face-to-face contacts must be documented in the service record.

Supervising providers must be:

- i. Psychiatrist;
- ii. Licensed Psychologist;
- iii. Provisionally Licensed Psychologist;
- iv. Licensed Independent Mental Health Practitioner (LIMHP);
- v. Licensed Mental Health Practitioner (LMHP); Provisionally Licensed Mental Health Practitioner (PLMHP);
- vi. Licensed Alcohol and Drug Counselor (LADC) and the Provisionally Licensed Alcohol and Drug Counselor (PLADC) may supervise Certified Peer Support Professionals providing services to Medicaid eligible clients diagnosed with substance use disorder only.

Qualifications:

- Psychiatrist shall have a doctorate degree in Psychiatry and be practicing within their professional scope and in accordance with Nebraska Revised Statute (NRS) 38-2025.
- LIMHP shall have a Master's degree in psychology, social work, counseling, or marriage & family therapy, and be practicing within their professional scope in accordance with Nebraska Revised Statute (NRS) 38-2113.
- LADC shall have met the requirements for licensure as a provisional alcohol and drug counselor in addition to completion of 6,000 clinical work hours. They must also be practicing within their professional scope in accordance with Nebraska Revised Statute (NRS) 38-311.
- PLADC shall have met the requirements for licensure as a provisional alcohol and drug counselor also be practicing within their professional scope in accordance with Nebraska Revised Statute (NRS) 38-311.

Approval Date November 22, 2017

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ATTACHMENT 3.1-A Item 4b, Page 25 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

PREVENTATIVE SERVICES - EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

Requirements for Preventative Services through EPSDT

Preventive services must:

- 1. Involve direct patient care and
- 2. Be for the express purpose of diagnosing, treating, preventing (or minimizing the adverse effects of) illness, injury, or other impairments to an individual's physical or mental health.

Preventive services are those services recommended by a physician or other licensed practitioner of the healing arts within their scope of practice to prevent disease, disability, and other health conditions or their progression; prolong life; and promote physical and mental health efficiency.

Behavior modification services are preventive services for Autism Spectrum Disorder (ASD) and/or Developmental Disability (DD). These services include day treatment, community treatment aide, and outpatient therapy. These services encompass areas where behavior modification services are provided to clients and their families/caretakers.

These preventive services are provided as part of a comprehensive specialized program available to all Medicaid EPSDT eligible clients with significant functional impairments resulting from an identified ASD and/or a DD diagnosis as defined by Nebraska Revised State Statute §83-1205.

The determination of whether the client reaches the threshold of medical necessity for these preventive services shall be determined by a licensed physician, licensed psychologist or, a licensed independent mental health practitioner (LIMHP), who is acting within the scope of his/her professional license and applicable state law. Medical necessity is to promote the maximum reduction of symptoms of an individual to his/her best age-appropriate functional level according to an individualized treatment plan, which addresses the child's assessed needs.

The activities included in the preventive service are intended to achieve the identified Medicaid eligible client's treatment plan goals or objectives. Components that are not provided to, or directed exclusively toward the treatment of the Medicaid eligible client are not eligible for Medicaid reimbursement. All services are directed exclusively towards the treatment of the Medicaid eligible client.

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ATTACHMENT 3.1-A Item 4b, Page 26 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

PREVENTATIVE SERVICES - EARLY AND PERIODIC SCREENING AND DIAGNOSIS AND TREATMENT OF CONDITIONS FOUND

Treatment Models

Cognitive Behavioral Therapy (CBT) is an action-oriented form of psychosocial therapy that assumes maladaptive, or faulty thinking patterns cause maladaptive behavior and "negative" emotions. For the purposes of the preventive services for clients with ASD and/or developmental disability, outlined in this section, CBT focuses on changing a client's thoughts in order to change their behavior and emotional state.

Comprehensive Behavioral Intervention (CBI) is a service to facilitate therapeutic approaches for clients with ASD and/or DD that include behavior problems. Behavior intervention planning is assessment-based. Interventions address the function and efficiency of the problematic behavior in the least restrictive manner and promote the development of alternative adaptive skills.

Applied Behavioral Analysis (ABA) is the process of systematically applying interventions based upon the principles of learning theory to improve socially significant behaviors, and to demonstrate that the interventions employed are responsible for the improvement in behavior for clients with ASD and/or developmental disabilities.

All three-treatment models may be incorporated into the behavior modification services identified below.

<u>Services</u>

Outpatient Therapy (OP)

OP consists of individual, family and group therapy for the purpose of developing interventions and implementing treatment, based on the recommendations from the Initial Diagnostic Interview (IDI) or the Functional Behavior Assessment (FBA). The purpose of the therapy is to prevent client's further progression of maladaptive behaviors that inhibit the client's ability to interact socially within multiple environments.

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Interventions

- 1. Assessments:
 - a. Initial Diagnostic Interview (IDI) A comprehensive assessment that identifies the clinical need for treatment and the most effective treatment intervention/level of care to meet the medical necessity needs of the client. This interview is completed prior to service provision, and accompanies the referral information to the provider.

The following providers may perform the IDI: Physicians acting within their scope of practice, Licensed Psychologists, Provisionally Licensed Psychologists and Licensed Independent Mental Health Practitioners (LIMHP).

b. Functional Behavior Assessment (FBA) – This assessment is performed if the IDI identifies its necessity. The FBA is an assessment that identifies the purpose or reason for behaviors displayed by clients with ASD and/or developmental disabilities in order to develop effective treatment interventions to meet the medical necessity needs of the client. The FBA is completed prior to service provision, and the FBA documentation accompanies the referral information to the provider.

The following providers may perform the FBA: Board Certified Behavior Analysts (BCBA), Licensed Psychologists, Provisionally Licensed Psychologists and Licensed Independent Mental Health Practitioners (LIMHP).

2. Treatment

The treatment interventions identified below may be utilized by providers of, CBT, CBI, ABA and family therapy.

- a. Teaches clients socially acceptable behaviors via modeling, prompting, roleplaying and reinforcing of appropriate behaviors.
- b. Provides Family/Caregiver training of acceptable behaviors via modeling, prompting, roleplaying, and reinforcing appropriate behaviors to promote consistency for the Medicaid eligible client.

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Providers

 The following providers may provide treatment: Licensed Psychologist, Provisionally Licensed Psychologists, Licensed Independent Mental Health Practitioners (LIMHP), Licensed Mental Health Practitioners (LMHP), and Provisionally Licensed Mental Health Practitioners (PLMHP). Board Certified Behavior Analysts (BCBA) may strictly provide outpatient assessment and treatment as part of ABA services only.

2. Qualifications

- a. Licensed Psychologists and Provisionally Licensed Psychologists shall have a doctoral degree in psychology, social work, child development or related field and the equivalent of one year of full-time work experience in direct child/adolescent services, ASD and/or DD services.
- b. Licensed and Provisionally Licensed Mental Health Practitioners shall have a master's degree in psychology, social work, child development or related field and the equivalent of one year of full-time work experience in direct child/adolescent services, ASD and/or DD services.
- c. BCBA's shall have a master's degree in behavior analysis and be board certified by the Behavior Analyst Certification Board.

3. Supervision

Supervising practitioners shall be a Psychiatrist, Psychologist and/or a LIMHP. The supervising practitioner shall assume professional responsibility for the services provided and assure that the services are medically appropriate. BCBA's, strictly providing Applied Behavioral Analysis, do not require supervision.

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Community Treatment Aide (CTA)

CTA services are supportive interventions provided primarily in the client's natural environment. Natural environment primarily is the client's home but may also include a foster home, school, worksite or other appropriate community locations conducive for the delivery of CTA services per the service definition. CTA services are designed to assist the client with compensating for, or eliminating functional deficits and interpersonal and or environmental barriers associated with the deficits.

Interventions

- 1. Teach the client appropriate social and relationship skills through training and educating various methods of improving the functional deficits.
- 2. Prompting the client when positive responses of emotional management are identified.
- 3. Prompting the client when an emotional management change is necessary and demonstrating an appropriate method from which the client can duplicate.
- 4. Modeling acceptable behaviors and assisting the client through verbal cues, if necessary to demonstrate the same.
- 5. Role-play scenarios with the client using a variety of appropriate techniques in managing behavior.
- 6. Family/Caregiver training to reinforce the interventions the child is receiving to promote consistency.

Providers

- 1. The following providers may perform CTA services:
 - a. Unlicensed direct care staff

This provider shall have a bachelor's degree in psychology, social work, child development or related field and the equivalent of one year of full-time work experience or graduate studies in direct child/adolescent services, ASD and/or DD services, or a high school degree and two years post high school education in the human services field with two years full time work experience in direct child/adolescent services or ASD and/or DD services.

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- b. Board Certified assistant Behavioral Analyst (BCaBA) This provider shall have a bachelor's degree in psychology, social work, child development or related field and the equivalent of one year of full-time work experience or graduate studies in direct child/adolescent services, ASD and/or DD services. The provider must meet the certification qualifications of the Behavior Analyst Certification Board.
- c. Registered Behavioral Technician (RBT)

This provider shall have a bachelor's degree in psychology, social work, child development or related field and the equivalent of one year of full-time work experience or graduate studies in direct child/adolescent services, ASD and/or DD services, or a high school degree and two years post high school education in the human services field with two years full time work experience in direct child/adolescent services or ASD and/or DD services. The provider must meet the certification qualifications of the Behavior Analyst Certification Board.

2. Supervision

All CTA providers shall be supervised by a Physician, Psychologist, Advanced Practice Registered Nurse (APRN), and/or a Licensed Independent Mental Health Practitioner (LIMHP) with experience regarding this specialized ASD and/or (DD) service. The RBT and the BCaBA must be supervised by a BCBA.

Day Treatment

Day Treatment is a community based, coordinated set of individualized treatment services to meet the needs of individuals with ASD and/or DD. Day treatment provides preventive structured skill building activities that lead to an attainment of specific goals, through the development and implementation of treatment interventions designed to meet the client's needs as identified within the IDI and/or FBA.

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Interventions

- 1. Teaching the client appropriate social and relationship skills through group and individual training on various methods of improving the client's functional deficits.
- 2. Prompting the client when positive responses of emotional management are identified.
- 3. Prompting the client when an emotional management change is necessary and demonstrating an appropriate method from which the client can duplicate.
- 4. Modeling acceptable behaviors and assisting the client through verbal cues, if necessary to demonstrate the same.
- 5. Role-play scenarios with the client using a variety of appropriate techniques in managing behavior.

Providers

- 1. The following providers may perform day treatment services: BCaBA, RBT and/or unlicensed direct care staff.
- 2. Qualifications
 - a. Board Certified assistant Behavioral Analyst (BCaBA)
 - This provider shall have a bachelor's degree in psychology, social work, child development or related field and the equivalent of one year of full-time work experience or graduate studies in direct child/adolescent services, ASD and/or DD services. The provider must meet the certification qualifications of the Behavior Analyst Certification Board.
 - b. Registered Behavioral Technician (RBT)

This provider shall have a bachelor's degree in psychology, social work, child development or related field and the equivalent of one year of full-time work experience or graduate studies in direct child/adolescent services, ASD and/or DD services, or a high school degree and two years post high school education in the human services field with two years full time work experience in direct child/adolescent services or ASD and/or DD services. The provider must meet the certification qualifications of the Behavior Analyst Certification Board.

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c. Unlicensed direct care staff

This provider shall have a bachelor's degree in psychology, social work, child development or related field and the equivalent of one year of full-time work experience or graduate studies in direct child/adolescent services, ASD and/or DD services, or a high school degree and two years post high school education in the human services field with two years full time work experience in direct child/adolescent services or ASD and/or DD services.

3. Supervision

All Day treatment providers shall be supervised by a Physician, Psychologist, Advanced Practice Registered Nurse (APRN), and/or a Licensed Independent Mental Health Practitioner (LIMHP) with experience regarding this specialized ASD and/or (DD) service. The RBT and the BCaBA must be supervised by a BCBA.

<u>Telehealth</u>

Behavior modification services provided through telehealth technologies, excluding services requiring "hands on" professional care.

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Nutrition Services

Medical Nutrition Therapy for EPSDT clients:

Medical Nutritional Therapy (MNT) is the assessment, intervention and counseling provided by a medical nutrition practitioner when prescribed by a physician or nurse practitioner. MNT is done for the purpose of managing the nutritional needs of clients whose nutritional status affects their health and medical conditions. MNT is available to Medicaid eligible clients who are 20 years of age and younger as part of the EPSDT program.

Referral: Medical Nutritional Therapy is available only with a physician or nurse practitioner referral. Therapies will be in accordance with currently accepted dietary and nutritional protocols.

MNT services:

1. Assessment

A nutritional assessment is done by a child's primary care provider as part of an EPSDT screening. The diagnostic finding from the exam must indicate a nutritional problem or condition of such severity that nutritional counseling beyond that normally expected as part of the standard medical management is warranted.

2. Intervention

Assessment information is used to develop a plan to prevent, improve, or resolve identified nutritional problems.

3. Counseling

- a. Clients/caregivers receive individual counseling to explain the nutritional assessment and the implementation of a plan of nutritional care. Caregivers may only receive services when for the direct benefit of the child, and when the child is present.
- b. Clients/caregivers receive individual counseling to develop a plan to address identified nutritional problems based on the health objectives, resources, and capacity of the child/caregiver. Caregivers may only receive services when for the direct benefit of the child, and when the child is present.
- c. Clients/caregivers receive group counseling. Caregivers may only receive services when for the direct benefit of the child, and when the child is present.

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4. Providers

- a. Be a currently licensed medical nutritional therapist in the State of Nebraska.
- b. Act within their scope of practice.

Provider Qualifications: Providers must be licensed to practice medical nutrition therapy pursuant to the Uniform Credentialing Act and hold a current license issued by the Nebraska Department of Health and Human Services Division of Public Health.

- 5. Client Eligibility
 - a. Be 20 years of age or younger
 - b. Be at risk due to a nutritional need that affects client's health and medical condition.
 - c. All individuals under 21 years old can receive services based on medical necessity in accordance with EPSDT statute in 1905(r) of the Social Security Act.

Lactation Counseling Services as provided through EPSDT

Lactation counseling services are intended for children in the post-partum period and their mothers who need help with breastfeeding. Services may be sought for difficulties such as inadequate milk supply, poor milk extraction, poor weight gain, nipple and breast pain, breast infections, and engorgement.

1. Services

Comprehensive lactation counseling must include the following:

- a. A face-to-face encounter with the mother and child lasting a minimum of thirty minutes
- b. Comprehensive maternal, infant and feeding assessment related to lactation
- c. Interventions at a minimum:
 - i. Observation of mother and child during breastfeeding
 - ii. Instruction in positioning techniques and proper latching to the breast
 - iii. Counseling in nutritive suckling and swallowing, milk production and release, frequency of feedings and feeding cues, expression of milk and use of pump if indicated, assessment of infant nourishment and reasons to contact a health care provider
- d. Information on community supports such as Women, Infant and Children (WIC)
- e. Evaluation of outcomes from interventions

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2. Limitations

Lactation counseling services is primarily intended for children age birth through ninety days postpartum or ninety days corrected for gestational age; however, it may be available to children up to age 21 when medically necessary. There is a limit of five counseling sessions per child, and each session can last up to ninety minutes. In accordance with Section 1905(r) of the Social Security Act this service limit may be exceeded based on medical necessity.

- 3. Providers
 - a. The following providers may provide all lactation counseling services: Physician, Nurse Practitioner (NP), Physician Assistant (PA), Midwife (MW), and Registered Nurse (RN)
 - b. Qualifications
 - i. Certified as an International Board Certified Lactation Consultant (IBCLC)

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SCHOOL-BASED SERVICES Covered Under EPSDT:

School-based services are provided by school districts, educational service units (ESUs), and approved cooperatives providing special education and related services to Medicaid eligible beneficiaries' birth to 21 years of age enrolled in Nebraska Medicaid. The service(s) must be defined as medically necessary, must be referred or prescribed by a physician, physician's assistant, or certified nurse practitioner, and documented in the Individualized Education Plan (IEP) or an Individual Family Service Plan (IFSP). Nebraska school districts, ESUs, and approved cooperatives providing special education and related services are enrolled in Nebraska Medicaid as the qualified providers of services. Direct services must be delivered by qualified provider types, as identified below, in a school setting.

The Educational Service Units (ESU's) are a public authority legally constituted within a State for administrative direction and to perform a service functions of public elementary and secondary schools for a combination of school districts or counties that is recognized in a State as an administrative agency for its public elementary or secondary schools.

Free Choice of Providers: Free choice of providers is available to the member. Providers not under contract or employed by a school district, ESU, or approved cooperative can provide services if they are qualified and willing to do so.

Qualified Providers: A qualified health care professional is defined as an individual who is registered, certified or licensed by the Department of Public Health as a health care professional who acts within the profession's scope of practice. In the absence of state regulations, a qualified health care professional must be registered or certified by the relevant national professional health organization and must be allowed to practice if the provider is qualified per State Law.

Medical Transportation Services (42 CFR 440.170(a))

Definition:

Provide transportation to and from where a Medicaid covered service is received. Transportation must be provided on the same date of service that a Medicaid-covered service is received. The point of origination and termination must be at the school. Limitations:

Medical Transportation Services must be provided by a school district employee. Transportation services must be provided on a specially adapted school vehicle.

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Provider Qualifications:

Provider personnel (bus driver, attendant, etc.) must be employed by or under contract with the school district, ESU, or approved cooperatives providing special education and related services. Nebraska school districts, ESUs, and approved cooperatives providing special education and related services must be enrolled in Nebraska Medicaid as the qualified providers of services

Mental Health and Substance Use Disorder Services (42 CFR 440.130(d))

Definition:

Mental Health and Substance Use Disorder services are available when medically necessary and documented in the IEP or IFSP. Mental Health and Substance Use Disorder services include: psychotherapy services, psychological testing, Applied Behavioral Analysis, substance use services, assessment and referral needs for specific counseling services, and evaluation.

Provider Qualifications:

Psychological services may be provided by: Physician, Licensed Psychologist within their scope of practice in State law, Licensed Independent Mental Health Practitioner (LIMHP) within their scope of practice in State law, Licensed Mental Health Practitioner (LMHP) within their scope of practice in State law, Licensed Alcohol and Drug Counselor (LADC) within their scope of practice in State law for substance use services only, Provisionally Licensed LADC for substance use only within their scope of practice in State law,

Provisionally Licensed Psychologist within their scope of practice in State law, Provisionally Licensed Mental Health Practitioner (PLMHP) within their scope of practice in State law, Board Certified Behavioral Analyst, Board Certified Assistant Behavioral Analyst, and Registered Behavior Technician.

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Provisionally Licensed Psychologist

(This license applies only to persons earning experience in Nebraska towards the Psychology license.)

- 1. Have a doctoral degree in psychology that meets the standards of accreditation adopted by the American Psychological Association (APA) or evidence to demonstrate equivalency to APA.
- 2. Have completed a 1-year APA accredited internship or equivalent.
- 3. Have a designated supervisor who is a Nebraska licensed psychologist.

Provisionally Licensed Mental Health Practitioner

(This license applies only to persons earning experience in Nebraska towards the LMHP/LIMHP)

- 1. Have received at least a master's degree that consists of course work and training which was primarily therapeutic mental health in content and included a practicum or internship and was from an approved educational program.
- 2. Have a designated supervisor (LMHP, LIMHP, licensed psychologist or licensed physician).

Provisional Alcohol and Drug Counselor:

- 1. Has a High School Diploma or GED or College Degree.
- Completed 270 clock hours of education (workshops, seminars, institutes, college/university coursework) related to the knowledge and skills of alcohol and drug counseling.
- 3. Completed supervised practical training, which includes performing a minimum of 300 hours in the 12 core functions and no single function performed less than 10 hours. (LADC, LMHP, LIMHP, licensed psychologist or licensed physician)

Registered Behavior Technician.

- 1. This provider shall have a bachelor's degree in psychology, social work, child development or related field.
- 2. The equivalent of one year of full-time work experience or graduate studies in direct child/adolescent services, ASD and/or DD services, or a high school degree and two years post high school education in the human services field with two years full time work experience in direct child/adolescent services or ASD and/or DD services.
- 3. The provider must meet the certification qualifications of the Behavior Analyst Certification Board.

Board Certified Behavioral Analyst

- 1. This provider shall have a master's degree in behavior analysis
- 2. Be board certified by the Behavior Analyst Certification Board.

Board Certified assistant Behavioral Analyst (BCaBA)

- 1. This provider shall have a bachelor's degree in psychology, social work, child development or related field
- 2. The equivalent of one year of full-time work experience or graduate studies in direct child/adolescent services, ASD and/or DD services.
- 3. The provider must meet the certification qualifications of the Behavior Analyst Certification Board.

Service	Subcomponent	Provider
Behavioral Health Services: Services offered to help treat mental health and substance use disorder as it affects learning and the learning environment.		
	Individual Therapy: Individual psychotherapy is therapeutic encounters between the licensed clinician and the individual for the purposes of treating a mental health /youth substance use disorder through scheduled therapeutic visits. The focus of individual therapy is to improve or alleviate symptoms that may significantly interfere with functioning.	Licensed Psychologist, Provisionally Licensed Psychologist, Licensed Independent Mental Health Practitioner, Licensed Mental Health Practitioner, Provisionally Licensed Mental Health Practitioner

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Group Therapy: Group therapy is the treatment of psychiatric/substance use disorders through scheduled therapeutic visits between the therapist and the Medicaid eligible individuals in the context of a group setting including participants with a common goal. The focus of group therapy is to improve an individual's ability to function as well as alleviate symptoms that may significantly interfere with their interpersonal functioning. Group therapy will provide active treatment for a primary DSM (current edition) diagnosis. The goals, frequency, and duration of group treatment will vary according to individual needs and response to treatment.	Licensed Psychologist, Provisionally Licensed Psychologist, Licensed Independent Mental Health Practitioner, Licensed Mental Health Practitioner, Provisionally Licensed Mental Health Practitioner
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Family Therapy: Family therapy is for the treatment of mental health and substance use disorders (youth only) through scheduled therapeutic visits between the therapist, the individual, and the nuclear or the extended family. The specific objective of treatment shall be to alter the family system to increase the functional level of the identified individual and family by focusing services/interventions on the systems within the family unit. This therapy is typically provided with the family members and the identified individual. Counseling services to the beneficiary's family and significant others is for the direct benefit of the beneficiary's needs and treatment goals identified in the beneficiary's treatment plan, and for the purpose of assisting in the beneficiary's recovery.	Licensed Psychologist, Provisionally Licensed Psychologist, Licensed Independent Mental Health Practitioner, Licensed Mental Health Practitioner, Provisionally Licensed Mental Health Practitioner
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Psychological Testing: Psychological testing involves the culturally and linguistically competent administration and interpretation of standardized tests to assess an individual's psychological or	Licensed Psychologist, Provisionally Licensed Psychologist
tests to assess an individual's psychological or cognitive functioning.	

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LIMITATIONS – EARLY AND PERIODIC SCREENING AND DIAGNOSTIC AND TREATMENT OF CONDITIONS FOUND

	Apparent and Deferred for	Develoion Develoion
Behavior modification is an EPSDT service that seeks to identify maladaptive behaviors in order to replace those behaviors with socially acceptable behaviors through the use of counseling modalities and behavioral training which may involve	Assessment and Referral for counseling services (Initial Diagnostic Interview)	Physician, Physician Assistant, APRN, Licensed Psychologist, Provisionally Licensed Psychologist, Licensed Independent Mental Health Practitioner Licensed Psychologist, Provisionally Licensed Psychologist, Board Certified Behavioral Analyst, Board Certified Assistant Behavioral Analyst, Registered Behavior Technician
of counseling modalities and behavioral training		
 Improve socially significant behaviors. 		

TN NO. <u>NE 17-0005</u> Supersedes TN No. <u>New Page</u>

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Substance Use Service: Services used to assess an individual's substance use and provide treatment for individuals diagnosed with a substance use disorder as it affects learning and the learning environment.		
	Substance use assessment: Screening and assessment for indicators of substance use for which a treatment plan is developed.	Licensed Psychologist, Provisionally Licensed Psychologist, Licensed Independent Mental Health Practitioner, Licensed Mental Health Practitioner, Provisionally Licensed Mental Health Practitioner, Licensed Alcohol and Drug Counselor, Provisionally Licensed Alcohol and Drug Counselor
	Individual Therapy: Individual psychotherapy is therapeutic encounters between the licensed clinician and the individual for the purposes of treating a mental health /youth substance use disorder condition through scheduled therapeutic visits. The focus of therapy is to improve or alleviate symptoms that may significantly interfere with functioning.	Licensed Psychologist, Provisionally Licensed Psychologist, Licensed Independent Mental Health Practitioner, Licensed Mental Health Practitioner, Provisionally Licensed Mental Health Practitioner, Licensed Alcohol and Drug Counselor, Provisionally Licensed Alcohol and Drug Counselor

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Family Therapy: Family Therapy is for the treatment of substance use disorders (youth only) through scheduled therapeutic visits between the therapist, the individual, and the nuclear or the extended family. The specific objective of treatment shall be to alter the family system to increase the functional level of the identified individual and family by focusing services/interventions on the systems within the family unit. This therapy is typically provided with the family members and the identified individual. Counseling services to the beneficiary's family and significant others is for the direct benefit of the beneficiary, in accordance with the beneficiary's needs and treatment goals identified in the beneficiary's treatment plan, and for the purpose of assisting in the beneficiary's recovery.	Licensed Psychologist, Provisionally Licensed Psychologist, Licensed Independent Mental Health Practitioner, Licensed Mental Health Practitioner, Licensed Alcohol and Drug Counselor, Provisionally Licensed Alcohol and Drug Counselor

Telehealth:

Rehabilitative services are covered when provided via telehealth technologies subject to the limitations set forth in state regulations.

TN NO. <u>NE 17-0005</u> Supersedes TN No. <u>New Page</u>

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State: <u>Nebraska</u> LIMITATIONS- EARLY AND PERIODIC SCREENING AND DIAGNOSTIC AND TREATMENT OF CONDITIONS FOUND

Nursing Services

Definition:

Nursing services are available when medically necessary and documented in an IEP or IFSP. Nursing services are provided through direct intervention. Direct nursing service interventions are within the scope of professional practice of the Registered Nurse (RN) or Licensed Practical Nurse (LPN) and must occur during a face-to-face encounter.

Limitations:

Nursing services considered stand-by in nature are not covered.

Provider Qualifications:

Nursing services may be provided by a RN as licensed by the state, a LPN as licensed by the state, health technician or health paraprofessional under the supervision of a licensed RN. Provider personnel must be employed by or under contract with the school district, ESU, or approved cooperatives providing special education and related services. Nebraska school districts, ESUs, and approved cooperatives providing special education and related services must be enrolled in Nebraska Medicaid as the qualified providers of services. The educational requirements and the licensure requirements are as follows:

- I. RN: two years to fours year of education at a college or university. The education requirement of two years results in a diploma. The education requirement of four years results in a bachelor's degree. Must be licensed by the state.
- II. LPN: nine months to one year of education, graduate from a practical nursing program. Must always be under the supervision of an RN, and be licensed by the state.
- III. Health Technician or Health Paraprofessional: must be 19 years of age and work under the supervision of a RN.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State: <u>Nebraska</u> LIMITATIONS – EARLY AND PERIODIC SCREENING AND DIAGNOSTIC AND TREATMENT OF CONDITIONS FOUND

Physical Therapy Services (42 CFR 440.110(a)

Definition:

Physical therapy services are services available when medically necessary and documented in the IEP or IFSP. They are provided by or directed by a licensed physical therapist. Physical Therapy Services are provided in accordance with regulations at 42 CFR 440.110(a).

Provider Qualifications:

Physical therapy services must be provided by a licensed physical therapist, licensed physical therapy assistant or paraprofessional under the supervision of licensed physical therapist. Providers must be employed by or under contract with the school district, ESU, or approved cooperatives providing special education and related services. Nebraska school districts, ESUs, and approved cooperatives providing special education and related services must be enrolled in Nebraska Medicaid as the qualified providers of services.

Telehealth:

Physical Therapy services are covered when provided via telehealth technologies subject to the limitations set forth in state regulations.

Occupational Therapy Services (42 CFR 440.110(b) Definition:

Occupational therapy services are services available when medically necessary and documented in the IEP or IFSP. They are provided by or directed by a licensed occupational therapist. Occupational Therapies are provided in accordance with 42CFR 440.110(b).

Provider Qualifications:

Occupational therapy services must be provided by a licensed occupational therapist, licensed occupational therapy assistant, or a paraprofessional under the supervision of a licensed occupational therapist. Provider personnel must be employed by or under contract with the school district, ESU, or approved cooperatives providing special education and related services. Nebraska school districts, ESUs, and approved cooperatives providing special education and related services. Nebraska school districts must be enrolled in Nebraska Medicaid as the qualified providers of services.

Telehealth:

Occupational Therapy services are covered when provided via telehealth technologies subject to the limitations set forth in state regulations.

TN NO. <u>NE 17-0005</u> Supersedes TN No. <u>New Page</u>

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State: <u>Nebraska</u> LIMITATIONS – EARLY AND PERIODIC SCREENING AND DIAGNOSTIC AND TREATMENT OF CONDITIONS FOUND

Services for Individuals with Speech, Hearing, and Language Disorders

Definition:

Speech, language, and hearing services are available when medically necessary and documented in the IEP or IFSP. They are provided by or directed by a Speech Language Pathologist or Audiologist or under the direction of a Speech Language Pathologist or Audiologist. Services for individuals with speech, hearing, and language disorders are provided in accordance with regulations at 42 CFR 440.110(c).

Provider Qualifications:

Speech, language, and hearing services must be provided by a currently licensed speech pathologist, a currently licensed audiologist or a paraprofessional under the supervision of a licensed speech pathologist. Provider personnel must be employed by or under contract with the school district, ESU, or approved cooperatives providing special education and related services. Nebraska school districts, ESUs, and approved cooperatives providing special education and related services must be enrolled in Nebraska Medicaid as the qualified providers of services.

Telehealth:

Services for Individuals with Speech, Hearing, and Language Disorders are covered when provided via telehealth technologies subject to the limitations set forth in state regulations.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State: <u>Nebraska</u> LIMITATIONS – EARLY AND PERIODIC SCREENING AND DIAGNOSTIC AND TREATMENT OF CONDITIONS FOUND

Personal Care Services (42 CFR 440.167)

Definition:

Personal assistance services are tasks to assist with Activities of Daily Living (ADLs), intended to supplement the child's own personal abilities and resources and documented in the IEP or IFSP. Personal Care Services are provided in accordance with regulations at 42 CFR 440.167.

