I. State Information

State Information

Plan Year
Federal Fiscal Year 2019

State Identification Numbers
DUNS Number 808819957
EIN/TIN 47-0491233

I. State Agency to be the Recipient for the PATH Grant
Agency Name Nebraska Department of Health and Human Services
Organizational Unit Division of Behavioral Health
Mailing Address PO Box 95026
City Lincoln
Zip Code 68509-5026

II. Authorized Representative for the PATH Grant
First Name Sheri
Last Name Dawson
Agency Name NE DHHS Division of Behavioral Health
Mailing Address PO Box 95026
City Lincoln
Zip Code 68509-5026
Telephone 402-471-7856
Fax 402-471-7859
Email Address Sheri.Dawson@nebraska.gov

III. State Expenditure Period
From 10/1/2019
To 9/30/2020

IV. Date Submitted
NOTE: this field will be automatically populated when the application is submitted.
Submission Date 7/15/2019 9:58:25 AM
Revision Date

V. Contact Person Responsible for Application Submission
Title State PATH Contact
Organizational Unit Name NDHHS Division of Behavioral Health
First Name John
Last Name Trouba
Telephone 402-471-7824
May 15, 2019

Wendy Pang
Grants Management Specialist
DGM/OFR/SAMHSA
5600 Fishers Lane, Rm. 17E21C
Rockville, MD 20857

Dear Ms. Pang:

On behalf of the State of Nebraska, I hereby authorize Dannette R. Smith, Chief Executive Officer of the Department of Health and Human Services, to make all required applications, agreements, certifications and reports related to the Community Mental Health Services Block Grant (CFDA 93.958); the Substance Abuse Prevention and Treatment Block Grant (CFDA 93.959), the Projects for Assistance in Transition from Homelessness (PATH) grant (CFDA 93.150):

Dannette R. Smith, MSW
Chief Executive Officer
Nebraska Department of Health and Human Services
P.O. Box 95026
Lincoln, NE 68509-5026

Effective immediately and until further notice, please send all Grant Awards and similar notices concerning the three programs listed above to:

Sheri Dawson, RN, Director
Division of Behavioral Health
Nebraska Department of Health and Human Services
P.O. Box 95026
Lincoln, NE 68509-5026

Thank you for your attention to this matter.

Sincerely,

Pete Ricketts
Governor
May 15, 2019

Wendy Pang  
Grants Management Specialist  
DGM/OFR/SAMHSA  
5600 Fishers Lane, Rm. 17E21C  
Rockville, MD 20857

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Sheri Dawson, RN, Director  
Division of Behavioral Health  
Nebraska Department of Health and Human Services  
P.O. Box 95026  
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Division of Behavioral Health  
Nebraska Department of Health and Human Services  
P.O. Box 95026  
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Thank you for your attention to this matter.

Sincerely,

Dannette R. Smith, MSW  
Chief Executive Officer  
Department of Health and Human Services
I. State Information

Assurances - Non-Construction Programs

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PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM’s Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (29 U.S.C. §§726a-726d), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§520-529), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures pursuant under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Costal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §§470-477), which requires recipients to protect and preserve historic properties significant to the cultural and national heritage of the United States.

Printed: 7/15/2019 9:59 AM - Nebraska - FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2  Approved: 05/07/2018  Page 5 of 71
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.

18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

HHS Assurances of Compliance (HHS 690)


The Applicant provides this assurance in consideration of and for the purpose of obtaining Federal grants, loans, contracts, property, discounts or other Federal financial assistance from the U.S. Department of Health and Human Services.

THE APPLICANT HEREBY AGREES THAT IT WILL COMPLY WITH:

1. Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 80), to the end that, in accordance with Title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.

2. Section 504 of the Rehabilitation Act of 1973 (Pub. L. 93-112), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 84), to the end that, in accordance with Section 504 of that Act and the Regulation, no otherwise qualified individual with a disability in the United States shall, solely by reason of her or his disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.

3. Title IX of the Education Amendments of 1972 (Pub. L. 92-318), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 86), to the end that, in accordance with Title IX and the Regulation, no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any education program or activity for which the Applicant receives Federal financial assistance from the Department.

4. The Age Discrimination Act of 1975 (Pub. L. 94-135), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 91), to the end that, in accordance with the Act and the Regulation, no person in the United States shall, on the basis of age, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.

5. Section 1557 of the Affordable Care Act (Pub. L. 111-148), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 CFR Part 92), to the end that, in accordance with Section 1557 and the Regulation, no person in the United States shall, on the ground of race, color, national origin, sex, age, or disability be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any health program or activity for which the Applicant receives Federal financial assistance from the Department.

The Applicant agrees that compliance with this assurance constitutes a condition of continued receipt of Federal financial assistance, and that it is binding upon the Applicant, its successors, transferees and assignees for the period during which such assistance is provided. If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Applicant by the Department, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Applicant for the period during which it retains ownership or possession of the property. The Applicant further recognizes and agrees that the United States shall have the right to seek judicial enforcement of this assurance.

The grantee, as the awardee organization, is legally and financially responsible for all aspects of this award including funds provided to sub-recipients in accordance with 45 CFR ?? 75.351-75.352, Subrecipient monitoring and management.

Name
Sheri Dawson
Title
Director

Organization
NE DHHS Division of Behavioral Health

Signature:  
Date:  

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
I. State Information

Assurances - Non-Construction Programs

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1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application,

2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives,

3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain,

4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.

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$470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C., §§469a-1 et seq.).

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The grantee, as the awardee organization, is legally and financially responsible for all aspects of this award including funds provided to sub-recipients in accordance with 45 CFR ?? 75.351-75.352, Subrecipient monitoring and management.

Name
Sheri Dawson

Printed: 8/21/2019 10:02 AM - Nebraska
Printed: 7/15/2019 9:59 AM - Nebraska - FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018
I. State Information

Certifications

1. Certification Regarding Debarment and Suspension

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 2 CFR part 180, and its principals:

a. Agrees to comply with 2 CFR Part 180, Subpart C by administering each lower tier subaward or contract that exceeds $25,000 as a “covered transaction” and verify each lower tier participant of a “covered transaction” under the award is not presently debarred or otherwise disqualified from participation in this federally assisted project by:
   a. Checking the Exclusion Extract located on the System for Award Management (SAM) at http://sam.gov
   b. Collecting a certification statement similar to paragraph (a)
   c. Inserting a clause or condition in the covered transaction with the lower tier contract

2. Certification Regarding Drug-Free Workplace Requirements

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free workplace in accordance with 2 CFR Part 182by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee’s workplace and specifying the actions that will be taken against employees for violation of such prohibition;

b. Establishing an ongoing drug-free awareness program to inform employees about--
   1. The dangers of drug abuse in the workplace;
   2. The grantee’s policy of maintaining a drug-free workplace;
   3. Any available drug counseling, rehabilitation, and employee assistance programs; and
   4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;

d. Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
   1. Abide by the terms of the statement; and
   2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted?
   1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
   2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

3. Certifications Regarding Lobbying

Per 45 CFR 775.215, Recipients are subject to the restrictions on lobbying as set forth in 45 CFR part 93. Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non- appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING $100,000 in total costs. The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering
2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)

3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.


The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. Certification Regarding Environmental Tobacco Smoke

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, daycare, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children’s services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children’s services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to $1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children’s services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

Name
Sheri Dawson
Title
Director
Organization
NE DHHS Division of Behavioral Health

Signature: [Signature]
Date:

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
I. State Information

Certifications

1. Certification Regarding Debarment and Suspension

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 2 CFR part 180, and its principals:

   a. Agrees to comply with 2 CFR Part 180, Subpart C by administering each lower tier subaward or contract that exceeds $25,000 as a "covered transaction" and verify each lower tier participant of a "covered transaction" under the award is not presently debarred or otherwise disqualified from participation in this federally assisted project by:
      a. Checking the Exclusion Extract located on the System for Award Management (SAM) at http://sam.gov
      b. Collecting a certification statement similar to paragraph (a)
      c. Inserting a clause or condition in the covered transaction with the lower tier contract

2. Certification Regarding Drug-Free Workplace Requirements

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free workplace in accordance with 2 CFR Part 182 by:

   a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
   b. Establishing an ongoing drug-free awareness program to inform employees about--
      1. The dangers of drug abuse in the workplace;
      2. The grantee's policy of maintaining a drug-free workplace;
      3. Any available drug counseling, rehabilitation, and employee assistance programs; and
      4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
   c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
   d. Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will:
      1. Abide by the terms of the statement; and
      2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
   e. Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction, Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
   f. Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted:
      1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
      2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
   g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

3. Certifications Regarding Lobbying

Per 45 CFR 775.215, Recipients are subject to the restrictions on lobbying as set forth in 45 CFR part 93, Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions;" generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING $100,000 in total costs. The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that

   1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering
into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)

3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.


The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. Certification Regarding Environmental Tobacco Smoke

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, daycare, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

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The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

Name
Sheri Dawson
Title
Director
Organization
NE DHHS Division of Behavioral Health

Signature:  

Date:  6-21-19

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
FISCAL YEAR 2019
PROJECTS FOR ASSISTANCE IN TRANSITION FROM HOMELESSNESS (PATH) AGREEMENT

I hereby certify that the State of Nebraska agrees to the following:

Section 522(a)
Amounts received under the PATH Formula Grant Program will be expended solely for making grants to political subdivisions of the State, and to nonprofit private entities for the purpose of providing the services specified in Section 522(b) to individuals who:

- Are suffering from serious mental illness;
- Are suffering from serious mental illness and have a substance use disorder; and
- Are homeless or at imminent risk of becoming homeless.

Section 522(b)
Entities receiving grants under the PATH Formula Grant Program will expend funds for the following services:

- Outreach;
- Screening and diagnostic treatment;
- Habilitation and rehabilitation;
- Community mental health;
- Alcohol or drug treatment;
- Staff training, including the training of individuals who work in shelters, mental health clinics, substance abuse programs, and other sites where homeless individuals require services;
- Case management services, including:
  - Preparing a plan for the provision of community mental health services to the eligible homeless individual involved, and reviewing such plan not less than once every 3 months;
  - Providing assistance in obtaining and coordinating social and maintenance services for eligible homeless individuals, including services relating to daily living activities, personal financial planning, transportation services, habilitation and rehabilitation services, prevocational and vocational services, and housing;
  - Providing assistance to eligible homeless individuals in obtaining income support services, including housing assistance, food stamps, and supplemental security income benefits;
  - Referring eligible homeless individuals for such other services as may be appropriate; and
  - Providing representative payee services in accordance with Section 1631(a)(2) of the Social Security Act if the eligible homeless individual is receiving aid under Title XVI of such act and if the applicant is designated by the Secretary to provide such services.

- Supportive and supervisory services in residential settings;
- Referrals for primary health services, job training, education services and relevant housing services;
- Housing services [subject to Section 522(h)(1)] including:
  - Minor renovation, expansion, and repair of housing;
  - Planning of housing;
  - Technical assistance in applying for housing assistance;
  - Improving the coordination of housing services;
  - Security deposits;
  - The costs associated with matching eligible homeless individuals with appropriate housing situations;
  - One-time rental payments to prevent eviction; and
  - Other appropriate services, as determined by the Secretary.

