

HCBS Waiver Assurances and Sub-assurances

Administrative Authority

- The Medicaid Agency retains ultimate administrative authority and responsibility for the operation of the waiver program by exercising oversight of the performance of waiver functions by other state and local/regional non-state agencies (if appropriate) and contracted entities.

Level of Care

- An evaluation for LOC is provided to all applicants for whom there is reasonable indication that services may be needed in the future.
- The levels of care of enrolled participants are reevaluated at least annually or as specified in the approved waiver.
- The processes and instruments described in the approved waiver are applied appropriately and according to the approved description to determine participant level of care.

Qualified Providers

- The State verifies that providers, initially and continually meet required licensure and/or certification standards and adhere to other standards prior to their furnishing waiver services.
- The State monitors non-licensed/non-certified providers to assure adherence to waiver requirements.
- The State implements its policies and procedures for verifying that provider training is conducted in accordance with state requirements and the approved waiver.

Service Plan

- Service plans address all participants' assessed needs (including health and safety risk factors) and personal goals, either by the provision of waiver services or through other means.
- The State monitors service plan development in accordance with its policies and procedures.
- Service plans are updated/revised at least annually or when warranted by changes in the waiver participant's needs.
- Services are delivered in accordance with the service plan, including the type, scope, amount, duration and frequency specified in the service plan.

- Participants are afforded choice: Between waiver services and institutional care; and between/among waiver services and providers.

Health and Welfare

- The State, on an ongoing basis, identifies, addresses and seeks to prevent the occurrence of abuse, neglect and exploitation.

Financial Accountability

- State financial oversight exists to assure that claims are coded and paid for in accordance with the reimbursement methodology specified in the approved waiver.