- i. Basic personal hygiene;
- ii. Toileting/bowel and bladder care;
- iii. Mobility and transfers;
- iv. Assistance with self-administered medications; and
- v. Assistance with food, nutrition, and diet activities.

These services are provided by paraprofessionals.

Limitations:

Supervision, which provides for a person to be present without specific tasks to be completed, is not allowed.

Provider Qualifications:

Personal assistance providers must be age 19 or older. Provider personnel must be employed by or under contract with the school district, ESU, or approved cooperatives providing special education and related services. Nebraska school districts, ESUs, and approved cooperatives providing special education and related services must be enrolled in Nebraska Medicaid as the qualified providers of services.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State: <u>Nebraska</u> LIMITATIONS – EARLY AND PERIODIC SCREENING AND DIAGNOSTIC AND TREATMENT OF CONDITIONS FOUND

Optometrist Services (42 CFR 440. 60)

Optometrist services: Services furnished by an Optometrist are covered in accordance with their scope of practice within the state. These services are to be documented in the IEP or IFSP.

Telehealth:

Other Licensed Practitioner services are covered when provided via telehealth technologies subject to the limitations set forth in state regulations.

ATTACHMENT 3.1-A Item 5, Page 1 of 3 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS - PHYSICIANS SERVICES

PAYMENT RESTRICTION-DRUGS AND MEDICAL PROCEDURES:

Payment may not be authorized for any drugs or medical procedures which may be considered experimental or which are not generally employed by the medical profession. Payment may not be authorized for:

Reversal of tubal ligation; Reversal of vasectomy; or Sex change operations.

INFLUENZA INJECTIONS IN NURSING HOMES:

As the services of a nurse to give injections are included in the compensation of ICF-I Nursing Homes, no remuneration will be paid to a physician giving influenza injections in these facilities.

ABORTIONS:

Payment for abortions under the Nebraska Medical Assistance Program is limited to those abortions for which FFP is currently available. Therapeutic abortions are covered only in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed; therapeutic abortions are also covered in cases of rape or incest.

PSYCHIATRIC SERVICES:

Prior authorization is not required for medically necessary outpatient psychotherapy services. Testing and evaluations must be performed by a licensed psychologist or supervised by a licensed psychologist. NMAP does not cover mileage and conference fees for home-based family therapy providers of outpatient psychiatric services for individuals age 21 and older.

TN No. 10-03

Supersedes

Approval Date AUG 25 2010 Effective Date APR 06 2010

TN No. <u>MS-00-06</u>

HCFA ID: 7986E

State: Nebraska

LIMITATIONS - PHYSICIANS SERVICES

Transplants:

NMAP covers medical transplants including donor services that are medically necessary and defined as non-experimental by Medicare. If no Medicare policy exists for a specific type of transplant, the appropriate staff in the Medicaid Division shall determine whether the transplant is medically necessary and non-experimental.

Not withstanding any Medicare policy on liver or heart transplants, the Nebraska Medical Assistance Program covers liver or heart transplantation when the written opinions of two physicians specializing in transplantation state that -

- 1. No other therapeutic alternatives exist; and
- 2. The death of the patient is imminent.

NMAP requires prior authorization of all transplant services before the services are provided.

NMAP covers medically necessary services for the NMAP-eligible donor to an NMAP-eligible client. The services must be directly related to the transplant.

NMAP covers laboratory tests for NMAP-eligible prospective donors. The tests must be directly related to the transplant.

NMAP covers medically necessary services for the NMAP-ineligible donor to an NMAP-eligible client. The services must be directly related to the transplant and must directly benefit the NMAP transplant client. Coverage of treatment of complications is limited to those that are reasonably medically foreseeable.

NMAP covers laboratory tests for NMAP-ineligible prospective donors that directly benefit the NMAP transplant client. The tests must be directly related to the transplant.

NMAP does not cover services provided to an NMAP-ineligible donor that are not medically necessary or that are not directly related to the transplant.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-95-13</u>

ATTACHMENT 3.1-A Item 5, Page 3 of 3 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS - PHYSICIANS SERVICES

TOBACCO CESSATION COUNSELING

NMAP covers up to two tobacco cessation sessions in a 12-month period. A tobacco cessation session includes (a) visits to the primary practitioner for evaluation, particularly for any contraindications for drug product(s) and to obtain prescription(s) if tobacco cessation products are needed, and (b) up to a total of four tobacco cessation counseling visits with a physician, licensed nurse practitioner or pharmacist tobacco cessation counselor. These visits may be a combination of intermediate and intensive counseling. All limits may be exceeded based on medical necessity.

Telehealth:

Physicians services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

TN No. <u>NE-16-0006</u> Supersedes TN No. <u>NE-08-14</u>

Approval Date <u>SEP 16 2016</u>

Effective Date <u>JUL 01 2016</u>

ATTACHMENT 3.1-A Item 6a Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX-OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - PODIATRISTS' SERVICES

NMAP covers medically necessary podiatry services within the scope of the podiatrists' licensure and within NMAP program guidelines.

ORTHOTIC DEVICES AND ORTHOTIC FOOTWEAR: NMAP covers orthotic devices, orthopedic footwear, shoe corrections, and other items for the feet if medically necessary for the client's condition.

PALLIATIVE FOOT CARE: Palliative foot care includes the cutting or removal of corns or callouses; the trimming of nails; other hygienic and preventive maintenance care or debridement, such as cleaning and soaking the feet and the use of skin creams to maintain the skin tone of both ambulatory and non-ambulatory clients; and any services performed in the absence of localized illness, injury, or symptoms involving the foot. Coverage of palliative footcare is limited to one treatment every 90 days for non-ambulatory clients and one treatment every 30 days for ambulatory clients.

Telehealth:

Podiatrists' services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended. Services requiring "hands on" professional care are excluded.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-95-13</u>

Approval Date Mar 16 2001

Effective Date Jul 1 2000

State Nebraska

LIMITATIONS - OPTOMETRISTS' SERVICES

See Item 12d.

Telehealth:

Optometrists' services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended. Services requiring "hands on" professional care, such as eyeglass fittings, are excluded.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-90-14</u>

Approval Date Mar 16 2001 E

Effective Date Jul 1 2000

ATTACHMENT 3.1-A Item 6c Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - CHIROPRACTIC SERVICES

Nebraska Medicaid limits coverage of chiropractic services to:

- 1. Certain spinal x-rays;
- 2. Manual manipulation of the spine;
- 3. Certain evaluation and management services;
- 4. Traction;
- 5. Electrical stimulation;
- 6. Ultrasound; and
- 7. Certain therapeutic procedures, activities, and techniques designed and implemented to improve, develop, or maintain the function of the area treated.

The following guidelines outline the maximum number of treatments Medicaid may consider for payment:

- 1. For clients age 21 and older, chiropractic treatment is limited to those treatments deemed medically necessary;
- 2. For clients age 20 and younger, chiropractic treatment is limited to those treatments deemed medically necessary; and
- 3. No more than one treatment per client per day is covered.

Coverage of spinal x-rays is limited to one set of spinal x-rays for a client in a twelve-month period.

State Nebraska

LIMITATIONS - OTHER PRACTITIONERS SERVICES

MENTAL HEALTH/SUBSTANCE ABUSE PRACTITIONERS

The following licensed mental health and substance abuse practitioners who are licensed in the State of Nebraska to diagnose and treat mental illness or substance abuse acting within the scope of all applicable state laws and their professional license may be enrolled as an individual provider of mental health/substance abuse services. The following individuals are licensed to practice independently and may act as a supervising practitioner:

- Licensed psychologist
- Licensed Independent Mental Health Practitioner (LIMHP)

The following individuals are licensed to practice under supervision or direction:

• Licensed Mental Health Practitioner (LMHP)

Supervision must be provided by a person who is eligible to provide Medicaid services and who is licensed at the clinical level under State law as eligible to provide supervision or is a physician.

The following individuals who are licensed to practice in the State of Nebraska and treat mental illness or substance abuse, acting within the scope of all applicable state laws and their professional license, may be enrolled as a provider of mental health/substance abuse services. These individuals may not act as a supervising practitioner.

• Advanced Practice Registered Nurses (APRN-NP) with a specialty in mental health or family practice nursing.

All services have an initial authorization level of benefit. Prior authorization is required prior to service delivery for medically necessary outpatient psychotherapy services which exceed the limitation of the initial authorization. All services provided while a person is a resident of an IMD are considered content of the institutional service and not otherwise reimbursable by Medicaid.

A unit of service is defined according to the CPT and HCPCS approved code set unless otherwise specified.

Testing and evaluations must be performed by a licensed clinical psychologist or supervised by a licensed psychologist.

NMAP does not cover mileage and conference fees for home-based family therapy providers of outpatient psychiatric services for individuals age 21 and older.

Telehealth:

Services provided by licensed mental health and substance abuse practitioners via telehealth technologies are covered subject to the limitations as set forth in state regulations.

TN No.	<u>MS-08-07</u>
Supersedes	
TN No.	<u>MS-00-06</u>

Approval Date Jun 03 2010 Effective Date Oct 01 2008

State Nebraska

LIMITATIONS - OTHER PRACTITIONERS SERVICES

CERTIFIED REGISTERED NURSE ANESTHETISTS

The Nebraska Medical Assistance Program covers the services of certified registered nurse anesthetists (CRNAs) and anesthesia assistants (AAs), for services provided on or after August 1, 1989.

A certified registered nurse anesthetist is a registered nurse who is licensed by the Department of Health and Human Services Regulation and Licensure and is currently certified by the Council on Certification of Nurse Anesthetists or Council on Recertification of Nurse Anesthetists, or has graduated since August 1987 from a nurse anesthesia program that meets the standards of the Council on Accreditation of Nurse Anesthesia Educational Programs and is awaiting initial certification.

An anesthesia assistant is a person who is allowed by state law to administer anesthesia and who has successfully completed a six-year program for AA's, of which two years consist of specialized academic and clinical training on anesthesia.

Telehealth:

Services provided by CRNAs and AAs via telehealth technologies are covered subject to the limitations as set forth in state regulations, as amended. Services requiring "hands on" professional services are excluded.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-90-3</u>

Approval Date MAR 16 2001

Effective Date <u>JUL 1 2000</u>

ATTACHMENT 3.1-A Item 6d, Page 3

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

Methods and Standards for Establishing Payment Rates

LIMITATIONS - OTHER PRACTITIONERS SERVICES

TOBACCO CESSATION COUNSELING

Nebraska Medicaid covers tobacco cessation counseling when provided by licensed pharmacists who have completed a Department-approved tobacco cessation counseling training and maintain current training as a tobacco cessation counselor.

Telehealth: other Practitioner Services for tobacco cessation counseling are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

PUBLIC HEALTH AUTHORIZED DENTAL HYGIENIST SERVICES

Services provided by a public health authorized dental hygienists are covered when those services are provided by a dental hygienist who is providing services under their licensed scope of practice as allowed under Nebraska law. Covered procedures are specified in state regulations.

Approval Date <u>2-21-2024</u>

Effective Date 1-1-2024

ATTACHMENT 3.1-A Item 7a, Page 1 Applies to Both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - HOME HEALTH NURSING SERVICES

- 1. Home health agency services must be prior authorized by Nebraska Medicaid.
- 2. Coverage for all home health agency services is based on medical necessity, and must be:
 - a. necessary to continuing a medical treatment plan;
 - b. prescribed by a licensed physician, nurse practitioner, physician assistant, or clinical nurse specialist;
 - c. recertified by a licensed physician, nurse practitioner, physician assistant, or clinical nurse specialist at least every 60 days in accordance with licensure; and
- 3. Nebraska Medicaid does not cover skilled nursing visits provided by student nurses who are enrolled in a school of nursing and are not employed by the home health agency unless the student is accompanied by a registered nurse who is an employee of the home health agency.
- 4. Nebraska Medicaid limits skilled nursing visits for teaching and training on an individual basis, based on medical necessity and the ability of the client, parent or caregiver to perform the task independently. The client must have a medical condition which has been diagnosed and treated by a physician. There must be a physician's order for the specific teaching and training.

TN No. <u>NE 23-0001</u> Supersedes TN No. <u>NE 14-011</u>

Approval Date <u>5/4/2023</u>

Effective Date <u>1/1/2023</u>

ATTACHMENT 3.1-A Item 7a, Page 2 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - HOME HEALTH NURSING SERVICES

- 5. Medicaid recognizes enterostomal therapy visits as a skilled nursing service.
- II. <u>Telehealth</u>: Home health nursing services are covered via telehealth technologies subject to the limitations as set forth in state regulations, as amended. "Hands on" professional services are excluded.

TN No. <u>NE 13-05</u> Supersedes TN No. <u>MS-00-06</u>

Approval Date NOV 12 2013

Effective Date <u>SEP 1 2013</u>

ATTACHMENT 3.1-A Item 7b Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - HOME HEALTH NURSING SERVICES – HOME HEALTH AIDE SERVICES

- 1. Home health aide services must be:
 - a. Necessary to continuing a medical treatment plan;
 - b. Prescribed by a licensed physician;
 - c. Recertified by the licensed physician at least every 60 days; and
 - d. Supervised by a registered nurse.
- 2. Home health agency services must be prior authorized by the Medicaid Division.
- 3. Prefilling syringes with insulin for a blind diabetic is reimbursed only as a home health nursing service. Home health agencies will not be reimbursed for prefilling insulin syringes for a blind diabetic by a home health aide.
- 4. Skilled nursing visits are not a prerequisite for the provision of home health aide services.
- 5. <u>Telehealth</u>: Home health aide services are not covered when provided via telehealth technologies.

Approval Date <u>December 3, 2014</u> Effective Date <u>July 1, 2014</u>

ATTACHMENT 3.1-A Item 7c, Page 1 Applies to Both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – MEDICAL SUPPLIES, EQUIPMENT, AND APPLIANCES SUITABLE FOR USE IN THE HOME

The Nebraska Medical Assistance Program covers the purchase or rental of durable medical equipment, medical supplies that meet program guidelines when prescribed by a physician or other licensed practitioner whose licensure allows prescribing these items (M.D., D.O., D.P.M.). To qualify as a covered service under NMAP, the item must be medically necessary and must meet the definitions in state regulations.

NMAP does not cover items that primarily serve personal comfort; convenience; or educational, hygienic, safety, or cosmetic functions; or new equipment of unproven value and/or equipment of questionable current usefulness or therapeutic value.

Home health agencies may provide durable medical equipment and oxygen only.

Durable medical equipment and supplies providers shall complete and sign the Medical Assistance Provider Agreement, and submit the completed form to the Department for approval. Providers shall meet any applicable state and federal laws governing the provision of their services. NMAP enrolls, as providers of durable medical equipment, medical supplies, orthotics, or prosthetics, only those providers who are involved in the direct provision of services or items to the client.

Durable medical equipment is equipment which:

- 1. Withstands repeated use;
- 2. Is primarily and customarily used to serve a medical purpose;
- 3. Generally is not useful to a person in the absence of an illness or injury; and
- 4. Is appropriate for use in the client's home. This generally does not include long term care facilities.

Coverage conditions for individual services are listed with the procedure code descriptions.

State Nebraska

LIMITATIONS – MEDICAL SUPPLIES, EQUIPMENT, AND APPLIANCES SUITABLE FOR USE IN THE HOME

NMAP covers medical supplies listed in the coverage criteria and procedure code list when prescribed for medical care in the client's home. Items not specifically listed may not be covered by NMAP. Coverage for medical supplies does not generally include clients residing in nursing facilities or ICF/MR's.

NMAP does not cover, as medical supplies, personal care items such as non-medical mouthwashes, deodorants, talcum powders, bath powders, soaps, dentifrices, eye washes, contact solutions, etc. NMAP does not cover, as medical supplies, oral or injectable over-the-counter drugs and medications.

NMAP covers orthotic devices when medically necessary and prescribed to support a weak or deformed body member or restrict or eliminate motion in a diseased or injured part of the body. Coverage includes braces, orthopedic shoes and shoe corrections, lumbar supports, hernia control devices, and similar items. NMAP covers prosthetic devices when medically necessary and prescribed to replace a missing body part. Orthotics and prosthetics are covered for clients residing in nursing facilities and ICF/MR's. NMAP does not cover external powered prosthetic devices.

NMAP covers only one pair of orthopedic shoes at the time of purchase. Except when size change is necessary due to growth and/or when diagnosis indicates excessive wear, NMAP allows only one pair of shoes in a one-year period. Orthopedic shoes and shoe corrections are not covered for flexible or congenital flat feet.

Prior authorization is required of payment of rental and purchase of the items listed in state regulations as requiring prior authorization.

<u>Telehealth</u>: Medical equipment, supplies, orthotics and prosthetics furnished by durable medical equipment suppliers and pharmacies are not covered when provided via telehealth technologies.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-93-15</u>

Approval Date Mar 16 2001

Effective Date Jul 1 2000

ATTACHMENT 3.1-A Item 7c, Page 2a Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – MEDICAL SUPPLIES, EQUIPMENT, AND APPLIANCES SUITABLE FOR USE IN THE HOME

The State assures that with respect to an individual applicant's request for an item of medical equipment (ME) that the following conditions are met:

- The process is timely and employs reasonable and specific criteria by which an individual item of Medical Equipment (ME) will be judged for coverage under the state's home health services benefit. These criteria must be sufficiently specific to permit a determination of whether an item of ME that does not appear on a state's pre-approved list has been arbitrarily excluded from coverage based solely on a diagnosis, type of illness, or condition.
- 2. The state's process and criteria, as well as the state's list of pre-approved items, are made available to beneficiaries and the public.
- 3. Beneficiaries are informed of their right, under 42 CFR. Part 431 Subpart E, to a fair hearing.

ATTACHMENT 3.1-A Item 7d Applies to Both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State <u>Nebraska</u> LIMITATIONS - HOME HEALTH SERVICES – PHYSICAL THERAPY, OCCUPATIONAL THERAPY, AND SPEECH PATHOLOGY AND AUDIOLOGY

To be eligible for home health services, the attending physician shall certify that the client cannot receive the services in an outpatient/physician office setting.

<u>Services for Individuals Age 21 and Older:</u> Medicaid covers occupational therapy, physical therapy, and speech, hearing, and language therapy services for individuals age 21 and older as a Home Health Agency service only when the following criteria is met. The services must:

- 1. Be prescribed by a physician;
- 2. Be performed by, or under the direct supervision of, a licensed physical therapist; and
- 3. Meet one of the following criteria:
 - a. The services must be restorative when there is a medically appropriate expectation that the patient's condition will improve significantly in a reasonable period of time;
 - b. The services must be reasonable and medically necessary for the treatment of the client's illness or injury;

These therapies for adults (age 21 and older) are a Home Health Agency Service only when there is no other method for the client to receive the service. Services must be prior authorized by Central Office staff. Substantiating documentation must be attached to the claim.

<u>Services for Individuals Age 20 and Younger</u>: Medicaid covers occupational therapy, physical therapy, and speech, hearing, and language therapy services for individuals birth to age 20 as a Home Health Agency service when the following criteria is met. The services must:

- 1. Be prescribed by a physician;
- 2. Be performed by, or under the direct supervision of, a licensed physical therapist; and
- 3. Meet one of the following criteria:
 - a. The services must be reasonable and medically necessary for the treatment of the client's illness or injury;

<u>Telehealth</u>: Home health physical therapy, occupational therapy, speech pathology and audiology services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended. Services requiring "hands on" professional services are excluded.

TN No. <u>NE 13-05</u> Supersedes TN No. <u>NE-11-18</u>

Approval Date NOV 12 2013

Effective Date <u>SEP 1 2013</u>

ATTACHMENT 3.1-A Item 8 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – PRIVATE DUTY NURSING SERVICES

NMAP applies the following limitations to nursing services (RN and LPN) for adults age 21 and older:

- 1. Per diem reimbursement for nursing services for the care of ventilator-dependent clients shall not exceed the average ventilator per diem of all Nebraska nursing facilities which are providing that service. This average shall be computed using nursing facility's ventilator interim rates which are effective January 1 of each year, and are applicable for that calendar year period.
- 2. Per diem reimbursement for all other in-home nursing services shall not exceed the average case-mix per diem for the Extensive Special Care 2 case-mix reimbursement level. This average shall be computed using the Extensive Special Care 2 case-mix nursing facility interim rates which are effective January 1 of each year and applicable for that calendar year period.

Under special circumstances, the per diem reimbursement may exceed this maximum for a short period of time - for example, a recent return from a hospital stay. However, in these cases, the 30-day average of the in-home nursing per diems shall not exceed the maximum above. (The 30 days are defined to include the days which are paid in excess of the maximum plus those days immediately following, totaling 30.)

3. <u>Telehealth</u>: Private duty nursing services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended. Services requiring "hands on" professional services are excluded.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-93-15</u>

Approval Date Mar 16 2001

Effective Date <u>Jul 1 2000</u>

State Nebraska

LIMITATIONS – CLINIC SERVICES

Community mental health centers must be licensed and approved by a nationally recognized accrediting organization.

Services provided by community mental health centers are limited to medically necessary acute psychiatric services.

Day treatment services are limited to a half-day or full-day rate, established on the basis of each facility's cost report which is reviewed annually.

Prior authorization is not required for medically necessary outpatient psychotherapy services.

Testing and evaluations must be performed by a licensed psychologist or under the supervision of a licensed psychologist.

State Nebraska

LIMITATIONS – CLINIC SERVICES

<u>Services Provided in Ambulatory Surgical Centers</u>: NMAP covers facility services provided in ambulatory surgical centers (both free-standing and hospital-affiliated) under the following limitations.

The "facility fee" includes payment for services and items provided by an ASC in connection with a covered surgical procedure.

Covered surgical procedures include the procedures on Nebraska's list of covered ASC procedures, which includes tubal ligations, vasectomies, and certain dental services.

The ASC may also provide services other than those included under the facility fee. These services are limited under the appropriate category (durable medical equipment, medical supplies, ambulance services, etc.) listed elsewhere in the Title XIX Plan.

Except as otherwise noted in the plan, state developed fee schedule rates are the same for both governmental and private providers of licensed Ambulatory Surgical Centers and any annual/periodic adjustments to the fee schedule are published at http://www.hhs.state.ne.us/med/medindex.htm (Division of Medicaid and Long-Term Care website). The agency's rates were set as of January 1, 2008 and are effective for services on or after that date"

<u>Upper Payment Limit</u>: Aggregate payment for hospital-affiliated ASCs located within Nebraska may not exceed the reasonable estimate of the amount that would be paid for such services under Medicare payment principles. The upper limit of aggregate payments to hospitals pursuant to 42 CFR §447.321 shall be determined using the hospital's latest audited filed cost report and claims data corresponding with the period to determine the reasonable costs in accordance with Medicare principles of reimbursement.

TN No. <u>MS-07-06</u> Supersedes TN No. <u>MS-00-06</u>

Approval Date Feb 5 2008

Effective Date Jan 1 2008

State Nebraska

LIMITATIONS - CLINIC SERVICES

ABORTIONS:

Payment for abortions under the Nebraska Medical Assistance Program is limited to those abortions for which FFP is currently available.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-93-15</u>

Approval Date Mar 16 2001

Effective Date Jul 1 2000

State Nebraska

LIMITATIONS – CLINIC SERVICES

<u>Telehealth</u>: Clinic services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>(new page)</u>

Approval Date Mar 16 2001

Effective Date Jul 1 2000

ATTACHMENT 3.1-A Item 9, Page 5 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – CLINIC SERVICES

Comprehensive Treatment of Pediatric Feeding Disorders Through Interdisciplinary Treatment.

NMAP covers evaluation and treatment of infants and children who fail to eat and/or drink a sufficient quantity or variety of foods or liquids to meet their nutritional and/or hydration needs.

Comprehensive interdisciplinary treatment means the collaboration of medicine, psychology, nutrition science, speech therapy, occupational therapy, social work, and other appropriate medical and behavioral disciplines in an integrated program.

The service may be provided by hospital affiliated clinics or free-standing clinics.

Day treatment is defined as daily therapy (M-F) from approximately 8:30 am to 5 pm.

Outpatient is defined as therapy 1 to 2 times per week for 1-3 hours per day.

Prior authorization is required of all services before the services are provided.

Approval Date DEC 21 2010

Effective Date <u>JUL 01 2010</u>

ATTACHMENT 3.1-A Item 10, Page 1 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - DENTAL SERVICES

PRIOR AUTHORIZATION: Nebraska Medicaid requires prior authorization for certain dental services. Prior authorization must be obtained before the service is provided. Diagnostic services, as defined in state regulations, and preventive dental care, do not require prior authorization. Payment authorization for emergencies and other circumstances beyond the provider's control (insurance coverage, etc.) will be retro-reviewed for approval of payment.

COVERED SERVICES: Nebraska Medicaid defines dental services as any diagnostic, preventive, or restorative procedures provided by or under the supervision of a licensed dentist. Covered procedures are specified in state regulations.

DIAGNOSTIC DENTAL SERVICES: Nebraska Medicaid covers diagnostic dental services as defined in state regulations, as amended. This includes exams, radiology, prophylaxis, topical application of fluoride, and diagnostic casts. Exams are covered once every 180 days or more often if medically necessary. For clients who are eligible for HEALTH CHECK (EPSDT), exams are covered every 180 days or more often if medically necessary. Interperiodic dental exams will also be considered appropriate to determine the existence of suspected conditions. When a patient is referred to another dentist or specialist, Nebraska Medicaid covers one exam by the second dentist or specialist.

ORAL SURGERY: Oral surgery, as defined by HCPCS, is covered as a physician service.

TN No. <u>NE 24-0001</u> Supersedes TN No. <u>NE 17-0006</u>

Approval Date <u>2-21-2024</u>

Effective Date <u>1-1-2024</u>

ATTACHMENT 3.1-A Item 10, Page 2 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - DENTAL SERVICES

COSMETIC SERVICES: Nebraska Medicaid does not cover cosmetic dental services.

RADIOLOGY: Nebraska Medicaid covers the following radiographs: Intraoral complete series, intraoral periapical films, extraoral films, bitewings, panoramic films, and cephalometric film. Coverage of these procedures is specified in state regulations.

ENDODONTICS: Nebraska Medicaid covers endodontics for anterior and posterior teeth when the prior authorization request, which includes of submitted x-rays with clinical documentation, substantiates medical necessity.

PERIODONTICS: Nebraska Medicaid covers periodontics for anterior and posterior teeth when prior authorized.

ORTHODONTICS: Nebraska Medicaid covers orthodontic treatment for clients age 20 and younger. Orthodontic treatment is covered when the client has a handicapping malocclusion due to (1) Craniofacial birth defect that is affecting the occlusion; or (2) Mutilated or severe occlusion.

TN No. <u>NE 24-0001</u> Supersedes TN No. <u>NE 10-04</u>

Approval Date 2-21-2024

Effective Date <u>1-1-2024</u>

ATTACHMENT 3.1-A Item 11a Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – PHYSICAL THERAPY

Nebraska Medicaid covers physical therapy services when the following conditions are met:

- 1. The services must be prescribed by a physician or licensed nurse practitioner;
- 2. The services must be performed by, or under the direct supervision of, a licensed physical therapist;
- 3. The services must be restorative; and
- 4. There must be a medically appropriate expectation that the patient's condition will improve significantly in a reasonable period of time or the services are recommended in a Department-approved individual program plan (IPP).

Nebraska Medicaid does not cover physical therapy if the expected restoration potential is insignificant in relation to the extent and duration of the services required to achieve the potential.

Exception: Nebraska Medicaid covers physical therapy services for EPSDT eligibles when the following conditions are met:

- 1. The services must be prescribed by a physician or licensed nurse practitioner;
- 2. The services must be performed by, or under the direct supervision of, a licensed physical therapist; and
- 3. There must be a medically appropriate expectation that the patient's condition will improve significantly in a reasonable period of time or the services are recommended in a Department-approved individual program plan (IPP).

For clients age 21 and older, Nebraska Medicaid covers a combined total of 60 therapy sessions per fiscal year, physical therapy, occupational therapy and speech therapy. All limits may be exceeded based on medical necessity.

<u>Telehealth</u>: Physical therapy services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended. Services requiring "hands on" professional care are excluded.

TN No. <u>NE 16-0006</u> Supersedes TN No. <u>MS-08-09</u>

Approval Date SEP 16 2016

Effective Date <u>JUL 01 2016</u>

ATTACHMENT 3.1-A Item 11b, Page 1 of 2 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - OCCUPATIONAL THERAPY

Nebraska Medicaid covers occupational therapy services provided by independent therapists under the following conditions.

The therapist must be licensed by the Nebraska Department of Health and Human Services Regulation and Licensure. If services are provided by an OT assistant under the supervision of an OT, the assistant must be licensed by the Nebraska Department of Health and Human Services Regulation and Licensure. If services are provided outside Nebraska, the provider must be licensed in that state.

Occupational therapy is defined as improving, developing, or restoring functions impaired or lost through illness, injury, or deprivation; improving ability to perform tasks for independent functioning when functions are impaired or lost; or preventing, through early intervention, initial or further impairment or loss of function.

Nebraska Medicaid covers OT services when the following conditions are met. The services must be:

- 1. Prescribed by a physician or licensed nurse practitioner;
- 2. Performed by a licensed occupational therapist or a licensed occupational therapy assistant under the supervision of a licensed occupational therapist;
- 3. Restorative; and
- 4. Reasonable and medically necessary for the treatment of the client's illness or injury.

Nebraska Medicaid covers orthotic appliances or devices when medically necessary for the client's condition. Nebraska Medicaid does not reimburse an occupational therapist for orthotic devices or appliance which do not require customized fabrication by the therapist.

Exception: Nebraska Medicaid covers occupational therapy services for EPSDT eligibles when the following conditions are met. The services must be:

- 1. Prescribed by a physician or licensed nurse practitioner;
- 2. Performed by a licensed occupational therapist or a licensed occupational therapy assistant under the supervision of a licensed occupational therapist; and
- 3. Reasonable and medically necessary for the treatment of the client's illness or injury.

For clients age 21 and older,

Nebraska Medicaid covers a combined total of 60 therapy sessions per fiscal year (physical therapy, occupational therapy, and speech therapy). All limits may be exceeded based on medical necessity.