Section 522(c)
The State will make grants pursuant to Section 522(a) only to entities that have the capacity to provide, directly through arrangements, the services specified in Section 522(b), including coordinating the provision of services in order to meet the needs of eligible homeless individuals who are both mentally ill and suffering from a substance abuse disorder.
Section 522(d)
In making grants to entities pursuant to Section 522(a), the State will give special consideration to entities with a demonstrated effectiveness in serving homeless veterans.

Section 522(e)
The state agrees that grants pursuant to Section 522(a) will not be made to any entity that:

- Has a policy of excluding individuals from mental health services due to the existence or suspicion of a substance abuse disorder; or
- Has a policy of excluding individuals from substance abuse services due to the existence or suspicion of mental illness.

Section 522(f)
Not more than 4 percent of the payments received under the PATH Formula Grant Program will be expended for administrative expenses regarding the payments.

Section 522(g)
The State agrees that:

- Not more than 20 percent of the payments will be expended for housing services under section 522(b)(10); and
- The payments will not be expended:
  - To support emergency shelters or construction of housing facilities;
  - For inpatient psychiatric treatment costs or inpatient substance abuse treatment costs; or
  - To make cash payments to intended recipients of mental health or substance.

Section 523(a)
The State will make available, directly or through donations from public or private entities, non-Federal contributions toward such costs in an amount that is not less than $1 for each $3 of funds provided in such payments. The amount of non-Federal contributions shall be determined in accordance with Section 523(b).

Section 523(c)
The State will not require the entities to which grants are provided pursuant to Section 522(a) to provide non-Federal contributions in excess of the non-Federal contributions described in Section 523(a).

Section 526
The State has attached hereto a Statement

- Identifying existing programs providing services and housing to eligible homeless individuals and gaps in the delivery systems of such programs;
- Containing a plan for providing services and housing to eligible homeless individuals, which:
  - Describes the coordinated and comprehensive means of providing services and housing to homeless individuals; and
  - Includes documentation that suitable housing for eligible homeless individuals will accompany the provision of services to such individuals;
- Describing the source of the non-Federal contributions described in Section 523;
- Containing assurances that the non-Federal contributions described in Section 523 will be available at the beginning of the grant period;
- Describing any voucher system that may be used to carry out this part; and
- Containing such other information or assurances as the Secretary may reasonably require.

Section 527(a)(1), (2), and (3)
The State has attached hereto a description of the intended use of PATH Formula grant amounts for which the State is applying. This description:

- Identifies the geographic areas within the State in which the greatest numbers of homeless individuals with a need for mental health, substance use disorder, and housing services are located; and
- Provides information relating to the program and activities to be supported and services to be provided, including information relating to coordinating such programs and activities with any similar programs and activities of public and private entities.

Section 527(a)(4)
The description of intended use for the fiscal year of the amounts for which the State is applying will be revised throughout the year as may be necessary to reflect substantial changes in the programs and activities assisted by the State pursuant to the PATH Formula Grant Program.

Section 527(b)
In developing and carrying out the description required in Section 527(a), the State will provide public notice with respect to the description (including any revisions) and such opportunities as may be necessary to provide interested clients, such as family members, consumers and mental...
health, substance abuse, and housing agencies, an opportunity to present comments and recommendations with respect to the description.

**Section 527(c)(1)(2)**
The services to be provided pursuant to the description of the intended use required in Section 527(a), have been considered in the preparation of, have been included in, and are consistent with the State Plan for Comprehensive Community Mental Health Services under P.L. 102-321.

**Section 528(a)**
The State will prepare and submit a report providing such information as is necessary for:

- Securing a record and description of the purposes for which amounts received under the PATH Formula Grant Program were expended during fiscal year 2018 and of the recipients of such amounts; and
- Determining whether such amounts were expended in accordance with the provisions of Part C- PATH.

**Section 528(b)**
The State further agrees that it will make copies of the reports described in Section 528(a) available for public inspection.

**Section 529**
Payments may not be made unless the State agreements are made through certification from the chief executive officer of the State.

**Charitable Choice Provisions:**
The State will comply, as applicable, with the Substance Abuse and Mental Health Services Administration’s (SAMHSA) Charitable Choice statutes codified at sections 581-584 and 1955 of the Public Health Service Act (42 U.S.C §§290kk, et seq., and 300x-65) and their governing regulations at 42 C.F.R part 54 and 54a respectively.
<table>
<thead>
<tr>
<th>Name</th>
<th>Sheri Dawson</th>
</tr>
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<tbody>
<tr>
<td>Title</td>
<td>Director</td>
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**Signature:  
Date:**

**Footnotes:**

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018
I. State Information

Funding Agreement

FISCAL YEAR 2019
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Name: Sheri Dawson
Title: Director
Organization: NE DHHS Division of Behavioral Health

Signature: [Signature]
Date: 6-21-19

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
I. State Information

Disclosure of Lobbying Activities

Are there lobbying activities pursuant to 31 U.S.C. 1352 to be disclosed? Yes ☐ No ☐

To View Standard Form LLL, Click the link below (This form is OPTIONAL).

Standard Form LLL (click here)

Name: Sheri Dawson
Title: Director
Organization: NE DHHS Division of Behavioral Health

Signature: ___________________________ Date Signed: ___________________________

mm/dd/yyyy

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
I. State Information

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To View Standard Form LLL, Click the link below (This form is OPTIONAL).

Standard Form LLL (click here)

Name: Sheri Dawson
Title: Director
Organization: NE DHHS Division of Behavioral Health

Signature: [Signature]
Date Signed: 02-21-19

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
## I. State Information

### State PATH Regions

<table>
<thead>
<tr>
<th>Region</th>
<th>Description</th>
<th>Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Region 1 Behavioral Health Services</td>
<td>Eleven Counties in the Panhandle area of Western Nebraska. Region 1 address: 4110 Avenue D, Scottsbluff, NE 69361-4650. The PATH Provider (Cirrus House) is located in Scottsbluff and primarily serves the City of Scottsbluff and Scotts Bluff County. Cirrus House address: 1509 1st Avenue, Scottsbluff, NE 69361-3106.</td>
<td></td>
</tr>
<tr>
<td>Region 5 Behavioral Health Services</td>
<td>Sixteen Counties in Southeast Nebraska. Region 5 address: 1645 &quot;N&quot; Street, Lincoln, NE 68508-1832. The Region 5 Provider (CenterPointe, Inc.) primarily serves the city of Lincoln and Lancaster County. CenterPointe, Inc. address: 2633 &quot;P&quot; Street, Lincoln, NE 68503-3528.</td>
<td></td>
</tr>
<tr>
<td>Region 6 Behavioral Health Services</td>
<td>Five Counties in Eastern Nebraska. Region 6 address: 3801 Harney Street, Omaha, NE 68131-3851. The Region 6 Provider (Community Alliance Rehabilitation Services) primarily serves the City of Omaha and Douglas County. Community Alliance address: 4001 Leavenworth Street, Omaha, NE 68105-1026.</td>
<td></td>
</tr>
</tbody>
</table>

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

**Footnotes:**
II. Executive Summary

1. State Summary Narrative

Narrative Question:
Answer the Yes/No question below. If you check "No" please provide any updates to provide an overview of the state’s PATH program with key points that are expanded upon in the State Level Sections of WebBGAS.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate. Yes □ No □

If No, please upload documentation with changes for FY19.

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
Nebraska – 2019 PATH Grant Application
II. Executive Summary
State Summary Narrative

The Nebraska PATH Program assists adults with serious mental illnesses experiencing homelessness who are literally and chronically homeless engage in services necessary for recovery support, find a place to call home, and address behavioral and medical healthcare needs. Its focus is on helping people live better lives.

The Nebraska PATH Program will prioritize street outreach and case management as services to serve the literally and chronically homeless, in areas of the state with the highest concentration of people who are experiencing homelessness.

The Nebraska PATH Program is aligned with SAMHSA goals and supports the goals established in the Nebraska “Opening Doors: 10 Year Plan to Prevent and End Homelessness in the State of Nebraska, 2015-2025.” To accomplish this work, PATH providers will provide referrals for services as appropriate, such as mental health services, housing, primary healthcare, job training, education, and other services not supported by mainstream mental health programs. All PATH providers utilize Service Point – Homeless Management Information Service and are active participants in their local Continuum of Care (CoC) and CoC All Doors Lead Home Coordinated Entry systems (see Figure 1).

The PATH Program is administered within the Nebraska Department of Health and Human Services-Division of Behavioral Health (NDHHS-DBH). The NDHHS-DBH is designated as the State Mental Health Authority (SMHA) and the State Substance Abuse Authority (Single State Authority (SSA)). As such, the NDHHS-DBH administers and oversees Nebraska’s public behavioral health system to address the prevention and treatment of mental health and substance use disorders. Nebraska adheres to the Substance Abuse and Mental Health Services Administration’s (SAMHSA) Recovery Support Strategic Initiative that delineates four major dimensions that support a life in recovery: Health, Home, Purpose, and Community.

The vision of NDHHS-DBH includes a focus on positive outcomes and continuous quality improvement for the Division of Behavioral Health, the intermediary Regional Behavioral Health Authorities and local providers. This vision is actualized by promoting the use of Evidence-Based Practices and contractual requirements for Culturally and Linguistically Appropriate Services (CLAS) Standards. The NDHHS-DBH includes requirements related to CLAS in its services contracts with the Region Behavioral Health Authorities (see Figure 2).
Organizations to Receive Funds

A. Cirrus House (Region 1 - Scottsbluff)
   1. Organization to Receive Funds: Private non-profit organization
   2. PATH Funds Received: $22,666
   3. Service Area: Western Nebraska – Region 1; Scottsbluff; Scotts Bluff County
   4. Required Matching Funds (non-federal/local): $7,555
      4a. Source: Agency donations
   5. Number of Individuals Contacted (FY2019): 20
      5a. Adults and Literally Homeless: 20
   6. Number of Individuals Served/Enrolled (FY2019): 16
   7. Services to be provided: Street outreach, screening, and referral to and linkage with appropriate services.

B. CenterPointe, Inc. (Region 5 - Lincoln)
   1. Organization to Receive Funds: Private non-profit organization
   2. PATH Funds Received: $65,000
   3. Service Area: Southeast Nebraska – Region 5: Lincoln; Lancaster County
   4. Required Matching Funds (non-federal/local): $27,084
      4a. Source: Agency funds
   5. Number of Individuals Contacted (FY2019): 120
      5a. Adults and Literally Homeless: 120
   6. Number of Individuals Served/Enrolled (FY2019): 90
   7. Services to be provided: Street outreach, screening, and referral to and linkage with appropriate services.

C. Community Alliance Rehabilitation Services (Region 6 - Omaha)
   1. Organization to Receive Funds: Private non-profit organization/mental health agency
   2. PATH Funds Received: $200,334
   3. Service Area: Eastern Nebraska – Region 6; Omaha; Douglas County
   4. Required Matching Funds (non-federal/local): $83,473
      4a. Source: Agency funds from Region 6 Behavioral Healthcare
   5. Number of Individuals Contacted (FY2019): 250
      5a. Adults and Literally Homeless: 237
   6. Number of Individuals Served/Enrolled (FY2019): 200
   7. Services to be provided: Street outreach, screening, and referral to and linkage with appropriate services.