TN No. <u>NE 16-0006</u> Supersedes

Approval Date SEP 16 2016

Effective Date <u>JUL 01 2016</u> TN No. <u>MS-08-09</u>

State Nebraska

LIMITATIONS – OCCUPATIONAL THERAPY

<u>Telehealth</u>: Occupational therapy services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended. Services requiring "hands on" professional care are excluded.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>new page</u>

Approval Date Mar 16 2001

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ATTACHMENT 3.1-A Item 11c, Page 1 of 3 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – SERVICES FOR INDIVIDUALS WITH SPEECH, HEARING, AND LANGUAGE DISORDERS

To be covered by Nebraska Medicaid speech pathology and audiology services must be prescribed by a licensed physician or licensed nurse practitioner and performed by a licensed speech pathologist or audiologist in accordance with 42 CFR §440.110. The speech pathologist or audiologist must be in constant attendance. The services must meet at least one of the following conditions:

- 1. The services must be an evaluation;
- 2. The services must be restorative speech pathology with a medically appropriate expectation that the patient's condition will improve significantly within a reasonable period of time; or
- 3. The services must have been recommended in a Department-approved individual program plan (IPP); or
- 4. The services must be necessary for an individual with an augmentative communication device.

Nebraska Medicaid covers speech pathology and audiology services when the following conditions are met:

- 1. The services must be prescribed by a physician or licensed nurse practitioner;
- 2. The services must be performed by, or under the supervision of, a licensed speech pathologist or audiologist;
- 3. The services must be restorative; and
- 4. There must be a medically appropriate expectation that the patient's condition will improve significantly in a reasonable period of time or the services are recommended in a Department-approved individual program plan (IPP).

Approval Date <u>SEP 16 2016</u>

Effective Date JUL 01 2016

ATTACHMENT 3.1-A Item 11c, Page 2 of 3 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – SERVICES FOR INDIVIDUALS WITH SPEECH, HEARING, AND LANGUAGE DISORDERS

Nebraska Medicaid does not cover speech pathology and audiology services when the expected restoration potential is insignificant in relation to the extent and duration of the services required to achieve the potential.

Exception: Nebraska Medicaid covers speech pathology and audiology services for EPSDT eligibles when the following conditions are met:

- 1. The services must be prescribed by a physician (Exception: Audiology screening services for EPSDT eligibles do not require a physician's prescription);
- 2. The services must be performed by, or under the supervision of, a licensed speech pathologist or audiologist; and
- 3. There is a medically appropriate expectation that the patient's condition will improve significantly in a reasonable period of time or the services are recommended in a Department-approved individual program plan (IPP).

For clients age 21 and older, Nebraska Medicaid-covers a combined total of 60 therapy sessions per fiscal year (physical therapy, occupational therapy, and speech therapy. All limits may be exceeded based on medical necessity.

LIMITATIONS – HEARING AIDS

To be covered by the Nebraska Medical Assistance Program, hearing aids, hearing aid repairs, hearing aid rental, assistive listening devices, and other hearing aid services must be prescribed by a physician and meet medical necessity criteria.

For clients age 20 and younger, Nebraska Medicaid_covers hearing aids when required by medical necessity.

For clients age 21 and older, Nebraska Medicaid covers hearing aids limited to not more than one aid per ear every four years and then only when required by medical necessity.

TN No. <u>NE 16-0006</u> Supersedes TN No. <u>MS-08-09</u>

Approval Date SEP 16 2016

Effective Date JUL 01 2016

ATTACHMENT 3.1-A Item 11c, Page 3 of 3 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – SERVICES FOR INDIVIDUALS WITH SPEECH, HEARING, AND LANGUAGE DISORDERS

Nebraska Medicaid requires a complete audiogram (pure tone, air bone, masking, speech) for a hearing aid or assistive listening device, and a Form DM-5H "Physician's Report on Hearing Loss" to be filled out by the examining physician and either the examiner or the hearing aid dispenser.

Nebraska Medicaid requires that a client be evaluated by an E.N.T. when any of the following criteria is met:

- 1. The client has a conductive hearing loss;
- 2. The client has a unilateral hearing loss; or
- 3. The client is age 16 or younger.

Nebraska Medicaid covers standard in-the-ear, behind the ear, in the ear canal (ITC), completely in the canal (CIC), or body hearing aids. Bone conduction aids will be approved with Ear, Nose and Throat (E.N.T.) Specialist approval.

Nebraska Medicaid covers hearing aid batteries.

Exception: Nebraska Medicaid does not cover hearing aid batteries for residents of a nursing facility except with the initial fitting

Nebraska Medicaid does not cover accessories which are for convenience and not medically necessary.

<u>Telehealth</u>: Speech pathology and audiology services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended. Services requiring "hands on" professional care, such as hearing aid fittings, are excluded.

TN No. <u>NE 16-0008</u> Supersedes TN No. <u>MS-08-09</u>

Approval Date <u>August 16, 2016</u>

Effective Date July 1, 2016

ATTACHMENT 3.1-A Item 12a, Page 1 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – PRESCRIBED DRUGS

The Nebraska Medicaid Program covers outpatient drugs, in accordance with Sections 1902(a)(54) and 1927 of the Social Security Act, which are covered by a national or State agreement, with the following restrictions or exceptions (as indicated by checkmark).

- A. Prior authorization program which complies with Section 1927(d)(5) of the Social Security Act.
- B. The following drugs are covered, or restricted, as indicated by the checkmark:
 - \boxtimes 1. Certain drugs are not covered if the prescribed use is not for a medically accepted indication, as defined by Section 1927(k)(6)
 - Drugs subject to restrictions pursuant to an agreement between a manufacturer and this State authorized by the Secretary under 1927(a)(1) or 1927(a)(4).

Approval Date January 22, 2014 Effective Date January 1, 2014

State Agency Nebraska

MEDICAID PROGRAM: REQUIREMENTS RELATING TO COVERED OUTPATIENT DRUGS FOR BOTH THE CATEGORICALLY NEEDY AND MEDICALLY NEEDY

12.a. Prescribed Drugs: Description of Service Limitation

Effective January 1, 2006, the Medicaid agency will not cover any Part D drug for full-benefit dual eligible individuals who are entitled to receive Medicare benefits under Part A or Part B. The Medicaid agency provides coverage for the following excluded or otherwise restricted drugs or classes of drugs, or their medical uses to all Medicaid recipients, including full benefit dual eligible beneficiaries under the Medicare prescription Drug Benefit –Part D.
excluded or otherwise restricted drugs or classes of drugs, or their medical uses to all Medicaid recipients, including full benefit dual eligible beneficiaries under the Medicare prescription Drug Benefit
X The following excluded drugs are covered:
("All" drugs categories covered under the drug class) $\ \square$
("Some" drugs categories covered under the drug class X -List the covered common drug categories not individual drug products directly under the appropriate drug class)
("None" of the drugs under this drug class are covered) \Box
X (a) agents when used for anorexia, weight loss, weight gain (limited to weight gain only) as outlined on the Nebraska Point of Purchase System and as listed on the Nebraska Medicaid Pharmacy Program website
□ (b) agents when used to promote fertility
X (c) agents when used for the symptomatic relief of cough and colds as outlined on the Nebraska Point of Purchase System and as listed on the Nebraska Medicaid Pharmacy Program website

State Agency Nebraska

MEDICAID PROGRAM: REQUIREMENTS RELATING TO COVERED OUTPATIENT DRUGS FOR BOTH THE CATEGORICALLY NEEDY AND MEDICALLY NEEDY 12.a. Prescribed Drugs: Description of Service Limitation

Citation(s)	Provision(s)	
	X (d) prescription vitamins and mineral products, exce prenatal vitamins and fluoride	pt
	X (e) Select nonprescription drugs are covered as out on the Nebraska Point of Purchase System and as li on the Nebraska Medicaid Pharmacy Program websi	sted
	X (f) covered outpatient drugs which the manufacturer seeks to require as a condition of sale that associated or monitoring services be purchased exclusively from manufacturer or its designee.	l tests

TN No. <u>NE 23-0007</u>	-
Supersedes	Approval Date <u>9/21/2023</u>
TN No. <u>NE 13-25</u>	

Effective Date 7/1/2023

ATTACHMENT 3.1-A Item 12a, Page 4 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State <u>Nebraska</u> LIMITATIONS – PRESCRIBED DRUGS

Supplemental Rebate Program:

The state is in compliance with Section 1927 of the Social Security Act. Based on the requirements of Section 1927 of the act, the state has the following policies for the supplemental rebate program for Medicaid recipients:

- a) All covered drugs of federal participating manufacturers remain available to the Medicaid program but may require prior authorization.
- b) CMS has authorized the State of Nebraska to enter into the TOP\$sm, *The Optimal PDL \$olution* ("TOP\$sm") multi state pooling agreement to collect supplemental rebates through the TOP\$sm program. The Supplemental Drug Rebate Agreement was submitted to CMS on October 5, 2016 and has been authorized by CMS, effective January 1, 2017.
- c) Any contracts not authorized by CMS will be submitted to CMS for authorization.
- d) Any changes to the contracts for the TOP\$SM program will be submitted to CMS for approval.
- e) All drugs covered by this program irrespective of a supplemental agreement, will comply with the provisions of the National Drug Rebate Agreement.
- f) The State will negotiate supplemental rebates in addition to federal rebates provided for in Title XIX.
- g) Supplemental rebates received by Nebraska in excess of those required under the National Drug Rebate Agreement will be shared with the federal government on the same percentage basis as applied under the National Drug Rebate Agreement.
- h) Supplemental rebate agreements would apply to the drug benefit, both fee-forservice and those paid by contracted managed care organizations (MCOs).
- i) The unit rebate amount is confidential and cannot be disclosed for purposes other than rebate invoicing and verification, in accordance with Section 1927(b)(3)(D).
- j) Rebates paid under the CMS-authorized TOP\$sm for the Nebraska Medicaid population do not affect AMP or best price under the Medicaid program.
- k) The CMS-authorized TOP\$sm Agreement for the Nebraska Medicaid population only covers supplemental rebates for Medicaid programs. It does not cover non-Medicaid programs.
- I) Pharmaceutical manufacturers are allowed to audit utilization rates.

TN No. <u>NE-16-0013</u>		
Supersedes	Approval Date <u>November 17</u> , 2016	Effective Date January 1, 2017
TN No. <u>NE 13-25</u>		

State Nebraska

LIMITATIONS - DENTURES

DENTURES & PARTIALS: NMAP covers the following prosthetic appliances when coverage criteria is met: (1) Dentures (immediate, replacement/complete, or interim/complete); (2) Resin base partial dentures; (3) Flipper partials; and (4) cast metal framework with resin denture base partials for clients age 20 and younger.

Replacement prosthetic appliances are covered when:

- 1. The client's dental history does not show that previous prosthetic appliances have been unsatisfactory to the client; and
- 2. The client does not have a history of lost prosthetic appliances; and
- 3. A repair will not make the existing denture or partial wearable; or
- 4. A reline will not make the existing denture or partial wearable; or
- 5. A rebase will not make the existing denture or partial wearable;

NMAP covers partial dentures for clients that do not have adequate occlusion. Adequate occlusion is defined as first molar to first molar, or a similar combination of anterior and posterior teeth on the upper or lower arch in occlusion.

NMAP prior authorizes replacement/complete dentures, maxillary resin base partials, and flipper partials.

Approval Date <u>AUG 25 2010</u> Ef

Effective Date JUL 01 2010

ATTACHMENT 3.1-A Item 12c, Page 1 of 2 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – PROSTHETIC DEVICES

The Nebraska Medical Assistance Program covers the purchase or rental of durable medical equipment, medical supplies, orthotics, and prosthetics that meet program guidelines when prescribed by a physician or other licensed practitioner whose licensure allows prescribing these items (M.D., D.O., D.P.M.). To qualify as a covered service under NMAP, the item must be medically necessary and must meet the definitions in state regulations.

NMAP does not cover items that primarily serve-personal comfort; convenience; or educational, hygienic, safety, or cosmetic functions; or new equipment of unproven value and/or equipment of questionable current usefulness or therapeutic value.

NMAP does not generally enroll hospitals, hospital pharmacies, long term care facilities; rehabilitation services or centers, physicians, physical therapists, speech therapists, or occupational therapists as providers of durable medical equipment, medical supplies, or orthotics and prosthetics.

Durable medical equipment is equipment which:

- 1. Withstands repeated use;
- 2. Is primarily and customarily used to serve a medical purpose;
- 3. Generally is not useful to a person in the absence of an illness or injury; and
- 4. Is appropriate for use in the client's home. This generally does not include long term care facilities.

Coverage conditions for individual services are listed with the procedure code descriptions.

TN No. <u>NE 13-08</u> Supersedes TN No. <u>MS-00-06</u>

Approval Date <u>JUL 25 2013</u>

Effective Date AUG 1 2013

State Nebraska

LIMITATIONS – PROSTHETIC DEVICES

NMAP covers medical supplies listed in the coverage criteria and procedure code list when prescribed for medical care in the client's home. Items not specifically listed may not be covered by NMAP. Coverage for medical supplies does not generally include clients residing in nursing facilities or ICF/MR's.

NMAP does not cover, as medical supplies, personal care items such as non-medical mouthwashes, deodorants, talcum powders, bath powders, soaps, dentifrices, eye washes, contact solutions, etc. NMAP does not cover, as medical supplies, oral or injectable over-the-counter drugs and medications.

NMAP covers orthotic devices when medically necessary and prescribed to support a weak or deformed body member or restrict or eliminate motion in a diseased or injured part of the body. Coverage includes braces, orthopedic shoes and shoe corrections, lumbar supports, hernia control devices, and similar items. NMAP covers prosthetic devices when medically necessary and prescribed to replace a missing body part. Orthotics and prosthetics are covered for clients residing in nursing facilities and ICF/MR's. NMAP does not cover external powered prosthetic devices.

NMAP covers only one pair of orthopedic shoes at the time of purchase. Except when size change is necessary due to growth and/or when diagnosis indicates excessive wear, NMAP allows only one pair of shoes in a one-year period. Orthopedic shoes and shoe corrections are not covered for flexible or congenital flat feet.

Prior authorization is required of payment of rental and purchase of the items listed in state regulations as requiring prior authorization.

<u>Telehealth</u>: Orthotics and prosthetics furnished by durable medical equipment suppliers and pharmacies are not covered when provided via telehealth technologies.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-93-15</u>

Approval Date Mar 16 2001

State Nebraska

LIMITATIONS – EYE GLASSES

The Nebraska Medical Assistance Program covers eye examinations, diagnostic services, and other treatment services within program guidelines when medically necessary and appropriate to diagnose or treat a specific eye illness, symptom, complaint, or injury.

NMAP covers annual eye examinations for clients age 20 and younger. More frequent exams will also be covered if needed to determine existence of suspected conditions. Eye examinations are recommended beginning at approximately age three.

NMAP covers eye examinations for clients age 21 and older once every 24 months. More frequent eye examinations will also be covered when reasonable and appropriate.

NMAP covers eyeglass frames under the following conditions:

- 1. The client's first pair of prescription eyeglasses; or
- 2. Size change due to growth; or
- 3. A prescribed lens change only if new lenses cannot be accommodated by the current frame; or
- 4. The client's current frame is no longer usable due to irreparable wear/damage; breakage or loss. Replacement of frames is limited to one per year for clients 21 years and older.

A pair of eyeglasses is covered for adults (21 and older) when one of the above conditions is met within a 24-month period.

NMAP covers eyeglass lenses under the following conditions:

- 1. The client's first pair of prescription eyeglasses; or
- 2. Change in size due to growth; or

TN No. <u>MS-08-09</u> Supersedes TN No. <u>MS-03-07</u>

Approval Date Mar 19 2009

State Nebraska

LIMITATIONS – EYE GLASSES

- 3. When new lenses are required due to a new prescription when the refraction correction meets one of the following criteria:
 - a. A change of 0.50 diopters in the meridian of greatest change when placed on an optical cross;
 - b. A change in axis in excess of 10 degrees for 0.50 cylinder, five degrees for 0.75 cylinder; or
 - c. A change of prism correction of 1/2 prism diopter vertically or two prism diopters horizontally or more.

For persons 21 and older, NMAP covers a pair of lenses within a 24 month period when anyone of the above medical reasons exist.

Lenses must meet the specifications for eyeglass lenses and coverage criteria listed in state regulations.

NMAP covers contact lens services only when prescribed for correction of keratoconus, monocular aphakia, or other pathological conditions of the eye when useful vision cannot be obtained with eyeglasses. NMAP does not cover contact lenses when prescribed for routine correction of vision.

NMAP does not cover:

- 1. Sunglasses;
- 2. Multiple pairs of eyeglasses for the same individual (for example, two pairs of eyeglasses in lieu of bifocals or trifocals in single vision frame);
- 3. Non-spectacle mounted aids, hand-held or single lens spectacle mounted low vision aids, and telescopic and other compound lens systems (including distant vision telescopic, near vision telescopes, and compound microscopic lens systems); and
- 4. Replacement insurance.

<u>Telehealth</u>: Services requiring "hands on" professional care, such as eye glass fittings, are not covered when provided via telehealth technologies.

TN No.	<u>MS-08-09</u>
Superse	edes
TN No.	<u>MS-00-06</u>

Approval Date Mar 19 2009

State Nebraska

LIMITATIONS – SCREENING SERVICES

NMAP covers mammograms and annual gynecological examinations when provided based on a medically necessary diagnosis. In the absence of a diagnosis, NMAP covers mammograms and annual gynecological examinations provided according to the American Cancer Society's periodicity schedule.

<u>Telehealth</u>: Mammograms are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-91-3</u>

Approval Date Mar 16 2001

ATTACHMENT 3.1-A Item 13c, Page 1 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State <u>Nebraska</u>

LIMITATIONS – PREVENTATIVE SERVICES

Nutrition Services

Medical Nutritional Therapy for adult clients:

Medical Nutritional Therapy (MNT) is the assessment, intervention and counseling provided by a medical nutrition practitioner when prescribed by a physician or nurse practitioner. MNT is done for the purpose of managing the nutritional needs of clients whose nutritional status affects their health and medical conditions.

This service is available to a select adult population of eligible clients with medical needs that require nutritional assessment, intervention, and continued monitoring.

Referral: Medical Nutritional Therapy is available only with a physician or nurse practitioner referral. This referral must be made based on the need for nutritional diagnosis, therapy, and counseling to manage a qualifying medical condition. Therapies will be in accordance with currently accepted dietary and nutritional protocols.

MNT services:

1. Assessment

A nutritional assessment is done by a client's primary care provider. The diagnostic finding from the exam must indicate that a nutritional problem or condition of such severity that nutritional counseling beyond that normally expected as part of the standard medical management is warranted.

2. Intervention

Assessment information is used to develop a plan to prevent, improve, or resolve identified nutritional problems.

3. Counseling

a. Clients receive individual counseling to explain the nutritional assessment and the implementation of a plan of nutritional care.

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ATTACHMENT 3.1-A Item 13c, Page 2 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State <u>Nebraska</u>

LIMITATIONS – PREVENTATIVE SERVICES

a. Clients receive individual counseling to develop a plan to address identified nutritional problems based on the health objectives, resources, and capacity of the client.

- 1. Providers
 - a. Be a currently licensed medical nutritional therapist in the State of Nebraska.
 - b. Act within their scope of practice.

Provider Qualifications: Providers must be licensed to practice medical nutrition therapy pursuant to the Uniform Credentialing Act and hold a current license issued by the Nebraska Department of Health and Human Services Division of Public Health.

Intervention and counseling provided under Medical Nutrition Services are provided by licensed Medical Nutritional Therapist.

- 2. Client Eligibility
 - a. Be an adult age 21 or over
 - b. Have at least one of the following medical conditions and require medical nutritional therapy for that condition:
 - i. Type I or type II diabetes
 - ii. Have kidney disease
 - iii. Have had a kidney transplant in the last 36 months

Vaccinations

Nebraska Medicaid covers vaccines and vaccine administration in compliance with section 1905(a)(13)(B) of the Social Security Act. Nebraska Medicaid staff monitor for changes in recommendations from the Advisory Committee on Immunization Practices (ACIP) to ensure Nebraska Medicaid coverage of vaccines and vaccine administration aligns with current ACIP recommendations.

Vaccinations are a preventative service. Preventive services mean services recommended by a physician or other licensed practitioner of the healing arts acting within the scope of authorized practice under State law to - (1) Prevent disease, disability, and other health conditions or their progression; (2) Prolong life; and (3) Promote physical and mental health and efficiency.

 TN No. NE 23-0015
 Approval Date 12/4/2023
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 TN No. NE 17-0001
 Figure 10/1/2023
 Figure 10/1/2023

<u>Community-Based Comprehensive Psychiatric Rehabilitation and Support Services Program</u> The following rehabilitative psychiatric services are covered for adult clients who have been diagnosed with severe and persistent major mental illness:

- 1. Community Support;
- 2. Day Rehabilitation; and
- 3. Psychiatric Residential Rehabilitation.

The services must be medically necessary. These services are designed to rehabilitate individuals who are experiencing severe and persistent mental illness in the community and thereby avoid more restrictive levels of care such as psychiatric inpatient hospitalization or nursing facility.

Clients must be assessed by a Nebraska Licensed Mental Health Practitioner who can diagnose major mental illness prior to referral, prior authorization and prior to admission to these services. Based on the assessment, the Licensed Mental Health Practitioner of the program will supervise the development of a treatment, recovery and rehabilitation plan that identifies rehabilitative and mental health/substance abuse services needed by the client.

Licensed Mental Health Practitioners in the program must meet the requirements of a Nebraska Licensed Mental Health Practitioner as identified by DHHS Division of Public Health, Licensure Unit. A Licensed Mental Health Practitioner must have a master's degree or greater with the primary coursework pertaining to therapeutic mental health; must have completed a practicum or internship with a minimum of 300 hours of direct client contact under supervision; completed 3,000 hours of supervised experience in mental health practice; passed the mental health practice examination; and have attained the age of majority.

Non-licensed staff must prove competency in the treatment of individuals with a mental health diagnosis. Non-licensed staff must meet the requirements for education and experience as defined in each service.

Providers must have acquired accreditation by a nationally recognized accrediting organization. Individual Medicaid enrolled providers, not hired by or under contract with a group, may provide services pursuant to the scope and practice of their licensure.

The State assures that rehabilitative services are not provided in institutions for mental diseases (IMD).

The State assures that the following programs meet the requirements for rehabilitative services set forth in CFR 440.130(d): Community Support, Day Rehabilitation, and Psychiatric Residential Rehabilitation.

TN No. <u>NE 17-0004</u> Supersedes TN No. <u>NE 14-020</u>

Approved: <u>September 15, 2017</u> Effective: <u>July 1, 2017</u>

State <u>Nebraska</u> LIMITATIONS – REHABILITATIVE SERVICES

Community Support

Community Support is a rehabilitation recovery service delivered by a skilled, trained community support worker under the supervision of a licensed mental health practitioner to individuals suffering from Severe and Persistent Mental Illness (SPMI). The service is delivered by a provider, enrolled individually or with a group, that has achieved and maintained national accreditation by a nationally recognized accrediting organization.

Community-Support is designed to:

- 1. Provide/develop the necessary services and supports to enable clients to reside in the community;
- 2. Maximize the client's community participation, community and daily living skills, and quality of life;
- 3. Facilitate communication and coordination between mental health rehabilitation providers that serve the same client; and
- 4. Decrease the frequency and duration of hospitalization.

Community Support Services components:

- 1. A Treatment Recovery and Rehabilitation Plan developed within 30 days of admission and with updates of the plan every 90 days and reviewed and approved by a licensed mental health practitioner/clinical supervisor.
- 2. Individualized rehabilitation and recovery services provided by a community support worker according to the plan.
- 3. Supervision of the community support worker's services delivery by a licensed mental health practitioner.
- 4. Staff training supervised by the agency's licensed mental health practitioner at the time of initial employment and on an ongoing basis.

Community Support services:

- 1. Assist in coordination of a medical and mental health service.
- 2. Coordination of all communication with community based supports.
- 3. Monitor medication adherence and report any barriers.
- 4. Understand and support use of client's relapse prevention plan.
- 5. Assist in restoring problem solving skills and age appropriate independence

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- 6. Restoring medication and health management skills;
- 7. Restoring skills that are impacted by the individual's mental health diagnosis;
- 8. Restoring adult activities of daily living and instrumental adult activities of daily living in the client's home environment;

Staff ratio: One full-time community support worker to 20 clients. One licensed mental health practitioner to complete all of the essential responsibilities of a clinical supervisor, including review of each client's individualized treatment recovery and rehabilitation plan monthly.

Community support services are provided by non-licensed Community Support Workers. Community support workers must hold a Bachelor's degree or higher in psychology, sociology, or a related human services field or two years of coursework in the human services field and two years experience/training or two years recovery experience with demonstrated competencies and skills in treatment of individuals with mental health diagnosis.

Day Rehabilitation

Day Rehabilitation is a program that provides a structured, organized therapeutic milieu for multiple hours per day. The agency providing the service must achieve and maintain national accreditation by a nationally recognized accrediting organization.

Day Rehabilitation is designed to:

- 1. Enhance and maintain the client's ability to function in community settings;
- 2. Decrease the frequency and duration of hospitalization.
- 3. Restore community living skills and daily living skills;
- 4. Assist client skills restoration of self-administration of medication, as well as recognition of signs of relapse and control of symptoms; and
- 5. Assist in restoration of skills negatively impacted by the individual's mental health diagnosis.

Program Availability:

Services must be available for clients for a minimum of three hours but up to five hours per day, five days per week. Specific services may be offered on weekends and evenings according to client need. Service availability limitations may be exceeded based on medical necessity.

Day Rehabilitation Program components:

- 1. Review of the diagnostic assessment completed by a community based mental health practitioner who can diagnose major mental illness. The Diagnostic Assessment is the clinical information used to refer the client into the program and is reviewed by the program's licensed mental health practitioner.
- 2. A licensed mental health practitioner (clinical supervisor) completes a comprehensive assessment within 30 days of admission.
- 3. The licensed mental health practitioner completes the treatment, recovery and rehabilitation plan in the first 30 days following admission which is reviewed and updated every 90 days.
- 4. Rehabilitation services are delivered in the therapeutic milieu at least 3 hours to 5 hours of services per day. Service availability includes weekend and evening activity as the client's rehabilitative needs are identified.

Day Rehabilitation services:

- 1. Restoring adult activities of daily living and instrumental adult activities of daily living.
- 2. Restoring skills that are impacted by the individual's mental health diagnosis; and
- 3. Restoring medication and health management skills.

Day rehabilitation services are provided by non-licensed direct care staff. Direct Care Staff must have a high school diploma at a minimum and have demonstrated skills and competencies in the treatment of individuals with mental health disorders. Direct care staff are directly supervised by individuals licensed as a Licensed Mental Health Practitioner.

Residential Rehabilitation

Residential Rehabilitation is a 24-hour program that allows a client suffering from severe and persistent mental illness to recover in a rehabilitative setting which includes 20 hours of on-site rehabilitation services and 25 hours off-site services. Service availability limitations may be exceeded based on medical necessity. The agency providing the service must have acquired and maintain national accreditation by a nationally recognized accrediting organization. Room and board are not included in the service.

Residential Rehabilitation Program components:

1. A community-based diagnostic assessment by a licensed practitioner who can diagnose major mental illness as a referral into the program. Prior authorization is required for admission.

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State <u>Nebraska</u> LIMITATIONS – REHABILITATIVE SERVICES

- 2. The development of a treatment, recovery and rehabilitation plan developed within 30 days of admission, reviewed and approved by the clinical supervisor who is a licensed mental health practitioner.
- 3. Service delivery provided by trained direct care staff under the supervision of a licensed clinical supervisor (licensed mental health practitioner). One direct care staff must be available per each 10 clients.

Specific rehabilitation services are:

- 1. Assist in arranging medical and psychiatric care and management of appointments.
- 2. Teaching relapse prevention skills and revisiting the relapse plan with the client.
- 3. Teaching time management and daily living skills.
- 4. Social skill development through encouraging healthy relationship building and social activities.
- 5. Teaching survival skills, such as meal preparation, nutrition, housekeeping activities and other daily management.
- 6. Money management and budgeting.
- 7. Prevocational skill development.

Psychiatric Residential Rehabilitation is designed to:

- 1. Increase the client's functioning so that s/he can eventually live successfully in the residential setting of his/her choice, capabilities, and resources; and
- 2. Decrease the frequency and duration of hospitalization.

Non-licensed staff must hold a Bachelor's degree or higher in psychology, sociology, or a related field or two years of coursework in the human services field or two years recovery experience with demonstrated competencies and skills in treatment of individuals with mental health diagnosis. Non licensed staff are supervised by a Nebraska Licensed Mental Health Practitioner who is the Program Supervisor.

Secure Psychiatric Residential Rehabilitation Services

Secure Psychiatric Residential Rehabilitation Services is a service provided to individuals who have psychiatric symptoms and dysfunctions which cause severe disability. The target population is unable to live outside a high level of 24-hour care. These individuals require a secure setting at times for safety of self and others.

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Supersedes	
TN No. <u>NE 14-03</u>	

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A secure psychiatric residential rehabilitation provider must be licensed as a mental health center by Nebraska Department of Health and Human Services, Division of Public Health and enrolled as a psychiatric rehabilitation provider with Nebraska Medicaid. The provider must have acquired accreditation from a nationally recognized accrediting organization. The maximum capacity for this facility must not exceed 16 beds. A facility considered an Institution for Mental Disease (IMD), as defined by the Centers for Medicaid and Medicare, will not be enrolled as a provider. The provider of services must develop a treatment rehabilitation and recovery program that meets the individual rehabilitation and treatment needs of the client. The services are provided in a community-based setting in an organized therapeutic environment. The provider must have acquired accreditation from a nationally recognized accrediting organization and must maintain that accreditation.

Services consist of psychiatric assessment by a psychiatrist. Treatment planning by a multidisciplinary treatment team supervised by the psychiatrist, rehabilitation and treatment services delivered by licensed professionals and paraprofessionals within their scope of practice, training and competency.

Staff consist of a board certified, Nebraska enrolled psychiatrist who is a licensed physician, a program manager who is a licensed mental health therapist with administrative ability and licensed therapists to provide therapy and rehabilitation interventions. Direct care staff provide interventions consistent with the rehabilitative plan.

Secure psychiatric residential rehabilitation services are designed to assist severely psychiatrically impaired individuals live in a more community-based setting where they can achieve a level of success in the least restrictive level of care. These services also prevent individuals with severe psychiatric illnesses from being institutionalized if they can live in a secure community based environment. The goal of this service is to prevent or decrease the frequency and duration of psychiatric hospitalization. It is intended that the service would lessen and/or eliminate symptoms and prevent reoccurrence of acute episodes and exacerbation of illness. Goals include improving client ability to develop more self-care activities, manage psychiatric symptoms through adherence to medication administration, and develop social skills to adapt to a less secure community setting.

Peer Support Services

Peer support is the provision of support by people who have life experience with Mental Health or Substance Use Disorders (SUD) and have been trained to assist others in initiating and maintaining long-term recovery. It is designed to improve quality of life for the Medicaid eligible client and increase resiliency in order to achieve long-term recovery from symptoms related to his/her mental health/SUD diagnosis. Peer support is an ancillary service provided in conjunction with individual and group therapy. Peer support services are individualized and based on a mutual relationship between the Certified Peer Support Professionals and the Medicaid eligible client, consequently allowing the Medicaid eligible client the opportunity to learn to manage his/her own recovery and advocacy process. The Nebraska Peer Support model incorporates trauma informed care (TIC). Trauma informed care is an organizational structure and treatment framework that involves understanding, recognizing and responding effectively to the effects of all types of trauma. Certified Peer Support Professionals will be expected to have received training on TIC and be able to incorporate that training into their interactions with the clients so as to avoid re-traumatizing the client. Peer support services may be provided in an outpatient office/clinic, and the client's home and/or community. Certified Peer Support Professionals work closely with the treatment team to assist the client's recovery.

(A) Treatment

The treatment interventions identified below may be utilized by Certified Peer Support Professionals.

- i. Provides person-centered recovery, culturally competent and focused support while helping to ensure the treatment plan reflects the needs and preferences of the Medicaid eligible client.
- ii. Assists the Medicaid eligible client in implementing the goals and objectives identified by the therapist and client in the treatment plan.
- iii. Assists the Medicaid eligible client to build confidence and develop skills necessary to enhance and improve his/her wellness.
- iv. Uses lived experience to assist the Medicaid eligible client in the development of coping skills and problem solving strategies to improve his/her self-management of a mental health and/or substance use disorder.

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- v. Assist the Medicaid eligible client in accessing community resources, for individuals diagnosed with mental illness and/or substance use disorder, to aid in his/her recovery.
- vi. Acts as an advocate, mentor, or facilitator for resolution of issues related to the Medicaid eligible client's mental health and/or substance use disorder.
- vii. Models recovery and wellness principles that empower the Medicaid eligible client to identify and take actions steps towards his/her own goals.
- (B) Settings
 - i. Individual setting: the Certified Peer Support Professionals will: assist clients to set up and sustain self-help groups or locate and join existing groups; share their experiences, skills, strengths, supports and resources they used in order to show that recovery is achievable; work with the clients and the treatment teams to develop a wellness and recovery plan; assist the clients in determining the steps they need to take in order to achieve the goals identified on the wellness and recovery plan and/or treatment plan; model and teach problem solving techniques; share and explore community resources related to recovery, education, employment; serve as a recovery agent by providing and advocating for any effective recovery based services that will aid the clients in daily living; assist clients in developing empowerment skills and combating stigma through selfadvocacy.
 - ii. Group setting: the Certified Peer Support Professionals will: share their experiences, skills, strengths, supports and resources they used in order to show that recovery is achievable; model and teach problem solving techniques, share and explore community resources related to recovery, education, employment; assist clients in developing empowerment skills and combating stigma through self-advocacy.

Qualified providers: Certified Peer Support Professional

Note: The following list of treatment team members is not meant to be an all-inclusive list. Anyone involved in the Medicaid eligible client's treatment may participate in the treatment team at the consent of the Medicaid eligible client: client, physicians, therapists, family members, and the Certified Peer Support Professionals.

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The examples listed above are intended to illustrate services that may be provided under each Medicaid state plan subcomponent service, and are not intended to be prescriptive or limit the services received. Additional services beyond those provided as examples above are available to beneficiaries through the Medicaid state plan without limitation.

(C) Providers:

Provider Qualifications: All Certified Peer Support Professionals must meet the following criteria:

- i. Be 19 years of age or older;
- ii. Have personal experience as an individual diagnosed with a mental health/substance use disorder;
- iii. Be able to demonstrate personal transformation and resiliency by maintaining sobriety, refraining from illicit drug use, and/or not requiring an inpatient level of treatment within the last year;
- iv. Have a high school diploma or equivalent with a minimum of two years of experience working in the behavioral health field;
- v. Complete a state and/or national training program;
- vi. Obtain state and/or national certification as a Certified Peer Support Professional;
- vii. Maintain state and/or national certification by completing continuing education requirements as identified by the certifying organization; and
- viii. Pass a criminal background check and have no active registry on the abuse/neglect or sex offender registry.
- (D) Supervision:
 - i. Direct supervision is included in the state's scope of practice act for all supervising licensed providers.
 - ii. The supervising practitioners assumes professional responsibility for the services provided by the Certified Peer Support Professionals.

Supervision is required at least twice per month for clinical consultation, and the supervisor must be available at all times for telephone consultation. Each supervisor is allowed no more than six Certified Peer Support Professionals at one time. Documentation of supervision must be clearly noted in the service record. Supervision is not a billable service. The supervising practitioner is required to perform at least one face-to-face contact with the individual within 30 days of the Medicaid eligible client being assigned a Certified Peer Support Professionals and no less frequently than every 60 days thereafter for the purpose of monitoring the Medicaid eligible client's progress towards meeting goals and determining the effectiveness of the peer support interventions. These face-to-face contacts must be documented in the service record.

Supervising providers must be:

- i. Psychiatrist;
- ii. Licensed Psychologist;
- iii. Provisionally Licensed Psychologist
- iv. Licensed Independent Mental Health Practitioner (LIMHP);
- v. Licensed Mental Health Practitioner (LMHP); Provisionally Licensed Mental Health Practitioner (PLMHP);
- vi. Licensed Alcohol and Drug Counselor (LADC) and the Provisionally Licensed Alcohol and Drug Counselor (PLADC) may supervise Certified Peer Support Professionals providing services to Medicaid eligible clients diagnosed with substance use disorder only.

Qualifications

- Psychiatrist shall have a doctorate degree in Psychiatry and be practicing within their professional scope and in accordance with Nebraska Revised Statute (NRS) 38-2025.
- LIMHP shall have a Master's degree in psychology, social work, counseling, or marriage & family therapy, and be practicing within their professional scope in accordance with Nebraska Revised Statute (NRS) 38-2113.
- LADC shall have met the requirements for licensure as a provisional alcohol and drug counselor in addition to completion of 6,000 clinical work hours. They must also be practicing within their professional scope in accordance with Nebraska Revised Statute (NRS) 38-311.
- PLADC shall have met the requirements for licensure as a provisional alcohol and drug counselor also be practicing within their professional scope in accordance with Nebraska Revised Statute (NRS) 38-311.

Telehealth:

Rehabilitative services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

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State <u>Nebraska</u> LIMITATIONS – REHABILITATIVE SERVICES

ASSERTIVE COMMUNITY TREATMENT

Assertive Community Treatment is a service-delivery model for providing comprehensive communitybased psychiatric treatment and rehabilitation services and is intended for individuals with psychiatric illnesses that are most severe and persistent. The service is a multidisciplinary and mobile mental health team who functions interchangeably to provide the rehabilitation and treatment services designed to enable the consumer to live successfully in the community in an independent or semiindependent arrangement. With the same team providing treatment and rehabilitation services, the complex interaction of symptoms and psychosocial functioning are addressed more efficiently and effectively across time. The content, amount, timing and kinds of service provided vary among clients and for each client across time. Team service intensity is individualized based upon continual assessment of need and adjustment to the treatment plan.

The services must be medically necessary. These services are designed to rehabilitate individuals who are experiencing severe and persistent mental illness in the community and thereby avoid more restrictive levels of care such as psychiatric inpatient hospitalization or nursing facility. Rehabilitative psychiatric services do not include treatment for a primary diagnosis of substance abuse.

Assertive Community Treatment services must be recommended by a licensed mental health professional prior to receiving these services. An assessment must be completed to receive the service(s). The licensed mental health professional will develop service need recommendations that identify rehabilitative and mental health services needed by the client. The completed service needs assessment and service recommendations will be reviewed and approved by a supervising mental health practitioner (psychiatrist or licensed psychologist).

Provider Qualifications: Providers of rehabilitative psychiatric services must be licensed/ certified by the Nebraska Department of Health and Human Services as providers of community-based comprehensive psychiatric rehabilitation and support services. Providers must be under contract with the Nebraska Health and Human Service System through the Regional Governing Boards as defined in Neb. Rev. Stat. §83-158.01 to §83-169 and §71-5001 to §71-5052 to provide one or more of the covered services and must demonstrate the capacity to fulfill and abide by all contractual requirements. The provider must complete a Medicaid provider agreement and obtain a Medicaid approved provider number. Providers are required to meet all applicable licensure and certification requirements, hold a current license/certification and adhere to scope of practice definitions of licensure/certification boards.

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State Nebraska

LIMITATIONS – REHABILITATIVE SERVICES

Assertive Community Treatment teams shall provide a comprehensively staffed team including a psychiatrist, peer/family support staff (licensed mental health practitioner), program assistants, and clinical staff (mental health practitioners and registered nurses). Team members must be appropriately licensed.

Limitation on Services: Covered services are available only to Medicaid eligible recipients with a written service plan containing the recommended necessary psychiatric rehabilitation and support services. Services must be pre-authorized by the Department or its agent, and are subject to continuing stay review. Each service has an authorized level of benefit as determined by the Department or its agent. Limitations may also be imposed on days and/or hours of total benefits provided to a client during a given time period. Services are excluded to any recipient who is a resident of an IMD.

Assertive Community Treatment is designed to:

- 1. Provide comprehensive community based treatment and rehabilitation services through a self-contained clinical team to clients living in independent or semi-independent living situations.
- 2. Provide services to severely impaired clients who are resistant to more traditional interventions or unable to remain stable with the maximum use of traditional community resources including other psychiatric rehabilitative service.
- 3. To increase the client's functioning so that s/he can live successfully in the community setting of his/her choice, capabilities, and resources;
- 4. Decrease the frequency and duration of hospitalization;
- 5. To lessen or eliminate debilitating symptoms and to prevent or minimize recurrent acute episodes of illness;
- 6. To improve social skills, self-care, symptom management, and medication adherence; and
- 7. Provide a frequency and duration of services that allows the client to achieve continuous stability in all functional areas. Involvement with the team is over an extended period of time to maintain consumer functional level and progress.

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TN No.	<u>New Page</u>		

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State <u>Nebraska</u> LIMITATIONS - REHABILITATIVE SERVICES

Assertive Community Treatment includes the following components:

- 1. Completion of a comprehensive assessment of client need and the development of an appropriate treatment, rehabilitation and service plan;
- 2. Direct and provide needed treatment and rehabilitation services in a culturally sensitive and competent manner. The mandatory treatment and rehabilitative interventions include:
 - a. Treatment and Service Plan Coordination: An individualized treatment and service plan developed by the treatment team to diagnose, treat, and rehabilitate the client's medical symptoms and remedial functional impairments;
 - b. Crisis Assessment and Management: Immediate medical interventions to assess and treat an acute exacerbation of medical symptoms and/or remedial functional impairments;
 - c) Symptom Assessment and Management: Initial and ongoing assessment of the client's medical symptoms and remedial functional impairments. The assessment includes, but is not limited to, relevant history, previous treatment, current medical conditions and medications;
 - d) Individual Contacts: Staff interventions with the client or their family to facilitate communication and client skill building necessary to support the client in the community and minimize the adverse effects of the illness. The specific focus of family contact is to facilitate the effective treatment and rehabilitation of the client;
 - e) Active Treatment Interventions: Active treatment interventions include individual therapy, group therapy, family therapy and substance abuse counseling;
 - f) Medication Prescription, Administration and Monitoring;
 - g) Activities of Daily Living: Medical and remedial services designed to rehabilitate and develop the general skills and behaviors needed for the client to engage in substantial gainful activity and use of daily living skills. These include problem solving, individualized assistance and support and skill training;
 - h) Social Interpersonal Relationship and Leisure Time Skill Training: Remedial interventions (problem solving, role playing, modeling and support, etc.) designed to minimize the adverse effects of severe mental illness (examples: isolation, poor peer selection, poor decision making, depression, substance abuse, anxiety). Interventions include activities required to help the client improve communication skills, develop assertiveness, increase self-esteem, develop social skills and meaningful personal relationships, plan appropriate and productive use of leisure time and

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and productive use of leisure their use of such opportunities. All social and recreational activities are in support of the client's treatment plan and not purely social or recreational in nature;

- 3. Provide services in home and community based settings with an emphasis on assertive outreach to clients. Community based settings include, but are not limited to, clinics, libraries, grocery stores, and other locations available to the general public;
- Provide multiple service contacts per week and per day according to client need. Programs have the capacity to immediately increase service intensity to a client when status requires it. The program has shifts staffed for at least 12 hours per day on weekdays and eight hours per day on weekends and holidays;
- 5. Provide for active psychiatrist involvement as a member of the treatment team;
- 6. Provide for a licensed and/or certified interdisciplinary team including a psychiatrist, registered nurse, mental health practitioner, substance abuse specialist and peer/family specialist. Provider qualifications are ensured by compliance with requirements and standards of national accreditation and/or State certification; and
- 7. Conduct daily organization staff meetings to review the status of the team's clients and the schedule of upcoming interventions.

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ATTACHMENT 3.1-A Item 13d, Page 10 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - REHABILITATIVE SERVICES

Opioid Treatment Program (OTP)

Service Description:

- Initial assessment: Completion of an Adult Substance Use Assessment by a licensed clinician (described in Table A below) that indicates individual has an opioid use disorder of sufficient severity that this level of care is necessary. If a prior Substance Use Disorder (SUD) Assessment is determined to be clinically relevant and includes a current diagnosis, level of care recommendation, and a discharge plan, it can serve as the admission assessment. A substance use assessment can be conducted through use of any number of evidence-based screening and assessment tools approved by Substance Abuse and Mental Health Services Administration (SAMHSA).
- Physical examination: A physical health assessment which includes medical history and a physical examination and toxicology screen. This must be completed by a physician, physician assistant (PA), or advance practice registered nurse (APRN) within the first 24 hours of a person's admission to the program.
- Ongoing assessment services: A substance use disorder assessment must be completed periodically to determine OTP level of care. Assessments must be completed by a licensed practitioner (described in Table A below).
- Dispensing and administration of opioid agonist medication: A physician, physician assistant (PA), or advance practice registered nurse (APRN) must determine and document in writing the initial dose and schedule to be followed for each individual. This information is to be communicated to the licensed medical staff supervising the dispensing of any opioid replacement treatment medication. The prescribed drugs shall only be administered and dispensed by licensed professional authorized by law.
- Treatment planning: Initial assessments will function as the initial treatment plan with development of a comprehensive treatment plan to be completed within 30 days. Treatment plans must be reviewed every 90 days or more often if the individual experiences a significant change in clinical presentation. The treatment plan will include discharge criteria.
- Therapy services: Include therapy to address the symptoms of addiction and related impaired functioning. If it is determined that treatment for a co-morbid mental health condition is needed by the initial diagnostic interview, therapy will be delivered by a licensed practitioner (described in Table A below).
- Care coordination: Is a collaborative process that assesses, plans, implements,

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coordinates, and evaluates the options and services required to meet the client's needs and includes referrals to outside resources when the needed services are not offered by the OTP.

 Supervised withdrawal management from opioid analgesics including methadone and buprenorphine, as needed by an individual receiving services. Supervised withdrawal management includes at a minimum: dose tapering, and assessments of withdrawal symptoms using standardized scales.

Provider Requirements: Services must be rendered in an OTP that complies with applicable state laws and regulations, and that has been accredited by SAMHSA approved accreditation bodies and certified under 42 C.F.R. 8 (regarding the process and standards by which SAMHSA determines that an opioid treatment program is qualified to provide opioid treatment under the Federal opioid treatment standards).

Provider Qualifications: The program must be staffed as specified in the Federal regulations established for this service by the SAMHSA. All treatment facilities much have a program sponsor who is a qualified physician responsible to assuring adherence to all requirements and to ensuring all services identified and the required services are available. There must also be a medical director who assumes responsibility for administering all medical services performed by the OTP.

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Service Components Provided
Physician	Doctor of Medicine or Osteopathy	Licensed by NE Board of Medical Examiners.	None	Physical examination, prescribing and dispensing of
Physician Assistant (PA)	Successful completion of an approved program for the education of physician assistants.	Successful completion of the proficiency examination.	Physician	opioid agonists, medication administration, ordering and
Advance Practice	Master's or doctoral degree and national	APRN license as a Certified	Integrated Practice	interpreting tests including

Table A: Staff Qualifications for Opioid Treatment Program (OTP)

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ATTACHMENT 3.1-A Item 13d, Page 12 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - REHABILITATIVE SERVICES

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Service Components Provided
Registered Nurse (APRN)	board certification to qualify for licensure.	Nurse Midwife, Certified Registered Nurse Anesthetist, Clinical Nurse Specialist, or Nurse Practitioner	Agreement (IPA) with physician	drug screenings and toxicology tests, supervised withdrawal management from opioid analgesics, health education, and treatment planning as a member of the interdisciplinary team.
Registered Nurse (RN)	Two to four years of education at a college or university and passed the NCLEX-RN in order to qualify for licensure.	Successful completion of the NCLEX-RN.		Nursing Assessment, medication administration, and treatment planning as a member of the interdisciplinary team.
Licensed Practical Nurse (LPN)	Licensure follows nine months to one year of education and successful completion of the National Council Licensure Examination Practical	Successful completion of the NCLEX-RN.		Medication administration, and treatment planning as a member of the interdisciplinary team.

ATTACHMENT 3.1-A Item 13d, Page 13 Applies to both Categorically and Medically Needy

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LIMITATIONS - REHABILITATIVE SERVICES

Title of Professional Licensed Independent Mental Health Droctitioner	Level of Education/ Degree /Experience Required Nurse (NCLEX-PN). Have a Master's or doctorate degree from an accredited educational program,	License or Certification Required Licensed by Nebraska Department of Health and	Supervision	Service Components Provided Substance Use Disorder Assessment, Initial
Practitioner	successfully passed the relevant professional national board licensing examination, 3,000 hours of post graduate supervised experience, and included at least 1,500 hours (or more) of experience with clients diagnosed under the major mental illness or disorder category.	Human Services.		Diagnostic Interview for co-morbid mental illness, counseling and therapy services within the clinician's scope of practice, and treatment planning as a member of the interdisciplinary team.
Licensed Psychologist	Have a doctoral degree from a program of graduate study in professional Psychology; two years of supervised professional experience; one-year of postdoctoral experience.	Licensed by Nebraska Department of Health and Human Services.		
Provisionally Licensed	Have a doctoral degree from a		Nebraska Licensed	

State Nebraska

LIMITATIONS - REHABILITATIVE SERVICES

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Service Components Provided
Psychologist	program of graduate study in professional Psychology; two years of supervised professional experience.		Psychologist	
Licensed Mental Health Practitioner	Master's or doctorate degree of which the course work and training leading to the degree was primarily therapeutic mental health in content as defined in licensure requirements, and included a practicum or internship; 3,000 hours of supervised experience.	Licensed by Nebraska Department of Health and Human Services.		
Provisionally Licensed Mental Health Practitioner	Master's or doctorate degree of which the course work and training leading to the degree was primarily therapeutic mental health in content as defined in licensure requirements, and included a practicum or internship.		Needs to obtain 3,000 hours of supervised experience in mental health practice in Nebraska. Must be supervised by a fully licensed	

State Nebraska

LIMITATIONS - REHABILITATIVE SERVICES

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Service Components Provided
			practitioner.	
Licensed Drug and Alcohol Counselor	High school diploma, 270 hours of coursework related to the knowledge and skills of alcohol and drug counseling, 300 hours of supervised practical training, and 6000 hours of clinical work experience.	Licensed by Nebraska Department of Health and Human Services.		
Provisionally Licensed Drug and Alcohol Counselor	High school diploma, 270 hours of coursework related to the knowledge and skills of alcohol and drug counseling, 300 hours of supervised practical training.		Needs to obtain 6,000 hours of supervised clinical work experience providing alcohol and drug counseling services in Nebraska. Must be supervised by a fully licensed practitioner.	

Limitations: Maintenance treatment admission exceptions: If clinically appropriate, the program physician may waive the requirement of a 1-year history of addiction, for:

• Patients released from penal institutions with a documented history of opioid use

ATTACHMENT 3.1-A Item 13d, Page 16 Applies to both Categorically and Medically Needy

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State Nebraska

LIMITATIONS - REHABILITATIVE SERVICES

disorder (within 6 months after release); and

- Pregnant patients (program physician must certify pregnancy); and
- Previously treated patients (up to 2 years after discharge).

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - REHABILITATIVE SERVICES

Medically Monitored Inpatient Withdrawal Management (MMIW)

Withdrawal management is the reduction of the physiological and psychological features of withdrawal through short-term medical and observation services on a 24-hour basis for the purpose of stabilizing intoxicated patients, managing their withdrawal, and facilitating effective linkages to, and engagement in other appropriate inpatient and outpatient services.

Medically monitored inpatient detoxification is an organized service delivered by medical and nursing, mental health and substance use professionals, which provide for 24-hour medically supervised evaluation under physician-approved policies and procedures or clinical protocols. The service provides care to patients whose withdrawal signs and symptoms are sufficiently severe to require 24-hour inpatient care.

Service Description:

- Physical assessment by a physician, physician assistant, or advanced practice registered nurse must be completed within 24 hours of admission (or earlier if medically necessary). A physical exam helps identify any withdrawal symptoms, and drug and alcohol screens may be administered to identify substances present in a person's system. Other medical conditions may also be investigated where relevant to care (ex. TB, HIV/AIDS and other infectious diseases, major organ function). The physician, physician assistant or advanced practice registered nurse must be available to provide on-site care and further evaluation on a daily basis and be available 24 hours a day by telephone to access the patient.
- 2. A Substance Use Assessment must be completed within 24 hours of admission. The assessment can be conducted through use of any number of evidence-based screening and assessment tools approved by SAMHSA. The assessment must be used to develop the individual treatment plan.
- 3. Appropriately licensed and credentialed staff (described in Table A) should be available to administer medications in accordance with physician orders.
- 4. A treatment plan must address the medically necessary services, to include therapy and withdrawal support services required by the individual. The treatment plan must include measurable goals and interventions to address the identified needs of the individual, discharge planning and referrals. The individual must be assessed daily for progress through withdrawal management and the plan of care.
- 5. Individual, group and family counseling services conducted by a licensed practitioner

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LIMITATIONS - REHABILITATIVE SERVICES

(described in Table A), to address cognitive, behavioral, and mental health, and substance use treatment needs.

Provider Qualifications: Inpatient detoxification programs employ licensed clinicians who provide a planned regimen of 24-hour, professionally directed evaluation, care, and treatment services for patients and their families. An interdisciplinary team of appropriately trained clinicians (such as physicians, registered nurses and licensed practical nurses, counselors, and psychologists) should be available to assess and treat the patient and to obtain and interpret information regarding the patient's needs. The number and disciplines of team members should be appropriate to the range and severity of the patient's treatment complexities. Medical consultation should be available 24 hours a day among the interdisciplinary team.

		Staff Qualification		a :
Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Service Components Provided
Physician	Doctor of Medicine or Osteopathy	Licensed by NE Board of Medical Examiners.	None	Physical examination, prescribing and dispensing of
Physician Assistant (PA)	Successful completion of an approved program for the education of physician assistants.	Successful completion of the proficiency examination.	Physician	opioid agonists, medication administration, ordering and
Advance Practice Registered Nurse (APRN)	Master's or doctoral degree and national board certification to qualify for licensure.	APRN license as a Certified Nurse Midwife, Certified Registered Nurse Anesthetist, Clinical Nurse Specialist, or	Transition to Practice (TTP) supervisory agreement for initial 2000 hours of practice	interpreting tests including drug screenings and toxicology tests, Supervised withdrawal management from opioid

Table A: Staff Qualifications MMIW

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ATTACHMENT 3.1-A Item 13d, Page 19 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

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LIMITATIONS - REHABILITATIVE SERVICES

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Service Components Provided
		Nurse Practitioner.		analgesics, health education, and treatment planning as a member of the interdisciplinary team.
Registered Nurse (RN)	Two to four years of education at a college or university and passed the NCLEX-RN in order to qualify for licensure.	Successful completion of the NCLEX-RN.		Nursing Assessment, medication administration, and treatment planning as a member of the interdisciplinary team.
Licensed Practical Nurse (LPN)	Licensure follows nine months to one year of education and successful completion of the National Council Licensure Examination Practical Nurse (NCLEX-PN).	Successful completion of the NCLEX-RN.		Medication administration, and treatment planning as a member of the interdisciplinary team.
Licensed Independent Mental Health Practitioner	Have a Masters or doctorate degree from an accredited educational program, successfully passed	Licensed by Nebraska Department of Health and Human Services.		Substance Use Disorder Assessment, Initial Diagnostic Interview for

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LIMITATIONS - REHABILITATIVE SERVICES

Title of	Level of Education/	License or		Service
Title of	Degree /Experience	Certification	Supervision	Components
Professional	Required	Required		Provided
	the relevant			co-morbid
	professional national			mental illness,
	board licensing			counseling and
	examination, 3,000			therapy
	hours of post			services within
	graduate supervised			the clinician's
	experience,			scope of
	and included at least			practice, and
	1,500 hours (or			treatment
	more) of experience			planning as a
	with clients			member of the
	diagnosed under the			interdisciplinary
	major mental illness			team.
Licensed	or disorder category.	Licencedby		
	Have a doctoral degree from a	Licensed by Nebraska		
Psychologist	program of graduate	Department of		
	study in professional	Health and		
	Psychology; two	Human		
	years of supervised	Services.		
	professional			
	experience; one-			
	year of postdoctoral			
	experience.			
Provisionally	Have a doctoral		Nebraska	
Licensed	degree from a		Licensed	
Psychologist	program of graduate		Psychologist	
	study in professional			
	Psychology; two			
	years of supervised			
	professional			
	experience.			
Licensed	Master's or doctorate	Licensed by		

ATTACHMENT 3.1-A Item 13d, Page 21 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - REHABILITATIVE SERVICES

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Service Components Provided
Mental Health Practitioner	degree of which the course work and training leading to the degree was primarily therapeutic mental health in content as defined in licensure requirements, and included a practicum or internship; 3,000 hours of supervised experience.	Nebraska Department of Health and Human Services.		
Provisionally Licensed Mental Health Practitioner	Master's or doctorate degree of which the course work and training leading to the degree was primarily therapeutic mental health in content as defined in licensure requirements, and included a practicum or internship.		Needs to obtain 3,000 hours of supervised experience in mental health practice in Nebraska.	
Licensed Drug and Alcohol Counselor	High school diploma, 270 hours of coursework related to the knowledge and skills of alcohol and drug counseling, 300 hours of supervised practical	Licensed by Nebraska Department of Health and Human Services.		

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State Nebraska

LIMITATIONS - REHABILITATIVE SERVICES

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Service Components Provided
	training and 6000 hours of clinical work experience.			
Provisionally Licensed Drug and Alcohol Counselor	High school diploma, 270 hours of coursework related to the knowledge and skills of alcohol and drug counseling, 300 hours of supervised practical training.		Needs to obtain 6,000 hours of supervised clinical work experience providing alcohol and drug counseling services in Nebraska.	

The following service limitations apply:

- 1. Excludes components that are not provided to, or directed exclusively toward the treatment of, the Medicaid eligible individual;
- Excludes services provided in an institution for mental disease (IMD), unless provided through the Code of Federal Regulations (CFR) "allowed in lieu of", or a U.S. Department of Health and Human Services, Centers for Medicare and Medicaid Services (CMS) approved waiver;
- 3. Transportation is not included in the reimbursement rates; and
- 4. Room and board is excluded from any rates provided in a residential setting.

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ATTACHMENT 3.1-A Item 14a Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – SERVICES FOR INDIVIDUALS AGE 65 OR OLDER IN INSTITUTIONS FOR MENTAL DISEASES – INPATIENT HOSPITAL SERVICES

Telehealth:

Inpatient hospital services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

ATTACHMENT 3.1-A Item 14b Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – SERVICES FOR INDIVIDUALS AGE 65 OR OLDER IN INSTITUTIONS FOR MENTAL DISEASES – SKILLED NURSING FACILITY SERVICES

Telehealth:

Skilled nursing facility services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended. Physician visits to clients required on the specific periodic schedule for nursing facility certification are excluded.

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ATTACHMENT 3.1-A Item 14c Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – SERVICES FOR INDIVIDUALS AGE 65 OR OLDER IN INSTITUTIONS FOR MENTAL DISEASES – INTERMEDIATE CARE FACILITY SERVICES

Telehealth:

Intermediate care facility services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

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State Nebraska

LIMITATIONS - ICF/MR SERVICES

ICF/MR Level of Care Criteria

The Department applies the following criteria to determine the appropriateness of ICF/MR services on admission and at each subsequent review:

- 1. The individual has a diagnosis of mental retardation or a related condition which has been confirmed by prior diagnostic evaluations/standardized tests and sources independent of the ICF/MR; and
- 2. The individual can benefit from "active treatment" as defined in 42 CFR 483.440(a) and 471 NAC 31-001.02. "Benefit from active treatment" means demonstrable progress in reducing barriers to less restrictive alternatives; and
- 3. In addition, the following criteria shall apply in situations where
 - a. The individual has a related condition and the independent QMRP assessment identifies that the related condition has resulted in substantial functional limitations in three or more of the following areas of major life activity:
 - (1) self-care;
 - (2) receptive and expressive language;
 - (3) learning;
 - (4) mobility;
 - (5) self-direction; or
 - (6) capacity for independent living;

These substantial functional limitations indicate that the individual needs a combination of individually planned and coordinated special interdisciplinary care, a continuous active treatment program, treatment, and other services which are lifelong or of extended duration; and/or

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State Nebraska

LIMITATIONS - ICF/MR SERVICES

- b. A Medicaid-eligible individual has a dual diagnosis of mental retardation or a related condition and a mental illness (i.e., mental retardation and schizophrenia). The mental retardation or related condition has been verified as the primary diagnosis by both an independent QMRP and a mental health professional (i.e., psychologist, psychiatrist); and
 - (1) Historically there is evidence of missed developmental stages, due to mental retardation or a related condition;
 - (2) There is remission in the mental illness and/or it does not interfere with intellectual functioning and participation in training programs, i.e., the individual does not have active hallucinations nor exhibit behaviors which are manifestations of mental illness; and
 - (3) The diagnosis of mental retardation or related condition takes precedence over the diagnosis of mental illness.