The Regional Behavioral Health Authorities and local providers to receive funds, identified above, are located in three Continuum of Care regions which are geographically organized and represented individually in the state CoC system. However, the U.S. Department of Housing and Urban Development (HUD) has recognized the consolidation of five distinct regions (CoC Regions 1, 2, 3, 4 and 5) as the Balance of State CoC governing these five regions.
A. Cirrus House (Region 1) is located in CoC Region 1 (Part of the Balance of State CoC NE-500)
B. CenterPointe, Inc. (Region 5) is located in CoC Region 6 (NE-502 Lincoln CoC)
C. Community Alliance Rehabilitation Services (Region 6) is located in CoC Region 7 (NE-501 Omaha, Council Bluffs CoC)
## II. Executive Summary

### 2. State Budget

### Planning Period From 10/1/2019 to 9/30/2020

* Indicates a required field

<table>
<thead>
<tr>
<th>Category</th>
<th>Federal Dollars</th>
<th>Matched Dollars</th>
<th>Total Dollars</th>
<th>Comments</th>
</tr>
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<tr>
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<td>$0</td>
<td>$8,290</td>
<td>Nebrask State PATH Contact position.</td>
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<tr>
<td><strong>Position</strong></td>
<td><strong>Annual Salary</strong></td>
<td><strong>% of time spent on PATH</strong></td>
<td><strong>PATH-Funded FTE</strong></td>
<td><strong>PATH-Funded Salary</strong></td>
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<tr>
<td></td>
<td>37.37 %</td>
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<td>$3,098</td>
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<td>c. Travel</td>
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<td>Other (Describe in Comments)</td>
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<td>d. Equipment</td>
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<td><strong>Total Dollars</strong></td>
<td><strong>Comments</strong></td>
</tr>
<tr>
<td></td>
<td>No Data Available</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e. Supplies</td>
<td><strong>Federal Dollars</strong></td>
<td><strong>Matched Dollars</strong></td>
<td><strong>Total Dollars</strong></td>
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<tr>
<td></td>
<td>No Data Available</td>
<td></td>
<td></td>
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<tr>
<td>f1. Contractual (IUPs)</td>
<td>$288,000</td>
<td>$118,112</td>
<td>$406,112</td>
<td></td>
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<tr>
<td>f2. Contractual (State)</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>No Data Available</td>
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<td></td>
<td><strong>Category</strong></td>
<td><strong>Percentage</strong></td>
<td><strong>Federal Dollars</strong></td>
<td><strong>Matched Dollars</strong></td>
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<td>g1. Housing (IUPs)</td>
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<td>$2,278</td>
<td>$2,968</td>
<td>$5,246</td>
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<td>g2. Housing (State)</td>
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<td>$0</td>
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<td></td>
<td><strong>Category</strong></td>
<td><strong>Federal Dollars</strong></td>
<td><strong>Matched Dollars</strong></td>
<td><strong>Total Dollars</strong></td>
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<tr>
<td>h. Construction (non-allowable)</td>
<td>i. Other</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
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<tr>
<td>j. Total Direct Charges (Sum of a-i minus g1)</td>
<td>$300,000</td>
<td>$118,112</td>
<td>$418,112</td>
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<tr>
<td></td>
<td><strong>Category</strong></td>
<td><strong>Federal Dollars</strong></td>
<td><strong>Matched Dollars</strong></td>
<td><strong>Total Dollars</strong></td>
</tr>
<tr>
<td>k. Indirect Costs (Administrative Costs)</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td></td>
</tr>
<tr>
<td>l. Grand Total (Sum of j and k)</td>
<td>$300,000</td>
<td>$118,112</td>
<td>$418,112</td>
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<td></td>
<td><strong>Allocation of Federal PATH Funds</strong></td>
<td><strong>Federal Dollars</strong></td>
<td><strong>Matched Dollars</strong></td>
<td><strong>Total Dollars</strong></td>
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<td></td>
<td>$300,000</td>
<td>$100,000</td>
<td>$400,000</td>
<td></td>
</tr>
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</table>

PATH housing costs are limited to 20% and can only be PATH allowable costs. Personnel who are considered to be a housing cost should be entered here and not included in the Personnel line item. For questions, call your Program Officer.

Source(s) of Match Dollars for State Funds:
- Region 1 (Cirrus House) Donations, agency in-kind and funds
- Region 5 (CenterPointe) Agency funds
- Region 6 (Community Alliance) Agency funds from Region 6 Behavioral Healthcare
II. Executive Summary

3. Intended Use Plans

Expenditure Period Start Date: 10/01/2019  Expenditure Period End Date: 09/30/2020

The state can either enter all the IUPs and associated budgets as in prior years, or they may allow IUP users to enter their own information into WebGAS. For more information on allowing IUP users to enter their own details, please see the tutorial under the Tutorials Tab in WebGAS that instructs states and IUP providers on this new process.

<table>
<thead>
<tr>
<th>Primary IUP Provider</th>
<th>Provider Type</th>
<th>Geographic Service Area</th>
<th>Allocations</th>
<th>Matching Funds</th>
<th>Estimated # to Contact</th>
<th>Estimated # to Enroll</th>
<th># Trained in SOAR</th>
<th># Assisted through SOAR</th>
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<tbody>
<tr>
<td>Region 1 Behavioral Health Authority *</td>
<td>Other (please specify)</td>
<td>Region 1 Behavioral Health Services</td>
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<td>$7,555</td>
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<td>16</td>
<td>2</td>
<td>2</td>
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<tr>
<td>Region 6 Behavioral Healthcare *</td>
<td>Other (please specify)</td>
<td>Region 6 Behavioral Health Services</td>
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<td>$83,473</td>
<td>250</td>
<td>200</td>
<td>11</td>
<td>88</td>
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<tr>
<td>Region V Systems *</td>
<td>Other (please specify)</td>
<td>Region 5 Behavioral Health Services</td>
<td>$65,000</td>
<td>$27,084</td>
<td>120</td>
<td>90</td>
<td>3</td>
<td>29</td>
</tr>
</tbody>
</table>

Grand Total: $288,000 $118,112 400 300 16 159

* IUP with sub-IUPs

Footnotes:

Region 1 Behavioral Health Authority
4110 Avenue D
Scottsbluff, NE 69361
Contact: Holly Brandt

• Budget Narrative – Provide a budget narrative that includes the local-area provider’s use of PATH funds.
• Budgets and budget Narratives are required for every Intended Use Plan
• Answer the Yes/No question below for the narrative questions for each Intended Use Plan. If you check "No" please provide any updates to the following narrative questions for the associated IUP
• Local Provider Description – Provide a brief description of the provider organization receiving PATH funds, including name, type of organization, region served, and the amount of PATH funds the organization will receive.
• Collaboration with HUD Continuum of Care (CoC) Program – Describe the organization’s participation with local HUD Continuum of Care (CoC) recipient(s) and other local planning activities and program coordination initiatives, such as coordinated entry activities. If the organization is not currently working with the Continuum(s) of Care, briefly explain the approaches to be taken by the organization to collaborate with the CoC(s) in the areas where PATH operates.
• Collaboration with Local Community Organizations – Provide a brief description of partnerships and activities with local community organizations that provide key services (e.g., outreach teams, primary health, mental health, substance use disorder, housing, employment) to PATH-eligible clients, and describe the coordination of activities and policies with those organizations. Provide specific information about how coordination with other outreach teams will be achieved.
• Service Provision – Describe the organization’s plan to provide coordinated and comprehensive services to PATH-eligible clients, including:
  - How the services to be provided using PATH funds will align with PATH goals to target street outreach and case management as priority services, and maximize serving the most vulnerable adults who are literally and chronically homeless;
  - Any gaps that exist in the current service systems;
  - A brief description of the current services available to clients who have both a serious mental illness and a substance use disorder; and
  - A brief description of how PATH-eligibility is determined, when enrollment occurs, and how eligibility is documented for PATH-enrolled clients.
• Date – Describe the provider’s participation in HMIS and describe plans for continued training and how providers will support new staff. For any providers not fully participating in HMIS, please describe plans to complete HMIS implementation.
• SSI/SSDI Outreach, Access, Recovery (SOAR) – Describe the provider’s plan to ensure that PATH staff have completed the SOAR Online Course and which staff plan to assist consumers with SSI/SSDI applications using the SOAR model and track the outcomes of those applications in the SOAR Online Application Tracking (OAT) system. If the provider does not use SOAR, describe the system used to improve accurate and timely completion of mainstream benefit applications (e.g. SSI/SSDI). Also describe the efforts used to train staff on this alternative system and what technical assistance or support they receive to ensure quality applications if they do not use the SAMHSA SOAR TA Center.
• Housing – Indicate the strategies that will be used for making suitable housing available for PATH clients (i.e., indicate the type of housing provided and the name of the agency).
• Staff Information – Describe how staff providing services to the population of focus will be sensitive to age, gender, disability, lesbian, gay, bisexual, and transgender, racial/ethnic, and differences of clients. Describe the extent to which staff receive periodic training in cultural competence and health disparities.
• Client Information – Describe the demographics of the client population; the projected number of adult clients to be contacted and enrolled; and the percentage of adult clients to be served using PATH funds who are literally homeless.
• Consumer Involvement – Describe how individuals who experience homelessness and have serious mental illnesses, and family members will be meaningfully involved at the organizational level in the planning, implementation, and evaluation of PATH-funded services. For example, indicate whether individuals who are PATH-eligible are employed as staff or volunteers or serve on governing or formal advisory boards.

I certify that the responses to this Narrative Question in the FY18 PATH Application is still accurate. Yes  No

Planning Period From 10/1/2019 to 9/30/2020

<table>
<thead>
<tr>
<th>Category</th>
<th>Federal Dollars</th>
<th>Matched Dollars</th>
<th>Total Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Personnel</td>
<td>$17,833</td>
<td>$4,587</td>
<td>$22,420</td>
</tr>
<tr>
<td>b. Fringe Benefits</td>
<td>100.00%</td>
<td>$975</td>
<td>$0</td>
</tr>
<tr>
<td>c. Travel</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>d. Equipment</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>e. Supplies</td>
<td>$900</td>
<td>$0</td>
<td>$900</td>
</tr>
<tr>
<td>f. Contractual</td>
<td>$ 0</td>
<td>$ 0</td>
<td>$ 0</td>
</tr>
<tr>
<td>----------------</td>
<td>------</td>
<td>------</td>
<td>------</td>
</tr>
<tr>
<td>g. Housing</td>
<td>$2,278</td>
<td>$2,968</td>
<td>$5,246</td>
</tr>
<tr>
<td>h. Construction (non-allowable)</td>
<td>$ 0</td>
<td>$ 0</td>
<td>$ 0</td>
</tr>
<tr>
<td>i. Other</td>
<td>$ 0</td>
<td>$ 0</td>
<td>$ 0</td>
</tr>
<tr>
<td>j. Total Direct Charges (Sum of a-i)</td>
<td>$21,986</td>
<td>$7,555</td>
<td>$29,541</td>
</tr>
<tr>
<td>k. Indirect Costs (Administrative Costs)</td>
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<td>$0</td>
<td>$680</td>
</tr>
<tr>
<td>l. Grand Total (Sum of j and k)</td>
<td>$22,666</td>
<td>$7,555</td>
<td>$30,221</td>
</tr>
</tbody>
</table>

Source(s) of Match Dollars:

Estimated Number of Persons to be Contacted: 30
Estimated Number of Persons to be Enrolled: 16
Estimated Number of Persons to be Contacted who are Literally Homeless: 30
Number of PATH-funded consumers assisted through SOAR: 2

Cirrus House, Inc.
1509 1st Avenue
Scottsbluff, NE 69361-3106
Contact: Brent Anderson

Provider Type: Other mental health agency
PDX ID: NE-008
State Provider ID:
Contact Phone #: 308-635-1488
• **Budget Narrative** – Provide a budget narrative that includes the local-area provider’s use of PATH funds.

Budgets and budget Narratives are required for every Intended Use Plan.

• **Answer the Yes/No question below for the narrative questions for each Intended Use Plan, If you check “No” please provide any updates to the following narrative questions for the associated IUP**

  - **Local Provider Description** – Provide a brief description of the provider organization receiving PATH funds, including name, type of organization, region served, and the amount of PATH funds the organization will receive.

  - **Collaboration with HUD Continuum of Care (CoC) Program** – Describe the organization’s participation with local HUD Continuum of Care (CoC) recipient(s) and other local planning activities and program coordination initiatives, such as coordinated entry activities. If the organization is not currently working with the Continuum(s) of Care, briefly explain the approaches to be taken by the organization to collaborate with the CoC(s) in the areas where PATH operates.

  - **Collaboration with Local Community Organizations** – Provide a brief description of partnerships and activities with local community organizations that provide key services (e.g., outreach teams, primary health, mental health, substance use disorder, housing, employment) to PATH-eligible clients, and describe the coordination of activities and policies with those organizations. Provide specific information about how coordination with other outreach teams will be achieved.

• **Service Provision** – Describe the organization’s plan to provide coordinated and comprehensive services to PATH-eligible clients, including:

  - How the services to be provided using PATH funds will align with PATH goals to target street outreach and case management as priority services, and maximize serving the most vulnerable adults who are literally and chronically homeless;

  - Any gaps that exist in the current service systems;

  - A brief description of the current services available to clients who have both a serious mental illness and a substance use disorder; and

  - A brief description of how PATH-eligibility is determined, when enrollment occurs, and how eligibility is documented for PATH-enrolled clients.

• **Data** – Describe the provider’s participation in HMIS and describe plans for continued training and how providers will support new staff. For any providers not fully participating in HMIS, please describe plans to complete HMIS implementation.

• **SSI/SSDI Outreach, Access, Recovery (SOAR)** – Describe the provider’s plan to ensure that PATH staff have completed the SOAR Online Course and which staff plan to assist consumers with SSI/SSDI applications using the SOAR model and track the outcomes of those applications in the SOAR Online Application Tracking (OAT) system. If the provider does not use SOAR, describe the system used to improve accurate and timely completion of mainstream benefit applications (e.g., SSI/SSDI). Also describe the efforts used to train staff on this alternative system and what technical assistance or support they receive to ensure quality applications if they do not use the SAMHSA SOAR TA Center.

• **Housing** – Indicate the strategies that will be used for making suitable housing available for PATH clients (i.e., indicate the type of housing provided and the name of the agency).

• **Staff Information** – Describe how staff providing services to the population of focus will be sensitive to age, gender, disability, lesbian, gay, bisexual and transgender, racial/ethnic, and differences of clients. Describe the extent to which staff receive periodic training in cultural competence and health disparities.

• **Client Information** – Describe the demographics of the client population, the projected number of adult clients to be contacted and enrolled, and the percentage of adult clients to be served using PATH funds who are literally homeless.

• **Consumer Involvement** – Describe how individuals who experience homelessness and have serious mental illnesses, and family members will be meaningfully involved at the organizational level in the planning, implementation, and evaluation of PATH-funded services. For example, indicate whether individuals who are PATH-eligible are employed as staff or volunteers or serve on governing or formal advisory boards.

I certify that the responses to this Narrative Question in the FY18 PATH Application is still accurate.  Yes  No
The state can either enter all the IUPs and associated budgets as in prior years, or they may allow IUP users to enter their own information into WebBGAS. For more information on allowing IUP users to enter their own details, please see the tutorial under the Training Tab in WebBGAS that instructs states and IUP providers on this new process.

* Indicates a required field

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<th>Comments</th>
</tr>
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<tbody>
<tr>
<td>a. Personnel</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>$17,833</td>
<td>$4,587</td>
<td>$22,420</td>
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<td>Position *</td>
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<td>Position *</td>
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<td></td>
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<tr>
<td>Case Manager</td>
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<td>40.00%</td>
<td>$11,333</td>
<td></td>
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<tr>
<td>Case Manager</td>
<td>$28,080</td>
<td>27.00%</td>
<td>$6,500</td>
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<p>| b. Fringe Benefits|                  |                 |               |          |</p>
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<td>$975</td>
<td>$0</td>
<td>$975</td>
<td></td>
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</tbody>
</table>

| c. Travel         | $0               | $0              | $0              | 0             |          |

| d. Equipment      | $0               | $0              | $0              | 0             |          |

| e. Supplies       | $900             | $0              | $900            |              |          |

| f. Contractual    | $0               | $0              | $0              | 0             |          |

| g. Housing        | $2,278           | $2,968          | $5,246          |              |          |

| h. Construction (non-allowable) | | | | | |
| i. Other            | $0               | $0              | $0              | 0             |          |

| j. Total Direct Charges (Sum of a-i) | $21,986 | $7,555 | $29,541 | |

| k. Indirect Costs (Administrative Costs) | $680 | $0 | $680 | |

| l. Grand Total (Sum of j and k) | $22,666 | $7,555 | $30,221 | |

Source(s) of Match Dollars for State Funds:
- Cirrus House has designated agency donations for match.

Estimated Number of Persons to be Contacted: 30
Estimated Number of Persons to be Contacted who are Literally Homeless: 30
Number staff trained in SOAR in grant year ending in 2018: 2

Footnotes: Direct services to clients - housing assistance including deposits, stabilizations, etc.

II. Executive Summary

Provider Type: Other (please specify)

PDX ID: 
State Provider ID: 
Contact Phone #: 402-444-6573

Intended Use Plans - Budget

Region 6 Behavioral Healthcare
4715 South 132nd Street
Omaha, NE 68137

Contact: Patti Jurjevich
• Budget Narrative – Provide a budget narrative that includes the local area provider’s use of PATH funds.

• Budgets and budget Narratives are required for every Intended Use Plan.

• Answer the Yes/No question below for the narrative questions for each Intended Use Plan. If you check “No” please provide any updates to the following narrative questions for the associated IUP.

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  • Any gaps that exist in the current service systems;
  • A brief description of the current services available to clients who have both a serious mental illness and a substance use disorder; and
  • A brief description of how PATH eligibility is determined, when enrollment occurs, and how eligibility is documented for PATH-enrolled clients.

• Data – Describe the provider’s participation in HMIS and describe plans for continued training and how providers will support new staff. For any providers not fully participating in HMIS, please describe plans to complete HMIS implementation.

• SSI/SSDI Outreach, Access, Recovery (SOAR) – Describe the provider’s plan to ensure that PATH staff have completed the SOAR Online Course and which staff plan to assist consumers with SSI/SSDI applications using the SOAR model and track the outcomes of those applications in the SOAR Online Application Tracking (OAT) system. If the provider does not use SOAR, describe the system used to improve accurate and timely completion of mainstream benefit applications (e.g. SSI/SSDI). Also describe the efforts used to train staff on this alternative system and what technical assistance or support they receive to ensure quality applications if they do not use the SAMHSA SOAR TA Center.

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• Staff Information – Describe how staff receiving services to the population of focus will be sensitive to age, gender, disability, lesbian, gay, bisexual, and transgender, racial/ethnic, and differences of clients. Describe the extent to which staff receive periodic training in cultural competence and health disparities.

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• Consumer Involvement – Describe how individuals who experience homelessness and have serious mental illnesses, and family members will be meaningfully involved at the organizational level in the planning, implementation, and evaluation of PATH-funded services. For example, indicate whether individuals who are PATH-eligible are employed as staff or volunteers or serve on governing or formal advisory boards.

I certify that the responses to this Narrative Question in the FY18 PATH Application is still accurate. Yes ☑ No ☐

Planning Period From 10/1/2018 to 9/30/2020

<table>
<thead>
<tr>
<th>Category</th>
<th>Federal Dollars</th>
<th>Matched Dollars</th>
<th>Total Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Personnel</td>
<td>$67,400</td>
<td>$65,162</td>
<td>$132,562</td>
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<tr>
<td>b. Fringe Benefits</td>
<td>$18,939</td>
<td>$18,311</td>
<td>$37,250</td>
</tr>
<tr>
<td>c. Travel</td>
<td>$6,504</td>
<td>$0</td>
<td>$6,504</td>
</tr>
<tr>
<td>d. Equipment</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>e. Supplies</td>
<td>$3,338</td>
<td>$0</td>
<td>$3,338</td>
</tr>
<tr>
<td>f. Contractual</td>
<td>$42,462</td>
<td>$0</td>
<td>$42,462</td>
</tr>
<tr>
<td>g. Housing</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

h. Construction (non-allowable)

<table>
<thead>
<tr>
<th>Category</th>
<th>Federal Dollars</th>
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<td>j. Total Direct Charges (Sum of a-i)</td>
<td>$200,334</td>
<td>$81,473</td>
<td>$281,807</td>
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<td>k. Indirect Costs (Administrative Costs)</td>
<td>$0</td>
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<td>$0</td>
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<tr>
<td>l. Grand Total (Sum of j and k)</td>
<td>$200,334</td>
<td>$81,473</td>
<td>$281,807</td>
</tr>
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</table>

Source(s) of Match Dollars:

| Estimated Number of Persons to be Contacted: | 250 |
| Estimated Number of Persons to be Enrolled: | 200 |
| Estimated Number of Persons to be Enrolled: | 237 |
| Number staff trained in SOAR in grant year ending in 2018: | 11 |
| Number of PATH-funded consumers assisted through SOAR: | 88 |

Community Alliance Rehabilitation Services, Inc.