Inappropriate Level of Care: The following examples are not appropriate for ICF/MR services:

- 1. Mental illness is the primary barrier to independent living within a normalized environment; or
- 2. The ICF/MR level of care is not the least restrictive alternative, e.g., the client
 - a. Exhibits skills and needs comparable to those of persons with similar needs living independently or semi-independently in the community;
 - b. Exhibits skills and needs comparable to those of persons at NF level of care; or
 - c. Is able to function with little supervision or in the absence of a continuous active treatment program.

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State Nebraska

LIMITATIONS - ICF/MR SERVICES

<u>QMRP Approval Criteria</u>: Under 42 CFR 483.430, a qualified mental retardation professional is a person who has at least one year of experience working directly with persons with mental retardation or related conditions and is one of the following:

- 1. A doctor of medicine or osteopathy;
- 2. A registered nurse;
- 3. An individual who holds at least a bachelor's degree or is licensed, certified, or registered and provides professional services in Nebraska in one of the following professional categories:
 - a. An occupational therapist;
 - b. A physical therapist;
 - c. A psychologist;
 - d. A social worker;
 - e. A speech-language pathologist or audiologist;
 - f. A professional recreation staff member;
 - g. A professional dietitian; or
 - h. A human services professional.

The Department uses these standards to approve individuals who conduct independent QMRP assessments.

ATTACHMENT 3.1-A Item 15, Page 4 of 4 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - ICF/MR SERVICES

<u>Standards for a QMRP</u>: To be approved by the Department to complete Independent QMRP Assessments, an individual shall submit the following information to the Department of Health and Human Services:

- 1. Proof of QMRP designation by an outside agency or program; or
- 2. Verification of
 - a. Education/degree (transcript);
 - b. Licensure, registration, or certification. as applicable to the profession (copy); and
 - c. One year's experience in working directly with persons with mental retardation. The individual shall indicate the following skills related to his/her job experience in a mental retardation facility/program:
 - (1) Assessing the need for specific goals and objectives;
 - (2) Writing behaviorally-stated goals and objectives in training programs;
 - (3) Conducting or carrying out training programs; and
 - (4) Evaluating, documenting. and summarizing training programs.

Department staff shall review the submitted information and, if approved, shall issue a formal letter of approval to the applicant.

The Department may withdraw approval of any QMRP who has been advised by Nebraska Department of Health and Human Services that his/her assessments are lacking in quality and/or completeness.

<u>Telehealth</u>: ICF/MR services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

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ATTACHMENT 3.1-A Item 16 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Nebraska

LIMITATIONS- INPATIENT PSYCHIATRIC FACILITY SERVICES FOR INDIVIDUALS UNDER AGE 21

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ATTACHMENT 3.1-A Item 17 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – NURSE-MIDWIFE SERVICES

To participate in the Nebraska Medical Assistance Program, the nurse-midwife must be certified by the Department of Health and Human Services Regulation and Licensure. The practice agreement between nurse-midwife and the physician with whom s/he has a practice agreement must be on file with the Department of Health and Human Services Regulation and Licensure. The nurse- midwife is approved for enrollment in NMAP under an independent provider agreement or the provider agreement of the physician with whom s/he has a practice agreement.

NMAP covers nurse-midwife services that are medically necessary and are concerned with the management of the care of mothers and newborns throughout the maternity cycle. The maternity cycle includes pregnancy, labor, birth, and the immediate postpartum period (up to six weeks), including care of the newborn. To be covered, the services must be provided by a certified nurse- midwife according the terms of the practice agreement between the nurse-midwife and the physician.

NMAP does not cover any other services provided by nurse-midwives.

Telehealth:

Nurse-midwife services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended. Services requiring "hands on" professional care are excluded.

Approval Date Mar 16 2001

ATTACHMENT 3.1-A Item 18, Page 1 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM

STATE OF NEBRASKA

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED

LIMITATION ON THE AMOUNT, DURATION AND SCOPE OF CERTAIN ITEMS OF PROVIDED MEDICAL AND REMEDIAL CARE AND SERVICES ARE DESCRIBED AS FOLLOWS.

Oltation	Medical and Remedial Care and Services-Item 18
<u>Citation</u> 1905(o) of the Social Security Act	Hospice Care
42 CFR Part 418	The Nebraska Medical Assistance Program will provide reimbursement for hospice care for Medicaid clients that are terminally ill. Terminally ill means that the client is diagnosed with a medical prognosis that his/her life expectancy is six months or less if the illness runs its normal course.
	Hospice services are provided in accordance with the guidance specified in sections 4305-4308 of the State Medicaid Manual.
	Election Statement An election statement must be filed with a specific hospice for the client who meets the requirements. An election to receive hospice care will be considered to continue through the initial certification period and the subsequent election periods without a break in care as long as the client remains in the care of the hospice and does not revoke the election.
	Dually eligible (Medicare and Medicaid) clients must elect and revoke hospice care simultaneously under both the Medicare and the Medicaid program.
	Election Period A client may elect to receive hospice care during one or more of following election periods;
	 an initial 90-day period; a subsequent 90-dayperiod; an initial 60-day period; a subsequent 60-day period; a third 60-day period.
	Additional 60-day benefit periods must be approved as an exception under the Prior Authorization provision.

ATTACHMENT 3.1-A Item 18, Page 2 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM

STATE OF NEBRASKA

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED

LIMITATION ON THE AMOUNT, DURATION AND SCOPE OF CERTAIN ITEMS OF PROVIDED MEDICAL AND REMEDIAL CARE AND SERVICES ARE DESCRIBED AS FOLLOWS.

Certification of Terminal Illness

The client must be certified as terminally ill with a six-month life expectancy by the Hospice medical director and the attending physician at the beginning of the first benefit period and by the Hospice medical director for all subsequent benefit periods. The hospice provider must obtain written certification of the terminal illness for each certification period even when a single election continues in effect for two or more periods.

Plan of Care

A written plan of care must be established and maintained for each client admitted to a hospice program. The care provided to a client must be consistent with the plan and be reasonable and necessary for the palliation or management of the terminal illness as well as related conditions. The plan of care must be established before services are provided.

Waiver of Payment for Other Services

A client waives all rights to Medicaid payments for the duration of the election of hospice care for the following services:

Hospice care provided by a hospice other than the hospice designated by the client; and

For adult clients, any Medicaid services that are related to the treatment of the terminal condition for which hospice care elected or a related condition or that are equivalent to hospice care except for services provided:

by the designated hospice; or

the client's attending physician if that physician is not an employee of the designated hospice or receiving compensation from the hospice for those services.

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ATTACHMENT 3.1-A Item 20a Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – PREGNANCY-RELATED AND POSTPARTUM SERVICES FOR 60 DAYS AFTER THE PREGNANCY ENDS

NMAP covers pregnancy-related and postpartum services for 60 days after the pregnancy ends or at the end of the month in which the 60th day falls, based on medical necessity.

Telehealth:

Pregnancy-related and postpartum services are provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

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ATTACHMENT 3.1-A Item 20b Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS – SERVICES FOR ANY OTHER MEDICAL CONDITIONS THAT MAY COMPLICATE PREGNANCY

NMAP covers medical services for any other medical conditions that may complicate pregnancy, based on medical necessity.

Telehealth:

Medical services for medical conditions that may complicate pregnancy are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

ATTACHMENT 3.1-A Item 23 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - CERTIFIED PEDIATRIC OR FAMILY NURSE PRACTITIONERS' SERVICES

Telehealth:

Certified pediatric or family nurse practitioners' services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

ATTACHMENT 3.1-A Item 24a, Page 1 of 3 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - TRANSPORTATION

AMBULANCE

NMAP covers medically necessary ambulance services required to transport a client during an emergency or required to obtain medical care. Emergency ambulance transports to a physician or practitioner's office, clinic or therapy center are covered. Non-emergency ambulance transports to a physician or practitioner's office, clinic or therapy center are covered when -

- 1. The client is bed confined before, during, and after transport; and
- 2. The services cannot or cannot reasonably be expected to be provided at the client's residence (including a nursing facility or ICF/MR).

Approval Date MAR 16 2011

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State Nebraska

LIMITATIONS - TRANSPORTATION

AMBULATORY ROOM AND BOARD

NMAP covers ambulatory room and board services as a travel-related expense under 42 CFR 440.170(a)(3)(ii) and (iii). Ambulatory room and board is defined as meals and lodging determined to be necessary by Medicaid Division staff to secure NMAP-coverable services for a Medicaid client.

This may include meals and lodging for an attendant.

NMAP covers ambulatory room and board services only when:

- 1. The client is receiving NMAP-coverable services;
- 2. Travel time or distance to the medical provider and receipt of medical services are expected to require the client to be away from his/her home for 12 hours or longer;
- 3. An out-of-town overnight stay is necessary while receiving NMAP-coverable services; and
- 4. Ambulatory room and board is a cost effective level of care that provides an alternative to inpatient admission or extended outpatient care.

Ambulatory room and board services may be covered for up to one day before or after receiving NMAP-coverable services, if extensive travel is necessary to receive NMAP-coverable services. Ambulatory room and board for an attendant to accompany the client may be covered when the client is physically or mentally unable to travel or wait alone while receiving NMAP-coverable services.

To be eligible to receive NMAP payment for ambulatory room and board services, each hospital providing those services must be approved by the Medicaid Division as a provider of ambulatory room and board services before providing these services to NMAP clients and/or attendants.

Approval Date Mar 16 2001

State Nebraska

LIMITATIONS - TRANSPORTATION

Telehealth:

Medical transportation services, including ambulance services and ambulatory room and board, are not covered when provided via telehealth technologies.

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM

State/Territory: Nebraska

SECTION 3 – SERVICES: GENERAL PROVISIONS

3.1 Amount, Duration, and Scope of Services

Medicaid is provided in accordance with the requirements of sections 1902(a), 1902(e), 1903(i), 1905(a), 1905(p), 1905(r), 1905(s), 1906, 1915, 1916, 1920, 1925, 1929, and 1933 of the Act; section 245A(h) of the Immigration and Nationality Act; and 42 CFR Parts 431, 440, 441, 442, and 483.

A. <u>Categorically Needy</u>

- 28. Any other medical care, and any other type of remedial care recognized under State law, specified by the Secretary (in accordance with section 1905(a)(28) of the Social Security Act and 42 CFR 440.170).
 - a. Transportation (provided in accordance with 42 CFR 440.170 as an optional medical service) excluding "school-based" transportation.
 - Not Provided:
 - Provided without a broker as an optional medical service: (If state attests "Provided without a broker as an optional medical service" then insert supplemental information.)
 - Non-emergency transportation is provided through a brokerage program as an optional medical service in accordance with 1902(a)(70) of the Social Security Act and 42 CFR 440.170(a)(4). (If state attests that nonemergency transportation is being provided through a brokerage program then insert information about the brokerage program.)
 - ☐ The State assures it has established a non-emergency medical transportation program in accordance with 1902(a)(70) of the Social Security Act in order to more cost-effectively provide transportation, and can document, upon request from CMS, that the transportation broker was procured in compliance with the requirements of 45 CFR 92.36 (b)-(i).

- 1. The State will operate the broker program without the requirements of the following paragraphs of section 1902(a);
 - (1) state-wideness (indicate areas of State that are covered)
 - (10)(B) comparability (indicate participating beneficiary groups)
- (23) freedom of choice (indicate mandatory population groups)
- 2. Transportation services provided will include:
- wheelchair van
-] taxi/commercial carrier
- stretcher car
- bus passes
- _____ tickets
 - secured transportation
- other transportation (if checked describe below other transportation)
- (3) The State assures that transportation services will be provided under a contract with a broker who:
 - (i) is selected through a competitive bidding process based on the State's evaluation of the broker's experience, performance, references, resources, qualifications, and costs:
 - (ii) has oversight procedures to monitor beneficiary access and complaints and ensures that transportation is timely and transport personnel are licensed qualified, competent and courteous:
 - (iii) is subject to regular auditing and oversight by the State in order to ensure the quality and timeliness of the transportation services provided and the adequacy of beneficiary access to medical care and services:
 - (iv) complies with such requirements related to prohibitions on referrals and conflict of interest as the Secretary shall establish (based on prohibitions on physician referrals under Section 1877 and such other prohibitions and requirements as the Secretary determines to be appropriate.)

- (4) The broker contract will provide transportation to the following categorically needy mandatory populations:
- Low-income families with children (section 1931)
- Deemed AFCD-related eligibles
- Poverty-level related pregnant women
- Poverty-level infants
- Poverty-level children 1 through 5
- Poverty-level children 6 18
- Qualified pregnant women AFDC related
- Qualified children AFDC related
- IV-E foster care and adoption assistance children
- TMA recipients (due to employment) (section 1925)
- TMA recipients (due to child support)
- SSI recipients
- (5) The broker contract will provide transportation to the following categorically needy optional populations:
- Optional poverty-level related pregnant women
- Optional poverty-level related infants
- Optional targeted low income children
- Non IV-E children who are under State adoption assistance agreements
- Non IV-E independent foster care adolescents who were in foster care on their 18th birthday
- Individuals who meet income and resource requirements of AFDC or SSI
- Individuals who would meet the income & resource requirements of AFDC if child care costs were paid from earnings rather than by a State agency
- Individuals who would be eligible for AFDC if State plan had been as broad as allowed under Federal law
- Children aged 15-20 who meet AFDC income and resource requirements
- Individuals who would be eligible for AFDC or SSI if they were not in a medical institution
- Individuals infected with TB
- Individuals screened for breast or cervical cancer by CDC program
- Individuals receiving COBRA continuation benefits
- Individuals in special income level group, in a medical institution for at least 30 consecutive days, with gross income not exceeding 300% of SSI income standard

- Individuals receiving home and community based waiver services who would only be eligible under State plan if in a medical institution (the broker will provide NEMT only to 1905(a) services)
- Individuals terminally ill if in a medical institution and will receive hospice Care
- Individuals aged or disabled with income not above 100% FPL
- Individuals receiving only an optional State supplement in a 209(b) State
- Individuals working disabled who buy into Medicaid (BBA working disabled group)
- Employed medically improved individuals who buy into Medicaid under TWWIIA Medical Improvement Group
- Individuals disabled age 18 or younger who would require an institutional level of care (TEFRA 134 kids).
- (6) Payment Methodology
 - (A) The State will pay the contracted broker by the following method:
 - (i) risk capitation
 -] (ii) non-risk capitation
 - (iii) other (e.g., brokerage fee and direct payment to providers) (If checked describe any other payment methodology)

- (B) Who will pay the transportation provider?
 - (i) Broker
 - 🧵 (ii) State
 - (iii) other
- (C) What is the source of the non-Federal share of the transportation payments? Describe the source of the non-Federal share of the transportation payments proposed under the State plan amendment. If more than one source exists to fund the non-Federal share of the transportation payment, please separately identify each source of non-Federal share funding.

State General Funds

(D) The State assures that no agreement (contractual or otherwise) exists between the State or any form of local government and the transportation broker to return or redirect any of the Medicaid payment to the State or form of local government (directly or indirectly). This assurance is not intended to interfere with the ability of a transportation broker to contract for transportation services at a lesser rate and credit any savings to the program.

Attachment 3.1-A Item 24a, Page 4e OMB No.:

State/Territory: Nebraska

- (E) The State assures that payments proposed under this State plan amendment will be made directly to transportation providers and that the transportation provider payments are fully retained by the transportation providers and no agreement (contractual or otherwise) exists between the State or local government and the transportation provider to return or redirect any of the Medicaid payment to the State or form of local government (directly or indirectly).
- (7) The broker is a non-governmental entity:
 - The broker is not itself a provider of transportation nor does it refer to or subcontract with any entity with which it has a prohibited financial relationship as described at 45 CFR 440.170(4)(ii).
 - The broker is itself a provider of transportation or subcontracts with or

refers to an entity with which it has a prohibited financial relationship and:

transportation is provided in a rural area as defined at 412.62(f) and there is no other available Medicaid participating provider or other provider determined by the State to be qualified except the non-governmental broker.

transportation is so specialized that there is no other available Medicaid participating provider or other provider determined by the State to be qualified except the non-governmental broker.

- the availability of other non-governmental Medicaid participating providers or other providers determined by the State to be qualified is insufficient to meet the need for transportation.
- (8) The broker is a governmental entity
 - The broker provides transportation itself or refers to or subcontracts with another governmental entity for transportation. The governmental broker will:
 - Maintain an accounting system such that all funds allocated to the Medicaid brokerage program and all costs charged to the Medicaid brokerage will be completely separate from any other program.
 - Document that with respect to each individual beneficiary's specific transportation needs, the government provider is the most appropriate and lowest cost alternative.

Document that the Medicaid program is paying no more for fixed route public transportation than the rate charged to the general public and no more for public para-transit services than the rate charged to other State human services agencies for the same service.

TN No. <u>NE 19-0009</u> Supersedes TN No. <u>10-23</u>

Approval Date <u>12/11/2019</u>

Effective Date <u>07/01/2019</u>

Attachment 3.1-A Item 24a, Page 4f OMB No.:

State/Territory: Nebraska

(9) Please describe below how the NEMT brokerage program operates. Include the services that will be provided by the broker. If applicable, describe any services that will not be provided by the broker and name the entity that will provide these services.

Approval Date <u>12/11/2019</u>

Effective Date 07/01/2019

ATTACHMENT 3.1-A Item 24d Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - NURSING FACILITY SERVICES FOR PATIENTS UNDER 21 YEARS OF AGE

Telehealth:

Nursing facility services for patients under 21 years of age are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended. Physician visits to client in nursing facilities required on the specific periodic schedule for nursing facility certification are excluded.

Approval Date Mar 16 2001

Effective Date Jul 1 2000

ATTACHMENT 3.1-A Item 24e Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - EMERGENCY HOSPITAL SERVICES

Telehealth:

Emergency hospital services are covered when provided via telehealth technologies subject to the limitations as set forth in state regulations, as amended.

ATTACHMENT 3.1-A Item 24f Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - CRITICAL ACCESS HOSPITALS

Critical access hospital services as defined in 42 CFR 440.170(g) are a covered service by Nebraska Medicaid.

TN No. <u>NE 10-14</u> Supersedes TN No. <u>new page</u>

Approval Date <u>DEC 06 2010</u> Effective Date <u>JUL 01 2010</u>

ATTACHMENT 3.1-A Item 26 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

LIMITATIONS - PERSONAL ASSISTANCE SERVICES

NMAP covers personal assistance services, which are a defined range of human assistance that enable persons with disabilities and chronic conditions of all ages to accomplish tasks that they would normally do for themselves if they did not have a disability; chosen and directed by the individual or designee.

NMAP generally limits personal assistance services to 40 hours per client per seven-day period, subject to utilization review. Medicaid Division approval must be obtained for services authorized in excess of 40 hours per week.

Personal assistance services may not be provided at a client's worksite except when the client is engaged in competitive integrated employment. Personal assistance services may only be provided at a client's worksite to the extent the authorized task might otherwise be needed in the home and community.

Personal assistance service may not be provided to individuals residing in residential facilities where personal assistance services are required under the licensing requirements.

Telehealth:

Personal assistance services are not covered when provided via telehealth technologies.

Approval Date Sep 23 2004

Effective Date Jun 2 2004

ATTACHMENT 3.1-A Item 26, Page 2 Applies to both Categorically and Medically Needy

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

PROVIDER QUALIFICATIONS – PERSONAL ASSISTANCE SERVICES

Nebraska Medicaid covers personal assistance services providers who meet requirements that include being age 19 or older; being capable of recognizing signs of distress in client and knowing how to access available emergency resources if a crisis situation occurs; understanding and accepting responsibility for the client's safety and property; and having the knowledge, experience, and/or skills necessary to perform the task(s).

Personal Assistance Services will be provided in accordance with, and meet the requirements of 42 CFR 440.167.

TN No. <u>NE 23-0010</u> Supersedes Approved <u>10/30/2023</u> Effective <u>7/1/2023</u> TN No. NE 11-18

State Nebraska

LIMITATIONS – FREESTANDING BIRTH CENTER SERVICES

The facility fee is based on a review of Medicaid fees paid by other states. Under this State Plan, birthing centers are limited to those licensed by the State of Nebraska or other legally authorized licensing authority under applicable state laws, to provide a level of service commensurate with the professional skills of a physician (MD or DO) or a certified nurse-midwife (CNM) who acts as birth attendant. The center, the physician, and CNM must be licensed at the time and place the services are provided. The birthing center must be enrolled and approved by the state agency or its designee for participation in the Nebraska Medical Assistance Program. The center must have a written agreement for emergency care with a hospital that provides obstetrical services. Admission to the facility must be restricted to low-risk vaginal delivery patients. Caesarean section procedures are prohibited. Each mother and newborn must be discharged within 24 hours after admission, in a condition which will not endanger the well-being of either. If the condition of mother or newborn does not allow discharge within 24 hours, then transfer to a hospital must occur.

Coverage of birthing center facility services is limited to certain birthing services provided by the center and determined by the attending physician or CNM to be necessary for the care of the mother and live newborn child following the mother's normal, uncomplicated pregnancy. Reimbursable services are limited to facility services provided during the labor, delivery and postpartum periods. Birthing center facility services furnished prior to or after the above described period are not considered birthing center facility services and are not covered or reimbursed as such under this state plan. Services provided by a physician or CNM are not considered to be birthing center facility services.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: <u>Nebraska</u>

CASE MANAGEMENT SERVICES

A. Target Group:

Mandatory and optional groups covered as aged, blind, or disabled under Nebraska's Medicaid state plan (with the exception of persons covered in Nebraska's approved case management amendment for persons with mental retardation).

- B. Areas of State in which services will be provided:
 - Entire State.
 - Only in the following geographic areas (authority of Section 1915(g)(1) of the Act is invoked to provide services less than Statewide:
- C. Comparability of Services
 - Services are provided in accordance with Section 1902(a)(10)(B) of the Act.
 - Services are not comparable in amount, duration, and scope. Authority of Section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of Section 1902(a)(10)(B) of the Act.
- D. Definition of Services:

Case management services are defined as -

- 1. Assessment of individual needs level and requirement for supports and services;
- 2. Development of individual support and service goals; and
- 3. Coordination of personal, agency, non-agency, and professional resources to develop and attain individual support and service goals and access needed medical, social, habilitation, education, employment, housing and other services.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: <u>Nebraska</u>

CASE MANAGEMENT SERVICES

Case management activities designed to assist Medicaid-eligible clients include the following:

- 1. Client assessment
 - a. Receive referrals or client request for case management services.
 - b. Conduct information gathering and assessment interviews.
 - c. Conduct an assessment to determine client's needs for individual support and services.
 - d. Arrange for additional specialized needs assessment as required to provide a full assessment of clients' needs for individual support and services.
- 2. Service Planning
 - a. Together with the client or his/her representative develop a plan which includes types of services to be provided to meet the client's needs, resources selected to provide the services, frequency and duration of service provision, etc.
 - b. Arrange for support and services identified in the plan, consistent with Section 1902(a)(23) of the Social Security Act.
 - c. Contact, coordinate, and confirm the client's service provision with providers of service.
 - d. Provide follow-up, ongoing monitoring of service delivery, and periodic reviews to assess suitability of client's plan.
- 3. Accessing Resources
 - a. Determine appropriate resources to meet the client's needs
 - b. Assist clients in applying for appropriate programs within the Department of Social Services (e.g., Low Income Energy Assistance Program, Child Support, Food Stamps) and outside of the Department (e.g., community action, housing authority, legal aid, public health nurses, social security administration, veterans administration, vocational rehabilitation). This may include assisting the client to make an appointment or arranging transportation to the resources.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

CASE MANAGEMENT SERVICES

- c. Coordinate services from all available sources to insure that client needs are met
- d. Assist clients in locating appropriate living arrangements, based upon the philosophy of least restrictive services.
- e. Assist client to arrange for and receive appropriate medical care and counseling.
- f. Assist clients to locate appropriate employment or training.
- 4. Resource Recruitment
 - a. Recruit or locate service providers that would be consistent with the client's plan and consistent with Section 1902(a)(23) of the Social Security Act.

Conditions of Provision

- 1. The following conditions must be met in order for case management service to be provided:
 - a. The client/guardian must freely accept case management services.
 - b. The client and case manager must work together to achieve a plan.
 - c. The client must no reside in an institutional setting.
- 2. The following conditions must be met in order for case management services to be reimbursed under Medicaid:
 - a. The case manager must conduct a face-to-face interview with the client in order to determine client needs and develop approaches to meet the needs.
 - b. The case manager with the client or client's representative, must develop a plan which is documented in the case record.
 - c. The case manager must reevaluate the plan when necessary but at that minimum annually.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

CASE MANAGEMENT SERVICES

- d. The case manager must provide narrative documentation to supplement the paln which includes:
 - (1) Information supporting the approaches selected;
 - (2) Information supporting case management decisions and action;
 - (3) Documentation of communication with the client;
 - (4) Documentation of referrals to resources; and
 - (5) Other factual information relevant to the case.
- E. Qualification of Providers:

Because of the nature of the services for which coordination is to be provided, the provider agency must have a written referral agreement with Nebraska Title XX agency.

Case management services will be provided by or under the supervision of a person with at least three years of experience in case management.

Qualifications

Case Manager

<u>Knowledge of :</u> Principles and practices of social work; theories and strategies of provider services to persons with special needs; public and private medical, social, educational, and other resources available in the community; agency philosophy, procedures, and programs; techniques of interviewing to obtain necessary information; and regulations and standards pertaining to service delivery.

<u>Ability to:</u> Interact with clients from a variety of socio-economic and cultural backgrounds and clients with functional limitations caused by physical or mental disabilities or advanced age; work well with people and exercise good judgment in evaluating situations and making decisions; assess client needs; translate needs assessment into individual client plan to provide proper services; develop working relationships with other individuals, groups, and agency representatives; communicate both orally and in writing; mobilize resources to meet client needs.

Education/Experience

Each case manager must have experience in a human services field where the required knowledge, skills, and abilities have been successfully applied.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

CASE MANAGEMENT SERVICES

- F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.
 - 1. Eligible recipients will have free choice of the providers of case management services.
 - 2. Eligible recipients will have free choice of the providers of other medical care under the plan.
- G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

Approval Date <u>Apr 7 1988</u>

Effective Date Jan 1 1987

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

CASE MANAGEMENT SERVICES

A. Target Group:

Mandatory and optional groups covered as AFDC-related in Nebraska's Medicaid state plan.

- B. Areas of State in which services will be provided:
 - Entire State.

Only in the following geographic areas (authority of Section 1915(g)(1) of the Act is invoked to provide services less than Statewide:

- C. Comparability of Services
 - Services are provided in accordance with Section 1902(a)(10)(B) of the Act.
 - Services are not comparable in amount, duration, and scope. Authority of Section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of Section 1902(a)(10)(B) of the Act.
- D. Definition of Services:

Case management services are defined as -

- 1. Assessment of individual needs level and requirement for supports and services;
- 2. Development of individual support and service goals; and
- 3. Coordination of personal, agency, non-agency, and professional resources to develop and attain individual support and service goals and access needed medical, social, habilitation, education, employment, housing, and other services.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

CASE MANAGEMENT SERVICES

Case management activities designed to assist Medicaid-eligible clients include the following:

- 1. Client assessment
 - a. Receive referrals or client requests for case management services.
 - b. Conduct information gathering and assessment interviews.
 - c. Conduct an assessment to determine client's needs for individual support and services.
 - d. Arrange for additional specialized needs assessment as required to provide a full assessment of clients' needs for individual support and services.
- 2. Service Planning
 - a. Together with the client or his/her representative develop a plan which includes types of services to be provided to meet the client's needs, resources selected to provide the services, frequency and duration of service provision, etc.
 - b. Arrange for support and services identified in the plan, consistent with Section 1902(a)(23) of the Social Security Act.
 - c. Contact, coordinate, and confirm the client's service provision with providers of service.
 - d. Provide follow-up, ongoing monitoring of service delivery, and periodic reviews to assess suitability of client's plan.
- 3. Accessing Resources
 - a. Determine appropriate resources to meet the client's needs.
 - b. Assist clients in applying for appropriate programs within the Department of Social Services (e.g., Low Income Energy Assistance Program, Child Support, Food Stamps) and outside of the Department (e.g., community action, housing authority, legal aid, public health nurses, social security administration, veterans administration, vocational rehabilitation). This may include assisting the client to make an appointment or arranging transportation to the resource.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

CASE MANAGEMENT SERVICES

- c. Coordinate services from all available sources to insure that client needs are met.
- d. Assist clients in locating appropriate living arrangements, based upon the philosophy of least restrictive services.
- e. Assist client to arrange for and receive appropriate medical care and counseling.
- f. Assist clients to locate appropriate employment or training.
- 4. Resource Recruitment
 - a. Recruit or locate service providers that would be consistent with the client's plan and consistent with Section 1902(a)(23) of the Social Security Act.

Conditions of Provision

- 1. The following conditions must be met in order for case management services to be provided:
 - a. The client/guardian must freely accept case management services.
 - b. The client and case manager must work together to achieve a plan.
 - c. The client must not reside in an institutional setting.
- 2. The following conditions must be met in order for case management services to be reimbursed under Medicaid:
 - a. The case manager must conduct a face-to-face interview with the client in order to determine client needs and develop approaches to meet these needs.
 - b. The case manager with the client or client's representative, must develop a plan which is documented in the case record.
 - c. The case manager must reevaluate the plan when necessary but at a minimum annually.

Approval Date Apr 7 1988

Effective Date Jan 1 1987

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: <u>Nebraska</u>

CASE MANAGEMENT SERVICES

- d. The case manager must provide narrative documentation to supplement the plan which includes:
 - (1) Information supporting the approaches selected;
 - (2) Information supporting case management decisions and actions;
 - (3) Documentation of communication with the client;
 - (4) Documentation of referrals to resources; and
 - (5) Other factual information relevant to the case.
- E. Qualification of Providers:

Because of the nature of the services for which coordination is to be provided, the provider agency must have a written referral agreement with Nebraska's Title XX agency.