4001 Leavenworth Street
Omaha, NE 68105-1026

Provider Type: Other mental health agency

PODI: NE-002

State Provider ID:

Contact: Carole Boye

Contact Phone #: 402-341-5128

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- Budget Narrative – Provide a budget narrative that includes the local-area provider’s use of PATH funds.
  Budgets and budget Narratives are required for every Intended Use Plan
- Answer the Yes/No question below for the narrative questions for each Intended Use Plan, if you check “No” please provide any updates to the following narrative questions for the associated IUP
- Local Provider Description – Provide a brief description of the provider organization receiving PATH funds, including name, type of organization, region served, and the amount of PATH funds the organization will receive.
- Collaboration with HUD Continuum of Care (CoC) Program – Describe the organization’s participation with local HUD Continuum of Care (CoC) recipient(s) and other local planning activities and program coordination initiatives, such as coordinated entry activities. If the organization is not currently working with the Continuum(s) of Care, briefly explain the approaches to be taken by the organization to collaborate with the CoC(s) in the areas where PATH operates.
- Collaboration with Local Community Organizations – Provide a brief description of partnerships and activities with local community organizations that provide key services (e.g., outreach teams, primary health, mental health, substance use disorder, housing, employment) to PATH-eligible clients, and describe the coordination of activities and policies with those organizations. Provide specific information about how coordination with other outreach teams will be achieved.
- Service Provision – Describe the organization’s plan to provide coordinated and comprehensive services to PATH-eligible clients, including:
  - How the services to be provided using PATH funds will align with PATH goals to target street outreach and case management as priority services, and maximize serving the most vulnerable adults who are literally and chronically homeless;
  - Any gaps that exist in the current service systems;
  - A brief description of the current services available to clients who have both a serious mental illness and a substance use disorder; and
  - A brief description of how PATH-eligibility is determined, when enrollment occurs, and how eligibility is documented for PATH-enrolled clients.
- Data – Describe the provider’s participation in HMIS and describe plans for continued training and how providers will support new staff. For any providers not fully participating in HMIS, please describe plans to complete HMIS implementation.
- SSI/SSDI Outreach, Access, Recovery (SOAR) – Describe the provider’s plan to ensure that PATH staff have completed the SOAR Online Course and which staff plan to assist consumers with SSI/SSDI applications using the SOAR model and track the outcomes of those applications in the SOAR Online Application Tracking (OAT) system. If the provider does not use SOAR, describe the system used to improve accurate and timely completion of mainstream benefit applications (e.g., SSI/SSDI). Also describe the efforts used to train staff on this alternative system and what technical assistance or support they receive to ensure quality applications if they do not use the SAMHSA SOAR TA Center.
- Housing – Indicate the strategies that will be used for making suitable housing available for PATH clients (i.e., indicate the type of housing provided and the name of the agency).
- Staff Information – Describe how staff providing services to the population of focus will be sensitive to age, gender, disability, lesbian, gay, bisexual, and transgender, racial/ethnic, and differences of clients. Describe the extent to which staff receive periodic training in cultural competence and health disparities.
- Client Information – Describe the demographics of the client population, the projected number of adult clients to be contacted and enrolled, and the percentage of adult clients to be served using PATH funds who are literally homeless.
- Consumer Involvement – Describe how individuals who experience homelessness and have serious mental illnesses, and family members will be meaningfully involved at the organizational level in the planning, implementation, and evaluation of PATH-funded services. For example, indicate whether individuals who are PATH-eligible are employed as staff or volunteers or serve on governing or formal advisory boards.

I certify that the responses to this Narrative Question in the FY18 PATH Application is still accurate. Yes  No
The state can either enter all the IUPs and associated budgets as in prior years, or they may allow IUP users to enter their own information into WebBGAS. For more information on allowing IUP users to enter their own details, please see the tutorial under the Training Tab in WebBGAS that instructs states and IUP providers on this new process.

* Indicates a required field

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<tbody>
<tr>
<td>a. Personnel</td>
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<td></td>
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<tr>
<td>Position</td>
<td>Annual Salary</td>
<td>% of time spent on PATH</td>
<td>PATH Funded FTE</td>
<td>PATH Funded Salary</td>
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<td>Outreach worker</td>
<td>$32,800</td>
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<td>Other (Describe in Comments)</td>
<td>$69,910</td>
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<td>Other (Describe in Comments)</td>
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<td>Other (Describe in Comments)</td>
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<tr>
<td>b. Fringe Benefits</td>
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<th>Comments</th>
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<tr>
<td>c. Travel</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Line Item Detail</td>
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<td>Comments</td>
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<tr>
<td>Other (Describe in Comments)</td>
<td>$6,504</td>
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<td>$6,504</td>
<td>Local travel for homeless outreach and case management services - gas, oil, insurance, maintenance and repairs (Agency vehicles).</td>
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<tr>
<td>d. Equipment</td>
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<tr>
<td>e. Supplies</td>
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<tr>
<td>Line Item Detail</td>
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<tr>
<td>Office: Supplies</td>
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<td>Total Dollars</td>
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<td>Other (Describe in Comments)</td>
<td>$30,000</td>
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<td>$30,000</td>
<td>Physician - initial psychiatric assessment and transitional treatment services ($100/hr x 6hrs/week x 50weeks).</td>
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<td>Other (Describe in Comments)</td>
<td>$12,462</td>
<td>$0</td>
<td>$12,462</td>
<td>Contracted Services - Contracted payroll, human resources, other support services ($1,038.50/month x 12 months).</td>
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<td>g. Housing</td>
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<tr>
<td>h. Construction (non-allowable)</td>
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<td>Line Item Detail</td>
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<td>Total Dollars</td>
<td>Comments</td>
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<tr>
<td>Client: Transportation</td>
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<td>$0</td>
<td>$1,000</td>
<td>Client Bus Tickets ($83.33/month x 12months = $1,000).</td>
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<tr>
<td>Client: Other (Describe in Comments)</td>
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<td>$36,000</td>
<td>Client medications during transition-$3,000/month x 12 = $36,000.</td>
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<td>Office: Insurance (Property, Vehicle, Malpractice, etc.)</td>
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<td>$3,403</td>
<td>Insurance - Clinic General Property and Liability ($383.58/month x 12 = $4,602.96).</td>
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<td>Office: Other (Describe in Comments)</td>
<td>$4,012</td>
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<td>$4,012</td>
<td>HMS Licensing, Use and Support ($334.33/month x 12 months = $4,012.00).</td>
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<tr>
<td>Staffing: Training/Education/Conference</td>
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<td>$4,184</td>
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<td>Staffing: Other (Describe in Comments)</td>
<td>$11,014</td>
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<td>$11,014</td>
<td>Occupancy Expense ($971.83/month x 12 months = $11,661.96).</td>
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<td>Staffing: Other (Describe in Comments)</td>
<td>$2,078</td>
<td>$0</td>
<td>$2,078</td>
<td>Program Materials / Other Direct Program Materials ($173.17/month x 12months = $2,078.00).</td>
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</table>
A brief description of how PATH eligibility is determined, when enrollment occurs, and how eligibility is documented for PATH-enrolled clients.

A brief description of the current services available to clients who have both a serious mental illness and a substance use disorder; and

How the services to be provided using PATH funds will align with PATH goals to target street outreach and case management as priority services, and

Planning Period From 10/1/2019 to 9/30/2020

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<td>d. Equipment</td>
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<td>e. Supplies</td>
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<td>$0</td>
<td>$0</td>
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<tr>
<td>f. Contractual</td>
<td>$0</td>
<td>$1,138</td>
<td>$1,138</td>
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<th>Federal Dollars</th>
<th>Matched Dollars</th>
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- **Region V Systems**
  - 1645 'N' Street
  - Lincoln, NE 68508
  - Contact: C.J. Johnson

- **Provider Type:** Other (please specify)
  - PDX ID:
  - State Provider ID:
  - Contact Phone #: 402-441-4540

- **Number staff trained in SOAR in grant year ending in 2018:** 88
- **Estimated Number of Persons to be Contacted:** 250
- **Estimated Number of Persons to be Enrolled:** 200
- **Number staff trained in SOAR in grant year ending in 2018:** 11
- **Number of PATH-funded consumers assisted through SOAR:** 88
- **Number of PATH-funded consumers assisted through SOAR:** 237

- **Source(s) of Match Dollars for State Funds:**
  - Non-federal funds provided by Region 6 Behavioral Healthcare, the local mental health authority, to Community Alliance Rehabilitation Services for the provision of homeless transition services.
<table>
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<tr>
<th>Description</th>
<th>Direct</th>
<th>Indirect</th>
<th>Total Direct Charges (Sum of a-i)</th>
<th>Total Indirect Costs (Administrative Costs)</th>
<th>Grand Total (Sum of j and k)</th>
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<tr>
<td>g. Housing</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>h. Construction (non-allowable)</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>i. Other</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
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<tr>
<td>j. Total Direct Charges (Sum of a-i)</td>
<td>$65,000</td>
<td>$27,084</td>
<td>$92,084</td>
<td>$0</td>
<td>$92,084</td>
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<tr>
<td>k. Indirect Costs (Administrative Costs)</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
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<td>$0</td>
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<tr>
<td>l. Grand Total (Sum of j and k)</td>
<td>$65,000</td>
<td>$27,084</td>
<td>$92,084</td>
<td>$0</td>
<td>$92,084</td>
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Source(s) of Match Dollars:
CenterPointe commits to obligate $27,084 of the agency's general funds to cover the non-federal cash match requirement.

- Estimated Number of Persons to be Contacted: 120
- Estimated Number of Persons to be Contacted who are Literally Homeless: 72
- Number staff trained in SOAR in grant year ending in 2018: 3
- Estimated Number of Persons to be Enrolled: 90
- Number of PATH-funded consumers assisted through SOAR: 29

**CenterPointe, Inc.**
1000 South 13th Street
Lincoln, NE 68508
Contact: Maggie Peavy

Provider Type: Community mental health center
PDX ID: NE-001
State Provider ID: NE-001
Contact Phone #: 402-475-8717
Budget Narrative – Provide a budget narrative that includes the local-area provider’s use of PATH funds.
Budgets and budget narratives are required for every Intended Use Plan.

Answer the Yes/No question below for the narrative questions for each Intended Use Plan; if you check “No” please provide any updates to the following narrative questions for the associated IUP:

Local Provider Description – Provide a brief description of the provider organization receiving PATH funds, including name, type of organization, region served, and the amount of PATH funds the organization will receive.

Collaboration with HUD Continuum of Care (CoC) Program – Describe the organization’s participation with local HUD Continuum of Care (CoC) recipient(s) and other local planning activities and program coordination initiatives, such as coordinated entry activities. If the organization is not currently working with the Continuum(s) of Care, briefly explain the approaches to be taken by the organization to collaborate with the CoC(s) in the areas where PATH operates.

Collaboration with Local Community Organizations – Provide a brief description of partnerships and activities with local community organizations that provide key services (e.g., outreach teams, primary health, mental health, substance use disorder, housing, employment) to PATH-eligible clients, and describe the coordination of activities and policies with those organizations. Provide specific information about how coordination with other outreach teams will be achieved.

Service Provision – Describe the organization’s plan to provide coordinated and comprehensive services to PATH-eligible clients, including:
- How the services to be provided using PATH funds will align with PATH goals to target street outreach and case management as priority services, and maximize serving the most vulnerable adults who are literally and chronically homeless;
- Any gaps that exist in the current service systems;
- A brief description of the current services available to clients who have both a serious mental illness and a substance use disorder; and
- A brief description of how PATH-eligibility is determined, when enrollment occurs, and how eligibility is documented for PATH-enrolled clients.

Data – Describe the provider’s participation in HMIS and describe plans for continued training and how providers will support new staff. For any providers not fully participating in HMIS, please describe plans to complete HMIS implementation.

SSI/SSDI Outreach, Access, Recovery (SOAR) – Describe the provider’s plan to ensure that PATH staff have completed the SOAR Online Course and which staff plan to assist consumers with SSI/SSDI applications using the SOAR model and track the outcomes of those applications in the SOAR Online Application Tracking (OAT) system. If the provider does not use SOAR, describe the system used to improve accurate and timely completion of mainstream benefit applications (e.g. SSI/SSDI). Also describe the efforts used to train staff on this alternative system and what technical assistance or support they receive to ensure quality applications if they do not use the SAMHSA SOAR TA Center.

Housing – Indicate the strategies that will be used for making suitable housing available for PATH clients (i.e., indicate the type of housing provided and the name of the agency).

Staff Information – Describe how staff providing services to the population of focus will be sensitive to age, gender, disability, lesbian, gay, bisexual, and transgender, racial/ethnic, and differences of clients. Describe the extent to which staff receive periodic training in cultural competence and health disparities.

Client Information – Describe the demographics of the client population, the projected number of adult clients to be contacted and enrolled, and the percentage of adult clients to be served using PATH funds who are literally homeless.

Consumer Involvement – Describe how individuals who experience homelessness and have serious mental illnesses, and family members will be meaningfully involved at the organizational level in the planning, implementation, and evaluation of PATH-funded services. For example, indicate whether individuals who are PATH-eligible are employed as staff or volunteers or serve on governing or formal advisory boards.

I certify that the responses to this Narrative Question in the FY18 PATH Application is still accurate. Yes ☑ No ☐
The state can either enter all the IUPs and associated budgets as in prior years, or they may allow IUP users to enter their own information into WebBGAS. For more information on allowing IUP users to enter their own details, please see the tutorial under the Training Tab in WebBGAS that instructs states and IUP providers on this new process.

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<th>Comments</th>
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<tr>
<td><strong>Personnel</strong></td>
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<td></td>
</tr>
<tr>
<td><strong>Position</strong></td>
<td><strong>Annual Salary</strong></td>
<td><strong>% of time spent on PATH</strong></td>
<td><strong>PATH-Funded</strong></td>
<td><strong>PATH-Funded Salary</strong></td>
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<tr>
<td>Case Manager</td>
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<td>1.00</td>
<td>$ 32,500</td>
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<tr>
<td>Outreach worker</td>
<td>$ 32,500</td>
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<th><strong>Percentage</strong></th>
<th><strong>Federal Dollars</strong></th>
<th><strong>Matched Dollars</strong></th>
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<th><strong>Comments</strong></th>
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<td><strong>c. Travel</strong></td>
<td>0.00 %</td>
<td>$ 0</td>
<td>$ 0</td>
<td>$ 0</td>
<td></td>
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| **d. Equipment**    |                 |                     |                     | No Data Available |
|                     |                 |                     |                     |                    |

| **e. Supplies**     |                 |                     |                     | No Data Available |
|                     |                 |                     |                     |                    |

| **f. Contractual**  |                 |                     |                     | No Data Available |

| **g. Housing**      |                 |                     |                     | No Data Available |

| **h. Construction (non-allowable)** | | | | No Data Available |

| **j. Total Direct Charges (Sum of a-i)** | $ 65,000 | $ 27,084 | $ 92,084 | |

| **k. Indirect Costs (Administrative Costs)** | $ 0 | $ 0 | $ 0 | |

| **l. Grand Total (Sum of j and k)** | $ 65,000 | $ 27,084 | $ 92,084 | |

Source(s) of Match Dollars for State Funds:
- CenterPointe Inc. commits to obligate $27,084 of the agency's general funds to cover the non-federal cash match requirement of the project.

| Estimated Number of Persons to be Contacted: | 120 |
| Estimated Number of Persons to be Contacted who are Literally Homeless: | 72 |
| Number staff trained in SOAR in grant year ending in 2018: | 3 |

Estimated Number of Persons to be Enrolled: 90
Number of PATH-funded consumers assisted through SOAR: 29

Footnotes:

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<th>Planning Period From 10/1/2019 to 9/30/2020</th>
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<th>Source(s) of Match Dollars for State Funds:</th>
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Printed: 7/15/2019 9:59 AM - Nebraska - FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2  Approved: 05/07/2018
III. State Level Information

A. Operational Definitions

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate.  Yes ☐ No ☐

If No, please upload documentation with changes for FY19.

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
III. State Level Information

B. Veterans

Narrative Question:
Answer the Yes/No question below. If you check "No" please provide any updates to describe how the state gives consideration in awarding PATH funds to entities with demonstrated effectiveness in serving veterans experiencing homelessness.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate.  Yes ☐ No ☐

If No, please upload documentation with changes for FY19.

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
Nebraska addresses the needs of veterans and military families in all our behavioral health service activities, including PATH. The NDHHS-DBH is sensitive to the distinctive needs of veterans and military families and expects PATH providers to outreach and extend services to veterans and their families. All Nebraska PATH providers coordinate and collaborate across systems to serve veterans and military families, including participation in coordinated entry systems in their Continuum of Care (CoC) and the List By-Name coordinated entry program coordinated by the Veterans Administration.

Ending homelessness among veterans is one goal of the Nebraska Commission on Housing and Homelessness state homeless plan “Opening Doors: 10 Year Plan to Prevent and End Homelessness in the State of Nebraska, 2015-2025.” As of May 14, 2019, the United States Interagency Council on Homelessness has recognized the collective, cooperative effort among agencies across the CoC has made instances of homelessness among the veteran population rare, brief and non-recurring in the Nebraska Balance of State CoC (NE-500) and Lincoln CoC (NE-502). An end to homelessness means that every community will have a comprehensive response in place that ensures homelessness is prevented whenever possible, or if it can’t be prevented, it is a rare, brief, and one-time experience.

As part of their active participation in their CoC, PATH providers assist veterans in accessing behavioral health services within the broader community including working with area Supportive Services for Veteran Families offices and HUD-Veterans Affairs Supportive Housing (HUD-VASH) programs in urban and rural Nebraska. HUD-VASH links and assists eligible veterans in obtaining needed housing and supports. PATH providers train staff on how to coordinate with the local Veterans Administration office to facilitate delivery of services in their Omaha city (Region 6), Lincoln city (Region 5), and Scotts Bluff County (Region 1) service areas where HUD-VASH is available.

Cirrus House, the PATH provider in western Nebraska’s Panhandle area (Region 1) of the Balance of State CoC, provides training for their staff on how to better understand the military culture and collaborate with the programs available for eligible veterans. It continues to actively partner with area veteran’s service agencies in the multi-state area. For example, Cirrus House and its CoC work with the Southwestern Wyoming Recovery Access Programs and the Black Hills VA Health Care for Homeless Veterans. In April of each year, they collaborate with the Nebraska, Wyoming and South Dakota state veteran’s service agencies at a Stand Down event in Scottsbluff, Nebraska, providing supplies, services and referrals for other assistance to homeless Veterans.

In Lincoln, CenterPointe (Region 5) provides housing and support services to homeless veterans and their families through a HUD Permanent Supportive Housing grant and two Veterans Health
Administration Grants. Effectiveness of these programs are measured through outcome measures that include movement to permanent housing. CenterPointe is also part of a Continuum of Care that operates a By-Name List which is reviewed weekly with the goal of moving all veterans to permanent housing through coordinated entry. Also, as part of their outreach protocol, Veterans are screened and referred to the Veterans Administration Homeless Outreach workers for coordination of services as appropriate.

The PATH provider in Omaha, Community Alliance Rehabilitative Services (Region 6), partners with the broader behavioral health community in both outreach and services to veterans and military families. They are active participants in their CoC effort to increase the coordination of housing and increase efficiency of staff effort at a numerous agencies serving veterans. In 2017, they were a leader in the effort to merge the Homeless Veteran Task Force with the Case Conferencing Work Group, thereby combining two separate By-Name Lists into one consolidated coordinated entry system. They are active in their CoC “National Built For Zero Campaign.” Community Alliance Rehabilitative Services provide specific training for their staff on how to better understand the military culture and collaborate with the programs available for eligible veterans, including HUD-VASH. They are also working with Together, Inc. with the Supportive Services for Veteran Families program, to meet the needs of veterans who are not eligible for Veterans Administration services.
III. State Level Information

C. Alignment with PATH Goals

Narrative Question:
Answer the Yes/No question below. If you check "No" please provide any updates to describe how the services to be provided using PATH funds will target street outreach and case management as priority services and maximize serving the most vulnerable adults who are literally and chronically homeless.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate. Yes  No

If No, please upload documentation with changes for FY19.

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
III. State Level Information

D. Alignment with State Comprehensive MH Services Plan

Narrative Question:
Answer the Yes/No question below. If you check "No" please provide any updates to describe how the services to be provided using PATH funds are consistent with the State Comprehensive Mental Health Services Plans.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate.  Yes  No

If No, please upload documentation with changes for FY19.

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Footnotes:
The Nebraska Behavioral Health Services Act designates the Nebraska Department of Health and Human Services Division of Behavioral Health (NDHHS-DBH) as the chief behavioral health authority for the State [§71- 806 (1)]. The NDHHS-DBH is both the State Mental Health Authority (SMHA) and the State Substance Abuse Authority [or Single State Authority (SSA)]. Therefore, the NDHHS-DBH is responsible for managing both the Community Mental Health Services Block Grant (CMHSBG) and the Substance Abuse Prevention and Treatment Block Grant (SAPTBG).

The Division of Behavioral Health Strategic Plan 2017-2020 titled “One Nebraska! One Plan!” emphasizes a system of care approach for the adult and youth systems. The plan’s Triple Aim is to provide 1) Effective services that are integrated across public and private systems to support consumers and impact health; 2) Efficient delivery of quality and effective services that help people live better lives; and, 3) Nebraska citizens Experience access to culturally responsive behavioral health services at the right time and place to meet their needs. Services provided using Projects for Assistance in Transition from Homelessness (PATH) funds encompass the Triple Aim.

Persons who are experiencing homelessness and have mental illness in Nebraska have specialized needs that may not be met by more traditional service delivery methods. The Projects for Assistance in Transition from Homelessness (PATH) works with local area providers in areas with the highest rates of homelessness who provide outreach, engage, and meet the immediate needs of homeless individuals and at the same time assist consumers in developing self-sufficiency through referral and attainment of services. PATH funds support the outreach and engagement activities of local agencies across Nebraska, a geographically large area with 99.3% of its land area classified as rural based on population size in 2010, according to the U.S. Census Bureau. And the National Center for Frontier Communities, using the state office of rural health definition of frontier, classified 39 of the 93 Nebraska counties as frontier based on 2010 census data. In December 2019, 84 of Nebraska’s 93 counties were designated as federal mental health professional shortage areas.

Behavioral health services funded through NDHHS-DBH are available to maintain a continuity of care for individuals who have been served through programs providing outreach and services for rural residents, older adults, and individuals who experience homelessness in frontier, rural and urban areas. Behavioral health services funded through the CMHSBG and the SAPTBG are identified below.

**Community Mental Health Services Block Grant**

- Assertive Community Treatment
- Dual Residential Treatment
- Psych Residential Treatment
Community Support | Medication Management | Supported Education
--- | --- | ---
Day Rehabilitation | Outpatient Psychotherapy | Supported Employment
Day Support | Professional Partner |  

**Substance Abuse Prevention & Treatment Block Grant**

- Assessment/Evaluation
- Community Support
- Dual Residential
- Halfway House
- Intensive Outpatient
- Intermediate Residential
- Opioid Treatment Program
- Outpatient Psychotherapy
- Prevention/Synar activities
- Recovery Support
- Short Term Residential
- Social Detox
- Therapeutic Community

In addition to the PATH funds that support the Regional Behavioral Health Authorities in serving the population areas with the highest rates of homelessness, the Nebraska Homeless Assistance Program (NHAP) within the NDHHS Division of Children and Family Services supports a network of shelter, supportive housing, and service providers. These providers plan for and provide a balance of emergency, transitional, and permanent housing and service resources to address the needs of people who are homeless so they can make the critical transition from homelessness to jobs, independent living, and permanent housing. For more information on NHAP see the NDHHS web site at: [http://dhhs.ne.gov/Pages/Homeless-Assistance.aspx](http://dhhs.ne.gov/Pages/Homeless-Assistance.aspx).