Case management services will be provided by or under the supervision of a person with at least three years of experience in case management.

Qualifications

Case Manager

<u>Knowledge of:</u> Principles and practices of social work; theories and strategies of providing services to persons with special needs; public and private medical, social, education, and other resources available in the community; agency philosophy, procedures, and programs; techniques of interviewing to obtain necessary information; and regulations and standards pertaining to service delivery.

<u>Ability to:</u> Interact with clients from a variety of socio-economic and cultural backgrounds and clients with functional limitations caused by physical or mental disabilities or advanced age; work well with people and exercise good judgment in evaluating situations and making decisions; assess client needs; translate needs assessment into individual client plan to provide proper services; develop working relationships with other individuals, groups, and agency representatives; communicate both orally and in writing; and mobilize resources to meet client needs.

Education/Experience

Each case manager must have experience in a human services field where the required knowledge, skills, and abilities have been successfully applied.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

CASE MANAGEMENT SERVICES

- F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.
 - 1. Eligible recipients will have free choice of the providers of case management services.
 - 2. Eligible recipients will have free choice of the providers of other medical care under the plan.
- G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

Approval Date Apr 7 1988

SUPPLEMENT 3 TO ATTACHMENT 3.1-A Page 1 OMB No.: 0939-0193

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: <u>Nebraska</u>

CASE MANAGEMENT SERVICES

A. Target Groups

Persons with developmental disabilities. Developmental disabilities being mental retardation or related conditions, other than mental illness. Eligible individuals must not be residing in institutions or receiving services under Medicaid waivers other than the Nebraska home and community-based waiver for persons with mental retardation or related conditions or the waiver for children with mental retardation and their families.

- B. Areas of State in which services will be provided:
 - Entire State.
 - Only in the following geographic areas (authority of Section 1915(g)(1) of the Act is invoked to provide services less than Statewide:
- C. Comparability of Services
 - Services are provided in accordance with Section 1902(a)(10)(B) of the Act.
 - Services are not comparable in amount, duration, and scope. Authority of Section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of Section 1902(a)(10)(B) of the Act.
- D. Definition of Services:

Case management services are defined as -

- 1. Assessment (or arrangement for assessment) of individual or family needs level and requirement for supports and services;
- 2. Development of individual and family support and service goals; and
- 3. Coordination of personal, agency, non-agency, and professional resources to develop and attain individual support and service goals and access needed medical, social, habilitation, education, employment, housing, and other services.

SUPPLEMENT 3 TO ATTACHMENT 3.1-A Page 2 OMB No.: 0939-0193

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: <u>Nebraska</u>

CASE MANAGEMENT SERVICES

Case management activities designed to assist Medicaid-eligible clients include the following.

- 1. Client Assessment
 - a. Receive referrals or client requests for case management services.
 - b. Conduct information gathering and assessment interviews.
 - c. Conduct an assessment to determine client's and, as appropriate, family's needs for support and services.
 - d. Arrange for additional needs assessment as required to provide a full assessment of client's, and, as appropriate, family's needs for support and services.
- 2. Service Planning
 - a. Together with the client or his/her representative, the client's family as appropriate, and members of an interdisciplinary team, composed of workers from various disciplines or fields as well as the client as a team member, develop a plan which includes types of services to be provided to achieve the client's goals, resources selected to provide service, frequency and duration of service provision, etc.
 - b. Arrange for support and services identified in the plan.
 - c. Contact, coordinate, and confirm the client's service provision with providers of service.
 - d. Provide follow-up, ongoing monitoring of service delivery, and periodic reviews to assess suitability of client's plan.
- 3. Accessing Resources
 - a. Determine appropriate resources to meet the client's needs.
 - b. Assist clients in applying for appropriate programs within the Department of Health and Human Services System (HHS) (e.g., Low Income Energy Assistance Program, Child Support, Food Stamps) and outside of Health and Human Services (e.g., community action, housing authority, legal aid, public health nurses, Social Security Administration, Veterans Administration, vocational rehabilitation). This may include assisting the client to make an appointment and to gather the information required for program application, arranging transportation to the resource or accompanying the client.

SUPPLEMENT 3 TO ATTACHMENT 3.1-A Page 3 OMB No.: 0939-0193

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

- c. Coordinate services from all available sources to ensure that client needs are met.
- d. Assist clients in locating appropriate living arrangements, based upon the philosophy of most appropriate services.
- e. Assist clients to arrange for and receive appropriate medical care and counseling.
- f. Assist clients to locate appropriate employment or training.
- 4. Resource Recruitment
 - a. Recruit or locate service providers that would be consistent with the client's plan and consistent with Section 1902(a)(23) of the Social Security Act.

Conditions of Provisions

- 1. The following conditions must be met in order for case management services to be provided:
 - a. The client, the client's family as appropriate, the client's legal representative, and case manager must work together to achieve a plan.
 - b. The client must not reside in an institutional setting.
- 2. The following conditions must be met in order for case management services to be reimbursed under Medicaid:
 - a. The case manager must conduct a face-to-face interview with the client in order to determine client needs and develop approaches to meet these needs.
 - b. The case manager with an interdisciplinary team including the client or client's representative, must develop a plan which is documented in the case record.
 - c. The case manager must reevaluate the plan when necessary but at a minimum annually.

Approval Date Sep 6 2000

SUPPLEMENT 3 TO ATTACHMENT 3.1-A Page 4 OMB No.: 0939-0193

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

- d. The case manager must provide documentation to supplement the plan which includes:
 - (1) Information supporting goal selection;
 - (2) Information supporting short term objectives;
 - (3) Information supporting the approaches selected;
 - (4) Information supporting case management decisions and actions;
 - (5) Documentation of communication with the client;
 - (6) Documentation of referrals to resources; and
 - (7) Other factual information relevant to the case.

Unit of Service

A unit of case management services is a month.

E. Qualifications of Providers:

In order to ensure that the case managers for persons with developmental disabilities are capable of ensuring that such persons receive needed services, providers will be limited to the Health and Human Services Developmental Disabilities Service Coordinators.

Case Manager

<u>Knowledge of</u>: the policies and practices of the agency which relate to habilitation services delivery; the goals, objectives, and philosophy of the agency; the legal system and laws pertaining to persons with disabilities; knowledge of medications; the theories and strategies of providing habilitation services to persons with mental retardation or related conditions.

<u>Ability to</u>: evaluate client needs by scheduling, chairing and serving as team member for the plan development meetings with all involved persons to plan for implementation and coordination of necessary services and supports; serve as liaison between all persons involved with the client to coordinate services and promote cooperation; and monitor services received by the client to insure the implementation of the plan.

<u>Job Preparation Guidelines</u>: (Entry knowledge's, abilities, and/or skills may be acquired through, but are not limited to the following coursework/training and/or experience.) Posthigh school coursework in education, psychology, social work, sociology, or related field plus one year current experience within a specialized, developmental disabilities service system in delivery of habilitation or developmental disabilities service coordination OR bachelor's degree in education, psychology, social work, sociology, or a related field.

SUPPLEMENT 3 TO ATTACHMENT 3.1-A Page 5 OMB No.: 0939-0193

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

- F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.
 - 1. Eligible recipients will have free choice of the providers of case management services.
 - 2. Eligible recipients will have free choice of the providers of other medical care under the plan.
- G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

Approval Date Sep 6 2000

Effective Date Apr 1 2000

State/Territory: <u>Nebraska</u>

I. Eligibility

The State determines eligibility for PACE enrollees under rules applying to community groups.

A. X The State determines eligibility for PACE enrollees under rules applying to institutional groups as provided for in section 1902(a)(10)(A)(ii)(VI) of the Act (42 CFR 435.217 in regulations). The State has elected to cover under its State plan the eligibility groups specified under these provisions in the statute and regulations. The applicable groups are:

1902(a)(10)(A)(ii)(XI) of the Act 1902(a)(10)(A)(ii)(X) and 1902(m)(1) and (3) of the Act 42 CFR 435.310 42 CFR 435.320 42 CFR 435.322 42 CFR 435.324

The State will use the actual maximum monthly allowable Special Needs Nursing Facility rate to reduce an individual's income to an amount at or below the medically needy income limit (MNIL) for persons who are medically needy with a Share of Cost.

- B. ____ The State determines eligibility for PACE enrollees under rules applying to institutional groups, but chooses not to apply post-eligibility treatment of income rules to those individuals. (If this option is selected, skip to II Compliance and State Monitoring of the PACE Program.
- C. <u>X</u> The State determines eligibility for PACE enrollees under rules applying to institutional groups, and applies post-eligibility treatment of income rules to those individuals as specified below. Note that the post-eligibility treatment of income rules specified below are the same as those that apply to the State's approved HCBS waiver(s).
- D. <u>X</u> Spousal impoverishment eligibility rules are being applied.

Regular Post Eligibility

- 1. <u>X</u> SSI State. The State is using the post-eligibility rules at 42 CFR 435.726. Payment for PACE services is reduced by the amount remaining after deducting the following amounts from the PACE enrollee's income.
 - (a). Sec. 435.726--States which do not use more restrictive eligibility requirements than SSI.

State/Territory: <u>Nebraska</u>

- 1. Allowances for the needs of the:
 - (A.) Individual (check one)
 - 1. ____ The following standard included under the State plan (check one):
 - (a) _____ SSI
 - (b) _____ Medically Needy
 - (c) _____ The special income level for the institutionalized
 - (d) _____ Percent of the Federal Poverty Level: _____
 - (e) _____ Other (specify):_____
 - 2. ____ The following dollar amount: \$_____

Note: If this amount changes, this item will be revised.

X The following formula is used to determine the needs allowance:

 (a) For waiver clients receiving Assisted Living Services: The State protects the SSI standard.

(b) For clients receiving waiver services in other eligible living arrangements: The State protects the medically needy income standard.

Note: If the amount protected for PACE enrollees in item 1 is equal to, or greater than the maximum amount of income a PACE enrollee may have and be eligible under PACE, enter N/A in items 2 and 3.

- (B.) Spouse only (check one):
 - 1 .____ SSI Standard
 - 2. ____ Optional State Supplement Standard
 - 3. ____ Medically Needy Income Standard
 - 4. ____ The following dollar amount: \$___

Note: If this amount changes, this item will be revised.

5. ____ The following percentage of the following standard that is not greater than the standards above: ____% of _____ standard.

- 6. ____ The amount is determined using the following formula:
- 7. <u>X</u> Not applicable (N/A)
- (C.) Family (check one):
 - 1. ____ AFDC need standard
 - 2. <u>X</u> Medically needy income standard

The amount specified below cannot exceed the higher of the need standard for a family of the same size used to determine eligibility under the State's approved AFDC plan or the medically needy income standard established under 435.811 for a family of the same size.

State/Territory: Nebraska

- 3. ____ The following dollar amount: \$ Note: If this amount changes, this item will be revised.
- 4. ____ The following percentage of the following standard that is not greater than the standards above: % of standard.
- 5. ____ The amount is determined using the following formula:
- 6. ____ Other
- 7. Not applicable (N/A)
- (2). Medical and remedial care expenses in 42 CFR 435.726.

Regular Post Eligibility

- 2. <u>209(b)</u> State, a State that is using more restrictive eligibility requirements than SSI. The State is using the post-eligibility rules at 42 CFR 435.735. Payment for PACE services is reduced by the amount remaining after deducting the following amounts from the PACE enrollee's income.
 - (a) 42 CFR 435.735--States using more restrictive requirements than SSI.
 - 1. Allowances for the needs of the:
 - (A.) Individual (check one)
 - 1. ___ The following standard included under the State plan (check one):
 - (a) _____ SSÍ
 - (b) ___ Medically Needy
 - (c) ____ The special income level for the institutionalized
 (d) ___ Percent of the Federal Poverty Level: ____%
 (e) ___ Other (specify): _____
 - 2. ____ The following dollar amount: \$_____
 - Note: If this amount changes, this item will be revised.
 - 3. The following formula is used to determine the needs allowance:

Note: If the amount protected for PACE enrollees in item 1 is equal to, or greater than the maximum amount of income a PACE enrollee may have and be eligible under PACE, enter N/A in items 2 and 3.

(B.) Spouse only (check one):

- 1. ____ The following standard under 42 CFR 435.121:
- 2. ___ The Medically needy income standard
- 3. The following dollar amount: \$

Note: If this amount changes, this item will be revised.

TN No. NE 12-04 Supersedes TN No. New page

Approval Date OCT 24 2012 Effective Date FEB 01 2013

State/Territory: <u>Nebraska</u>

- 4. ___ The following percentage of the following standard that is not greater than the standards above: ____% of _____ standard.
- 5. ___ The amount is determined using the following formula:
- 6. ___ Not applicable (N/A)
- (C.) Family (check one):
 - 1. ____ AFDC need standard
 - 2. ___ Medically needy income standard

The amount specified below cannot exceed the higher of the need standard for a family of the same size used to determine eligibility under the State's approved AFDC plan or the medically needy income standard established under 435.811 for a family of the same size.

- 3. ___ The following dollar amount: \$____
- Note: If this amount changes, this item will be revised.
- 4. ___ The following percentage of the following standard that is not greater than the standards above: ____% of ____ standard.
- 5. ___ The amount is determined using the following formula:
- 6. __ Other
- 7. ___ Not applicable (N/A)
- (b) Medical and remedial care expenses specified in 42 CFR 435.735.

Spousal Post Eligibility

- 3.<u>X</u> State uses the post-eligibility rules of Section 1924 of the Act (spousal impoverishment protection) to determine the individual's contribution toward the cost of PACE services if it determines the individual's eligibility under section 1924 of the Act. There shall be deducted from the individual's monthly income a personal needs allowance (as specified below), and a community spouse's allowance, a family allowance, and an amount for incurred expenses for medical or remedial care, as specified in the State Medicaid plan.
 - (a.) Allowances for the needs of the:
 - 1. Individual (check one)
 - (A). ___ The following standard included under the State plan (check one):
 - 1. ____ SSI
 - 2. ___ Medically Needy
 - 3. ____ The special income level for the institutionalized
 - 4. ___ Percent of the Federal Poverty Level: _____
 - 5. ___ Other (specify):_____

TN No. <u>NE 12-04</u> Supersedes TN No. <u>New page</u>

Approval Date OCT 24 2012 Effective Date FEB 01 2013

SUPPLEMENT 4 TO ATTACHMENT 3.1-A Page 5 OMB No.: 0939-0193 STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: <u>Nebraska</u>

(B). ___ The following dollar amount: \$_____ Note: If this amount changes, this item will be revised.

(C). X The following formula is used to determine the needs allowance:
 (1) For waiver clients receiving Assisted Living Services: The State protects the SSI standard.

(2) For clients receiving waiver services in other eligible living arrangements: The State protects the medically needy income standard.

If this amount is different than the amount used for the individual's maintenance allowance under 42 CFR 435.726 or 42 CFR 435.735, explain why you believe that this amount is reasonable to meet the individual's maintenance needs in the community:

II. Rates and Payments

- A. The State assures that the capitated rates will be equal to or less than the cost to the agency of providing those same fee-for-service State plan approved services on a fee-for-service basis, to an equivalent non-enrolled population group based upon the following methodology. Please attach a description of the negotiated rate setting methodology and how the State will ensure that rates are less than the cost in fee-for-service.
 - 1. ___ Rates are set at a percent of fee-for-service costs
 - 2. ____ Experience-based (contractors/State's cost experience or encounter date)(please describe)
 - 3. <u>Adjusted Community Rate (please describe)</u>
 - 4. <u>X</u> Other (please describe) Rates are set at a percent of Upper Payment Limits.

The State contracts with an actuarial company to develop PACE Upper Payment Limits (UPLs). The UPLs are developed based on historical Nebraska Medicaid feefor-service (FFS) costs for individuals aged 55 and over who were either nursing home residents or eligible for HCBS waiver services based on meeting nursing facility level of care criteria. Projection factors are applied to the UPLs to reflect utilization changes, historical and prospective Medicaid program changes, and provider rate changes. The UPLs are then summarized into rate cells by eligibility category and defined geographic area. The State ensures that rates paid to PACE provider organizations are less than the cost in FFS by negotiating a rate for each that are less than the UPL.

State/Territory: Nebraska

B. The State Medicaid Agency assures that the rates were set in a reasonable and predictable manner. Please list the name, organizational affiliation of any actuary used, and attestation/description for the capitation rates.

The State contracted with Schramm Health Partners, LLC dba Optumas, to develop its UPLs for state fiscal year 2015. The UPLs were developed by, Tim Doyle, FSA, MAAA, Principal and Consulting Actuary. The UPLs are an estimate of what costs would have been to Nebraska Medicaid for PACE participants if they had not enrolled in PACE. Within each eligibility category (dually Medicaid and Medicare eligible, Medicaid only, dually Medicaid and Medicare (Part B only) eligible and Qualified Medicare Beneficiary (QMB)), Optumas developed separate UPLs for nursing home residents and HCBS waiver participants who meet nursing facility level of care criteria (aka PACE eligibles) by geographic area. Optumas then weighted these UPLS by the estimated distribution of individuals in each service category (based on the distribution of 2012 eligible months) to calculate the overall UPLs. The FFS data was not credible for the Part B and QMB populations, thus the UPL for these rate cells are derived using components of the Dual and Medicaid Only cohort UPLs.

Data Reliance and Important Caveats

In developing the UPLs, Optumas relied on data and other information provided by the State. Since the source of the data was the State's Medicaid Management Information System (MMIS), the State takes responsibility for the accuracy and validity of the base data. The following data and information was used:

- Medicaid claims and eligibility data for individuals ages 55 and older, including a description of each data field and its potential use in classifying individuals into eligibility groupings of service use and Medicare eligibility;
- Summary of Medicaid fee and program changes in SFY 2012 and later; and
- Quarterly CMS-64 Medicaid Administrative Cost reports for FFY 2013

Approval Date December 16, 2014 Effective Date July 1, 2014

1905(a)(29) Medication-Assisted Treatment (MAT)

- Citation: 3.1(a)(1) Amount, Duration, and Scope of Services: Categorically Needy (Continued)
- 1905(a)(29) Medication-Assisted Treatment (MAT) as described and limited in Supplement 5 to Attachment 3.1-A.

ATTACHMENT 3.1-A identifies the medical and remedial services provided to the categorically needy.

1905(a)(29) Medication-Assisted Treatment (MAT)

Amount, Duration, and Scope of Medical and Remedial Care Services Provided to the Categorically Needy (continued)

i. General Assurance

MAT is covered under the Medicaid state plan for all Medicaid beneficiaries who meet the medical necessity criteria for receipt of the service for the period beginning October 1, 2020, and ending September 30, 2025.

- ii. Assurances
 - a. The state assures coverage of Naltrexone, Buprenorphine, and Methadone and all of the forms of these drugs for MAT that are approved under section 505 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355) and all biological products licensed under section 351 of the Public Health Service Act (42 U.S.C. 262).
 - b. The state assures that Methadone for MAT is provided by Opioid Treatment Programs that meet the requirements in 42 C.F.R. Part 8.
 - c. The state assures coverage for all formulations of MAT drugs and biologicals for OUD that are approved under section 505 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355) and all biological products licensed under section 351 of the Public Health Service Act (42 U.S.C. 262).

iii. Service Package

The state covers the following counseling services and behavioral health therapies as part of MAT.

a) Please set forth each service and components of each service (if applicable), along with a description of each service and component service.

From October 1, 2020, through September 30, 2025, the state assures that MAT to treat OUD as defined at section 1905(ee)(1) of the Social Security Act (the Act) is covered exclusively under section 1905(a)(29) of the Act.

1905(a)(29) Medication-Assisted Treatment (MAT)

Nebraska covers the following services to address the symptoms of addiction and related impaired functioning as part of medication-assisted treatment:

- Initial assessment: Completion of an Adult Opioid Use Disorder Assessment by a licensed clinician (described below) that indicates individual has an opioid use disorder of sufficient severity that this level of care is necessary.
- Physical examination: A physical health assessment which includes medical history and a physical examination and toxicology screen. This must be completed by a physician, physician assistant (PA), or advance practice registered nurse (APRN).
- Ongoing assessment services: A opioid use disorder assessment must be completed periodically to determine OTP level of care. Assessments must be completed by a licensed practitioner (described in Table A below).
- Prescribing and administration of opioid agonist medication: A physician, physician assistant (PA), or advance practice registered nurse (APRN) must determine and document in writing the initial dose and schedule to be followed for each individual.
- Treatment planning: Initial assessments will function as the initial treatment plan with development of a comprehensive treatment plan to be completed within 30 days. Treatment plans must be reviewed every 90 days or more often if the individual experiences a significant change in clinical presentation.
- Therapy services: Include therapy to address the symptoms of addiction and related impaired functioning. Covered therapy services include: individual therapy, group therapy, and family therapy. Family Therapy service that involves the participation of a non-Medicaid eligible is for the direct benefit of the beneficiary. The service must actively involve the beneficiary in the sense of being tailored to the beneficiary's individual

1905(a)(29) Medication-Assisted Treatment (MAT)

needs. There may be times when, based on clinical judgment, the beneficiary is not present during the delivery of the service, but remains the focus of the service. If it is determined that treatment for a co-morbid mental health condition is needed by the initial diagnostic interview, therapy will be delivered by a licensed practitioner (described below).

- Care coordination: Is a collaborative process that assesses, plans, implements, coordinates, and evaluates the options and services required to meet the client's needs and includes referrals to appropriate outside resources when the needed services are not provided by the OTP.
- Sustained Recovery: Non-residential, outpatient sustained recovery from opioid analgesics including methadone and buprenorphine, as needed by the individual receiving services. Sustained recovery services include supervision of dosing and administration of MAT, toxicology result interpretation and counseling.
- b) Please include each practitioner and provider entity that furnishes each service and component service.
 - The following practitioners conduct physical examinations:
 - o Physician
 - Physician assistants (PAs)
 - Advance practice registered nurses (APRNs)
 - The following practitioners conduct initial assessments:
 - Licensed Independent Mental Health Practitioner (LIMHP)
 - Licensed Psychologists
 - Provisionally licensed Psychologists
 - o Licensed Mental Health Practitioners
 - Provisionally licensed Mental Health Practitioners
 - o Licensed Drug and Alcohol Counselors (LDAC)
 - Provisionally licensed Drug and Alcohol Counselors (under the supervision of a fully licensed therapist)
 - The following practitioners conduct ongoing assessments:
 - o LIMHP
 - Licensed Psychologists
 - Provisionally licensed Psychologists
 - o Licensed Mental Health Practitioners

1905(a)(29) Medication-Assisted Treatment (MAT)

- o Provisionally licensed Mental Health Practitioners
- o LDAC
- Provisionally licensed Drug and Alcohol Counselors (under the supervision of a fully licensed therapist)
- The following practitioners prescribe and / or administer opioid agonist medication:
 - Physicians (prescribe and administer opioid agonist medication)
 - PAs (prescribe and administer opioid agonist medication)
 - o APRNs (prescribe and administer opioid agonist medication)
 - Registered nurses (RNs) (administer opioid agonist medication under the supervision of a physician)
 - Licensed Practical Nurses (LPNs) (administer opioid agonist medication under the supervision of a physician)
- The following practitioners are involved in treatment planning:
 - o Physicians
 - o PAs
 - o APRNs
 - o RNs
 - o LPNs
 - o LIMHP
 - o Licensed Psychologists
 - Provisionally licensed Psychologists
 - Licensed Mental Health Practitioners
 - o Provisionally licensed Mental Health Practitioners
 - o LDAC
 - Provisionally licensed Drug and Alcohol Counselors
- The following practitioners provide therapy services:
 - o LIMHP
 - Licensed Psychologists
 - Provisionally licensed Psychologists
 - o Licensed Mental Health Practitioners
 - o Provisionally licensed Mental Health Practitioners
 - o LDAC
 - Provisionally licensed Drug and Alcohol Counselors

1905(a)(29) Medication-Assisted Treatment (MAT)

- The following practitioners are involved in care coordination:
 - Physicians
 - o PAs
 - o APRNs
 - o RNs
 - o LPNs
 - o LIMHP
 - o Licensed Psychologists
 - o Provisionally licensed Psychologists
 - o Licensed Mental Health Practitioners
 - o Provisionally licensed Mental Health Practitioners
 - o LDAC
 - o Provisionally licensed Drug and Alcohol Counselors
- The following practitioners provide sustained recovery services:
 - o Physicians
 - o PAs
 - o APRNs
 - o LIMHPs
 - o Licensed Psychologists
 - Provisionally licensed Psychologists
 - Licensed Mental Health Practitioners
 - o Provisionally licensed Mental Health Practitioners
 - o LDACs
 - Provisionally licensed Drug and Alcohol Counselors

Opioid Treatment Programs (OTPs) provide all services listed above.

c) Please include a brief summary of the qualifications for each practitioner or provider entity that the state requires. Include any licensure, certification, registration, education, experience, training and supervisory arrangements that the state requires.

See Table A below for the qualifications for each practitioner.

1905(a)(29) Medication-Assisted Treatment (MAT)

OTPs must:

- Comply with applicable state laws and regulations;
- Be accredited by SAMHSA-approved accreditation bodies;
- Be certified under 42 C.F.R. Part 8;
- Be licensed by DHHS Division of Public Health; and
- Be an active enrolled provider with Nebraska Medicaid.

OTPs must be staffed as specified in the Federal regulations established for MAT by SAMHSA and must have a program sponsor who is a qualified physician responsible for assuring adherence to all requirements and ensuring all services identified and the required services are available. OTPs must also have a medical director who assumes responsibility for administering all medical services performed by the OTP.

1905(a)(29) Medication-Assisted Treatment (MAT)

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Applicable Service Components Provided
Physician	Doctor of Medicine or Osteopathy	Licensed by NE Board of Medical Examiners.	None	Physical examination, prescribing of opioid agonists, medication administration, sustained recovery from opioid analgesics, care coordination, and treatment planning as a member of the interdisciplinary team.
Physician Assistant (PA)	Successful completion of an approved program for the education of physician assistants.	Successful completion of the proficiency examination.	Physician	
Advance Practice Registered Nurse (APRN)	Master's or doctoral degree and national board certification to qualify for licensure.	APRN license as a Certified Nurse Midwife, Certified Registered Nurse Anesthetist, Clinical Nurse Specialist, or Nurse Practitioner	Integrated Practice Agreement (IPA) with physician	
Registered Nurse (RN)	Two to four years of education at a college or university and passed the National Council Licensure Examination Registered Nurse (NCLEX-RN) in order to qualify for licensure.	Successful completion of the NCLEX-RN.		Medication administration (under supervision of a physician), care coordination, and treatment planning as a member of the interdisciplinary team.

Table A: Staff Qualifications for Opioid Treatment Program (OTP)

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Applicable Service Components Provided
Licensed Practical Nurse (LPN)	Licensure follows nine months to one year of education and successful completion of the National Council Licensure Examination Practical Nurse (NCLEX-PN).	Successful completion of the NCLEX-PN.		Medication administration (under supervision of a physician), care coordination, and treatment planning as a member of the interdisciplinary team.
Licensed Independent Mental Health Practitioner	Have a Master's or doctorate degree from an accredited educational program, successfully passed the relevant professional national board licensing examination, 3,000 hours of post graduate supervised experience, and included at least 1,500 hours (or more) of experience with clients diagnosed under the major mental illness or disorder category.	Licensed by Nebraska Department of Health and Human Services		Initial assessment and ongoing assessment services, therapy services (individual, group and family) within the clinician's scope of practice, care coordination, sustained recovery, and treatment planning as a member of the interdisciplinary team.
Licensed Psychologist	Have a doctoral degree from a program of graduate study in professional Psychology; two years	Licensed by Nebraska Department of		

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Applicable Service Components Provided
	of supervised professional experience; one-year of postdoctoral experience	Health and Human Services		
Provisionally Licensed Psychologist	Have a doctoral degree from a program of graduate study in professional Psychology; two years of supervised professional experience		Nebraska Licensed Psychologist	
Licensed Mental Health Practitioner	Master's or doctorate degree of which the course work and training leading to the degree was primarily therapeutic mental health in content as defined in licensure requirements, and included a practicum or internship; 3,000 hours of supervised experience.	Licensed by Nebraska Department of Health and Human Services		
Provisionally Licensed Mental Health Practitioner	Master's or doctorate degree of which the course work and training leading to the degree was primarily therapeutic mental health in content as		Needs to obtain 3,000 hours of supervised experience in mental health practice in Nebraska.	

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Applicable Service Components Provided
	defined in licensure requirements, and included a practicum or internship.		Must be supervised by a fully licensed practitioner.	
Licensed Drug and Alcohol Counselor	High school diploma, 270 hours of coursework related to the knowledge and skills of alcohol and drug counseling, 300 hours of supervised practical training and 6000 hours of clinical work experience	Licensed by Nebraska Department of Health and Human Services		
Provisionally Licensed Drug and Alcohol Counselor	High school diploma, 270 hours of coursework related to the knowledge and skills of alcohol and drug counseling, 300 hours of supervised practical training		Needs to obtain 6,000 hours of supervised clinical work experience providing alcohol and drug counseling services in Nebraska. Must be supervised by a fully licensed practitioner	

1905(a)(29) Medication-Assisted Treatment (MAT)

Amount, Duration, and Scope of Medical and Remedial Care Services Provided to the Categorically Needy (continued)

iv. Utilization Controls

 \underline{x} The state has drug utilization controls in place. (Check each of the following that apply)

<u>x</u> Generic first policy <u>x</u> Preferred drug lists <u>x</u> Clinical criteria <u>x</u> Quantity limits

_____ The state does not have drug utilization controls in place.

v. Limitations

Describe the state's limitations on amount, duration, and scope of MAT drugs, biologicals, and counseling and behavioral therapies related to MAT.

None

PRA Disclosure Statement - This information is being collected to assist the Centers for Medicare & Medicaid Services in implementing section 1006(b) of the SUPPORT for Patients and Communities Act (P.L. 115-271) enacted on October 24, 2018. Section 1006(b) requires state Medicaid plans to provide coverage of Medication-Assisted Treatment (MAT) for all Medicaid enrollees as a mandatory Medicaid state plan benefit for the period beginning October 1, 2020, and ending September 30, 2025. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. The OMB control number for this project is 0938-1148 (CMS-10398 # 60). Public burden for all of the collection of information requirements under this control number is estimated to take about 80 hours per response. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CMS, 7500 Security Boulevard, Attn: Paperwork Reduction Act Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

Revision: HCFA-PM-86-20 September 1986 (BERC)

State/Territory: <u>Nebraska</u>

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): _____

The following ambulatory services are provided.