Partnerships and collaboration with public and private systems, as well as with individuals, families, and communities, are important components in systems of care surrounding each individual served. For example, other state agencies (e.g., State Probation through the Nebraska Supreme Court, the Nebraska Department of Correctional Services (NDCS), the Nebraska Department of Education Vocational Rehabilitation (NDE-VR), and the Veterans Administration) fund or support behavioral health services for specific populations. The NDHHS-DBH collaborates with the NDCS to ensure those individuals released from correctional facilities are connected with the services they need to meet their rehabilitation needs. The NDHHS-DBH also works closely with NDE-VR to provide Supported Employment services to individuals with serious mental illness and substance use disorders.
III. State Level Information

E. Process for Providing Public Notice

Narrative Question:
Answer the Yes/No question below. If you check "No" please provide any updates to describe the process for providing public notice to allow interested parties (e.g., family members; individuals who are PATH-eligible; mental health, substance use disorder, and housing agencies; the general public) to review the proposed use of PATH funds including any subsequent revisions to the application. Describe opportunities for these parties to present comments and recommendations prior to submission of the state PATH application to SAMHSA.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate.   Yes ☒ No ☐
If No, please upload documentation with changes for FY19.

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
III. State Level Information
E. Process for Providing Public Notice

The State PATH Contact posted a notice of Nebraska’s intent to apply for Projects for Assistance in Transition from Homelessness (PATH) Formula Grant Program on the Nebraska Department of Health and Human Services – Division of Behavioral Health (DBH) public website for public comment on July 3, 2019. The public was invited to comment on the draft application from July 3 to the cut off time of 4:00 PM July 12, 2019. The final application in WebBGAS will be posted to the DBH website, http://dhhs.ne.gov/Behavioral%20Health%20Documents/2019%20PATH%20Application.pdf, from the date of its submission until the grant award.

The notice identified the Substance Abuse and Mental Health Services Administration (SAMHSA), Center for Mental Health Services (CMHS) has invited the State of Nebraska to apply for Federal Fiscal Year 2019 funding under the Projects for Assistance in Transition from Homelessness (PATH) Formula Grant Program. A draft of the Nebraska PATH Program Fiscal Year 2019 Application in PDF document format was available on the website.

The public was invited to review and provide written comments regarding the Nebraska PATH Program Application. By the close of the comment period, the Nebraska State PATH Contact received no written comments or questions. Neither were any comments received via other formats, such as telephone calls. This section was updated for inclusion in the final document submitted in WebBGAS as the application for Projects for Assistance in Transition from Homelessness (PATH) Formula Grant Program Fiscal Year 2019.

The application available for viewing on the DBH public website was updated to the application submitted in WebBGAS on or before July 15, 2019.

Please find a snippet of the posting of Notice of Intent to apply on the DBH website on the next page. The URL of the public posting was http://dhhs.ne.gov/Behavioral%20Health%20Documents/2019%20PATH%20Application.pdf.
The grant application submitted in WebBGAS will continue to be displayed on the DBH website until the state receives Notice of Award.

The grant documentation review process was conducted and completed by the Nebraska SPC.
III. State Level Information

F. Programmatic and Financial Oversight

Narrative Question:
Answer the Yes/No question below. If you check "No" please provide any updates to describe how the state will provide necessary programmatic and financial oversight of PATH-supported providers, such as site visits, evaluation of performance goals, audits, etc. In cases where the state provides funds through intermediary organizations (i.e., County agencies or regional behavioral health authorities), describe how these organizations monitor the use of PATH funds.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate. Yes ☐ No ☐

If No, please upload documentation with changes for FY19.

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Footnotes:
III. State Level Information

G. Selection of PATH Local-Area Providers

Narrative Question:
Answer the Yes/No question below. If you check “No” please provide any updates to describe the method(s) used to allocate PATH funds to areas and providers with the greatest number of individuals who experience homelessness with serious mental illnesses or co-occurring substance use disorders (i.e., through annual competitions, distribution by formula, data driven or other means).

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate. Yes ☐ No ☐

If No, please upload documentation with changes for FY19.

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Footnotes:
Nebraska proposes to continue funding the three Regions in the same amount as it did previously in the FY2018 project period. The PATH funds are allocated to the Regions as follows:

Region 1 $ 22,666  
Region 5 $ 65,000  
Region 6 $ 200,334

According to the Census Bureau's Population Estimates Program (PEP), which produces estimates of the population on an annual basis, Nebraska’s 2018 population is 1,929,268. The five counties that comprise Region 6 Behavioral Health Region (Omaha) have a total population of 834,956, and the sixteen counties that comprise Region 5 Behavioral Health Region (Lincoln) have a total population of 475,153. Combined, these two regions constitute 68 percent of the State population and have the largest homeless population in Nebraska. The population of the balance of state is 619,159 (32 percent)

In each of the three PATH providers’ local area are military installations. Both federal and state military installations are located in Omaha (Region 6), including the U.S. Air Force Offutt Air Force Base and Nebraska Air National Guard 170th Group and Nebraska Army National Guard. In the Lincoln (Region 5) area, military bases include the Nebraska Air National Guard 155th Air Refueling Wing and Nebraska Army National Guard. The Scottsbluff (Region 1) area is home to units of the Nebraska Army National Guard. PATH providers are cognizant of the statistics associated with suicide among veterans and are vigilant in working with veterans who are experiencing homelessness to access needed behavioral health services.

According to the 2019 Point in Time (PIT) summary count data, Region 6 and Region 5 account for 80 percent of the adults who are experiencing homelessness and, according to the PEP, 68 percent of the total state population. As the state population continues to increase, these two areas continue to represent a greater share of the state population as it becomes more metropolitan-based. Among the adult population identified experiencing homelessness in Nebraska, 30.4 percent were identified as having a Serious Mental Illness (SMI). And 92 percent of people who are experiencing homelessness and have a SMI reside in Region 6 and Region 5. In addition, Region 6 and Region 5 account for 82 percent of veterans who are experiencing homelessness. The geographic distribution of individuals experiencing homelessness is consistent year-to-year, therefore, Region 6 and Region 5 receive the largest portion of the PATH funds. The remainder of the funds is allocated to the Scottsbluff area (Region 1) in western Nebraska, part of the Balance of State Continuum of Care.
III. State Level Information

H. Location of Individuals with Serious Mental Illnesses who are Experiencing Homelessness

Narrative Question:
Answer the Yes/No question below. If you check "No" please provide any updates to indicate the number of individuals with serious mental illnesses experiencing homelessness by each region or geographic area of the entire state. Indicate how the numbers were derived and where the selected providers are located on a map.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate. Yes ☐ No ☒

If No, please upload documentation with changes for FY19.

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
Nebraska has PATH providers located in three cities: Scottsbluff – Region 1 Behavioral Health Authority (Region 1), Lincoln – Region 5 Behavioral Health Authority (Region 5), and Omaha – Region 6 Behavioral Health Authority (Region 6). Nationally, it is estimated that 35%-45% of persons who are homeless may have a Serious Mental Illness (SMI), and 45% may have a co-existing substance use disorder.

The chronically homeless population has higher rates of behavioral health needs. According to the Office of National Drug Control Policy, approximately 30 percent of the chronically homeless population has a SMI and around two-thirds have a primary substance use disorder or other chronic health condition. According to estimates reported in the 2011 SAMHSA factsheet “Current Statistics on Prevalence and Characteristics of People Experiencing Homelessness in the United States,” 45% of Veterans who are experiencing homelessness experience mental illness. (This estimate is used in the table below.)

Each regional CoC addresses community-level planning, identify service gaps, and plan and prioritize new and renewal CoC projects within their respective regions. Each regional CoC collects, reports and submits information and project applications to HUD.

The 2019 Point-in-Time (PIT) Count was conducted and data was collected by the Continuums of Care (CoC) in Nebraska on January 22, 2019. There are three reporting CoC in Nebraska which encompass the entire geographic area of the state, including NE-500-Balance of State CoC, NE-501-Omaha CoC, and NE-502-Lincoln CoC. The Balance of State CoC is a U.S. Department of Housing and Urban Development (HUD) recognized consolidation of five distinct regional CoCs (Regions 1, 2, 3, 4 and 5).

According to the 2019 PIT counts, across the state, the total number of adults experiencing homelessness in Nebraska decreased by 3% between 2018 (2,002 total) and 2019 (1,942 total). However, the 2019 PIT count identified an increase of 16% in the number of adults experiencing homelessness who also have a SMI. The number of veterans experiencing homelessness increased by 2%, between the 2018 and 2019 PIT counts.

The NE-501 Omaha CoC continues to report the largest number of adults experiencing homelessness (1,228, 63% of the state total), number of veterans experiencing homelessness (123, 70% of the state total), adults experiencing homelessness who also have a SMI (450, 76% of the state total), and veterans experiencing homelessness who also have a SMI (56, 70% of the state total). The Omaha CoC 2019 PIT count of adults experiencing homelessness who also have a SMI increased between 2018 and 2019, while the overall count of adults experiencing homeless and veterans experiencing homelessness who also have a SMI decreased. The NE-502 Lincoln CoC 2019 PIT count reported a decrease in the number of adults experiencing homelessness but increases in counts of both adults and veterans who also have a SMI compared to last year.
The NE-500 Balance of State CoC 2019 PIT count reported a 133% increase in the number of veterans experiencing homelessness who also have a SMI. The count reported decreases in both the number of adults experiencing homelessness (9%) and adults experiencing homelessness who also have a SMI (34%) compared to the PIT 2018.

The 2019 PIT summary reported below was provided by the two Nebraska Homeless Management Information System (HMIS) Administrators serving the state. Nebraska PATH providers work with their respective HMIS Administrators in their CoC. Region 1 is located in the Balance of State COC and Region 5 is in the Lincoln CoC; both work with the University of Nebraska-Lincoln Center on Children, Families and the Law’s Community Services Management Information System which is the lead agency administering HMIS. Region 6 is located in the Omaha COC and works with the Institute for Community Alliances which serves as their HMIS Administrator.

<table>
<thead>
<tr>
<th>Continuum of Care</th>
<th>Total Adults</th>
<th>Total Adults with SMI</th>
<th>Of Total Adults / # Of Veterans</th>
<th># Of Veterans With SMI Estimate*</th>
</tr>
</thead>
<tbody>
<tr>
<td>NE-502 Lincoln CoC (CoC Region 6)</td>
<td>331</td>
<td>334</td>
<td>93</td>
<td>86</td>
</tr>
<tr>
<td>NE-501 Omaha CoC (CoC Region 7)</td>
<td>1,228</td>
<td>1,237</td>
<td>450</td>
<td>351</td>
</tr>
<tr>
<td>NE-500 Balance of State CoC (CoC Regions 1-5)</td>
<td>383</td>
<td>421</td>
<td>48</td>
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<tr>
<td>TOTAL</td>
<td>1,942</td>
<td>2,002</td>
<td>591</td>
<td>510</td>
</tr>
</tbody>
</table>

* "# of Veterans with SMI" is estimated based on SAMHSA 2011 factsheet “Current Statistics on Prevalence and Characteristics of People Experiencing Homelessness in the United States,” that states 45% of veterans experiencing homelessness are also experiencing a mental illness.
III. State Level Information

I. Matching Funds

Narrative Question:
Describe the sources of the required PATH match contributions and provide assurances that these contributions will be available at the beginning of the grant period.