Rural health clinic services Other laboratory and x-ray services Early and Periodic Screening. Diagnosis. and Treatment Family planning services Physicians' services Podiatrists' services Optometrists' services Chiropractors' services Other practitioners' services Home health services Private duty nursing services Clinic services Dental services Physical therapy and related services Prescribed drugs. dentures. and prosthetic devices Eyeglasses Transportation Personal care services Nurse Practitioner Services Freestanding Birth Center Services

*Description provided on attachment.

TN No. <u>NE 11-21</u> Supersedes TN No. <u>MS-86-25</u>

Approval Date <u>APR 02 2012</u>

Effective Date <u>FEB 14 2012</u> HCFA ID: 0140P/0102A

		on: HCFA- 1991 Ferritory: <u>N</u>		(BPD)		Pag	ACHMENT 3.1-B e 2 3 No.: 0938
					SCOPE OF SERVICES GROUP(S): <u>All Gro</u>		VIDED
1.	Inp	atient hospi	ital services other that	an those p	provided in an institutio	n for n	nental diseases.
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
2.	a.	Outpatient	hospital services.				
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
	 Rural health clinic services and other ambulatory services furnished by a rural health clinic (which are otherwise included in the State Plan) 						
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
	c. Federally qualified health center (FQHC) services and other ambulatory services that are covered under the plan and furnished by an FQHC in accordance with sec. 4231 of the State Medicaid Manual (HCFA-Pub. 45-4).						
		\boxtimes	Provided		No limitations	\square	With Limitations*
3.	3. Other laboratory and x-ray services.						
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
4.	 Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older. 			al diseases) for			
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
		g facility (N 2 and 440.15		ilable to	eligible individuals in	accord	lance with 42 CFR

(BPD)

ATTACHMENT 3.1-B Page 2a OMB No.: 0938

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All Groups</u>

4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older, (Continued)

Specialized add-on services are available to certain individuals residing in a Medicaid-certified nursing facility. Specialized add-on services are paid as add-on services to the provider of the specialized add-on service in accordance with Attachment 4.19-D, page 33. Services will not be paid as specialized add-on services if the services are included in the nursing facility's per diem rate or covered under other sections of the State Plan.

Specialized add-on services are services which result in a continuous, aggressive individualized plan of care and recommended and monitored by the individual's interdisciplinary team (IDT). Specialized add-on services include habilitative services and are not provided by the nursing facility. Habilitative services are medically necessary services intended to assist the individual in obtaining, maintaining, or improving developmental-age appropriate skills not fully acquired as a result of congenital, genetic, or early acquired health condition.

Specialized add-on services are provided only when prior authorized, recommended by the individual's IDT and are included in the individual's plan of care. The IDT includes but is not limited to the attending physician, a RN and nurse aide with responsibility for the individual, a member of the food and nutrition services staff, to the extent possible the individual and the individual's representative(s), and other appropriate staff or professionals in disciplines as determined by the individual's needs or as requested by the individual.

Specialized add-on services must meet professional standards of quality and be provided by qualified persons in accordance with each individual's written plan of care.

Specialized add-on services, limitations, and the providers who may furnish the services are as follows:

- I. Habilitative Skills
 - A. Habilitative Skills supports individuals to acquire new skills and/or increase skills in the areas of hygiene, self-advocacy, activities of daily living and communication. Habilitative skills can occur on-site (at the nursing facility) but may be expanded to also occur in the community such as grocery stores, financial institutions, movie theatres, recreational centers/events, and social activities so the individual learns these skills in a variety of settings. Services are expected to include both formal training (goal oriented and measureable) and opportunities to practice the skills in various settings.

(BPD)

ATTACHMENT 3.1-B Page 2b OMB No.: 0938

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All Groups</u>

4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older, (Continued)

Habilitative Skills services consist of:

- Identification of skill needs requiring training with regard to individual rights and due process, advocating for their own needs, desires, future life goals and participation in the development of their plan of care, communication skills, personal hygiene skills, dressing skills, laundry skills, bathing skills, and toileting skills;
- 2. Development and implementation of formal training goals related to identified skill needs; and
- 3. Monitor and revise goals according to the individual's response to training.

This service is provided with a staff to individual ratio of 1:1.

This service is provided to individuals in order to meet the goals and outcome measurements as outlined in the individual's plan of care per 42 CFR §483.120 and 42 CFR §483.21.

- B. Limitations
 - 1. Transportation is not included in the reimbursement rates. Transportation services can be billed separately for off-site habilitative skills only and is limited to travel to and from the habilitative service. The individual must be present in the vehicle.
 - 2. This service can be authorized in combination with but cannot be provided during the same time period as Habilitative Community Inclusion.
 - 3. This service shall exclude any services available through public education programs funded under the Individuals with Disabilities Education Act (IDEA). This includes services not otherwise available through public education programs in the individual's local school district, including after school supervision and daytime services when school is not in session (i.e., summer breaks and/or scheduled school holidays, inservice days, etc.). Services cannot be provided during the school hours set by the local school district for the individual. Regular school hours and days apply for a child who receives home schooling.

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Effective Date JUL 01 2018

(BPD)

ATTACHMENT 3.1-B Page 2c OMB No.: 0938

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All Groups</u>

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older, (Continued)
 - C. Provider requirements: Any person providing specialized add-on services for the individual (as an independent provider or as an employee of an agency provider) must comply with the following requirements:
 - 1. Be legally authorized to work in the United States;
 - 2. Not be a family member or legal guardian of the individual;
 - 3. Not be an employee of the Nebraska Department of Health and Human Services (DHHS);
 - 4. Be at least 19 years of age;
 - 5. Meet the following educational and/or work experience requirements:
 - a. Have a bachelor's or advanced degree from an accredited college or university in one of the following areas: social, behavioral, or human services, such as psychology, sociology, social work, medicine, nursing, rehabilitation, counseling, human development, gerontology, educational psychology, education, or criminal justice; and
 - b. At least one year of direct care experience with intellectually disabled individuals; OR
 - c. In lieu of a bachelor's/advanced degree, a minimum of three years direct care experience with intellectually disabled individuals;
 - 6. Willing and qualified habilitation providers who are enrolled in Medicaid may provide this service.
- II. Employment Assistance
 - A. Employment Assistance supports the individual through habilitative training to obtain gainful employment in their community. The goal is to provide the skills, tools, and supports to enable the individual to seek and obtain employment.

Employment Assistance services consist of:

- 1. Identification of the individual's job preferences and skill needs;
- 2. Identification of available employment opportunities in their community;
- 3. Development and implementation of formal training goals related to the individual's employment needs including application for employment, job readiness and preparation skills and appropriate work behavior;
- 4. Monitor and revise goals according to the individual's response to training.

(BPD)

ATTACHMENT 3.1-B Page 2d OMB No.: 0938

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All Groups</u>

4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older, (Continued)

This service is provided with a staff to individual ratio of 1:1 and may be provided at the nursing facility or in the community.

This service is provided to individuals in order to meet the goals and outcome measurements as outlined in the individual's plan of care per 42 CFR §483.120 and 42 CFR §483.21.

- B. Limitations
 - 1. The individual's service hours are determined by the assistance needed to reach employment goals.
 - 2. This service can be authorized in combination with but cannot be provided during the same time period as Employment Support.
 - 3. Transportation is not included in the reimbursement rate and must be billed separately and is limited to travel to and from the habilitative service. The individual must be present in the vehicle.
 - 4. This service shall exclude any services available through public education programs funded under the Individuals with Disabilities Education Act (IDEA). This includes services not otherwise available through public education programs in the individual's local school district, including after school supervision and daytime services when school is not in session (i.e., summer breaks and/or scheduled school holidays, inservice days, etc.). Services cannot be provided during the school hours set by the local school district for the individual. Regular school hours and days apply for a child who receives home schooling.
 - 5. No employment assistance or support services are available to a resident of a nursing facility through a program funded by the Rehabilitation Act of 1973 in Nebraska.
- C. Provider requirements: Any person providing specialized add-on services for the individual (as an independent provider or as an employee of an agency provider) must comply with the following requirements:
 - 1. Be legally authorized to work in the United States;
 - 2. Not be a family member or legal guardian of the individual;
 - 3. Not be an employee of the Nebraska Department of Health and Human Services (DHHS);
 - 4. Be at least 19 years of age;

(BPD)

ATTACHMENT 3.1-B Page 2e OMB No.: 0938

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All Groups</u>

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older, (Continued)
 - 5. Meet the following educational and/or work experience requirements:
 - a. Have a bachelor's or advanced degree from an accredited college or university in one of the following areas: social, behavioral, or human services, such as psychology, sociology, social work, medicine, nursing, rehabilitation, counseling, human development, gerontology, educational psychology, education, or criminal justice; and
 - b. At least one year of direct care experience with intellectually disabled individuals; OR
 - c. In lieu of a bachelor's/advanced degree, a minimum of three years direct care experience with intellectually disabled individuals;
 - 6. Willing and qualified habilitation providers who are enrolled in Medicaid may provide this service.
- III. Employment Support
 - A. Employment Support supports the individual through habilitative training to maintain integrated and gainful employment after the individual has secured employment. The goal is to provide the skills, tools, and supports necessary for the individual to maintain employment.

Employment Support services consist of:

- 1. Teaching appropriate work behavior related to punctuality, attendance and co-worker relationships;
- 2. Providing training and support for the individual to develop time management skills;
- 3. Providing training and monitoring in order for the individual to learn the job tasks necessary to maintain employment;
- 4. Providing social skills training in relation to the work environment; and
- 5. Monitoring and revising goals according to the individual's response to training.

This service is provided with a staff to individual ratio of up to 1:4 and must be provided in the community.

This service is provided to individuals in order to meet the goals and outcome measurements as outlined in the individual's plan of care per 42 CFR §483.120 and 42 CFR §483.21.

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State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All Groups</u>

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older, (Continued)
 - B. Limitations
 - 1. Payment for Employment Support excludes the supervisory activities rendered as a normal part of the business setting.
 - 2. This service can be authorized in combination with but cannot be provided during the same time period as Employment Assistance.
 - 3. Transportation is not included in the reimbursement rate and must be billed separately and is limited to travel to and from the habilitative service. The individual must be present in the vehicle.
 - 4. This service shall exclude any services available through public education programs funded under the Individuals with Disabilities Education Act (IDEA). This includes services not otherwise available through public education programs in the individual's local school district, including after school supervision and daytime services when school is not in session (i.e., summer breaks and/or scheduled school holidays, inservice days, etc.). Services cannot be provided during the school hours set by the local school district for the individual. Regular school hours and days apply for a child who receives home schooling.
 - 5. No employment assistance/support services are available to a resident of a nursing facility through a program funded by the Rehabilitation Act of 1973 in Nebraska.
 - C. Provider requirements: Any person providing specialized add-on services for the individual (as an independent provider or as an employee of an agency provider) must comply with the following requirements:
 - 1. Be legally authorized to work in the United States;
 - 2. Not be a family member or legal guardian of the individual;
 - 3. Not be an employee of the Nebraska Department of Health and Human Services (DHHS);
 - 4. Be at least 19 years of age;
 - 5. Meet the following educational and/or work experience requirements:
 - a. Have a bachelor's or advanced degree from an accredited college or university in one of the following areas: social, behavioral, or human services, such as psychology, sociology, social work, medicine, nursing, rehabilitation, counseling, human development, gerontology, educational psychology, education, or criminal justice; and
 - b. At least one year of direct care experience with intellectually disabled individuals; OR

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State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All Groups</u>

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older, (Continued)
 - c. In lieu of a bachelor's/advanced degree, a minimum of three years direct care experience with intellectually disabled individuals (must include one year of experience specific to employment support for individuals with developmental/intellectual disabilities);
 - 6. Willing and qualified habilitation providers who are enrolled in Medicaid may provide this service.
- IV. Habilitative Community Inclusion
 - A. Habilitative Community Inclusion supports individuals to increase independence and inclusion in their community. Habilitative Community Inclusion must occur in the community in a nonresidential setting, separate from the individual's residential living arrangement. Making connections with community members is a strong component of this service provision. Habilitative Community Inclusion must be furnished consistent with the individual's care plan and include options and opportunities for community integration, relationship-building, and an increased presence in one's community.

Habilitative Community Inclusion consists of:

- 1. Identification of needed skills with regard to access and use of community supports, services and activities;
- 2. Development and implementation of formal training goals related to:
 - a. Community transportation and emergency systems (such as police and fire);
 - b. Accessing and participation in community groups, volunteer organizations, and social settings; and
 - c. Opportunities to pursue social and cultural interests and building and maintaining interpersonal relationships; and
- 3. Monitor and revise goals according to the individual's response to training.

This service is provided with a staff to individual ratio of 1:1.

(BPD)

ATTACHMENT 3.1-B Page 2h OMB No.: 0938

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All Groups</u>

4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older, (Continued)

This service is provided to individuals in order to meet the goals and outcome measurements as outlined in the individual's plan of care per 42 CFR §483.120 and 42 CFR §483.21.

- B. Limitations
 - 1. Habilitative Community Inclusion can supplement, but cannot replace, activities that would otherwise be available as part of the NF activities program.
 - 2. Transportation is not included in the reimbursement rate and must be billed separately and is limited to travel to and from the habilitative service. The individual must be present in the vehicle.
 - 3. This service shall exclude any services available through public education programs funded under the Individuals with Disabilities Education Act (IDEA). This includes services not otherwise available through public education programs in the individual's local school district, including after school supervision and daytime services when school is not in session (i.e., summer breaks and/or scheduled school holidays, inservice days, etc.). Services cannot be provided during the school hours set by the local school district for the individual. Regular school hours and days apply for a child who receives home schooling.
- A. Provider requirements: Any person providing specialized add-on services for the individual (as an independent provider or as an employee of an agency provider) must comply with the following requirements:
 - 1. Be legally authorized to work in the United States;
 - 2. Not be a family member or legal guardian of the individual;
 - 3. Not be an employee of the Nebraska Department of Health and Human Services (DHHS);
 - 4. Be at least 19 years of age;
 - 5. Meet the following educational and/or work experience requirements:
 - a. Have a bachelor's or advanced degree from an accredited college or university in one of the following areas: social, behavioral, or human services, such as psychology, sociology, social work, medicine, nursing, rehabilitation, counseling, human development, gerontology, educational psychology, education, or criminal justice; and

(BPD)

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All Groups</u>

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older, (Continued)
 - b. At least one year of direct care experience with intellectually disabled individuals; OR
 - c. In lieu of a bachelor's/advanced degree, a minimum of three years direct care experience with intellectually disabled individuals;
 - 6. Willing and qualified habilitation providers who are enrolled in Medicaid may provide this service.

IV. Non-Medical Transportation

A. Non-medical transportation is provided in order for the individual to participate in specialized add-on services in a community setting.

B. Limitations

- 1. Transportation is limited to travel to and from a habilitative service according to the individual's plan of care.
- 2. The individual must be present in the vehicle.
- 3. Purchase or lease of vehicles is not covered under this service.
- 4. Is a separately billable service for off-site Habilitative Skills, off-site Employment Assistance, Employment Support, and Habilitative Community Inclusion.
- C. Provider requirements: Any person providing specialized add-on services for the individual (as an independent provider or as an employee of an agency provider) must comply with the following requirements:
 - 1. Be legally authorized to work in the United States;
 - 2. Have a valid State issued driver's license;
 - 3. Not be a family member or legal guardian of the individual;
 - 4. Not be an employee of the Nebraska Department of Health and Human Services (DHHS);
 - 5. Be at least 19 years of age;
 - 6. Willing and qualified habilitation providers who are enrolled in Medicaid may provide this service.
- V. Specialized add-on services are paid as payments to the provider of the specialized add-on service as described in Attachment 4.19-D, Part 1.

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ATTACHMENT 3.1-B Page 2j OMB No.: 0938

With Limitations*

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All Groups</u>

- 4. a. Nursing facility services (other than services in an institution for mental diseases) for individuals 21 years of age or older, (Continued)
 - b. Early and periodic screening, diagnostic and treatment services for individuals under 21 years of age, and treatment of conditions found.

\boxtimes	Provided	No limitations	\boxtimes
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(BPD)

c. Family planning services and supplies for individuals of child-bearing age.

\boxtimes	Provided	□ N	o limitations	\boxtimes	With Limitations*
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*Description provided on attachment

- d. 1) Face-to-Face Tobacco Cessation Counseling Services provided (by):
 - \bigotimes (i) By or under supervision of a physician;
 - (ii) By any other health care professional who is legally authorized to furnish such services under State law and who is authorized to provide Medicaid coverable services *other* than tobacco cessation services; or
 - (iii) Any other health care professional legally authorized to provide tobacco cessation services under State law *and* who is specifically *designated* by the Secretary in regulations. (None are designated at this time; this item is reserved for future use.)
 *describe if there are any limits on who can provide these counseling services.

Approval Date <u>SEP 04 2018</u>

Effective Date JUL 01 2018

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							SCOPE OF SER GROUP(S): <u>A</u>			VIDED -	
		,	e-to- men	Face Tobacc	o Cessatio	on coui	nseling Services	Benef	it Pac	kage for Pregna	nt
			Pro	vided:		⊠ No∣	limitations		With	limitations*	
			atte	• •	ninimum of	f two (2		• •		eling sessions pe n period (eight (8	•
			Plea	ase describe	any limitat	ions:					
5.		•		' services whe ility or elsewh		shed ir	the office, the p	atient'	s horr	ne, a hospital, a	
			\boxtimes	Provided			No limitations		\boxtimes	With Limitations	5*
	b.			d surgical ser (B) of the act)		ished b	oy a dentist (in ac	corda	nce w	vith section	
			\boxtimes	Provided			No limitations		\square	With Limitations	5*

*Description provided on attachment.

Revision: HCFA-PM-86-20 September 1986 (BERC)

State/Territory: <u>Nebraska</u>

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All covered groups</u>

- 6. Medical care and any other type of remedial care recognized under State law, furnished by licensed practitioners within the scope of their practice as defined by state law.
 - a. Podiatrists' services.

		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
	b.	Optometrist	s' services.				
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
	c.	Chiropracto	rs' services:				
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
	d.	Other practi	tioners' services.				
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
7.	Ho	me Health Se	ervices				
	 Intermittent or part-time nursing services provided by a home health agency or by a registered nurse when no home health agency exists in the area. 						
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
	b.	Home health	h aide services provided	by a h	ome health agency.		
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
	c.	Medical sup	plies, equipment, and ap	pliance	es suitable for use in the	e home	9.
		\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
	d.		erapy, occupational thera nealth agency or medical			audiolog	gy services provided
		\boxtimes	Provided		No limitations	\square	With Limitations*
"De	escri	iption provide	ed on attachment.				
Su	TN No. <u>MS-00-06</u> Supersedes Approval Date <u>Mar 16 2001</u> Effective Date <u>Jul 1 2000</u> TN No. MS-86-25						

Revision: HCFA-PM-86-20 September 1986 (BERC)

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): ____ All covered groups

_		_					
8.	Pri	vate di	uty nu ⊠	rsing services Provided	No limitations	\boxtimes	With Limitations*
9.	Cli	nic ser	vices ⊠	Provided	No limitations	\boxtimes	With Limitations*
10.	De	ntal se	ervices	Provided	No limitations	\boxtimes	With Limitations*
11.	Ph	ysical	therap	y and related services.			
	a.	Physi	cal the ⊠	erapy Provided	No limitations	\boxtimes	With Limitations*
	b.	Occu	pation	al therapy Provided	No limitations	\boxtimes	With Limitations*
	c.			r individuals with speecl		orders	provided by or
		under	the s ⊠	upervision of a speech Provided	ogist or audiologist. No limitations	\boxtimes	With Limitations*
12.				gs. dentures, and prosth d in diseases of the eye		s pres	cribed by a
	a.	Presc	ribed	drugs Provided	No limitations	\boxtimes	With Limitations*
	b.	Dentu	ures ⊠	Provided	No limitations	\boxtimes	With Limitations*

*Description provided on attachment.

TN No. <u>MS-00-06</u> Supersedes TN No. <u>MS-93-15</u>

Approval Date Mar 16 2001 Effective Date Jul 1 2000

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State/Territory: <u>N</u>	ebraska			ONE	110 0750 0175
			SCOPE OF SERVE OUP(S): <u>All cov</u>		
c. Prosthetic o	levices Provided		No limitations	\boxtimes	With Limitations*
d. Eyeglasses	Provided		No limitations	\boxtimes	With Limitations*
13. Other diagnos elsewhere in t	tic. Screening, prever he plan.	tive, and rel	habilitative services,	i.e., other t	han those provided
a. Diagnostic	services.				
	Provided Not Provided		No limitations		With Limitations*
b. Screening s	services.				
\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
c. Preventive	services.				
\square	Provided Not Provided		No limitations	\boxtimes	With Limitations*
d. Rehabilitat	ive services.				
\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
14. Services for in	ndividuals age 65 or o	lder in instit	utions for mental dis	seases.	
a. Inpatient he	ospital services.				
\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
b. Skilled nur	sing facility services.				
\boxtimes	Provided		No limitations	\boxtimes	With Limitations*
*Description provid	led on attachment.				
TN No. <u>NE 17-0001</u> Supersedes TN No. <u>MS-00-06</u>		al Date: <u>J</u>	une 26, 2017 E	Effective D	ate_July 1, 2017

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE MEDICALLY NEEDY

	c.	Intermediate care facility services.
		<u>X</u> Provided No limitations <u>X</u> With limitations*
15.	a.	Intermediate care facility services (other than such services in an institution for mental diseases) for persons determined in accordance with section 1902(a)(31)(A) of the Act, to be in need of such care.
		<u>X</u> Provided No limitations <u>X</u> With limitations*
	b.	Including such services in a public institution (or district part thereof) for the mentally retarded or persons with related conditions.
		X Provided No limitations X With limitations*
16.	Inp	atient psychiatric facility services for individuals under 22 years of age.
	<u>_X</u>	_ Provided _ X_ No limitations With limitations*
17.	Nu	rse-midwife services.
	<u>_X</u>	Provided No limitations With limitations*
18.	Ho	spice care (in accordance with section 1905(o) of the Act).
		Provided No limitations _X_ Provided in accordance with section 2302 of the Affordable Care Act With limitations*
*Descr		n provided on attachment -
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TN No. <u>NE 11-14</u>

Supersedes

Approval Date <u>DEC 21 2011</u> Effective Date <u>JUL 01 2011</u>

TN No. <u>11-10</u>

(MB)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: <u>Nebraska</u>

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All covered groups</u>

- 19. Case management services and Tuberculosis related services
 - Case management services as defined in, and to the group specified in, Supplement 1 to <u>ATTACHMENT 3.1-A</u> (in accordance with section 1905(a)(19) or section 1915(g) of the Act).

\leq		

With Limitations*

b. Special tuberculosis (TB) related services under section 1902 (z) (2) (F) of the Act.

	Provided	With Limitations*
\boxtimes	Not Provided	

20. Extended services for pregnant women

Provided Not Provided

- a. Pregnancy-related and postpartum services for a 60-day period after the pregnancy ends and any remaining days in the month in which the 60th day falls.
 - Provided Additional coverage ++
- b. Services for any other medical conditions that may complicate pregnancy.

\boxtimes	Provided		Additional coverage ++	\boxtimes	Not provided
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Attached is a description of increases in covered services beyond limitations for all groups described in this attachment and/or any additional services provided to pregnant women only.

21. Ambulatory prenatal care for pregnant women furnished during a presumptive eligibility period by an eligible provider (in accordance with section 1920 of the Act).

\triangleleft	Provided	No limitations	\boxtimes	With Limitations*
	Not Provided			

*Description provided on attachment.

TN No. <u>MS-00-06</u> Supersedes Approval Date <u>Mar 16 2001</u> Effective Date <u>Jul 1 2000</u> TN No. MS-<u>94-15</u> State/Territory: Nebraska

Major Categories of Services That Are Available As Pregnancy-Related services or Services For Any Other Condition That May Complicate Pregnancy

The Nebraska Medical Assistance Program covers the following major categories of services as pregnancy-related services or services for a condition that may complicate pregnancy:

- 1. All services covered under the Title XIX Plan are available when pregnancy-related or for a condition that may complicate pregnancy; and
- 2. The same limitations listed in Attachment 3.1-A are applied to pregnancy-related services or services for a condition that may complicate pregnancy.

Revision: HCFA-PM-91 March 1987

(BERC)

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): _____ All covered groups

22.	Respirator	ry ca	are services (in accorda	nce wi	ith section 1902(e)(9)(<i>i</i>	A) thro	ugh (C) of the Act).
			Provided Not Provided		No limitations		With Limitations*
23.	Certified p	edia	atric or family nurse prac	ctitione	ers' services.		
	\geq	3	Provided		No limitations	\boxtimes	With Limitations*
24.			dical care and any othen ne Secretary:	type (of remedial care recog	nized	under State law,
	a. Transp	oorta	ation.				
	\geq	\Box	Provided		No limitations	\boxtimes	With Limitations*
	b. Servic	es o	f Christian Science nur	ses.			
			Provided Not Provided		No limitations		With Limitations*
	c. Care a	and s	services provided in Ch	ristian	Science sanitoria.		
			Provided Not Provided		No limitations		With Limitations*
	d. Nursin	ig fa	cility services for patien	t unde	r 21 years of age.		
	\geq	\Box	Provided		No limitations	\boxtimes	With Limitations*
	e. Emerg	jenc	y hospital services.				
	\geq	3	Provided		No limitations	\boxtimes	With Limitations*

*Description provided on attachment.

TN No. <u>MS-00-06</u>
Supersedes
TN No. <u>MS-87-11</u>

Approval Date Mar 16 2001 Effective Date Jul 1 2000

Revision: HCFA-Region VII August 1990

State/Territory: Nebraska

- 24. Pediatric or family nurse practitioners' services as defined in Section 1905(a)(21) of the Act (added by Section 6405 of OBRA'89):
 - Provided No limitations Difference With Limitations*

* Description provided on attachment.

TN No. <u>MS-91-2</u> Supersedes TN No. <u>new page</u>

Approval Date Feb 26 1991

Effective Date Jan 1 1991

(MB)

State/Territory: <u>Nebraska</u>

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All groups</u>

- 25. Home and Community Care for Functionally Disabled Elderly Individuals. as defined, described and limited in Supplement 2 to Attachment 3.1-A, and Appendices A-G to Supplement 2 to Attachment 3.1-A.
 - Provided Not Provided
- 26. Personal assistance services are those services provided to a Medicaid client who is not an inpatient or resident of a hospital, nursing facility, intermediate cafe facility for the mentally retarded, institution for mental disease, or prison, which are authorized on a written service plan according to individual needs identified in a written assessment.

Personal assistance services are A) authorized by a Social Services Worker or designee, B) provided by qualified providers who are not legally responsible relatives, and C) are furnished inside the home, and outside the home with limitations

Provided

Not Provided

State Approved (Not Physician) Service Plan Allowed Services Outside the Home Also Allowed* Limitations Described on Attachment

27. Program of All-Inclusive Care for the Elderly (PACE) services, as described in Supplement 4 to Attachment 3.1-A.

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- <u>X</u> Election of PACE: By virtue of this submittal, the State elects PACE as an optional State Plan service.
- ____ No election of PACE: By virtue of this submittal, the State elects to not add PACE as an optional State Plan service.
- 28. (i) Licensed or Otherwise State-Approved Freestanding Birth Centers

Provided:

 No Limitations With Limitations None licensed or approved
 Not Applicable (there are no licensed or State approved Freestanding Birth Centers

Please describe any limitations:

Facilities must:

- (a) Be specifically approved by Department of Health and Human Services, Division of Public Health to provide birthing Center Services, and
- (b) Maintain standards of care required by Department of Health and Human Services, Division of Public Health for licensure.
- * Exception described on attachment

State/Territory: <u>Nebraska</u>

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All groups</u>

28. (ii) Licensed or Otherwise Sate-Recognized covered professionals providing services in the Freestanding Birth Centers

Provided: 🗌 No Limitations 🖂 With Limitations (please describe below)

Please check all that apply:

- (a) Practitioners furnishing mandatory services described in another benefit category and otherwise covered under the Sate plan (i.e., physicians and certified nurse midwives.
- (b) Other licensed practitioners furnishing prenatal, labor and delivery, or postpartum care in a freestanding birth center within the scope of practice under State law whose services are otherwise covered under 42 CFR 440.60 (e.g., lay midwives, certified professional midwives (CPMs), and any other type of licensed midwife).*
- (c) Other health care professionals licensed or otherwise recognized by the State to provide these birth attendant services (e.g., doulas, lactation consultant, etc.).*

Telehealth means the use of medical information electronically exchanged from one site to another, whether synchronously or asynchronously, to aid a health care practitioner in the diagnosis or treatment of a patient. Telehealth includes services originating from a patient's home or any other location where such patient is located. Asynchronous services involving the acquisition and storage of medical information at one site that is then forwarded to and retrieved by a health care practitioner at another site for medical evaluation and telemonitoring.

Telehealth consultation means any contact between a patient and a health care practitioner relating to the health care diagnosis or treatment of such patient through telehealth, but does not include a telephone conversation, electronic mail message, or facsimile transmission between a health care practitioner and a patient or a consultation between two health care practitioners.

Telemonitoring means the remote monitoring of a patient's vital signs, biometric data, or subjective data by a monitoring device which transmits such data electronically to a health care practitioner for analysis and storage.

Health care practitioners must:

- 1. act within their scope of practice;
- 2. be enrolled with Nebraska Medicaid; and
- 3. be appropriately licensed, certified, or registered by Nebraska HHS Regulation and Licensure for the service for which they bill Medicaid.

Approval Date <u>10/21/2014</u>

Effective Date 07/01/2014

Substitute per letter dated 2/14/01

A telehealth service is not covered when the service delivered via telecommunication technology is deemed to be investigational or experimental.

Transmission costs are not covered when the telehealth service provided by the health care practitioner is not a covered state plan service.

Approval Date <u>10/21/2014</u>

Effective Date 07/01/2014

Revision: HCFA-AT-81-37(B)

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED MEDICALLY NEEDY GROUP(S): <u>All covered groups</u>

The limitations to services listed in Attachment 3.1-B are the same as the limitations for services listed in Attachment 3.1-A.

TN No. <u>MS-86-25</u> Supersedes TN No. <u>MS-81-11</u>

Approval Date Jan 7 1987 Effective Date Oct 1 1986

State/Territory: Nebraska

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

CATEGORICALLY NEEDY GROUP(S)

30. Coverage of Routine Patient Cost in Qualifying Clinical Trials

*The state needs to check each assurance below.

Provided: X

I. General Assurances:

Routine Patient Cost – Section 1905(gg)(1)

 \underline{X} Coverage of routine patient cost for items and services as defined in section 1905(gg)(1) that are furnished in connection with participation in a qualified clinical trial.

Qualifying Clinical Trial – Section 1905(gg)(2)

<u>X</u> A qualified clinical trial is a clinical trial that meets the definition at section 1905(gg)(2).

Coverage Determination – Section 1905(gg)(3)

 \underline{X} A determination with respect to coverage for an individual participating in a qualified clinical trial will be made in accordance with section 1905(gg)(3).

PRA Disclosure Statement - This information is being collected to assist the Centers for Medicare & Medicaid Services in implementing Section 210 of the Consolidated Appropriations Act of 2021 amending section 1905(a) of the Social Security Act (the Act), by adding a new mandatory benefit at section 1905(a)(30). Section 210 mandates coverage of routine patient services and costs furnished in connection with participation by Medicaid beneficiaries in gualifying clinical trials effective January 1, 2022. Section 210 also amended sections 1902(a)(10)(A) and 1937(b)(5) of the Act to make coverage of this new benefit mandatory under the state plan and any benchmark or benchmark equivalent coverage (also referred to as alternative benefit plans, or ABPs). Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. An agency may notconduct or sponsor, and a person is not required to respond to, a collection of information unlessit displays a currently valid Office of Management and Budget (OMB) control number. The OMB control number for this project is 0938-1148 (CMS-10398 #74). Public burden for all of the collection of information requirements under this control number is estimated to take about 56 hours per response. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CMS, 7500 SecurityBoulevard, Attn: Paperwork Reduction Act Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

TN: <u>NE 22-0003</u> Supersedes TN: <u>New</u> Approval Date: <u>June 16, 2022</u> Effective Date: <u>January 1, 2022</u>

1905(a)(29) Medication-Assisted Treatment (MAT)

- Citation: 3.1(b)(1) Amount, Duration, and Scope of Services: Medically Needy (Continued)
- 1915(a)(29) Medication-Assisted Treatment (MAT) as described and limited in Supplement 6 to Attachment 3.1-B.

ATTACHMENT 3.1-B identifies the medical and remedial services provided to the medically needy.

1905(a)(29) Medication-Assisted Treatment (MAT)

Amount, Duration, and Scope of Medical and Remedial Care Services Provided to the Medically Needy (continued)

i. General Assurance

MAT is covered under the Medicaid state plan for all Medicaid beneficiaries who meet the medical necessity criteria for receipt of the service for the period beginning October 1, 2020, and ending September 30, 2025.

- ii. Assurances
 - a. The state assures coverage of Naltrexone, Buprenorphine, and Methadone and all of the forms of these drugs for MAT that are approved under section 505 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355) and all biological products licensed under section 351 of the Public Health Service Act (42 U.S.C. 262).
 - b. The state assures that Methadone for MAT is provided by Opioid Treatment Programs that meet the requirements in 42 C.F.R. Part 8.
 - c. The state assures coverage for all formulations of MAT drugs and biologicals for OUD that are approved under section 505 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355) and all biological products licensed under section 351 of the Public Health Service Act (42 U.S.C. 262).

iii. Service Package

The state covers the following counseling services and behavioral health therapies as part of MAT.

a) Please set forth each service and components of each service (if applicable), along with a description of each service and component service.

From October 1, 2020, through September 30, 2025, the state assures that MAT to treat OUD as defined at section 1905(ee)(1) of the Social Security Act (the Act) is covered exclusively under section 1905(a)(29) of the Act.

1905(a)(29) Medication-Assisted Treatment (MAT)

Nebraska covers the following services to address the symptoms of addiction and related impaired functioning as part of medication-assisted treatment:

- Initial assessment: Completion of an Adult Opioid Use Disorder Assessment by a licensed clinician (described below) that indicates individual has an opioid use disorder of sufficient severity that this level of care is necessary.
- Physical examination: A physical health assessment which includes medical history and a physical examination and toxicology screen. This must be completed by a physician, physician assistant (PA), or advance practice registered nurse (APRN).
- Ongoing assessment services: A opioid use disorder assessment must be completed periodically to determine OTP level of care. Assessments must be completed by a licensed practitioner (described in Table A below).
- Prescribing and administration of opioid agonist medication: A physician, physician assistant (PA), or advance practice registered nurse (APRN) must determine and document in writing the initial dose and schedule to be followed for each individual.
- Treatment planning: Initial assessments will function as the initial treatment plan with development of a comprehensive treatment plan to be completed within 30 days. Treatment plans must be reviewed every 90 days or more often if the individual experiences a significant change in clinical presentation.
- Therapy services: Include therapy to address the symptoms of addiction and related impaired functioning. Covered therapy services include: individual therapy, group therapy, and family therapy. Family Therapy service that involves the participation of a non-Medicaid eligible is for the direct benefit of the beneficiary. The service must actively involve the beneficiary in the sense of being tailored to the beneficiary's individual

1905(a)(29) Medication-Assisted Treatment (MAT)

needs. There may be times when, based on clinical judgment, the beneficiary is not present during the delivery of the service, but remains the focus of the service. If it is determined that treatment for a co-morbid mental health condition is needed by the initial diagnostic interview, therapy will be delivered by a licensed practitioner (described below).

- Care coordination: Is a collaborative process that assesses, plans, implements, coordinates, and evaluates the options and services required to meet the client's needs and includes referrals to appropriate outside resources when the needed services are not provided by the OTP.
- Sustained Recovery: Non-residential, outpatient sustained recovery from opioid analgesics including methadone and buprenorphine, as needed by the individual receiving services. Sustained recovery services include supervision of dosing and administration of MAT, toxicology result interpretation and counseling.
- b) Please include each practitioner and provider entity that furnishes each service and component service.
 - The following practitioners conduct physical examinations:
 - o Physician
 - Physician assistants (PAs)
 - Advance practice registered nurses (APRNs)
 - The following practitioners conduct initial assessments:
 - Licensed Independent Mental Health Practitioner (LIMHP)
 - o Licensed Psychologists
 - Provisionally licensed Psychologists
 - o Licensed Mental Health Practitioners
 - o Provisionally licensed Mental Health Practitioners
 - o Licensed Drug and Alcohol Counselors (LDAC)
 - Provisionally licensed Drug and Alcohol Counselors (under the supervision of a fully licensed therapist)
 - The following practitioners conduct ongoing assessments:
 - o LIMHP
 - Licensed Psychologists
 - Provisionally licensed Psychologists
 - Licensed Mental Health Practitioners

1905(a)(29) Medication-Assisted Treatment (MAT)

- o Provisionally licensed Mental Health Practitioners
- o LDAC
- Provisionally licensed Drug and Alcohol Counselors (under the supervision of a fully licensed therapist)
- The following practitioners prescribe and / or administer opioid agonist medication:
 - Physicians (prescribe and administer opioid agonist medication)
 - o PAs (prescribe and administer opioid agonist medication)
 - o APRNs (prescribe and administer opioid agonist medication)
 - Registered nurses (RNs) (administer opioid agonist medication under the supervision of a physician)
 - Licensed Practical Nurses (LPNs) (administer opioid agonist medication under the supervision of a physician)
- The following practitioners are involved in treatment planning:
 - o Physicians
 - o PAs
 - o APRNs
 - o RNs
 - o LPNs
 - o LIMHP
 - o Licensed Psychologists
 - Provisionally licensed Psychologists
 - Licensed Mental Health Practitioners
 - o Provisionally licensed Mental Health Practitioners
 - o LDAC
 - Provisionally licensed Drug and Alcohol Counselors
- The following practitioners provide therapy services:
 - o LIMHP
 - Licensed Psychologists
 - Provisionally licensed Psychologists
 - o Licensed Mental Health Practitioners
 - o Provisionally licensed Mental Health Practitioners
 - o LDAC
 - Provisionally licensed Drug and Alcohol Counselors

1905(a)(29) Medication-Assisted Treatment (MAT)

- The following practitioners are involved in care coordination:
 - Physicians
 - o PAs
 - o APRNs
 - o RNs
 - o LPNs
 - o LIMHP
 - Licensed Psychologists
 - o Provisionally licensed Psychologists
 - o Licensed Mental Health Practitioners
 - o Provisionally licensed Mental Health Practitioners
 - o LDAC
 - Provisionally licensed Drug and Alcohol Counselors
- The following practitioners provide sustained recovery services:
 - o Physicians
 - o PAs
 - o APRNs
 - o LIMHPs
 - Licensed Psychologists
 - Provisionally licensed Psychologists
 - Licensed Mental Health Practitioners
 - o Provisionally licensed Mental Health Practitioners
 - o LDACs
 - Provisionally licensed Drug and Alcohol Counselors

Opioid Treatment Programs (OTPs) provide all services listed above.

c) Please include a brief summary of the qualifications for each practitioner or provider entity that the state requires. Include any licensure, certification, registration, education, experience, training and supervisory arrangements that the state requires.

See Table A below for the qualifications for each practitioner.

1905(a)(29) Medication-Assisted Treatment (MAT)

OTPs must:

- Comply with applicable state laws and regulations;
- Be accredited by SAMHSA-approved accreditation bodies;
- Be certified under 42 C.F.R. Part 8;
- Be licensed by DHHS Division of Public Health; and
- Be an active enrolled provider with Nebraska Medicaid.

OTPs must be staffed as specified in the Federal regulations established for MAT by SAMHSA and must have a program sponsor who is a qualified physician responsible for assuring adherence to all requirements and ensuring all services identified and the required services are available. OTPs must also have a medical director who assumes responsibility for administering all medical services performed by the OTP.

1905(a)(29) Medication-Assisted Treatment (MAT)

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Applicable Service Components Provided
Physician	Doctor of Medicine or Osteopathy	Licensed by NE Board of Medical Examiners.	None	Physical examination, prescribing of
Physician Assistant (PA)	Successful completion of an approved program for the education of physician assistants.	Successful completion of the proficiency examination.	Physician	opioid agonists, medication administration, sustained recovery from opioid analgesics, care coordination, and treatment planning as a member of the interdisciplinary team.
Advance Practice Registered Nurse (APRN)	Master's or doctoral degree and national board certification to qualify for licensure.	APRN license as a Certified Nurse Midwife, Certified Registered Nurse Anesthetist, Clinical Nurse Specialist, or Nurse Practitioner	Integrated Practice Agreement (IPA) with physician	
Registered Nurse (RN)	Two to four years of education at a college or university and passed the National Council Licensure Examination Registered Nurse (NCLEX-RN) in order to qualify for licensure.	Successful completion of the NCLEX-RN.		Medication administration (under supervision of a physician), care coordination, and treatment planning as a member of the interdisciplinary team.

Table A: Staff Qualifications for Opioid Treatment Program (OTP)

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Applicable Service Components Provided
Licensed Practical Nurse (LPN)	Licensure follows nine months to one year of education and successful completion of the National Council Licensure Examination Practical Nurse (NCLEX-PN).	Successful completion of the NCLEX-PN.		Medication administration (under supervision of a physician), care coordination, and treatment planning as a member of the interdisciplinary team.
Licensed Independent Mental Health Practitioner	Have a Master's or doctorate degree from an accredited educational program, successfully passed the relevant professional national board licensing examination, 3,000 hours of post graduate supervised experience, and included at least 1,500 hours (or more) of experience with clients diagnosed under the major mental illness or disorder category.	Licensed by Nebraska Department of Health and Human Services		Initial assessment and ongoing assessment services, therapy services (individual, group and family) within the clinician's scope of practice, care coordination, sustained recovery, and treatment planning as a member of the interdisciplinary team.
Licensed Psychologist	Have a doctoral degree from a program of graduate study in professional Psychology; two years	Licensed by Nebraska Department of		

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Applicable Service Components Provided
	of supervised professional experience; one-year of postdoctoral experience	Health and Human Services		
Provisionally Licensed Psychologist	Have a doctoral degree from a program of graduate study in professional Psychology; two years of supervised professional experience		Nebraska Licensed Psychologist	
Licensed Mental Health Practitioner	Master's or doctorate degree of which the course work and training leading to the degree was primarily therapeutic mental health in content as defined in licensure requirements, and included a practicum or internship; 3,000 hours of supervised experience.	Licensed by Nebraska Department of Health and Human Services		
Provisionally Licensed Mental Health Practitioner	Master's or doctorate degree of which the course work and training leading to the degree was primarily therapeutic mental health in content as		Needs to obtain 3,000 hours of supervised experience in mental health practice in Nebraska.	

Title of Professional	Level of Education/ Degree /Experience Required	License or Certification Required	Supervision	Applicable Service Components Provided
	defined in licensure requirements, and included a practicum or internship.		Must be supervised by a fully licensed practitioner.	
Licensed Drug and Alcohol Counselor	High school diploma, 270 hours of coursework related to the knowledge and skills of alcohol and drug counseling, 300 hours of supervised practical training and 6000 hours of clinical work experience	Licensed by Nebraska Department of Health and Human Services		
Provisionally Licensed Drug and Alcohol Counselor	High school diploma, 270 hours of coursework related to the knowledge and skills of alcohol and drug counseling, 300 hours of supervised practical training		Needs to obtain 6,000 hours of supervised clinical work experience providing alcohol and drug counseling services in Nebraska. Must be supervised by a fully licensed practitioner	

1905(a)(29) Medication-Assisted Treatment (MAT)

Amount, Duration, and Scope of Medical and Remedial Care Services Provided to the Medically Needy (continued)

iv. Utilization Controls

 \underline{x} The state has drug utilization controls in place. (Check each of the following that apply)

<u>x</u> Generic first policy
 <u>x</u> Preferred drug lists
 <u>x</u> Clinical criteria
 <u>x</u> Quantity limits

_____ The state does not have drug utilization controls in place.

v. Limitations

Describe the state's limitations on amount, duration, and scope of MAT drugs, biologicals, and counseling and behavioral therapies related to MAT.

None

PRA Disclosure Statement - This information is being collected to assist the Centers for Medicare & Medicaid Services in implementing section 1006(b) of the SUPPORT for Patients and Communities Act (P.L. 115-271) enacted on October 24, 2018. Section 1006(b) requires state Medicaid plans to provide coverage of Medication-Assisted Treatment (MAT) for all Medicaid enrollees as a mandatory Medicaid state plan benefit for the period beginning October 1, 2020, and ending September 30, 2025. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. The OMB control number for this project is 0938-1148 (CMS-10398 # 60). Public burden for all of the collection of information requirements under this control number is estimated to take about 80 hours per response. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CMS, 7500 Security Boulevard, Attn: Paperwork Reduction Act Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

STANDARDS AND METHODS OF ASSURING HIGH QUALITY CARE

The following is a description of the standards established and the methods that will be used to assure that the medical and remedial care and services are of high quality.

- 1. Medical care and services are provided in accordance with the overall objectives of maintaining good health, preventing disease and disability, curing and mitigating disease, and rehabilitating the individual.
- 2. Plans for medical care are integrated with social planning.
- 3. Medical care and service must be provided within the licensure of the provider.
- 4. Insofar as possible, medical care and services must permit the recipient to exercise free choice in the selection of his/her provider.
- 5. The amount and kind of medical care and service is determined by the professional opinion of the practitioner.
- 6. Care for intraocular or chronic eye disease must be provided by a physician, M.D.
- 7. Medical care and services not provided by a licensed professional person must be recommended or prescribed by a licensed professional person.
- 8. Care in Homes for the Aged or Infirm or hospitals must be provided in a facility licensed to provide the required care.
- 9. The State Agency will establish processes of utilization review for each item of care and service included in the medical assistance program. The Division of Medical Services will be responsible for all utilization review plans and activities in the program.
- 10. Costs of other medical care and service is provided within reasonable maximums set by the Central Office related to the type of care.
- 11. NDSS provides a regular program of medical review (including medical evaluation of each patient's need for skilled nursing facility care and periodic review and reevaluation of recipients in intermediate care facilities as to the need for their placement) and in the case of individuals in mental hospitals, the need for care in a mental hospital, including, where applicable, evaluation of a written plan of care and a plan of rehabilitation prior to admissions. Periodic inspections will be made in all skilled nursing homes and mental institutions within the state by one or medical review teams (composed of physicians and other appropriate health and social service personnel) and in all intermediate care facilities (by review teams composed of R. N.'s and other appropriate health or social service personnel) of the care being provided in those nursing homes.

TN No. <u>MS-83-10</u> Supersedes TN No. <u>MS-74-1</u>

Approval Date Sep 23 1983 Effe

Effective Date Jul 1 1983

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

STANDARDS AND METHODS OF ASSURING HIGH QUALITY CARE

and mental institutions, to persons receiving assistance under the State Plan with respect to each of the patients receiving that care. The review will determine the adequacy of the services available in particular nursing homes or institutions to meet the current health needs and promote the maximum physical well-being of patients receiving care in the homes or institutions; the necessity and desirability of the continued placement of such patients in such nursing homes or institutions; and the feasibility of meeting their health care needs through alternate institutional or noninstitutional services. Further, the team or teams will make full and complete reports of the findings resulting from the inspections together with any recommendations to the State Agency administering or supervising the administration of the State Plan.

- Public or private skilled nursing facilities are licensed by the Nebraska Department of Health and in addition, meet the requirements for skilled nursing facilities as specified in 42 CFR 405, Subpart K.
- 13. Public or private intermediate care facilities are licensed by the Nebraska Department of Health and in addition meet the requirements for intermediate care facilities as specified in 42 CFR 442, Subparts E, F, G.

State <u>Nebraska</u> ASSURANCE OF TRANSPORTATION

Nebraska Medicaid enrolls non-emergency medical transportation (NEMT) service providers to provide appropriate medical transportation to Medicaid-eligible clients.

Nebraska Medicaid attests that all the minimum requirements outlined in 1902(a)(87) of the Act are met.

Non-emergency medical transportation (NEMT) service providers may be an approved individual, exempt, or public service commission (PSC) provider. Individual providers, defined as a friend, non-legally responsible family member, or volunteer, are enrolled as individual Medicaid providers and receive direct vendor payment from the state. Any qualified and willing individual may enroll as a fee-for-service NEMT provider. Public Service Commission (PSC) providers require Nebraska Public Service Commission certification while exempt providers do not. Exempt and PSC providers are enrolled as Medicaid providers and receive direct vendor payment from the state.

Clients who receive NEMT through fee-for-service may contact the assigned transportation worker to make an appointment for pick-up. The assigned transportation worker then contacts the appropriate provider to complete the appointment process. Fee-for-service clients may request any enrolled provider unless the provider is not the least costly and most appropriate provider for their medical needs.

Medically necessary escort services are covered by Nebraska DHHS, Division of Medicaid and Long-Term Care and authorized by Central Office staff, unless appropriately covered in another service when the client is participating in the Personal Assistance Service program or the Aged and Disabled Waiver program.

In accordance with 42 CFR 440.170(a)(3)(ii - iii), Nebraska Medicaid covers medically necessary travel expenses for the client and the escort including transportation, meals, and lodging. If the escort is not a member of the recipient's family, a paid personal care assistant or facility staff, a salary is also provided.

The managed care plans are responsible for covering and arranging NEMT for their members enrolled in Medicaid.

Nebraska Medicaid covers medically necessary ambulance services that are provided during an emergency or while the client is receiving emergency medical care (see Item 24a of Attachment 3.1-A).

TN No. <u>NE 21-0013</u> Supersedes TN No. <u>NE 19-0009</u>

Approval Date <u>1/10/2022</u>

Effective Date <u>12/27/2021</u>

Revision: HCFA-PM-87-4 March 1987

State/Territory: <u>Nebraska</u>

STANDARDS FOR THE COVERAGE OF ORGAN TRANSPLANT SERVICES

The Nebraska Medical Assistance Program covers transplants that are medically necessary and defined as non-experimental by Medicare. For transplants that may be covered by Medicare, NMAP uses Medicare standards as listed in the Medicare Coverage Issues Manual to determine coverage. If no Medicare policy exists for a specific type of transplant, the Medical Director of the Medical Services Division shall determine whether the transplant is medically necessary or non-experimental.

Notwithstanding any Medicare policy on liver or heart transplants, NMAP covers liver or heart transplants using the following standards:

- 1. Facility Standards: The facility must have a valid provider agreement to participate in the Nebraska Medical Assistance Program. The facility must have certificate of need approval if required by the State in which the facility is located.
- 2. Patient Selection Criteria: Before providing transplant services to be covered by NMAP, the facility is required to submit its patient selection criteria, including medical-physical indications and contra-indications and psycho-social criteria, to the Medical Services Division for review.
- 3. Before the service is approved for payment, Medical Services staff review documentation submitted by the patient's physicians to verify that the transplant candidate meets the facility's previously submitted patient selection criteria. The documentation, submitted by two physicians that specialize in transplantation, must include the following:
 - a. The screening criteria used in determining that this patient is an appropriate candidate for a liver or heart transplant;
 - b. The results of that screening for this patient describing how the patient meets the facility criteria (i.e., the patient is eligible to be placed on the "waiting list" in which the only remaining criteria is organ availability); and
 - c. A statement by each physician -
 - (1) Recommending the transplant; and
 - (2) Certifying and explaining why a transplant is medically necessary as the only clinical, practical, and viable alternative to prolong the client's life in a meaningful, qualitative way and at a reasonable level of functioning.

Approval Date <u>Aug 6 1987</u>

Effective Date <u>Apr 1 1987</u> HCFA ID: 1047P/0016P Attachment 3.1-F was removed from the State Plan per SPA NE 16-0014. This attachment had previously provided federal authority for the state to operate their managed care programs through the Medicaid state Plan.

Please see 1915(b) waiver (effective date of October 1, 2016).

Revision: HCFA-PM-91 March 1987 (BERC)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: <u>Nebraska</u>

COORDINATION OF TITLE XIX WITH PART B OF TITLE XVIII

The following method is used to provide the entire range of benefits under Part B of title XVIII to the groups of Medicare-eligible individuals indicated.

- A. Buy-in agreements with the Secretary of HHS. This agreement covers:
 - 1. Individuals receiving SSI under title XVI or State supplementation, who are categorically needy under the State's approved title XIX plan.

Persons receiving benefits under title II of the Act or under the Railroad Retirement System are included:

No

		100		
2.	payme	0	's appr	title XVI, State supplementation, or a money oved title IV-A plan, who are categorically ed title XIX plan.

Persons receiving benefits under title II of the Act or under the Railroad Retirement System are included:

\boxtimes	Yes		No
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- 3. All individuals eligible under the State's approved title XIX plan.
- B. Group premium payment arrangement entered into with the Social Security Administration. This arrangement covers the following groups: Aged Blind Disabled
- C. Payment of deductible and coinsurance costs. Such payments are made in behalf of the following groups:
 - Aged Blind Disabled

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Vac

This relates only to comparability of devices – benefits under XVIII to what groups – not how XIX pays. If ...State has buy-in (which covers premium), it does not check #3 for same group-only if it does #3 for another group, e.g. does #1 for money payment receipts and #3 for non-\$-receipts. How it handles deductibles and coinsurance for money payment receipts is a matter for reimbursement attachment.

TN No. <u>MS-87-11</u> Supersedes TN No. <u>MS-74-10</u>

Approval Date <u>Aug 6 1987</u>

Effective Date <u>Apr 1 1987</u> HCFA ID: 1048P/0016P

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

- a. 175 NAC 9 Health Care Facilities and Services Licensure.
- b. 153 NAC 1 Nebraska State Fire Code Regulations, State Fire Marshall. 156 NAC 1-12 – Nebraska Accessibility Requirements, State Fire Marshall.

Approval Date Dec 10 2008

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Nebraska

RELATIONS WITH STATE HEALTH AND VOCATIONAL REHABILITATION AGENCIES AND TITLE V GRANTEES

The State Health and Title V Grantees are within, and administered and supervised by, the Department of Health and Human Services, the Medicaid agency.

The Vocational Rehabilitation agency is located within the Department of Education, and is responsible for assuring the following functions: where and how to apply for services, the appropriate services are provided, reciprocal referrals, liaison staff, confidentiality of information, non-discrimination, funding and limitations, exchange of services reports, and reviews.

Approval Date Mar 13 2009

Revision: HCFA-PM-95-3 (MB) May 1995 ATTACHMENT 4.17 - A Page 1

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Nebraska

LIENS AND ADJUSTMENTS OR RECOVERIES

1. The State uses the following process for determining that an institutionalized individual cannot reasonably be expected to be discharged from the medical institution and return home:

The Department requires either a physician's statement indicating that the individual is unable to return to their home or the recipient's residence is a medical institution for a period of six consecutive months, whichever occurs first. Notice is given to the recipient when they are determined to be permanently institutionalized and he/she may appeal the Department's determination within ninety days in accordance with the procedures in 465 Nebraska Administrative Code.

 The following criteria are used for establishing that a permanently institutionalized individual's son or daughter provided care as specified under regulations at 42 CFR §433.36(f):

The Department determines that an adult child meets the criteria for exception when that adult child has lived in the recipient's home for at least two years immediately before the recipient of medical assistance was institutionalized, has lived there continuously since that time, and can establish to the satisfaction of the Department that he or she provided care that delayed the recipient's admission.

- 3. For the purposes of estate recovery, the State defines the terms below as follows:
 - Estate means the estate of a recipient of medical assistance, including:
 - (1) Any real estate, personal property, or other asset in which the recipient had any legal title or interest at the time of the recipient's death, to the extent of such interests;
 - (2) Assets to be transferred to a beneficiary through a revocable trust or other similar arrangement which has become irrevocable by reason of the recipient's death; and
 - (3) Assets conveyed or otherwise transferred to a survivor, an heir, an assignee, a beneficiary, or a devisee of the recipient of medical assistance through joint tenancy, tenancy in common, transfer on death deed, survivorship,

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conveyance of a remainder interest, retention of a life estate or of an estate for a period of time, living trust, or other arrangement by which value or possession is transferred to or realized by the beneficiary of the conveyance or transfer at or as a result of the recipient's death to the full extent authorized in 42 U.S.C. 1396p(b)(4)(B). Such other arrangements include insurance policies or annuities in which the recipient of medical assistance had at the time of death any incidents of ownership of the policy or annuity or the power to designate beneficiaries and any pension rights or completed retirement plans or accounts of the recipient. A completed retirement plan or account is one which because of the death of the recipient of medical assistance ceases to have elements of retirement relating to such recipient and under which one or more beneficiaries exist after such recipient's death.

Estate of a recipient of medical assistance does not include:

- (1) Insurance policies in proportion to the premiums and other payments to the insurance carrier that were paid by someone other than the recipient of medical assistance or the recipient's spouse;
- (2) Insurance proceeds and accounts in institutions under federal supervision or supervision of the Department of Banking and Finance or Department of Insurance to the extent subject to a security interest where the secured party is not a related transferee as defined in section Nebraska Revised Statute 68-990;
- (3) Insurance proceeds, any trust account subject to the Burial Pre-Need Sale Act, or any limited lines funeral insurance policy to the extent used to pay for funeral, burial, or cremation expenses of the recipient of medical assistance;
- (4) Conveyances of real estate made prior to August 24, 2017, that are subject to the grantor's retention of a life estate or an estate for a period of time; and
- (5) Any pension rights or completed retirement plans to the extent that such rights or plans are exempt from claims for reimbursement of medical assistance under federal law.

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- Individual's home: Included in the definition of estate.
- Equity interest in the home: Included in the definition of estate.
- Residing in the home for at least one or two years on a continuous basis: Defined as stated in 42 U.S.C 1396p.
- Discharge from the medical institution and return home: Not applicable. The State of Nebraska does not impose TEFRA liens.
- Lawfully residing: The recipient of medical assistance's sibling or adult child is considered to be lawfully residing in the home if the sibling or adult child is residing in the home with the permission of the owner, or if the owner is under guardianship or conservatorship, with the permission of the guardian or conservator.
- 4. Any of the following circumstances may constitute an undue hardship that results in a complete or partial waiver of claim:
 - An heir of the recipient resided in the recipient's home for two years prior to the recipient's entry into a nursing home and during that time provided the type and quantity of unreimbursed care that delayed the recipient's entry into a nursing home;
 - (2) An heir of the recipient resided in the recipient's home for two years prior to the recipient's receipt of recoverable medical services and during that time provided the type and quantity of unreimbursed care that delayed the recipient's receipt of those services;
 - (3) Payment of the Department's claim would cause an heir of the deceased recipient to become eligible for public assistance;

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- (4) Waiver of the Department's claim would allow an heir to discontinue eligibility for public assistance for a substantial time period; or
- (5) Other situations that the Department, in its discretion and on a case-by-case basis upon consideration of all facts and circumstances, determines constitutes an undue hardship.

An undue hardship does not exist if action taken by the recipient, whether directly or by another person pursuant to sufficient authorization, impermissibly divested or diverted assets to avoid estate recovery.

An undue-hardship waiver application must be submitted in writing to the Department within thirty days of the creditor's claim-filing deadline or ninety days from the recipient's date of death if there is no probate proceeding. The application must explain:

- (1) How the applicant is related to the now-deceased Medicaid recipient, and include documents or other evidence of this relationship; and
- (2) The specific reason(s) why the application should be granted, according to 471 NAC 38-004.03, and include documents or other evidence to support the application.

The applicant will receive a written decision within ninety days after the Department has received the application. If the application is denied (completely or partially), the decision will include general information about appealing the decision.

- 5. Recovery is not cost-effective when the cost of collection would likely exceed the amount of the Department's claim.
- 6. The Department evaluates cases for potential estate recovery via local caseworker referrals, attorney referrals, creditor's notices filed in probate proceedings, and any other credible sources of information. If the estate is being probated, the Department files a claim and follows the appropriate procedures as defined in the Nebraska Probate Code. If the estate is not being probated, and when deemed cost effective, the Department will pursue reimbursement of its claim by working with the family, attorney, guardian, or other person handling the recipient's estate. The Department may also utilize appropriate and cost effective legal options to obtain reimbursement of its claim. Waivers based on undue hardship are defined and granted, as stated above.

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