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Footnotes:
The sources of the required PATH match contributions are provided by each PATH Provider. These sources are identified for each PATH Provider, as well as assurances that these match contributions will be available at the beginning of the grant period.

Copies of the letters of assurance are included in each respective PATH Provider Intended Use Plan, also.

**Region 1 - Cirrus House, Inc.** is utilizing donations to the agency for the PATH match contribution.

**Region 5 - CenterPointe, Inc.** is utilizing agency general funds for the PATH match contribution.

**Region 6 - Community Alliance Rehabilitation Services** is utilizing non-federal funds supporting homeless transition services from Region 6 Behavioral Healthcare as the source of the PATH match contribution.
Cirrus House, Inc. Letter of Assurance of Matching Funds

PATH CASH MATCH 2019-2020

Cirrus House Inc. understands the project requires a cash match. To meet this requirement, Cirrus House Inc. commits to obligate $7,555.00 of agency donations to cover the non-federal cash match requirement of the project. Cirrus House Inc. assures these funds will be available at the beginning of the grant period. We have established relationships with local foundations who are willing to provide matching funds.

Brent L. Anderson, Executive Director
June 3, 2019

John Trouba
Fiscal and Federal Performance Team
DHHS-Division of Behavioral Health
301 Centennial Mall-South, 3rd Floor
PO Box 95026
Lincoln, NE 68509-5026

Re: PATH Cash Match

Dear Mr. Trouba:

Enclosed is CenterPointe’s 2019 PATH Project Intended Use Plan. CenterPointe understands the project requires a cash match. Because of this, CenterPointe Inc. commits to obligate $37,084 of the agencies general funds to cover the non-federal cash match requirement of the project. CenterPointe assures these funds will be available at the beginning of the grant period.

Please let me know if you need additional information.

Sincerely,

[Signature]

Topher Hansen
CEO

CenterPointe
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June 19, 2019

Carole Boyce
Chief Executive Officer
Community Alliance Rehabilitation Services
4001 Leavenworth Street
Omaha, NE 68105

RE: Letter of Assurance of Non-Federal Match of PATH Funds

Dear Carole

This letter is to document non-federal funding provided by Region 6 Behavioral Healthcare, the local mental health authority, to Community Alliance Rehabilitation Services for the provision of homeless transition services. These services are intended to provide ongoing case management and direct supportive services to individuals who are homeless and have a serious mental illness for purposes of assisting eligible individuals in obtaining and maintaining the housing, behavioral health, and other resources needed to continue their transition from homelessness and achieve personal and community stability. The homeless transition services are intended to be closely coordinated with PATH funded outreach, mental health, and initial case management services including longer term assistance and supports. The homeless transition services receive referrals from the PATH funded services.

Region 6 has funded homeless transition services from non-federal sources for a number of years and anticipates continuing such funding. Region 6 funding for these services in FY2020 (July 1, 2019 through June 30, 2020) is allocated in the amount of $252,814. This provides an excess of a dollar for dollar match of PATH funds, surpassing the required match of $83,473. This letter of assurance is being provided based on the Region 6 allocation plan submitted and approved by the Regional Governing Board and Nebraska Division of Behavioral Health Services, in June, 2019.

We appreciate the opportunity to leverage Region 6 funding with federal PATH funds to enhance the impact of our collective efforts to assist individuals with serious mental illness who are experiencing homelessness.

Sincerely,

[Signature]
Taren Petersen
Director of Network Services
Narrative Question:
Answer the Yes/No question below. If you check "No" please provide any updates to indicate whether the mental health block grant, substance abuse block grant, or general revenue funds are designated specifically for serving people who experience homelessness and have serious mental illnesses.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate. Yes ☑ No ☐

If No, please upload documentation with changes for FY19.

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018
III. State Level Information

K. Data

Narrative Question:
Answer the Yes/No question below. If you check "No" please provide any updates to describe the state's and provider's participation in HMIS and describe plans for continued training and how the state will support new local-area providers. For any providers not fully participating in HMIS, please include a transition plan with an accompanying timeline for collecting all PATH data in HMIS.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate. Yes ☐ No ☒

If No, please upload documentation with changes for FY19.

FY 2019 PATH FOA Catalog No.: 93.150 FOA No.: SM-18-F2 Approved: 05/07/2018

Footnotes:
Nebraska PATH providers utilize the Homeless Management Information System (HMIS) to collect, utilize and report PATH service activities and in their internal data evaluation and reporting activities. There are two HMIS Administrators serving the Nebraska PATH providers. The HMIS Administrator for two PATH providers, Region 1 (Cirrus House) and Region 5 (CenterPointe), is the University of Nebraska-Lincoln Center on Children, Family, and the Law which is located in Lincoln, Nebraska. The HMIS Administrator for Community Alliance is the Institute for Community Alliance in Council Bluffs, Iowa. Both HMIS Administrators provide new user training and assistance for PATH HMIS users. Both HMIS Administrators use the data vendor, ServicePoint of Bowman, LLC.

NDHHS-DBH continues to communicate with the PATH providers and the Nebraska HMIS administrators to assist and evaluate Nebraska’s current status and inclusion of the PATH program in the data collection and reporting activities. And, NDHHS-DBH participates in statewide groups which facilitate statewide use and discussion of shared data and performance metrics, such as the Nebraska Commission on Housing and Homelessness’s State Continuum of Care Committee and HMIS Committee (the advisory body to the Nebraska Homeless Assistance Program) and Balance of State Subcommittee (the subcommittee works with the Balance of State CoC All Doors Lead Home Coordinated Entry program).

The Nebraska State PATH Contact and PATH providers participate in webinars topical to data, data reporting, and HMIS issues when sponsored by SAMHSA and/or HUD.

In 2018-2019, PATH providers participated in SAMHSA’s Homeless and Housing Resources Network (HHRN) PATH HMIS Learning Communities focusing on HMIS guidance and best practices and webinars pertaining to homeless outreach data collection, HMIS Project Set-up, and PATH Annual Report.
III. State Level Information


Narrative Question:
Answer the Yes/No question below. If you check "No" please provide any updates to describe how the state encourages provider staff to be trained in SOAR. Indicate the number of PATH providers who have at least one trained SOAR staff. If the state does not use SOAR, describe state efforts to ensure client applications for mainstream benefits are completed, reviewed, and a determination made in a timely manner.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate.  Yes  No

If No, please upload documentation with changes for FY19.

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Footnotes:
Nebraska – 2019 PATH Grant Application
III. State Level Information
L. SSI/SSDI Outreach, Access and Recovery (SOAR)

The SSI/SSDI Outreach, Access and Recovery (SOAR) effort in Nebraska is a statewide initiative. The goal is to increase access to disability income benefits administered by the Social Security Administration for eligible adults who are experiencing homelessness, or who are at risk of homelessness, and have a mental illness and/or a co-occurring substance use disorder.

In Nebraska, each Continuum of Care (CoC) region is given the opportunity to select one community agency to be eligible to receive a small amount of Nebraska Homeless Assistance Program grant funding for SOAR activities. Three Nebraska PATH providers are among the community agencies selected by their CoC to lead the SOAR efforts in their region. Each of the three Nebraska PATH providers have staff who are trained in and provide SOAR services. PATH providers outreach hundreds of persons who are literally homeless and assist many of them obtain mainstream services. Within the CoC, if a provider does not have staff trained in SOAR, they make referrals to SOAR services available at collaborating CoC agencies.

SOAR is a strategy that helps PATH providers increase access to mainstream benefits for people who are homeless or at risk of homelessness, and who have mental illness, co-occurring mental health and substance use disorders and/or other serious health conditions. SOAR is designed to serve individuals who are able to apply for benefits as an adult under Social Security Administration rules. Accessing these benefits is often a critical step in recovery.

- Cirrus House operates a SOAR program that partners with the PATH outreach worker to coordinate efforts and assist eligible individuals with their SOAR application. They have one staff dedicated to assisting eligible individuals with SOAR applications and one additional staff member trained in SOAR.

- CenterPointe operates a SOAR program that partners with the PATH outreach worker(s) to coordinate efforts and assist eligible individuals with their SOAR application. They have two FTE dedicated SOAR staff and one additional staff member trained in SOAR.

- Community Alliance operates a SOAR program that partners with the PATH outreach worker(s) to coordinate efforts and assist eligible individuals with their SOAR application. They have 11 FTE dedicated staff specifically focused on providing SOAR services for individuals who are homeless and have a serious mental illness.

Concurrent with providing SOAR services, Nebraska PATH providers participate in their respective CoC and are successfully implementing processes with established common assessment and coordinated entry systems. Coordinated entry identifies those most in need of permanent supported housing which allows delivery of services to be on a prioritized basis, including SOAR services.
III. State Level Information

M. PATH Eligibility and Enrollment

Narrative Question:
Answer the Yes/No question below. If you check “No” please provide any updates to describe how PATH eligibility is determined, when enrollment occurs, and how eligibility is documented.

I certify that the response to this Narrative Question in the FY18 PATH Application is still accurate.   Yes ☐ No ☐

If No, please upload documentation with changes for FY19.

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Footnotes:
PATH Reported Activities

Charitable Choice for PATH

Expenditure Period Start Date: 7/1/2017    Expenditure Period End Date: 6/30/2019

Notice to Program Beneficiaries - Check all that apply:

☐ Used model notice provided in final regulation.
☐ Used notice developed by State (please attach a copy to the Report).
☐ State has disseminated notice to religious organizations that are providers.
☐ State requires these religious organizations to give notice to all potential beneficiaries.

Referrals to Alternative Services - Check all that apply:

☐ State has developed specific referral system for this requirement.
☐ State has incorporated this requirement into existing referral system(s).
☐ SAMHSA’s Treatment Facility Locator is used to help identify providers.
☐ Other networks and information systems are used to help identify providers.
☐ State maintains record of referrals made by religious organizations that are providers.

☐ Enter total number of referrals necessitated by religious objection to other substance abuse providers (“alternative providers”), as defined above, made in previous fiscal year. Provide total only; no information on specific referrals required.

Brief description (one paragraph) of any training for local governments and faith-based and community organizations on these requirements.

The Nebraska DHHS Division of Behavioral Health (Division) created a self-study power point about Charitable Choice. This power point was distributed to Regional Behavioral Health Authorities (RBHA) under contract with the Division who are responsible for overseeing services in the respective counties in their service area. The RBHAs could either send this power point to each of their contracted providers to review or conduct a presentation of the material at one of their regularly scheduled provider meetings. Each provider was required to sign and submit an attestation to the RBHA that they had reviewed, understood and would abide by the requirements. Training and monitoring of Charitable Choice occurs in a variety of formal and informal ways across the state including quarterly provider meetings; site visits and review of consumer records to ensure consumers have acknowledged receiving information on their rights and offered alternative services; specific announcements, trainings, policies and procedures, or other forms of technical assistance provided to all or specific RHBA subcontractors; and program reviews which specifically addresses Charitable Choice and how provider staff are aware of and ensuring compliance. In addition, the RBHAs and Division monitor the number of individuals who have requested a change in service provider due to this provision on weekly capacity and waitlist documents submitted by providers across the state.

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Footnotes: